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#### ABSTRACT

This manual is a guide to help individuals, community and state groups concerned with the problems of young children locate specific kinds of federal programs. Specific section headings of the manual are: Child Care and Early Childhood Education, Health, Medical, and Welfare Services, Individual Grants Programs, Training Programs, Food and Nutrition Programs, Facilities and Equipment Programs, Research Programs, Research and Demonstration Programs Special Center Programs, and Information and Technical Assistance. Individual program entries give information in such categories as: Authorizing Statute, Administrator, Nature of Program Activity, Eligibility, Available Assistance, Use Pestrictions, Appropriations, Obligations, Average Assistance, Assistance Prerequisites, Postgrant Requirements, Washington Contact, Local Contact, Application Deadlines, Approval/Disapproval Time, Reworking Time, Related Programs, Program Accomplishments. (Author/AJ)



U. S. DEPARTMENT OF HEALTH, EDUCATION & WELFARE OFFICE OF EDUCATION

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# Federal Programs for Young Children

**Child Development Staff** 

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APPALACHIAN REGIONAL COMMISSION 1666 Connecticut Avenue, N. W. Washington, D. C. 20235

OCTOBER 1970

#### FOREWORD

This manual was conceived as an instrument to aid individuals, communities, civic groups, and states that are concerned with the problems of young children to locate those federal programs which deal with their specific needs. Since the components of good child development programs are quite comprehensive, an effort has been made to include all those programs which may directly or indirectly relate to a child's needs. This has been interpreted in such a way that the manual includes a range of information from loans for facilities which could house day care services to dental research to job training for child care workers.

It is quite possible that some relevant programs have been inadvertantly excluded and, of course, programs produced by current or
pending legislation are omitted due to the publication time lag. However, supplements to the manual will be issued periodically to
compensate for any omissions or changes.

The information on the programs was gathered from several government publications: "The 1969 Listing of Operating Federal Assistance Programs from the Roth Study," "OEO Catalog of Federal Domestic Assistance," "HEW Catalog of Assistance," and "Women's Bureau Federal Funds for Day Care Projects." The budget figures for 1971 were compiled from the Presidential budget requests, and the obligation figures were compiled from information obtained directly from the agencies.



Guidelines for proposals may usually be obtained by writing to the Washington contact. Should any problem in communication with the administering agencies be encountered, the Appalachian Commission Staff will try to provide liaison assistance for applicants from the Region. Miss Ruth Hubbell, Assistant to the Director, Child Development Division, Appalachian Regional Commission compiled and edited the catalog, and so is the individual to whom requests of this nature should be directed.

Many of the programs listed may be supplemented with Appalachian Regional Commission funds. Under Section 214 of the Appalachian Regional Development Act the following programs may be supplemented: Education of Handicapped and Deaf-Blind Children, Higher Education Facilities Act Grants, Mental Health Facilities, Mental Retardation Community Facilities Construction, Vocational Education Construction, and Neighborhood Facilities Grant Programs.

Under Section 202 of the ARD Act, Child Development Funds may be used to match with funds from Title IVA of the Social Security Act, as well as from other Federal programs. All requests for such ARC 202 funds must go through the State Interagency Committee on Child Development. Further information about this committee may be obtained from the Office of the State ARC Representative (listed in the Appendix).

We hope that this catalogue will aid the communities of our Appalachian states in their attempts to provide fuller, healthier, happier lives for the children of the Appalachian Region.

Irving Lazar, Ph.D.
Director of Child Development
Appalachian Regional Commission



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#### CHILD CARE AND EARLY CHILDHOOD EDUCATION

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### 1.00-CHILD CARE SERVICES -- TITLE IV-A

- AUTHORIZING STATUTE: Sections 402 (a) (14) and (15) (B) (i) of title IV, part A of the Social Security Act, as amended.
- ADMINISTRATOR: Community Services Administration, Social and Rehabilitation Service, Department of Health, Education and Welfare.
- NATURE OF PROGRAM: Under title IV, Part A of the Social Security Act, child care is authorized, and in some circumstances required, as a service for families and children receiving Aid to Families with Dependent Children. The term "child care" refers to both day care, which is out-of-home care, in day care centers, group care homes, or family day care homes; and to care for the child in his own home.

The regulations authorize Federal financial participation in costs of providing a full range of mandatory and optional services under the title IV-A program, including services for the care, protection, and development of children whose parents are, for part of the day, working or seeking work, in training or otherwise absent from the home or unable to provide parental supervision.

- ELIGIBILITY: Grants are made to the public welfare departments of each state. The Welfare department may extend services from this program to present, past, or potential AFDC recipients. Potential recipients may be defined as members of a particular geographical region.
- AVAILABLE ASSISTANCE: Grants may be used for child care services for up to 75% of the total cost. They may not be used for construction.
- <u>USE RESTRICTIONS</u>: Funds must go through the Welfare department, to recipients defined in one of the ways defined above.
- APPROPRIATIONS: Open-ended for fiscal year 1971.
- AVERAGE ASSISTANCE: Varies greatly from state to state due to differences in state matching expenditures.
- POSTGRANT REQUIRMENTS: As specified by the Community Services Administation.
- WASHINGTON CONTACT: Community Services Administration, Social and Rehabilitation Service, Department of Health, Education and Welfare.
- LOCAL CONTACT: State or local public welfare agencies.
- APPLICATION DEADLINES: None, but amount of reimbursement for projects decreases quarterly i.e. grants made in January will only receive one-half of the year's cost of operations for the Federal share.
- RELATED PROGRAMS: WIN-Child Care.



#### 1.01-CHILD DEVELOPMENT - HEADSTART

- AUTHORIZING STATUTE: Economic Opportunity Act of 1964, as amended, section 222(a)(1); 42 U.S.C. 2809 A-1.
- ADMINISTRATOR: Director, Office of Child Development, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Headstart is a comprehensive early childhood education program for disadvantaged preschool children and their families. Each program includes health, nutrition, parent involvement, and education components, as well as a career development program for teachers and non-professional aides who serve in the centers. There is also active volunteer participation from all age groups. Headstart has a small research and evaluation branch and, since 1968, has awarded 35 grants to parent and child centers, for low-income families with children under 3.
- ELIGIBILITY: Preschool children whose families meet the DHEW poverty guidelines, are accepted into Headstart. Up to 10 percent nonpoor children are allowed to participate. For the PCC programs, each family must have at least one child under 3.
- AVAILABLE ASSISTANCE: Grants up to 80 percent of the total program cost are made directly to local community action agencies or other public and private non-profit agencies. Grants and contracts are also made to universities and private nonprofit businesses to provide technical assistance and training to the program and its staff. Grants are also made to a limited number of communities to operate parent and child center programs.
- <u>USE RESTRICTIONS</u>: With the exception of allowing 10 percent nonpoor children into the program, Headstart funds cannot be spent on children above poverty income criteria or for services already available which would be diminished if Headstart funds were used.
- APPROPRIATIONS: \$339 million fiscal year 1971 (estimate).
- <u>OBLIGATIONS:</u> No new projects will be funded in 1971. These funds will be used to continue existing programs.
- AVERAGE ASSISTANCE: \$1,050 per child full year; \$210 per child summer.
- ASSISTANCE PREREQUISITES: Grants are signed by DHEW regional directors and approved by the Governor.



- POSTGRANT REQUIREMENTS: Grantees are evaluated and monitored by DHEW. They must also meet civil rights requirements and Headstart guidelines.
- <u>WASHINGTON CONTACT</u>: Director, Office of Child Development, U.S. Department of Health, Education, and Welfare, Washington, D.C. 20201; 202-755-7770.
- LOCAL CONTACT: Applicants for a Headstart grant should contact the HEW regional office in their area. Parents wishing to enroll their children should consult the local CAA, welfare, or other public assistance agencies.
- <u>APPLICATION DEADLINES</u>: Application for a Headstart grant must be received in the regional office 150 days prior to planned operation.
- APPROVAL/DISAPPROVAL TIME: Approximately 1 month.
- REWORKING TIME: Included in approval/disapproval time.
- <u>RELATED PROGRAMS</u>: Educationally deprived children grants to States; followthrough (Office of Education); special projects for health care of children and youth (Children's Bureau, Social and Rehabilitation Service).
- PROGRAM ACCOMPLISHMENTS: Headstart has inspired the Nation to focus its attention on preschool education, not only for deprived children but for all its children. Since inception, almost 3,300,000 children have benefited from the comprehensive services provided by Headstart. Headstart families have also benefited from the program through parent education programs, family and individual counseling, vocational guidance, and employment in Headstart centers. Through this program, poor people have also obtained employment and the opportunity to advance professionally. Full-year Headstart programs employ about 30,000 persons per year, who must be from poverty areas, in nonprofessional positions. Of these, more than 6,000 low-income nonprofessionals are now enrolled in part-time college-level training for 2- and 4-year degrees at approximately 200 colleges and universities. Headstart has also been successful in mobilizing community resources. An estimated 400,000 volunteers have served in the program since its inception.

### 1.02-FOLLOWTHROUGH

- AUTHORIZING STATUTE: Title II, Economic Opportunity Act of 1964, Public Law 88-452, as amended, Financial Assistance to Communities for the Development Conduct, and Administration of Community Action Programs, 42 U.S.C. 2781, et seq.
- <u>ADMINISTRATOR</u>: Director, Followthrough Program, Office of Education, U.S. Department of Health, Education, and Welfare. The program is administered under a delegation of authority from the Office of Economic Opportunity.
- NATURE OF PROGRAM ACTIVITY: Followthrough provides a program of comprehensive services instruction, health, nutrition, social and psychological, teacher training, and parent and community involvement-to help children from low-income families sustain in the primary grades the educational gains made in Headstart or similar preschool program.
- ELIGIBILITY: Eligible children come from low-income families as defined by the poverty line index of the Office of Economic Opportunity. With few exceptions at least half of the children in each project must be graduates of a full-year Headstart or similar preschool program. To give these children the opportunity to associate with children from other social and economic backgrounds, a relatively small number of nonpoor pupils participate in followthrough classes.
- AVAILABLE ASSISTANCE: Followthrough projects are funded by grants under the Economic Opportunity Act, by title I of the Elementary and Secondary Education Act, and by a local non-Federal contribution. Followthrough EOA funds provide from 75 to 86 percent of the total amount. Under new regulations effective June 30, 1969, the non-Federal share is 25 percent of the Followthrough grant if the project operates at only one grade level; 20 percent for two grade levels; 16 percent for three grades; and 14 percent for four grades. In addition, the community provides from title I, ESEA funds, an amount equal to 15 percent of the followthrough grant, provided this amount is not more than 10 percent of the total title I money available to the community.
- <u>USE RESTRICTIONS</u>: Followthrough funds may not be used to pay for health, nutrition, and other special services for children who are not from low-income families, for services normally available to all children under the regular school program, or for construction of new facilities.
- APPROPRIATIONS: \$69 million for fiscal year 1971.



- OBLIGATIONS: None. Obligations for school year 1970-71 are funded from fiscal year 1970 funds.
- AVERAGE ASSISTANCE: The average project grant is \$185,000.
- POSTGRANT REQUIREMENTS: Followthrough projects are under continuing assessment by participating school systems and by developers (usually associated with a university) of program approaches being used by local projects. Projects are monitored by the Office of Education. A national evaluation program is also in operation.
- WASHINGTON CONTACT: Director, Followthrough Program, Division of Compensatory Education, Bureau of Elementary and Secondary Education, U.S. Office of Education, Washington, D.C. 20202, (202) 963-3995.
- LOCAL CONTACT: Interested communities should contact the State educational agency and State office of economic opportunity.
- APPLICATION DEADLINES: Deadlines for applications for the next school year are announced about December 1 of the previous year.
- APPROVAL/DISAPPROVAL TIME: About 1 month.
- REWORKING TIME: Program applications are generally subject to continuous discussion from the planning stage on.
- RELATED PROGRAMS: Head Start; educationally deprived children programs under title I, ESEA.
- PROGRAM ACCOMPLSIHMENTS: In school year 1968-69, 15,500 poor children participated in 91 Followthrough projects supported with \$11 million in Followthrough funds, \$3.1 million under title I ESEA, and \$2.7 million in local contributions. For school year 1969-70, the 91 previously funded projects will continue in the grades served last year and expand to the next higher grade. Grants are also being awarded for some 40 new first-year projects, bringing the total number of children served to about 35,000.

## 1.03-WORK INCENTIVE PROGRAM - CHILD CARE

- <u>AUTHORIZING STATUTE</u>: 42 U.S.C. 602, section 402(a)(15) Social Security Act, Public Law 90-248, section 201, 81 Stat. 877-878.
- ADMINISTRATOR: Chief, Children's Bureau, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program activity aims to provide child care services to all work incentive participants, aid to families with dependent children, mother, or other adults needing childcare engaged in training or employment. Title IV-A of the Social Security Act requires that child care services be directed at development of the child rather than being simply custodial in nature.

Also, State welfare departments may include day care as a service to other families who are receiving AFDC payments. In addition, provision of day care services may be extended—at the option of the State—to former and potential applicants and recipients of AFDC.

- ELIGIBILITY: The State agency designated to administer the State plan for AFDC.
- AVAILABLE ASSISTANCE: 85 percent Federal matching in fiscal year 1959 and 75 percent in fiscal year 1970.
- <u>USE RESTRICTIONS</u>: Funds may be used only for grants to States to pay for the provision of child care. Funds may not be used for construction or extensive renovation (by administrative decision).
- APPROPRIATIONS: \$16.86 million, 1970. \$77.2 million, 1971.
- OBLIGATIONS: None for 1971.
- AVERAGE ASSISTANCE: The average grant in fiscal year 1969 was \$266,700.
- ASSISTANCE PREREQUISITES: Agency must be designated as the single State agency to administer the program and assure that provision of child care services is subject to joint HEW-QEO-Labor Department Federal interagency day care requirements.
- POSTGRANT REQUIREMENTS: Constant evaluation and monitoring of projects by the Labor Department and HEW; also by selected outside consultants.
- WASHINGTON CONTACT: Director, Work and Training Program Division, Children's Bureau, Washington, D.C. 20201, (202) 962-7876.



<u>LOCAL CONTACTS</u>: State administrators of public welfare.

APPLICATION DEADLINE: Not available.

REWORKING TIME: Not available.

RELATED PROGRAMS: Aid to families with dependent children; work incentive program on-the-job and work experience training (Labor Department).

PROGRAM ACCOMPLISHMENTS: Data not available.



#### 1.04 FOSTER GRANDPARENTS PROGRAM

- <u>AUTHORIZING STATUTE</u>: Economic Opportunity Act of 1964, 42 U.S.C. 2782-2785.
- ADMINISTRATOR: Commissioner, Administration on Aging, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The Foster Grandparents Program recruits, trains, and utilizes persons with low incomes, 60 years of age and older, to serve neglected and deprived children in institutional and community settings who can benefit from a close personal relationship with a mature adult. This program provides new service opportunities for persons of retirement age, pointing the way to new service roles for older Americans.
- ELIGIBILITY: Men and women 60 years of age and older with incomes below the poverty level, who have an interest in children. Neglected, deprived, physically or mentally handicapped children from infancy through 16 years of age. Institutions for the care of such children which apply for the program and can meet the local participation requirements.
- AVAILABLE ASSISTANCE: Foster grandparents receive the equivalent of the Federal minimum wage per hour for an average of 4 hours per day, 5 days per week plus an allowance for transportation costs. Annual physical examinations are also provided. Where possible and practical a hot meal is provided either by the host institution or from Federal funds. The host institution provides office and activity space for the project plus the services of its staff as consultants and/or trainers.
- <u>USE RESTRICTIONS</u>: Funds for elderly persons to provide person-toperson services to children with special needs. The funds can be spent for income supplementation, fringe benefits, and workmen's compensation for foster grandparents, transportation allowance, and physical examinations for foster grandparents, for salaries for project staff and other expenses incident to the operation of the projects.

APPROPRIATIONS: \$10 million for fiscal year 1971.

OBLIGATIONS INCURRED: All obligated for 1971.

AVERAGE ASSISTANCE: The average grant is \$148,765.

ASSISTANCE PREREQUISITES: Foster grandparent applicants must be of low income, 60 years of age or older, in good health, and have an interest in children. Applicant agency must be a public or nonprofit private agency or organization.



- <u>POSTGRANT REQUIREMENTS</u>: Monthly and quarterly statistical reports and quarterly narrative reports are required of each project. An average of one site visit per year is made by the headquarters staff for the purpose of evaluating the project.
- WASHINGTON CONTACT: Gene Handelsman, Director of Foster Grandparents Program, Administration on Aging, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare. 202-963-6154.
- LOCAL CONTACT: Associate Regional Commissioner for Aging, Social and Rehabilitation Service, DHEW regional offices in Boston, New York, Charlottesville, Atlanta, Chicago, Kansas City, Pallas, Denver, and San Francisco.
- APPLICATION DEADLINES: October 1, January 1, and April 1.
- APPROVAL/DISAPPROVAL TIME: About 2 months.
- REWORKING TIME: About 2 months. Applications received prior to the deadline dates (see above) are processed, usually discussed with applicants, considered by the Technical Review Committee and the Commissioner on Aging, and (if approved) finally negotiated with the grantee within 4 months following the deadline date.
- RELATED PROGRAMS: Training grant programs to prepare personnel for research, teaching, and service in aging administered by NICHD (NIH), SRS, and HSMHA.
- FROGRAM ACCOMPLISHMENTS: 15 long-term career training programs have been initiated in 17 universities: 242 students, mainly master's and doctor's degree candidates have been enrolled in programs which offer - for the first time- training for planning, administering, and coordinating program: for older people at Federal, State, and community levels; management of retirement housing; administration of homes for the aged and related institutions; direction of multiservice senior centers; and leadership in adult education, architectural design, and recreation for the older population. Sixty students have graduated; 285 will be enrolled in the 1969-70 academic year. Twenty-five short course programs of from 1 to 14 weeks in duration have enabled more than 4,000 persons to improve their competencies for work in the field of aging. A fair proportion of these persons have been midcareer and older people seeking to prepare for service to the older population. Ten projects have been supported to project manpower and training needs in the field of aging and for the development of curriculums and instructional materials. It is estimated that 330,000 persons are employed currently in facilities and programs serving older people exclusively or primarily, and that the number will rise to approximately 1 million during the next decade.

## 1.05\_MIGRANT AND SEASONAL FARM WORKERS ASSISTANCE

- AUTHORIZING STATUTE: 42 U.S.C. 2701 et seq. Office of Economic Opportunity Act of 1964, as amended.
- ADMINISTRATOR: Noel Klores, Chief of the Migrant Branch, Community Action Program, Office of Economic Opportunity, 1200 19th Street NW., Washington, D.C. 20506, (202) 382-5165.
- NATURE OF PROGRAM: The purpose of this program is to assist migrant seasonal farmworkers and their families to improve their living conditions and to develop the skills necessary to lead a productive and self-sufficient life. Projects and activities designed to meet immediate needs include day care for children, education and rehabilitative programs, health services, improved housing and sanitation, consumer training, and counseling.
- ELIGIBILITY: State and local public and private nonprofit agencies are eligible for Federal assistance up to 100 percent under title III B. Groups are also eligible for funds from title II-A for special programs to benefit only migrants and seasonal farmworkers; they may seek partial or total waiver of the 20-percent non-Federal requirements.
- AVAILABLE ASSISTANCE: Long-term programs are designed to promote increased community acceptance of migrant and seasonal farmworkers and their families. These programs equip unskilled migrant and seasonal farmworkers and members of their families to meet the changing demands in agricultural employment brought about by technological advancement. The programs of education and training encourage the participants to take advantage of opportunities available to improve their self-sufficiency by gaining regular or permanent employment or by participating in available Government training programs.
- <u>USE RESTRICTIONS</u>: Assistance available is limited to education, housing, and child development programs that are appropriate to farmworker family members.
- PAST APPRORRIATIONS: \$31 million for fiscal year 1971
- OBLIGATIONS INCURRED: Almost all is obligated to ongoing programs.
- AVERAGE ASSISTANCE: The migrant program does not provide for grants of loans.
- ASSISTANCE PREREQUISITES: (1) Must have earned at least 50 percent of their total income as agricultural employees, (2) must have been employed only on a seasonal basis and not by one employer for the entire calendar year; (3) must have incomes below the poverty level.



<u>POSTGRANT REQUIREMENTS</u>: Monthly fiscal reports; quarterly statistic reports; specialist evaluations.

WASHINGTON CONTACT: Ruth Graves, Chief of the Migrant Branch,
Community Action Program, Office of Economic Opportunity, 1200
19th Street NW., Washington, D.C. 20506, (202) 382-4226.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: None.

REWORKING TIME: None

RELATED PROGRAMS: Community action program.



# 1.06-EDUCATIONALLY DEPRIVED CHILDREN - STATE ADMINISTRATION

- AUTHORIZING STATUTE: 20 U.S.C. 241g, Elementary and Secondary Education Act of 1965, title I, Public Law 89-10, 79 Stat.33.
- ADMINISTRATOR: Commissioner of Education, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: State educational agencies: (1) suballocate county maximum grants to local educational agencies; (2) assist LEA's in the development of projects; (3) approve proposed projects in accordance with the provisions of title I and make payment of funds to local educational agencies; (4) maintain fiscal records of all grant funds; (5) prepare and submit fiscal and evaluative reports to the Office of Education.
- ELICIBILITY: Every State, the District of Columbia, and the four outlying areas of Guam, Puerto Rico, the Trust Territory of the Pacific, and the Virgin Islands (\$150,000 minimum for States, \$25,000 minimum for outlying areas).
- USE RESTRICTIONS: Funds must be used to provide administrative assistance to local educational agencies, including project development and review, approval of projects, dissemination activities, evaluation, and the preparation of reports.

APPROPRIATIONS: \$16 million for fiscal year 1971.

OBLIGATIONS: None.

AVERAGE ASSISTANCE: \$220,000 per State educational agency.

ASSISTANCE PREREQUISITES: Assurance that the State agency will administer the program and submit reports in accordance with the provisions of the law and the regulations.

POSTGRANT REQUIREMENTS: Submission of evaluation and financial data.

WASHINGTON CONTACT: Director, Division of Compensatory Education, U.S. Office of Education, Washington, D.C. 20202.

LOCAL CONTACT: Title I coordinator in each State educational agency.

<u>APPLICATION DEADLINES:</u> No application required (see assistance prerequisites).

RELATED PROGRAMS: Other State compensatory education programs under ESEA for educationally deprived children.



PROGRAM ACCOMPLISHMENTS: The State educational agencies have helped local school systems plan more effective programs to meet the needs of disadvantaged children. They have cited exemplary projects and disseminated information about them. State reviews and evaluations have kept local school systems acutely aware of the need for excellence in handling and teaching disadvantaged children.



# 1.07-EDUCATIONALLY DEPRIVED CHILDREN IN INSTITUTIONS FOR THE NEGLECTED OR DELINQUENT

- AUTHORIZING STATUTE: Title II, Public Law 81-874; 20 U.S.C. 241a, et seq., title I, Elementary and Secondary Education Act of 1965; Public Law 89-10; as amended by Public Law 89-750, Payments on Account of Neglected or Delinquent Children (in institutions), 20 U.S.C. 241c, 49 Stat. 627; 42 U.S.C. 601.
- ADMINISTRATOR: Director, Division of Compensatory Education, Bureau of Elementary and Secondary Education, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Federal funds are used to establish, expand, and improve programs designed to meet the special educational needs of children residing in local or State institutions for neglected or delinquent youth.
- ELIGIBILITY: State or local institutions which meet eligibility criteria upon submission of application to State educational agency. Refer to "Use restrictions" below.
- AVAILABLE ASSISTANCE: In addition to amounts of funds indicated under "Appropriations" section below, concultations and informational materials are provided within the limited capabilities of the neglected and delinquent section of the Division of Compensatory Education.
- USE RESTRICTIONS: Paragraphs (p) and (q) of section 116.1, subpart A of rules and regulations pertaining to title I, ESEA, restrict the use of funds to institutions operated primarily for neglected or delinquent children. These institutions must care for 10 or more children for an indefinite period of time (longer than 30 days), provide "free" public education, and be nonprofitmaking.
- APPROPRIATIONS: \$15 million for fiscal year 1971 (estimate).
- OBLIGATIONS: None for 1971.
- AVERAGE ASSISTANCE: A rough "guesstimate" of \$50,000 may become available for each of the estimated 270 State institutions expected to participate during fiscal year 1970.
- ASSISTANCE PREREQUISITES: Institution must be State operated primarily for neglected or adjudicated delinquents; average stay, a minimum of 30 days; 10 or more children up to 21 years of age.
- <u>POSTGRANT REQUIREMENTS</u>: Evaluation requested of State educational agencies yearly each November.



Washington CONTACT: Senior Program Specialist, Neglected and Delinquent Section, Division of Compensatory Education, U.S. Office of Education, Washington, D.C. 20202, (202) 963-3661.

LOCAL CONTACT: Title I coordinators, State departments of education.

APPLICATION DEADLINES: Established by State educational agency.

<u>APPROVAL/DISAPPROVAL TIME</u>: At discretion of individual State educational agency.

REWORKING TIME: At discretion of individual State educational agency.

<u>RELATED PROGRAMS</u>: Vocational and Library Act of 1965; title I of Higher Education Act of 1965; Manpower Development and Training Act; Education Professions Development Act of 1968; cooperative research.

PROGRAM ACCOMPLISHMENTS: Since its inception, in November 1966, this program has been serving the special educational needs of more than 41,300 children residing in State institutions and approximately 69,000 in local institutions, yearly. Academic achievement, as well as improvement in behavior of participants have been reported. More than anything else, the Public Law 89-750 program has caused renewed interest in and hope at the State and local levels for activities which will improve understanding of the complex problems of making education relevant to the needs of children who are victims of the failures of society as well as youthful offenders, in the case of institutionalized delinquents. This program experience stresses the need for improving staff capability of all agencies concerned with serving the needs of institutionalized children.



## 1.08-EDUCATIONALLY DEPRIVED CHILDREN - LOCAL EDUCATIONAL AGENCIES

- AUTHORIZING STATUTE: 20 U.S.C. 241c, Elementary and Secondary Education Act of 1965, title I, Public Law 89-10, 79 Stat. 27.
- ADMINISTRATOR: Commissioner of Education, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Programs are designed to give special educational assistance to children whose level of educational achievement is below normal for their age and to help them overcome barriers to learning.
- ELIGIBILITY: Local educational agency is eligible if located in a county with at least 10 children aged 5 through 17 from families with an annual income of less than \$2,000 based on 1960 census data, from families with incomes exceeding \$2,000 in the form of aid to families with dependent children (title IV of the Social Security Act), and children living in institutions for the neglected and delinquent and those living in foster homes with public support.
- <u>USE RESTRICTIONS</u>: Funds must supplement, not substitute for, local and State money normally spent on the education of children in the school district. Services must be designed to meet the needs of educationally disadvantaged children in low income areas.
- APPROPRIATIONS: \$1.345 billion for fiscal year 1971, \$1.339 billion for 1970.
- OBLIGATIONS: None for 1971.
- AVERAGE ASSISTANCE: Average per child expenditure \$138.58.
- ASSISTANCE PREREQUISITES: Applicant must be a local public agency providing free public education; application must be approved by State educational agency.
- POSTGRANT REQUIREMENTS: Regular evaluation and financial reports are required.
- WASHINGTON CONTACT: Director, Division of Compensatory Education, U.S. Office of Education, Washington, D.C. 20202 (202) 962-6711.
- LOCAL CONTACT: Title I coordinator in each State and local educational agency.
- <u>APPLICATION DEADLINES:</u> Determined annually by State educational agencies, usually early spring.



<u>APPROVAL/DISAPPROVAL TIME</u>: A discretion of State educational agency; approval is for 1 year and extending to not later than August 31 of following fiscal year.

REWORKING TIME: Determined by State educational agency.

RELATED PROGRAMS: Headstart, Followthrough, titles II, III, VII, and VIII ESEA, NDEA titles III and V-A.

PROGRAM ACCOMPLISHMENTS: Title I had focused the Nation's attention on the special learning problems of children of poverty. It has sensitized teachers and administrators to these needs and contributed in the reshaping of educational policies in at least a few ghetto schools. Title I can be credited, in part, with the advent of the teacher aide, the extended school day, and the involvement of parents and community in school programs. The title I child is finding school more interesting; the dropout rate has been slightly reduced, and attendance increased. Although academic achievement scores are hard to compare and analyze, some States have reported significant academic gains in schools with comprehensive title I, ESAE, programs.



## 1.09\_EDUCATIONALLY DEPRIVED CHILDREN - INDIAN CHILDREN

- AUTHORIZING STATUTE: 20 U.S.C. 241a, Elementary and Secondary Education Act of 1965, as amended, title I, Public Law 89-10, 72 Stat. 27.
- <u>ADMINISTRATOR</u>: Assistant Commissioner of Education, Bureau of Indian Affairs, U.S. Department of Interior, Washington, D.C. 20240.
- NATURE OF PROGRAM ACTIVITY: Title I funds are used to implement programs to meet the special needs of educationally deprived Indian children in BIA schools. These programs usually focus on remediation, enrichment, and supplementary services and include but are not limited to: special teacher aides, additional guidance and counseling services, inservice teacher training, extended school day, school week, and school year, as well as other activities, calculated to overcome the learning disabilities resulting from the deprivation, isolation, and other environmental factors which these children encounter.
- ELIGIBILITY: Since Indian children suffer from unique disadvantages which merit special consideration under this program for the educationally deprived, all American Indian children who attend schools operated by the Bureau of Indian Affairs, are eligible to participate in title I programs.
- AVAILABLE ASSISTANCE: See appropriations.
- <u>USE RESTRICTIONS</u>: Limited to American Indian students attending BIA operated schools.
- APPROPRIATIONS: \$150 million for fiscal year 1971.
- OBLIGATIONS: All obligated according to school district budget requests.
- AVERAGE ASSISTANCE: \$180 per child.
- ASSISTANCE PREREQUISITES: Considered to the educationally deprived.
- <u>POSTGRANT REQUIREMENTS</u>: Through a continuing evaluation process projects will be refined and improved.
- <u>WASHINGTON CONTACT</u>: Director of Educational Programs, Bureau of Indian Affairs, U.S. Department of Interior, Washington, D.C. 20240, (2C2) 343-2175.
- LOCAL CONTACT: BIA area director, or agency, superintendent of schools.
- <u>APPLICATION DEADLINE</u>: None specified but project operation must be completed by June 30.



APPROVAL/DISAPPROVAL TIME: Not specified.

REWORKING TIME: Project may be amended anytime during the year.

RELATED PROGRAMS: The regular school program provided through the Bureau of Indian Affairs.

PROCRAM ACCOMPLISHMENTS: Provision of aides, inservice training, teachers, remedial and enrichment programs, field trips, pupil personnel services, clothing, health services, special education, curriculum development, English as a second language, recreational and summer activities, parental involvement, dormitory personnel (dorm parents), psychological services, medial productions, and instructional service centers. As a result of these projects, Indian children in Federal schools have shown significant advances in attitudes toward school, and in improved self-image.



#### 1.10-EDUCATIONALLY DEPRIVED CHILDREN - MIGRATORY CHILDREN

- AUTHORIZING STATUTE: 20 U.S.C. 241, Elementary and Secondary Act of 1965, title I, Public Law 89-10, 64 Stat. 1100; as amended by 20 U.S.C. 241b, title I amendments to the Elementary and Secondary Education Act of 1965, Public Law 89-750, 79 Stat. 28.
- ADMINISTRATOR: U. S. Commissioner of Education, U.S. Office of Education, Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Title I of the Elementary and Secondary Education Act, Public Law 89-10, as amended by Public Law 89-750, provides payment to State educational agencies for assistance in educating migratory children of migratory agricultural workers.
- ELIGIBILITY: A State educational agency or a combination of such agencies may apply for a grant for any fiscal year under title I to establish or improve, either directly or through local educational agencies, programs of education for migratory children.
- AVAILABLE ASSISTANCE: Payments are made to State educational agencies for assistance in providing special educational programs for migratory children of migratory agricultural workers. A State education agency which has submitted and had approved an application for any fiscal year shall be entitled to receive a grant for establishing or improving programs for children of migratory workers.
- <u>USE RESTRICTIONS</u>: Funds are to be used for programs which are designed to meet the special educational needs of migratory children, and to coordinate these programs and projects with similar programs and projects in other States.
- APPROPRIATIONS: \$56 million for fiscal year 1971 (estimate).
- OBLIGATIONS: None.
- AVERAGE ASSISTANCE: \$1,012.357, fiscal year 1969; 45 participating out of 47 eligible States.
- ASSISTANCE PREREQUISITES: The State must provide satisfactory assurances that the projects must not be inconsistent with overall State plans; that effective procedures for evaluating at least annually, the effectiveness of the programs; that the State will make annual and other necessary reports.
- <u>POSTGRANT REQUIREMENTS:</u> Constant evaluation is in process by the Division of Compensatory Education, State departments of education, and local education agencies. Periodic fiscal and



project reports which may be necessary to enable the Commissioner to perform his duties are required.

WASHINGTON CONTACT: Director, Division of Compensatory Education, U.S. Office of Education, Washington, D.C. 20202, (202) 962-6711.

LOCAL CONTACT: School administrators should contact the title I migrant coordinator, State educational agency.

APPLICATION DEADLINES: Due to the nature of the program and the mobility of the students, applications may be submitted anytime between July 1 and April 15 of any fiscal year.

APPROVAL/DISAPPROVAL TIME. About 2 weeks.

REWORKING TIME: About 1 week. Program applications are generally subject to continuous discussion from the planning stage on.

RELATED PROGRAMS: Adult and vocational education; teacher corps; migrant health (HSMHA); vocational rehabilitation services - handicapped migratory workers (SRS).

PROCRAM ACCOMPLISHMENTS: Approximately 228,000 migrant children will participate in elementary and secondary school programs during fiscal year 1970.

Following the First National Migrant Education Convention in Denver, 1968, a format and content of an instrument for use in interstate transfer of scholastic and health records was agreed upon by State migrant educators. In June 1969, a contract was signed by the U.S. Commissioner of Education with the State Department of Education of Arkansas to implement and operate a central data depository for the national migrant record transfer system.

Coordination of Federal, State, and local agencies and organizations, including day care centers, health programs, food programs, and other services, has greatly improved the quality and effectiveness of the educational program for migrant children.

State and local educational agencies have strengthened their staffs in the field of migrant education. Some 21 of the 45 eligible States have one or more personnel devoting full time to the migrant education programs under title I.

States generally are investing in extensive preservice and inservice training programs involving not only State and local education personnel, but also colleges and universities.



Eleven States have programs in which educational services will be brought directly to migrant communities by use of mobile vans. Mobile units will be in service this year providing a variety of services.

Food and health services are included in practically all State migrant education programs. Many States are also providing clothing for the children when needed.



### 1.11-HANDICAPPED PRESCHOOL AND SCHOOL PROGRAMS, PART B

- AUTHORIZING STATUTE: Title VI-A, Elementary and Secondary Education Act of 1965, 20 U.S.C. 871-877.
- ADMINISTRATOR: Chief, Preschool and School Programs Section, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program activity aims to assist the States in the provision of special educational and related services for handicapped children at the preschool, elementary, and secondary school levels.
- ELIGIBILITY: The 50 States, District of Columbia, Guam, Commonwealth of Puerto Rico, Trust Territory of the Pacific Islands, Virgin Islands, American Samoa, Department of Defense, and the Department of the Interior Bureau of Indian Affairs are eligible to participate in title VI-A, ESEA, programs and projects.
- AVAILABLE ASSISTANCE: Title VI-A is a nonmatching, State-formula.

  grant program. However, the rate of expenditures for the
  current fiscal year by each State for educational programs for
  handicapped children must be at least equal to or greater than
  the rate in the last fiscal year for which information is available
  (except in unusual circumstances).
- USE RESTRICTIONS: Programs and projects must have child-centered objectives restricted to those handicapped children within the specific categories listed in the statute, i.e., mentally retarded, hard of hearing, deaf, speech impaired, visually handicapped, seriously emotionally disturbed, crippled, or other health impaired. Although private facilities are not eligible to receive direct grants under title VI-A, handicapped children attending nonpublic facilities may participate in a title VI-A project. Funds may not be used for religious worship or instruction. Funds may not be used for children in Statesupported or State-operated institutions for the handicapped. Programs and projects may be conducted only by local or State education agencies. All activities must be approved by the State education agency.
- APPROPRIATIONS: \$35 million for fiscal year 1971 (estimate).
- <u>OBLIGATIONS</u>: Each state's allotment is computed from a formula based or population. Approximately \$500,000 would be available to each state.
- AVERAGE ASSISTANCE: Not applicable.



ASSISTANCE PREREQUISITES: The State plan, which is submitted only once, serves as the basis for the operation of the State's entire title VI-A program. This plan and the submission of an annual description of projected activities are the two basic conditions for payment of title VI-A funds.

POSTGRANT REQUIREMENT: Following the close of each award year, each State is required to submit two copies of an annual report to the U.S. Commissioner of Education. The annual report consists of three parts: (1) Statistical report — on the number and types of children served, number and types of personnel employed and those having received inservice training; (2) evaluation report—at the State level, as well as at the local level, on the effectiveness of the programs and projects in increasing the educational opportunities afforded handicapped children throughout the State; (3) financial report—shows expenditures in various categories as of the end of each award year.

WASHINGTON CONTACT: Chief, Preschool and School Programs Section, Aid to States Branch, Division of Educational Services, Bureau of Education for the Handicapped, Office of Education, U.S. Department of Health, Education, and Welfare, Washington, D.C. 20202, (202) 962-8941.

LOCAL CONTACT: State education agency title VI-A representatives.

<u>APPLICATION DEADLINE</u>: Local and State education agencies may submit applications to the State education agency any time during the grant year (September 1-August 31) that the State education agency allows.

APPROVAL/DISAPPROVAL TIME: Up to the State education agency.

REWORKING TIME: Up to the State education agency.

RELATED PROGRAMS: Title I, ESEA, Financial Assistance to Local Education Agencies for the Education of Children From Low-Income Families; title II, ESEA, School Library Resources, Textbooks, and Other Printed and Published Materials; title III, ESEA, Supplementary Education Centers and Services; Public Law 89-313, amendment to title I, ESEA, Financial Assistance for Education of Children in State-operated or State-supported Institutions for the Handicapped; Vocational Education Act; Early Childhood Education Act; centers and services for deaf-blind children; Operation Headstart (OS); foster grandparents program (SRS); handicapped teacher education; handicapped teacher recruitment and information.

PROGRAM ACCOMPLISHMENTS: (1) Promoted greater awareness of needs;
(2) initiated new services and techniques; (3) reached children never served before; (4) improved cooperation and coordination; (5) stimulated increased non-Federal spending; (6) strengthened



leadership services at all levels. During fiscal year 1968, the latest year available, a total of 53,700 handicapped children received direct services; 185 State personnel were employed to provide leadership services; 4,500 program personnel were employed; 18,000 personnel received inservice training.



## 1.12-CHILD DEVELOPMENT -- TECHNICAL ASSISTANCE (4-C)

AUTHORIZING STATUTE: Economic Opportunity Act of 1964 as amended,

Section II Public Law 91-177; 42 U.S.C. 2781 et seq. delegation of
authorities to the Secretary of Health, Education and Welfare from
the Director of Office of Economic Opportunity, dated June 28, 1969,
effective July 1, 1969.

ADMINISTRATOR: Office of the Secretary, Department of Health, Education, and Welfare.

NATURE OF PROGRAM: Coordination and advocacy for children's programs conducted by Department of Health, Education, and Welfare is the objective of the program. Technical assistance is available in the area of children's services as they relate to health, and programs for handicapped and retarded children, social services to children in their own homes (including protective services, homemaker service, day care, and parent-child counseling), foster care for children (including adoption, institutions for dependent and neglected children, emotionally disturbed children, foster family care), services to unmarried mothers, and community programs for youth development. OCD provides leadership to the Community Coordinated Child Care (42C) program which is an interagency effort to develop mechanisms for coordination of day-care services at the State and local levels. The 4-C Program provides a method for bringing together the resources of all interested child-care programs in a locality.

ELIGIBILITY: States and other public and private organizations in the area of children's services.

AVAILABLE ASSISTANCE: Advisory services and counseling; dissemination of technical information.

USE RESTRICTIONS: See nature of program.

APPROPRIATIONS: Not applicable.

POSTGRANT REQUIREMENTS: Not applicable, no grants given.

WASHINGTON CONTACT: Dr. Edward Zigler, Director, Office of Child Development, Department of Health, Education, and Welfare, Washington, D.C. 20201. (202) 755-7766.

LOCAL CONTACT: Assistant Regional Directors, Office of Child Development,

Department of Health, Education, and Welfare, Regional Office.



## HEALTH, MEDICAL, AND WELFARE SERVICES

- 2.00 Food and Drug--Consumer Education
- 2.01 Model Cities Technical Assistance--Center for Community Planning
- 2.02 Community Immunization Services
- 2.03 Regional Technical Assistance to State and Community Agencies
- 2.04 Housing and Urban Environmental Health
- 2.05 Project Grants for Health Services Development
- 2.07 Migrant Health Grants
- 2.08 Migrant Health Direct Operations--Technical Assistance
- 2.09 Indian Health-Patient Medical Care
- 2.10 Medical Assistance Program
- 2,11 Family Planning Projects
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- 2.14 Maternal and Child Health Services
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- 2.16 Special Projects for Health Care of Children and Youth
- 2.17 Community Action Program
- 2.18 Community Action Program--Family Planning
- 2.19 Community Action Program--Emergency Food and Medical Services
- 2,20 Community Action Program -- Comprehensive Health Services
- 2.21 Aid to Families with Dependent Children
- 2.22 Aid to the Blind
- 2.23 Public Assistance--Demonstration Projects
- 2.24 Social Services to Recipients of Aid to Families with Dependent Children
- 2.25 Child Welfare Services



- 2.26 Social Services to Recipients of Aid to the Blind
- 2.27 Emergency Welfare Assistance



#### 2.00-FOOD AND DRUG-CONSUMER EDUCATION

<u>AUTHORIZING STATUTE:</u> 21 U.S.C. 301, 15 USC 1261; 21 U.S.C. 41; 21 U.S.C. 61 U.S.C. 140 15 U.S.C. 401 (This is a proposed program).

<u>ADMINISTRATOR</u>: Public Health Service, Consumer Protection and Environmental Health Service, Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: The Federal Food, Drug, and Cosmetic Act, enforced by FDA, is basically a consumer protection law. In some areas, the law operates to do what consumers cannot do for themselves, as, for example, testing of food additives for In other areas, consumers must make their own choices. Wise choices are possible only when the consumer has a background of information. Through its consumer information program, FDA seeks to help the consumer to buy foods wisely; use drugs safely and effectively; protect children against poisonings in the homes; choose health products and services wisely; steer clear of frauds and cheats; think critically about claims in labeling and advertising; evaluate information about foods, drugs, consmetics, food additives and pesticides; participate in governmental processes such as the setting of food standards; assist others in getting the most benefit from consumer protection laws enacted by Congress.

ELIGIBILITY: Consumer education service of the FDA are available to all communities with no requirements for eligibility. FDA works with educators and professional leaders to help them carry consumer education to the individual consumer level.

AVAILABLE ASSISTANCE: Media and information through 20 consumer specialists, workshops in 17 district including Cities, Atlanta Baltimore, Cincinnati, New York City, Buffalo.

APPROPRIATIONS: \$300 thousand.

OBLIGATIONS: All obligated for personnel and materials in the program.

ASSISTANCE PREREQUISITIES: Contact specialist in nearest city.

POSTGRANT REQUIREMENTS: NONE.

WASHINGTON CONTACT: Office of the Assistant Commissioner for Education and Information Food and Drug Administration, Washington, D. C. 20204.

LOCAL CONTACT: FDA District Office.



# 22C1-MODEL CITIES TECHNICAL ASSISTANCE-CENTER FOF. COMMUNITY PLANNING

AUTHORIZING STATUTE: Urban action memorandum of April 1968, issued by the Secretary of the Department of Health, Education, and Welfare.

<u>ADMINISTRATOR</u>: Director, Center for Community Planning, Office of the Secretary, U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: This program provides for the delivery of information and technical assistance to cities and States as they plan and implement programs to improve living standards, healths, education, and social rehabilitation opportunities for people living in the Nation's cities. The Center for Community Planning is responsible for coordination of the Department's response to such urban assistance needs. The primary delivery of technical assistance is through the Department of Health, Education, and Welfare's regional directors with support of the Department's agencies. The primary effort at present is in connection with the model cities and neighborhood service programs, operated by the Department of Housing and Urban Development.

ELIGIBILITY: States, cities, counties, regional councils, and other bodies and organizations interested in developing and implementing plans to attack the problems of people in the Nation's urban areas may apply to the DHEW regional offices. Eligibility is not limited to designated model cities.

AVAILABLE ASSISTANCE: Technical assistance to States, cities, counties, and other bodies and organizations interested in developing and implementing comprehensive plans to attack problems present in the Nation's urban and rural areas.

<u>USE RESTRICTIONS</u>: Not applicable.

APPROPRIATIONS: \$752 thousand for fiscal year 1971 (estimate).

OBLIGATIONS: All obligated to operate the technical assistance program office.

AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PREREQUISITES: See "Eligibility."

POSTGRANT REQUIREMENTS: Not applicable.



WASHINGTON CONTACT: Director, Center for Community Planning, Office of the Secretary, Department of Health, Education, and Welfare, Washington, D.C. 20201, 202-962-8975

LOCAL CONTACT: Department of Health, Education, and Welfare regional offices.

<u>APPLICATION DEADLINES</u>: Model cities technical assistance is offered throughout the year to appropriate urban planners. There is no deadline.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Model cities; neighborhood service program (Department of Housing and Urban Development).

PROGRAM ACCOMPLISHMENTS: Since the Center opened in 1968, its staff has performed continuous liaison with HUD's Model Cities Administration and has coordinated HEW resources so that the Department's assistance programs are effectively integrated into model cities and other urban planning projects. Specifically, the Center has accomplished the following:

Analyzed departmental orientation and inservice training programs and subsequent initiation of inner city training programs designed to orient decisionmaking HEW executives on the impact of HEW programs on urban poverty neighborhoods; and the failures and successes of such programs, as a basis for promoting more realistic program decisions.

Provided for the flow of essential research, program, grant, and other information necessary to enable HEW's technical assistance network to function effectively in the field.

Provided for the training of technical assistance coordinators, regional resource committees, and liaison representatives in the model cities and also for appropriate training, concerning HEW programs, for urban and State personnel.

Providing ongoing liaison to the Office of Economic Opportunity, to the Department of Labor and other Federal departments attempting to meet the needs of rural areas.

Coordinated NEW research and demonstration projects to increase the effectiveness of community social services.



Developed a comprehensive program model for the use of planners in urban and rural areas to improve the lives of their citizens where health, education, and welfare are concerned.



## 2.02- COMMUNITY IMMUNIZATION SERVICES

AUTHORIZING STATUTE. Section 301, 311, 317 (now expired), and 361 of FHS Act, as amended, 42 U.S.C. 398d.

<u>ADMINISTRATOR</u>: Director, National Communicable Disease Center, Health Services and Mental Health Administration, U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: Provides leadership and coordination of a joint national, State, and local effort to improve the immunization status of the population, especially children.

ELIGIBILITY: State and local health departments.

AVAILABLE ASSISTANCE: Consultation, technical assistance, surveillance, epidemic aid, and coordination of nationwide immunization programs.

<u>USE RESTRICTIONS:</u> Requests for assistance made by or through State health officer.

<u>APPROPRIATIONS</u>: \$25 million for german measles only for fiscal year 1971.

OBLIGATIONS: All obligated for 1971.

AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PREREQUISITES: Not applicable.

POSTGRANT REQUIREMENTS: Not applicable.

WASHINGTON CONTACT: Associate Director, National Communicable
Disease Center, room 213, Woodmont Building, Woodmont and Rugby
Streets, Bethesda, Md. 20014, (301)

LOCAL CONTACT: The appropriate DHEW regional office, attention: Chief, CDC Services.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAFPROVAL TIME: Not applicable

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Community immunization grants (expired) and German measles projects grants under section 314(e) of the Partnership for Health.



PROGRAM ACCOMPLISHMENTS: Working with State and local health departments intensive immunization programs have resulted in improved immunization units against polio, diphtheria, pertussis, and tetanus. The national measles eradication campaign resulted in the immunization of more than 33 million children and prevented more than 25,000 cases of measles encephalitis, and more than 10,000 cases of mental retardation. These efforts were funded under the now expired Vaccination Assistance Act (sec. 317).



# 2.03-REGIONAL TECHNICAL ASSISTANCE TO STATE AND COMMUNITY AGENCIES

AUTHORIZING STATUTE: 42 U.S.C. 242a, Public Health Service Act, sections 303, 311.

ADMINISTRATOR: Director, Office of Program Liaison, National Institute of Mental Health, Health Services and Mental Health Administration, Public Health Service, Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: This is an administrative program to enable the National Institute of Mental Health to best serve State and local public and private agencies, as well as individual citizens. Nine mental health regional staffs provide professional and technical consultation on the programs of the NIMH, and serve in a liaison and advisory capacity between divisions of the NIMH and State and local mental health agencies and professionals interested in NIMH support. They provide professional and technical consultation to comprehensive mental health programs. The regional staffs also participate in the review of State mental health programs and plans.

ELIGIBILITY: All public and private agencies, and individual citizens, are eligible for consultation and assistance.

AVAILABLE ASSISTANCE: No grant funds are available under this program. Other assistance is provided as stated above.

USE RESTRICTIONS: Not applicable.

AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PRERECUISITES: Not applicable.

POSTGRANT REQUIREMENTS: Not applicable.

WASHINGTON CONTACT: Director, Office of Program Liaison, National Institute of Mental Health, Health Services and Mental Health Administration, 5454 Wisconsin Avenue, Chevy Chase, Md. 20015 (301) 496-0206.

LOCAL CONTACT: Associate Regional Health Director for Mental Health, Department of Health, Education, and Welfare regional office for appropriate area.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.



REWORKING TIME: Not applicable.

RELATED PROGRAMS: Not applicable.

PROGRAM ACCOMPLISHMENTS: Not applicable.



#### 2.04-HOUSING AND URBAN ENVIRONMENTAL HEALTH

- AUTHORIZING STATUTE: 42 U.S.C. 241, Public Health Service Act, as amended, Title III, Sec. 301, Public Law No. 78-410, 58 Stat. 682; 42 U.S.C. 246, Public Health Service Act, as amended, Title III, Sec. 314 Public Law No. 89-749, 80 Stat. 1182.
- ADMINISTRATOR: Public Health Service, Consumer Protection and Environmental Health Service, Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program concerns man's health and well-being in the living environment of the community, ranging from housing and recreation, sanitation, nonoccupational injury control, and rat control, to Arctic health and eradication of the Aedes Aegypti mosquito. Financial assistance is available through: research grants to encourage and stimulate improved approaches to health problems in the urban environment; and training and fellowship grants for academic graduate programs. Technical assistance is provided by: developing and disseminating criteria, standards, model ordinances, codes, and legislation; conducting or participating in research, investigations, and demonstrations; collecting and maintaining statistical information on environmental health hazards.

  Short-term training courses and special seminars covering the activities are conducted in Cincinnati, Ohio, and at various field locations.
- ELIGIBILITY: Academic, public, and other nonprofit institutions may apply for grants and technical assistance. Training courses are provided for professional personnel who are involved in the various aspects of housing and urban environmental health, Requests for technical assistance, short-course training information, or grant application kits should be submitted to the address shown below.
- AVAILABLE ASSISTANCE: Research grants, technical assistance, and fellowships.
- <u>USE RESTRICTIONS</u>: All uses of funds must be specified in proposal, and approved.
- <u>PAST APPROPRIATIONS</u>: \$3 million research, \$10 million operations, \$600,000 training.
- OBLIGATIONS INCURRED: All but a small part of the 1971 funds are committed to ongoing projects.
- ASSISTANCE PREREQUISITES: Research design and personnel must be acceptable to the grant committee.
- POSTGRANT REQUIREMENTS: Monitoring, and final report.



WASHINGTON CONTACT: Housing and Urban Environmental Health, Environmental Control Administration, Twinbrook Building, 23720 Twinbrook Parkway, Rockville, Maryland 20852.

LOCAL CONTACT: None.

<u>APPLICATION DEADLINES:</u> Two to three months before committee meetings in April, June, and September.

APPROVAL/DISAPPROVAL TIME: 2 to 3 months.

REWORKING TIME: None.

PROGRAM ACCOMPLISHMENTS: See NATURE OF PROGRAM.



#### 2.05-PROJECT GRANIS FOR HEALTH SERVICES DEVELOPMENT

- AUTHORIZING STATUTE: Section 314 (e) of the Public Health Service Act, as amended by Public Law 89-740 and Public Law 90-174 (U.S.C.246).
- <u>ADMINISTRATOR</u>: Director, Community Health Service, Health Services and Mental Health Administration, Public Health Service, Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Grants for (1) providing services (including related training) to meet health needs of limited geographic scope of of specialized regional of national significance, or (2) developing and supporting for an initial period new programs of health service (including related training).
- <u>ELIGIBILITY</u>: Any public or nonprofit private agency, instituion, or organization.
- AVAILABLE ASSISTANCE: Joint and coordinated Federal funding and contibutions from communities; use of existing resources.
- USE RESTRICTIONS: Project grants must involve one or more of the following items; (1) The measures to be employed have not been applied beyond a successful developmental state and demonstration; (2) the measures have not been applied in the location identified by the application; or (3) the measures will be extended to serve a population not being served. Services must be provided in accordance with plans of the State comprehensive health planning agency.

APPROPRIATIONS: \$109.5 million fiscal year 1971

OBLIGATIONS: \$109.5 million

AVERAGE ASSISTANCE: A wide variety of projects ranging from \$2,000.00 to \$1.4 million are supported under this program, with an average assistance of \$15,000.

ASSISTANCE PREREQUISITES: See "Eligibility" above.

<u>POSTGRANT REQUIREMENTS</u>: Annual progress reports, progress in meeting stated objectives.

WASHINGTON CONTACT: Director, Division of Health Care Services,
Community Health Service, Health Services and Mental Health
Administration, Department of Health, Education, and Welfare 800
North Quincy Street, Arlington, Va. 22203, (703) 557-6321.

<u>LOCAL CONTACT</u>: Department of Health, Education, and Welfare regional offices, regional health directors, community health service regional program directors.



APPLICATION DEADLINES: May 1, August 1, November 1, and February 1.

APPROVAL/DISAPPROVAL TIME: 4 months.

REWORKING TIME: Depending on the complexity of the health services delivery project, from 1 to 4 months. Continuous technical assistance is now being incorporated in the program from the design stage to end of Public Health support (5 years) for an operational health services project.

RELATED PROGRAMS: This program has as immediate and direct relation—ship to the following: The partnership for health program including: Section 314 (a) grants to States for comprehensive health planning; section 314 (b) grants for comprehensive areawide health planning; section 314 (c) grants for training studies, and demonstrations in health planning; section 314 (d) grants to States for public health services. Also standard setting and resource development, Appalachian demonstration health projects, grants for family health service clinics for domestic agricultural migratory workers, and migrant health direct operation — technical assistance. In addition, all other health and health related programs bear upon this program.

FROGRAM ACCOMPLISHMENTS: Approximately 1,200 grants are estimated for disbursement for fiscal year 1969. The grants have: (1) assisted in the maintainance of public health services, such as venereal disease control and tuberculosis treatment; (2) supported \$15 million of rat control projects; (3) funded 18 comprehensive health services projects; and (4) enabled communities to plan for and design means of delivering comprehensive health services in a community -- relevant, accessible, and acceptable manner.



#### 2.07-MIGRANT HEALTH GRANTS

AUTHORIZINGS STATUTE: Publice Health Service Act, as amended, to add section 310 (42 U.S.C. 242h).

ADMINISTRATOR: Director, Community Health Service, Health Services and Mental Health Administration, Publice Health Service, Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: Projects assisted under this activity provide health services to migrant agricultural laborers and their families. The purpose of this program is to raise the level of migrants' health to that of the general population. The grants may be used to help support a wide range of health services: medical, nursing, dental health education, hospitalization, and sanitation services. The major emphasis of the program is to assure that migrants have access to ongoing community health services provided in ways adapted to their situation and need.

ELIGIBILITY: State and local health departments and other agencies; nonprofit private agencies, institutions or organizations; are eligible to apply for grants under this program.

AVAILABLE ASSISTANCE: Assistance is in the form of a financial grant. Funds are available upon approval of a grant application by the Secretary of his designee, after review and recommendation by a national review committee.

APPROPRIATIONS: \$14 million fiscal year 1971

OBLIGATION: \$14 million

AVERAGE ASSISTANCE: \$97,000

ASSISTANCE PREREQUISITES: Applicants must be State of local government agencies or other nonprofit groups, including medical schools and hospitals which have complied with Federal regulations pertaining to agencies which may receive Federal moneys.

<u>POSTGRANT REQUIREMENTS</u>: Required evaluation procedures on the part of the grantee and grantor in addition to the submitting by the grantee of a terminal progress report and terminal expenditures report.

WASHINGTON CONTACT: Director, Division of Health Care Services,
Community Health Service, Health Services and Mental Health Administration, Public Health Service, Department of Health, Education, and Welfare, 800 North Quincy Street, Arlington, Va. 22203 (703) 557-6321.

LOCAL CONTACT: Department of Health, Education, and Welfare regional offices and State health departments.



<u>APPLICATION DEADLINES</u>: Applications may be submitted at any time during the year. There are, however, three review cycles for the consideration of migrant health applications. The National Review Committee meets in February, May, and October. For consideration at a particular meeting applications should be submitted as least 1 month prior to the meeting date.

APPROVAL/DISAPPROVAL TIME: About a month.

REWORKING TIME: Judget negotiations take anywhere from 1 week to 3 weeks on approved projects. All applications are subject to change and modifications from the initial stage of development on.

RELATED PROGRAMS: This program has an immediate and direct relationship to the following: Migrant health direct operations—technical assistance. The partnership for health program, Public Law 89-749; including section 314 (a) grants to States for comprehensive health planning; section 314 (b) grants for comprehensive areawide health planning; section 314 (c) grants for training studies, and demonstrations in health planning; section 314 (d) grants to States for public health services; and section 314 (e) health services development project grants. Also standard setting and resource development, and Appalachian demonstration health projects. In addition all other health and health related programs bear upon this program.

ACCOMPLISHMENTS: As of June, 1969, 118 projects in 36 States and Puerto Rico were receiving grant assistance. More than 200 migrant family health service centers were in operation. During 1968, approximately 300,000 migrants lived and worked for at least brief periods in counties which provide ready access to personal health care. The number of patient visits was 190,000 for medical care and 19,999 for dental care. In addition 3,400 migrants were provided hospital care. In 1971, 143 projects will serve 420,000 people.



# 2.08-MIGRANT HEALTH DIRECT OPERATIONS--TECHNICAL ASSISTANCE

AUTHORIZING STATUTE: Public Health Service Act, as amended, to add section 310 (42 U.S.C. 242h).

ADMINISTRATOR: Director, Community Health Service, Health Services and Mental Health Administration, Public Health Service, Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: The program provides technical consultation and assistance to migrate health projects and to other organizations which can contribute to the improvement of health services for migrants.

ELIGIBILITY: State or local public agencies and nonprofit private organizations as well as interested individuals. This would include such groups as health departments, health and welfare councils, medical societies, growers'associations, educational institutions, and religious and other community groups.

AVAILABLE ASSISTANCE: Technical assistance and consultation is available to migrant nealth projects and to other organizations which can contribute to the improvement of health services for migrants.

USE RESTRICTIONS: (see available assistance).

APPROPRIATIONS: Fiscal year 1971, \$1 million.

OBLIGATION: No grant funds involved.

AVERAGE ASSISTANCE: No grant funds involved.

ASSISTANCE PREREQUISITES: (see available assistance).

POSTGRANT REQUIREMENTS: No grant funds are involved.

WASHINGTON CONTACT: Director, Division of Health Care Services, Community Health Service, Health Services and Mental Health Administration, Public Health Service, Department of Health, Education, and Welfare, 800 North Quincy Street, Arlington, Va. 22203 (703) 557-6321.

LOCAL CONTACT: Department of Health, Education, and Welfare regional offices, and state health departments

APPLICATION DEADLINES: No application involved.

APPROVAL/DISAPPROVAL TIME: No application involved.

REWORKING TIME: No application involved.



RELATED PROGRAMS: This program has an immediate and direct relation—ship to the following: Grants for family health service clinics for domestic agricultural migratory workers. The partnership for health program, Public Law 89-749: Section 314 (a) grants to States for comprehensive health planning; section 3.4 (b) grants for comprehensive areawide health planning; section 3.4 (c) grants for training, studies, and demonstrations in health planning; section 314 (d) grants to States for public health services; and section 314 (e) health services development project grants. Also standard setting and resource development, and Appalachia demonstration health projects. In addition, all other health and related programs bear upon this program.

PROGRAM ACCOMPLISHMENTS: Regional and central office staff provided consultation as needed by the 118 operating projects, consulted with other interested groups on request, assisted in implementing an inter-area referral system, sponsored a midcontinent migrant health conference, and prepared a film on the migrant health program.



#### 2.09-INDIAN HEALTH--PATIENT MEDICAL CARE

- AUTHORIZING STATUTE: Public Law 83-568 transferring responsibility for health services of Indians from Bureau of Indian Affairs (Interior) to Public Health Service, 42 U.S.C. 2001-2004a.
- <u>ADMINISTRATOR</u>: Director, Indian Health Service, Health Services and Mental Health Administration, Public Health Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: To improve health of approximately 410,000 Indians living on reservations, and of Natives of Alaska by providing a full range of curative, preventive, and rehabilitative services that include public health nursing, maternal and child health, dental and nutrition services, psychiatric care, and health education.
- ELIGIBILTY: Generally, Indians qualify who live on or near the reservation and recognized as members of a tribe with whom the Federal Government has a special relation, or are recognized as Indians by the communities in which they live. For some services the law specifies the degree of Indian blood to qualify.
- AVAILABLE ASSISTANCE: Patient medical care is provided through a system of 51 hospitals, 70 health centers, and about 300 health stations, and through contracts with State and local governments and private physicians and dentists.
- <u>USE RESTRICTIONS</u>: Funds are spent to provide health services for eligible Indians and Alaska Natives.

APPROPRIATIONS: \$83.6 million fiscal year 1971.

OBLIGATIONS: \$83.6 million.

AVERAGE ASSISTANCE: Per capita expenditure \$169 (fiscal year 1969).

ASSISTANCE PREREQUISITES: Indian people must meet eligibility requirements and be in need of medical services.

POSTGRANT REQUIREMENTS: Not applicable

WASHINGTON CONTACT: Director, Indian Health Service, U.S. Public Health Service 7915 Eastern Avenue, Silver Spring, Md. 20910 (301) 495-5201.

LOCAL CONTACT: Indian health area directors in the wine Indian
Health Service area offices: Aberdeen, S.Dak., Albuquerque, N. Mex.,
Anchorage, Alaska, Billings, Mont., Oklahoma City, Okla., Phoenix,
Ariz., Portland, Oreg., Tucson, Ariz, and Window Rock. Ariz.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.



REWORKING TIME: Not applicable.

RELATED PROGRAMS: Not applicable.

PROGRAM ACCOMPLISHMENTS: Health level of these Americans has improved substantially. From 1955-67 infant death rates declined from 62.5 to 32.2 per 1.000 live births; tuberculosis death rates down 70 percent; gastroenteritic deaths down 60 percent; and death rates from influenza and pneumonia down 37 percent. Life expectancy gap is narrowing and is now 64 compared with 70.5 for general population; in 1950 it was 60 compared with 68.2 years. Admissions to Indian hospitals has been between 1956-68 increased from 57,975 to 92,186.



#### 2.10-MEDICAL ASSISTANCE PROGRAM

- AUTHORIZING STATUTE: Title XIX of the Social Security Act, 42 U.S.C. 1396 et seg.
- <u>ADMINISTRATOR</u>: Commissioner, Medical Services Administration, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Medical assistance is a grant-in-aid program to groups of needy people, and to provide rehabilitation and other services to help such persons attain or retain capability for independence or self-care. The program is popularly known as medicaid.
- FLIGIBILITY: Persons eligible for medical assistance include needy families with dependent children, and aged, blind, or permanently and totally disabled individuals who are currently receiving federally aided categorical public assistance, or who would be eligible to receive such assistance except that they are able to meet their own maintenance needs. A State must include all persons receiving or eligible to receive money payments under the public assistance titles of the Social Security Act (the indigent). It may include those who would be eligible to receive such assistance except that they are able to meet their own maintenance needs (the medically indigent), and all children under 21 who need medical care and cannot afford it. All 54 jurisdictions in the United States can participate in the medical assistance program.
- AVAILABLE ASSISTANCE: Federal financial participation in a State's expenditures for payments to medical vendors varies from 50 to 83 percent according to the per capita income of the State. Staff costs (skilled professional medical personnel and supporting staff) and costs of training are subject to the 75 percent rate of Federal financial participation; other administrative costs, 50 percent. The State medical assistance agency makes direct payments to providers of medical care for part or all the cost of such care made available to eligible individuals.
- <u>USE RESTRICTIONS</u>: Medical assistance funds can be used only to pay for medical and health-related services included within a State's plan.
- APPROPRIATIONS: \$3.1 billion 1971 estimate, \$2.6 billion for 1970. (estimate)
- OBLIGATIONS: Money is paid to States through formula grants based on quarterly requests.



AVERAGE ASSISTANCE: Average medical care payments for the month of September 1968 were \$76 for all recipients; for persons over 65, \$99; for the blind, \$72; for the permanently and totally disabled, \$124; and for persons in families with dependent children, \$45.

ASSISTANCE PREREQUISITES: To participate in the medical assistance program, a State plan for the indigent must include five required services: inpatient hospital, outpatient hospital, skilled nursing home, physicians, and laboratory and X-ray services; it may include any of 10 designated optional services. For the medically indigent, a State plan must include either the five required services, or at least seven out of the first 14 required or optional services. It may, in addition, provide other of the designated optional services.

POSTGRANT REQUIREMENTS: A continuing evaluation process is in effect. In addition to central office review of State programs every 2 years, special studies of State agency administrative practice and HEW Audit Agency Review; utilization reviews, and tests of reasonableness of payments are required.

<u>WASHINGTON CONTACT</u>: Commissioner, Medical Services Administration, Social and Rehabilitation Service, Washington, D.C. 20201 (202) 963-4958.

LOCAL CONTACT: State public welfare or public health agency responsible for administering the medical assistance program.

<u>APPLICATION DEADLINES</u>: None (see related programs below).

APTROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Vendor payments for medical care included in State programs of medical assistance for the aged, old age assistance, aid to families with dependent children, aid to the blind, and aid to the permanently and totally disabled - Federal participation in such payments will be discontinued after January 1, 1970, when the Federal Government will share only in the cost of vendor payments for medical care under a medical assistance program.

PROGRAM ACCOMPLISHMENTS: As of June 1969, 43 of the 54 jurisdictions administer medical assistance programs under title XIX. More medical care services are being provided to the needy than ever before. In 1968, about 8.6 million persons were served by the medical assistance program; 5.3 million were indigent, and 3.3 million were medically indigent. Parents and children comprised 4.5 million; the aged, 3 million; and other groups 1.1 million.



#### 2.11-FAMILY PLANNING PROJECTS

- <u>AUTHORIZING STATUTE</u>: 42 U.S.C. 708, Social Security Act V, as amended by the Child Health Act of 1967. Public Law 90-248, section 508-81 Stat 926.
- ADMINSTRATOR: Maternal & Child Health, Health Services and Mental Health Administration Department of Health, Education and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program is designed to help reduce maternal and infant mortality and morbidity by providing family planning services for women from low-income families.
- <u>ELIGIBILITY</u>: State health agencies, local health agencies, other public or nonprofit private agencies, institutions, or organizations may make application for special project grants.
- AVAILABLE ASSISTANCE: Special project grants may cover up to 75 percent of the cost of providing family planning services to women in low-income areas. Services provided include physical examinations, provision of a variety of contraceptive devices, and medical treatment where necessary.
- <u>USE RESTRICTIONS</u>: Grant funds may not be used to pay for services unrelated to those described in the project application or to match funds provided under other Federal programs.
- APPROPRIATIONS: \$29.5 million estimate for fiscal year 1971.

OBLIGATIONS: NONE.

- AVERAGE ASSISTANCE: The average grant is \$150,000 based upon an estimated 80 projects which will be approved in fiscal year 1969.
- ASSISTANCE PREREQUISITES: Agencies applying for grants must be prepared to offer a broad range of family planning services to all low-income women in the proposed project area. They must submit an application which describes the scope and methods of project operations and shows the source of required matching funds.
- WASHINGTON CONTACT: Director, Division of Health Services, Office of Child Development, H.E.W., Washington, D.C. 20201 (202) 963-3381.
- LOCAL CONTACT: Prospective grantees should communicate directly with the Federal Government or with their State health departments.

APPLICATION DEADLINES: NONE



- APPROVAL/DISAPPROVAL TIME: About a month if the proposal is in good order.
- REWORKING TIME: No standard time for reworking unacceptable applications. Depends upon the problems involved and the schedule of the applicant.
- RELATED PROGRAMS: Maternal and Child health services, special project grants for maternity and infant care.
- PROGRAM ACCOMPLISHMENTS: This is a new program which has only been funded in the last half of fiscal year 1969. No data on program accomplishments is available at this time.



#### 2.12-INTENSIVE INFANT CARE PROJECTS

- AUTHORIZING STATUTE: Social Security Act, as amended, Title V, Section 508 (a) (2); Public Law 90-248; 42 U.S.C. 708.
- ADMINISTRATOR: Health Services and Mental Health Administration, Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The objective of this program is to provide necessary health care to infants during their first year of life, who have any condition or are in circumstances which increase the hazards to their health and who are determined will not receive such necessary health care because they are from a low-income family or for other reasons beyond control.
- ELIGIBILITY: These grants are available to the State health agencies or, with the consent of such agencies, to health agencies of any political subdivision of the State, and to any other public or nonprofit agency, institution, or organization. Infants who have any conditions or are in circumstances which increase the hazards to their health and will not otherwise receive such necessary health care because they are from a low-income family, or for other uncontrolable reasons.
- AVAILABLE ASSISTANCE: Project grants.
- USE RESTRICTIONS: Grants may be used for the support of hospital intensive care units for high-risk newborn infants.
- APPROPRIATIONS: Approximately \$500,000 fiscal year 1971.
- ASSISTANCE PREREQUISITE: Federal funds are granted on the basis of project applications and may not exceed 75 percent of the cost of the project. The 25 percent non-Federal participation may be derived from State and local funds and under certain circumstances other public funds legally available to the grantee to meet the cost of the project.
- PROSTGRANT REQUIREMENTS: Quarterly expenditure reports, quarterly statistical reports, and annual progress reports.
- WASHINGTON CONTACT: Louis Spekter, M.D., Acting Director, Division of Health Services, Maternal and Child Health Service, Health Services and Mental Health Administration, Department of Health, Education, and Welfare, Rockville, Md. 20852, Telephone: (301) 443-2280.
- LOCAL CONTACT: HEW regional offices (see the appendix for a list of the addresses).
- APPLICATION DEADLINES: Applicants must first contact the Health Services and Mental Health Administration Regional Health Director to make their interest known. A formal proposal for a project grant will be made on a project application form. Each project application must be accompanied



by a written project proposal and by a completed project budget. Completed applications, budgets, and project proposals are to be submitted to the Regional Health Director. No specific deadline.

APPROVAL/DISAPPROVAL TIME: 90 to 270 days from the time discussion begins.

 $\frac{\text{REWORKING TIME:}}{\text{with regional office staff.}} \quad \text{Applicants may rework applications through consultations}$ 



#### 2.13-MATERNITY AND INFANT CARE PROJECTS

- AUTHORIZING STATUE: 42 U.S.C. 708, Social Security Act, title V, as amended by the Child Health Act of 1967, Public Law 90-248, section 508, 81 Stat. 926.
- ADMINISTRATOR: Division of Maternal and Child Health, Health Services and Mental Health Administration, Department of Health, Education and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program is designed to help reduce maternal and infant mortality and morbidity and mental retardation by providing prenatal, delivery, and postnatal care to mothers from low-income families, and health care to their infants.
- <u>ELIGIBILITY</u>: State health agencies, local health agencies, other public or nonprofit private agencies, institutions, or organizations may make application for special project grants.
- AVAILABLE ASSISTANCE: Special project grants may cover up to 75 percent of the cost of providing maternity services to women in low-income areas. Grantees are required to provide no less than 25 percent of the cost of the project.
- <u>USE RESTRICTIONS</u>: Grant funds may not be used to pay for services unrelated to those described in the project application or to match funds provided under other Federal programs.
- APPROPRIATIONS: \$36.6 million fiscal year 1971 (estimate) for Maternity and Infant Care Projects and Special Projects for Children and Youth.
- OBLIGATIONS: NONE,.
- <u>AVERAGE ASSISTANCE</u>: The average grant in fiscal year 1369 is \$666,666.
- ASSISTANCE PREREQUISITES: Agencies applying for grant must be prepared to offer a broad range of maternity services to all low-income women in the proposed project area. They must submit an application which describes the scope and methods of project operations and shows the source of required matching funds.
- POSTGRANT REQUIREMENTS: Program and expenditure reports are submitted throughout the year to facilitate program evaluation.



WASHINGTON CONTACT: Director, Division of Maternal and Child Health, Health Services and Mental Health Administration, Department of Health, Education, and Welfare

LOCAL CONTACT: Prospective grantees should communicate directly with the Federal Government or with their State helth departments.

APPLICATION DEADLINES: NONE.

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APPROVAL/DISAPPROVAL TIME: About a month if the proposal is in good order.

<u>REWORKING TIME</u>: No standard time for reworking unacceptable applications. Depends upon the problems involved and the schedule of the applicant.

RELATED PROGRAMS: Maternal and child health services, special project grants for family planning services.

PROGRAM ACCOMPLISHMENTS: Since this program began in April 1964, the projects have admitted 361,000 women for maternity care, 73,000 infants for clinical services, and 141,000 women for family planning services. Sixteen of these projects were in cities with a population of 500,000 or more; 16 were serving cities of 100,000 to 300,000 population; and 21 were located in smaller cities and rural areas. These projects are reaching women in low-income areas many of whom previously have had little or no prenatal care. By setting a standard of high quality medical care and following the principle of individual attention to each patient's needs, the projects are reaching increasing numbers of women who previously had gone without medical care rather than endure long waits in impersonal clinics for medical examinations which are often only cursory.



## 2.14- MATERNAL AND CHILD HEALTH SERVICES

- <u>AUTHORIZING STATUS</u>: 42 U.S.C. 703 Social Security Act, title V, as amended by the Child Health Act of 1967. Public Law 90-248, section 301, 81 Stat. 921.
- <u>ADMINISTRATOR:</u> Maternal and Child Health Division, Health Services and Mental Health Administration, Department of Health, Education and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program activity aims to extend and improve (especially in rural areas and in areas suffering from severe economic distress) services for reducing infant mortality and otherwise promoting the health of mothers and children by providing grants-in-aid to State agencies.
- ELIGIBILITY: State health agencies are the only organizations eligible for grants-in-aid. All States participate in the program.
- AVAILABLE ASSISTANCE: Funds appropriated for the MCH program are allotted to States on the basis of a formula which considers the number of live births, per capita income, and rurality. State health departments may use funds for all phases of their basic public health programs affecting mothers and children.
- <u>USE RESTRICTIONS</u>: Funds may not be used to support programs unrelated to the health needs of mothers and children and may not be used to match other Federal grants.

APPROPRIATIONS: \$60 million (estimate) fiscal year 1971.

OBLIGATIONS: NONE.

AVERAGE ASSISTANCE: Not applicable.

- ASSISTANCE PREREQUISITES: Applicant agencies must be the State health agency and must have an organizational structure adequate to carry out the purposes of the grant. A State plan must be submitted annually meeting the requirements established by the Social Security Act.
- <u>POSTGRANT REQUIREMENTS</u>: States agencies must submit expenditure and activity reports and other reports as requested in the interest of ongoing program evaluation.
- WASHINGTON CONTACT: Maternal and Child Health Division, Health Services and Mental Health Administration, Department of Health, Education, and Welfare, Washington, D. C. 20201, (202) 755-1000.
- LOCAL CONTACT: Prospective grantees should communicate directly with the Federal Government or with their State health departments.



<u>APPLICATION DEADLINES</u>: State plans must be submitted by June 1 for support in the following fiscal year. Since all States participate, there is no question of additional applications being submitted.

APPROVAL/DISAPPROVAL TIME: About a month if the plan is in good order.

REWORKING TIME: Negotiations on plan items may delay approval in some cases, but not in excess of a few weeks.

RELATED PROGRAMS: Crippled children's services, maternal and child health training, special projects for maternity and infant care, special projects for health care of school and preschool children, special Projects for family planning services.

## PROGRAM ACCOMPLISHMENTS:

Each year, maternal and child health services provided by State and local health departments reach hundreds of thousands of mothers and millions of children. In many instances, the only health care received is through these programs. During fiscal year 1967, 366,400 mothers received prenatal and postpartum care in maternity clinics. About 89,000 expectant mothers received dental care.

About 1,631,900 children (of whom 603,700 were under 1 year of age) were seen in well-child conferences. Over 1,711,700 schoolchildren were examined by physicians in school health programs and, where necessary, referred for further treatment. Over 8,986,000 schoolchildren were screened for visual defects; 5,457,500 for hearing defects, and 2,549,100 for dental defects. Some 2,321,000 children received immunization for smallpox; 2,364,100 for whooping cough; 4,350,400 for diphtheria; and 4,550,600 for tetanus.

Public health nurses, working in the homes and elsewhere in the community, served 480,500 mothers. In addition to the nursing services offered in individual conferences and at schools, nursing care was provided to almost 3 million children.

In 1968, 160 diagnostic clinics for mental retardation were supported through the MCH program. These clinics served 47,000 children. The services provided include diagnosis, evaluation of child's capacity for growth, the development of a treatment and management plan, interpretation of findings to parents, and followup care and supervision.



#### 2.15-CRIPPLED CHILDREN'S SERVICES

- AUTHORIZING STATUTE: 42 U.S.C. 703, Social Security Act, title V, as amended by the Child Health Act of 1967, Public Law 90-248, section 301, 81 Stat. 921.
- ADMINISTRATOR: Chief, Children's Bureau, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program activity provides for extension and improvement of services to crippled children and children suffering from conditions that lead to crippling. The services include locating such children and providing medical, surgical, corrective, and other services and care, and facilities for diagnosis, hespitalization, and aftercare.
- ELIGIBILITY: State health agencies and other agencies which administered CC programs prior to July 1, 1967, may receive grants-in-aid. Grants for CC service program are restricted to a single agency in each State.
- <u>AVAILABLE ASSISTANCE</u>: Funds appropriated for the CC program are allotted to States on the basis of a formula which considers the child population, per capita income, and rurality.
- <u>USE RESTRICTIONS</u>: Funds may not be used to support programs unrelated to crippled children's services and may not be used to match other Federal grants.

APPROPRIATIONS: \$58.6 million (estimate) for fiscal year 1971.

OBLIGATIONS: None.

AVERAGE ASSISTANCE: Not applicable.

- ASSISTANCE PREREQUISITES: A State plan must be submitted annually meeting the requirements of the Social Security Act.
- <u>POSTGRANT REQUIREMENTS</u>: State agencies must submit expenditure and activity reports and other reports as requested in the interest of ongoing program evaluation.
- <u>WASHINGTON CONTACT</u>: Director, Division of Health Services, Children's Bureau, Social and Rehabilitation Service, Washington, D. C. 20201, (202) 963-3381.
- LOCAL CONTACT: Prospective grantees should communicate directly with the Federal Government or with their State health departments.



<u>APPLICATION DEADLINES</u>: State plans must be submitted by June 1 for support in the following fiscal year. Since all States participate, there is no question of additional applications being submitted.

APPROVAL/DISAPPROVAL TIME: About a month if the plan is in good order.

<u>FEWORKING TIME</u>: Negotiations on plan items may delay approval in some cases, but not in excess of a few weeks.

<u>FELATED PROGRAMS</u>: Maternal and Child Health Services, Maternal and Child Health Training, Special Projects for Health Care of Children and Youth.

PROGRAM ACCOMPLISHMENTS: Official health agencies reported physician's services for 448,700 children with crippling conditions in fiscal year 1967. The crippled children's program reached about 6 children per 1,000 in the population. Less than half of the children served have orthopedic handicaps; the rest include epilepsy, hearing impairment, cerebral palsy, cystic fibrosis, and many congenital defects. The youngest group (infants and children under 5 years of age) accounted for 30 percent of all children served in 1967; those 5 through 9 years, 30 percent; those 10 through 14, 23 percent; and those 15 through 20, 17 percent.



## 2.16-SPECIAL PROJECTS FOR HEALTH CARE OF CHILDREN AND YOUTH

- AUTHORIZING STATUTE: 42 U.S.C. 709, Social Security Act, title V, as amended by the Child Health Act of 1967. Public Law 90-248, section 509, 81 Stat. 926.
- <u>ADMINISTRATOR</u>: Maternal and Child Health Division, Health Services and Mental Health Administration, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program provides financial support for health care and services to children of school and preschool age, particularly in areas with concentrations of low-income families. The program includes screening, diagnosis, and preventive services, both medical and dental, treatment, correction of defect, and aftercare services and provided to children who would not otherwise receive them because of low income or other reasons beyond their control.
- ELIGIBILITY: State of local health departments, State crippled children's agencies, and medical schools and hospitals (affiliated with a school of medicine) are eligible for grants.
- AVAILABLE ASSISTANCE: Special project grants may cover up to 75 percent of the cost of providing the services described in an approved project application.
- USE RESTRICTIONS: Grant funds may not be used to pay for services unrelated to those described in the project application or to match funds provided under other Federal programs.
- <u>APPROPRIATIONS</u>: \$83 million for Special Projects and Maternity and Infant care projects for fiscal year (1971).

CBLIGATIONS: NONE

- AVERAGE ASSISTANCE: The average grant in fiscal year 1969 is \$672,414.
- ASSISTANCE PREREQUISITES: Agencies applying for grants must be prepared to offer comprehensive health services to children of low-income families in the proposed project area. They must submit an application which shows the scope and methods of project operations and shows the source of required matching funds.
- <u>POSTGRANT REQUIREMENTS</u>: Program and expenditure reports are submitted throughout the year to facilitate program evluation.
- WASHINGTON CONTACT: Director, Division of Health Services, Office of Child Development, Office of the Secretary, Washington, D. C. 20201, (202) 755-1000.



<u>LOCAL CONTACT</u>: Prospective grantees should communicate directly with the Federal Government or with their State health department.

APPLICATION DEADLINES: NONE.

APPROVAL/DISAPPROVAL TIME: About a month if the proposal is in good order.

REWORKING TIME: No standard time for reworking unacceptable applications.

Depends upon the problems involved and the schedule of the applicant.

<u>RELATED PROGRAMS</u>: Maternal and Child health services, crippled children's services, special project grants for maternity and infant care.

PROGRAM ACCOMPLISHMENTS. Fifty-eight projects have begun operation and are in varying stages of development. These projects serve low-income areas in which an estimated 2,250,000 children live. Since the beginning of the program in 1966, approximately 220,000 have been registered for comprehensive health care. These projects are making it possible for community health organizations to develop new and imaginative methods of reaching out to children in slum areas, decentralizing services into neghborhoods, and establishing well-organized systems of providing comprehensive health programs of case-finding, prevention, and health supervision, and treatment.



#### 2.17-COMMUNITY ACTION PROGRAM

- AUTHORIZING STATUTE: 42 U.S.C. 2781, et seq., Economic Opportunity Act of 1964, as amended; Public Law 89-794, title II; 78 Stat. 508 (as amended) 79 Stat. 973; 80 Stat. 1451.
- ADMINISTRATOR: Director, ammunity Action Program, Office of Economic Opportunity, 1200 19th Street NW., Washington, D. C. 20506, (202) 382-4876.
- NATURE OF PROGRAM: This program helps local communities mobilize human and financial resources for combating poverty. The primary instrument for developing a local strategy is the community action agency (CAA), composed of public officials, representatives of the poor, and private groups. The CAA plans and carries out programs and activities to improve local planning and coordination; stimulate innovative programs and techniques; provide for more effective organization of services at the neighborhood or community level; increase effectiveness of participation by the poor in programs which affect them; and increase resources allocated to combat poverty. Activities which community action agencies may undertake include:
  - 1. Securing and retaining meaningful employment.
  - 2. Attaining an adequate education.
  - 3. Making better use of available income.
  - 4. Providing and maintaining adequate housing and a suitable living environment.
  - 5. Undertaking family planning, consistent with personal and family goals, religious and moral convictions.
  - 6. Obtaining services for the prevention of narcotics addiction, alcoholism, and the rehabilitation of narcotic addicts and alcoholics.
  - 7. Obtaining emergency assistance through loans or grants to meet immediate and urgent individual and family needs, including the need for health services, nutritious food, housing, and employment-related assistance.
  - 8. Removing obstacles and solving personal and family problems which block the achievement of self-sufficiency.
    - 9. Achieving greater participation in the affairs of the community.
  - 10. Making more frequent use of other programs related to the purposes of this title.

CAA's may also undertake community action national emphasis programs which include: Comprehensive health services, emergency food and medical services, family planning, senior opportunities and services. These programs are detailed as separate program descriptions.

ELIGIBILITY: A State or political subdivision of a State, or a combination of such political subdivisions, or a public or private nonprofit agency or organization which has been designated by a State, or such a political subdivision or combination of subdivisions is eligible.



# ELIGIBILITY (CONTINUED):

A community may be a city, county, multicity, or multicounty unit, an Indian reservation, or a neighborhood or other area (irrespective of boundaries or political subdivisions), which provides a suitable organizational base and possesses the commonality of interested people needed for a community action program. Grants are made to agencies representing an entire community and to single-purpose agencies with adequate authority to enter into contracts, receive grants, and carry out the program directly or by contract with other agencies.

AVAILABLE ASSISTANCE: OEO provides assistance for the activities of the CAA board and staff, for a variety of programs to fill unmet needs and to strengthen existing services. Projects may be locally designed or selected from CAP's national emphasis programs. Locally designed programs may include consumer action (buying clubs, credit unions, consumer education) cooperatives, education (tutorials and literacy training), housing (housing development corporations, housing code enforcement, self-help housing), manpower (recruitment, training, counseling, placement with such supportive services as day care centers), and social services (home management services and food distribution).

<u>USE RESTRICTIONS</u>: Innovative projects designed to solve problems of poverty and any research related to those problems.

PAST APPROPRIATIONS: \$2.080 billion.

OBLIGATIONS INCURRED: Partially obligated - see following diversions of CAP programs for more specific indications.

AVERAGE ASSISTANCE: The amount of money for any grant will vary with the number of participants in local programs.

ASSISTANCE PREREQUISITES: (1) Must focus on the needs of the poor;
(2) must encourage maximum feasible participation by the population served; (3) must arrange for coordination of funding; (4) must assure that entire program will be conducted in accordance with Civil Rights Act of 1964.

<u>POSTGRANT REQUIREMENTS</u>: Monthly fiscal reports; quarterly statistical reports; specialist evaluation.

WASHINGTON CONTACT: Community Action Program, Office of Economic Opportunity, 1200 19th Street NW., Washington, D. C., (202) 382-4876.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: Depends upon complexity of the grant.

REWORKING TIME: Depends upon complexity of grant.



RELATED PROGRAMS AND SERVICES: Upward Bound, Head Start, health centers, neighborhood multiservice centers, legal services, foster grandparents, aid to migrant workers, and Indian reservation projects.

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#### 2.18-COMMUNITY ACTION PROGRAM - FAMILY PLANNING

- AUTHORIZING STATUTE: 42 U.S.C. 2809, Economic Opportunity Act of 1964, as amended, title 11, section 222(a) (7), Public Law 90-221; 81 Stat. 672.
- ADMINISTRITOR: Gary D. London, M.D., Director, Health Services Office, Community Action Program, Office of Economic Opportunity, 1200 19th Street, NW., Washington, D. C. 20506, (202) 382-3718.
- NATURE OF PROGRAM: This program provides grants to make available family planning services to low-income persons in areas having high concentrations or proportions of poverty. Three types of programs are eligible for funding: (1) those which improve, supplement, or add new elements to existing family planning projects; (2) those which make new arrangements for provision of services; and (3) those which are designed to provide information about family planning to poor persons and to facilitate their voluntary use of services available.
- ELIGIBILITY: Any public or private nonprofit agency may apply. Examples include, but are not limited to, hospitals, local health departments, medical schools, schools of public health, planned parenthood affiliates, group practice prepayment programs, union health centers, and community organizations (providing they obtain suitable medical guidance).
- AVAILABLE ASSISTANCE: Among the services that can be supported are clinical (including medical examinations, appropriate laboratory tests, and prescribing of supplies), education and counselling, outreach, and referral. Information in the full range of family planning methods must be offered, and all services must be available on a purely voluntary basis.
- <u>USE RESTRICTIONS</u>: Projects will be supported only when funding is not available from other sources.
- PAST APPROPRIATIONS: \$24 million fiscal year
- <u>OBLIGATIONS INCURRED</u>: Only partially obligated, new programs will be considered.
- AVERAGE ASSISTANCE: \$25,000 to \$100,000.
- ASSISTANCE PREREQUISITES: (1) Projects must focus on needs of the poor and be designed and operated with their participation; (2) services must be strictly voluntary; (3) a full range of methods, including rhythm, must be offered; (4) entire program must be conducted in accordance with the Civil Rights Act of 1964.
- <u>POSTGRANT REQUIREMENTS</u>: Monthly financial reports; quarterly statistical reports; biannual program information reports.



WASHINGTON CONTACT: Gary D. London, M.D., Director, Health Services Office, Community Action Program, Office of Economic Opportunity, 1200 19th Street NW., Washington, D. C. 20506, (202) 382-3718.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: 3 months.

REWORKING TIME: 1 month.

RELATED PROGRAMS: Family planning, NIH narcotics programs, medicaid, children and youth projects, maternity and infant care projects, partnership for health, regional medical programs.



# 2.19~COMMUNITY ACTION PROGRAM-EMERGENCY FOOD AND MEDICAL SERVICES

- AUTHORIZING STATUTE: 42 U.S.C. 2809; Economic Opportunity Act of 1964, as amended, title II, section 222(a)(6), Public Law 90-222; 81 Stat.
- ADMINISTRATOR: Arthur Frank, M.D., Chief of Emergency Food, Office of Economic Opportunity, 1200 19th Street, Washington, D.C., (202) 382-7282.
- NATURE OF PROGRAM: This program provides grants to make available on a temporary basis foodstuffs and medical services to counteract conditions of starvation or malnutrition among the poor.
- ELIGIBILITY: Any public or private nonprofit organization is eligible to apply for funds. Normally, however, it is expected that the community action agency (CAA), in localities where one exists, would serve as grantee. In the absence of a CAA, the local welfare department would be expected to serve as grantee. Actual operation of a program may be delegated to a local agency in the community.
- AVAILABLE ASSISTANCE: Funds can be applied in a number of different ways. Among these are (1) to develop a new program in counties without existing programs, (2) to supplement or expand an existing program (such as the food stamp program or the school lunch program), (3) to extend the services of an existing program, as by providing transportation to distribution centers or increasing outreach services, (4) to cover the costs of medical examinations and treatment for conditions related to malnutrition, (5) to develop an innovative project aimed at finding techniques of improving food assistance methods, and (6) to develop a program of consumer and nutrition education.
- <u>USE RESTRICTIONS:</u> Assistance to high-risk population groups: the aged, infants and children, migrants and Indians, as well as communities and other population groups where serious problems of starvation, hunger, or malnutrition exist.

PAST APPROPRIATIONS: \$33 million

OBLIGATIONS INCURRED: Mostly to existing programs.

- AVERAGE ASSISTANCE: Grants vary from the smallest of \$5,000 to the largest of \$297,090.
- ASSISTANCE PREREQUISITES: (1) Must focus on the needs of the poor; (2) must encourage maximum feasible participation by the population served; (3) must arrange for coordination of funding.



<u>POSTGRANT REQUIREMENTS:</u> Monthly fiscal reports and specialist evaluations.

WASHINGTON CONTACT: Arthur Frank, Chief of Emergency Food, Office of Economic Opportunity, 1200 19th Street NW., Washington, D. C., (202) 382-7282.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: 3 months.

REWORKING TIME: 1 month.

RFLATED PROGRAMS: Medicaid, medicare, children and youth projects, partnership for health.



# 2.20-COMMUNITY ACTION PROGRAM-COMPREHENSIVE HEALTH SERVICES

- AUTHORIZING STATUTE: 42 United States Code 2809, Economic Act of 1964, as amended; title II, section 222(a)(4)(A), Public Law 90-222, 81 Stat. 672.
- ADMINISTRATOR: Gary D. London, M.D., Director, Health Services
  Office, Community Action Program, Office of Economic Opportunity,
  1200 19th Street NW., Washington, D. C. 20506, (202) 382-3718.
- NATURE OF PROGRAM: This program provides grants for the establishment of health centers which offer comprehensive health care to low-income persons and are located in areas having high concentrations of proportions of poverty with inadequate health services.
- ELIGIBILITY: Any public or private nonprofit agency, such as a medical society, medical school, hospital, public health department, group practice plan, or health corporation may apply. The projects are usually operated as independent, delegate agencies of local community action agencies. Instructions, application forms, and related materials are available from the administrator's office.
- AVAILABLE ASSISTANCE: The health care may include treatment, screening and diagnostic services, home care, outreach rehabilitation, dental care, family planning, mental health care, and other health related services. In addition, the grants may help to obtain equipment and supplies, training for personnel, planning activities, evaluation of projects, and transportation for patients. Special technical assistance is available for rural areas.
- <u>USE RESTRICTIONS:</u> Provisions must be made to assure that all health services are under competent professional supervision and meet high standards of quality.

APPROPRIATIONS: \$110 million for fiscal year 1971.

OBLIGATIONS INCURRED: Mostly new programs will be funded.

AVERAGE ASSISTANCE: \$1.5 million.

ASSISTANCE PREREQUISITES: (1) Must focus on the needs of the poor; (2) must encourage maximum feasible participation by the population served; (3) must arrange for coordination of funding; (4) must assure that entire program will be conducted in accordance with the Civil Rights Act.



<u>POSTGRANT REQUIREMENTS</u>: Monthly fiscal reports; quarterly statistical reports; specialist evaluations.

WASHINGTON CONTACT: Gary D. London, M.D., Director, Health
Services Office, CAP, OEO, 1200 15th Street NW., Washington, D.C.
20506 (202) 382-3718.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: 3 months.

REWORKING TIME: 1 month.

RELATED FROGRAMS: Family planning, NIH, narcotics programs, medicaid, children and youth projects, maternity and infant care projects, partnership for health, regional medical programs.

# 2.21-AID TO FAMILIES WITH DEPENDENT CHILDREN

- AUTHORIZING STATUTE: Social Security Act, Public Law 74-271, August 14, 1935, titles IV, parts A, and XI, as amended, 42 U.S.C. 601 et seq., and 1301 et seq.; Public Law 81-474, section 9 (relating to Navajo and Hopi Indians), 25 U.S.C. 639.
- <u>ADMINISTRATOR</u>: Commissioner, Assistance Payments Administration, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program provides financial assistance to needy children and their caretakers to encourage the care of dependent children in their own homes or in the homes of relatives; to help adult members of the family attain the maximum of self-support and independence consistent with maintaining parental care and protection; and to strengthen family life. The program also provides financial assistance for certain children in foster care under limited specified circumstances.
- ELIGIBILITY: Dependent children and their adult relatives as specified in the Social Security Act, who meet the States' eligibility requirements, including the definition of "need" under a State's plan for aid to families with dependent children approved by the Assistance Payments Administration. States have the option of including children who are in need because of the unemployment of a father.
- AVAILABLE ASSISTANCE: Federal grants are advanced to the States each quarter on the basis of estimates submitted by the States of their requirements for matching Federal funds for recipients eligible under the program, adjusted by the difference between the estimated and actual Federal share for the prior quarter. The Federal Government is obligated to pay the Federal share of expenditures, in accordance with any of the alternative formulas specified in the Social Security Act, on a continuing basis with no time limit or dollar limit. The Federal share of the cost of administration of the State and local welfare agencies is 30 percent. The cost of social services for families with dependent children is described under a separate heading.
- USE RESTRICTIONS: With the following exception, Federal funds are available for all needy persons who qualify for aid under the approved State plan for operating a program. Beginning July 1, 1969, Federal financial participation in payments for children deprived of parental support or care because of the absence of a parent from the home for reasons other than death is limited under this "freeze" to the proportion of the child population under 18 years of age as of January 1, 1968, that received AFDC



for the same reasons. It is estimated that about 1 million recipients will not receive Federal financial participation if the "freeze" goes into effect in fiscal year 1970 and the decrease in Federal funds will be about \$322 million.

- APPROPRIATIONS: \$2.54 billion fiscal year 1971 (estimate).
- OBLIGATIONS: The obligations for the year are the same amounts appropriated for the year based on State requests for reimbursement.
- AVERAGE ASSISTANCE: In fiscal year 1969, the estimated average monthly maintenance payment per recipient for the United States is \$43.85, and the Federal share thereof is \$24.25. There is no Federal financial participation in payments to an intermediate care facility, as that program is available in the adult categories only.
- ASSISTANCE PREREQUISITES: States must operate under a plen approved by the Assistance Payments Administration, and comply with the policies and regulations of the Assistance Payments Administration.
- POSTGRANT REQUIREMENTS: States must review the operation of the plan for conformity with Federal policies and requirements, including those for eligibility of recipients, maintain a working relationship with the regional offices of the Department of Health, Education, and Welfare, and they must submit fiscal and statistical reports to the Department.
- WASHINGTON CONTACT: Commissioner, Assistant Payments Administration, Social and Rehabilitation Service, Washington, D.C. 20201, (202) 962-2551.
- LOCAL CONTACT: Applicants for assistance should contact the local welfare office of the State department of public welfare for their State.
- APPLICATION DEADLINES: None.
- APPROVAL/DISAPPROVAL TIME: A decision on the individual's application shall be made in about 30 days. Appeals for a fair hearing should be dealt with promptly.
- <u>REWORKING TIME</u>: A redetermination of the eligibility of a recipient should be made at least once every 6 months.
- RELATED PROGRAMS: Any of the other public or private social welfare programs in the community or the State, the work incentive program administered by the Department of labor, and medical programs such as the medicaid welfare program, if the State has one in operation.



PROGRAM ACCOMPLISHMENTS: In fiscal year 1968, an average of 5,343,000 children and adults per month received an average maintenance payment of \$39.50 per month to help them purchase the necessities of life, and total annual expenditures from Federal, State, and local funds amounted to \$2,536,437,000, of which the Federal share was \$1,394,767,000. These figures include payments for children of an unemployed parent and a relatively small amount for foster care under aid to families with dependent children.



#### 2.22-AID TO THE PLIND

- AUTHORIZING STATUTE: Social Security Act, Public Law 74-271, August 14, 1935, titles X (or SVI) and SI, as amended, 42 U.S.C. 1201 et seq., and 1301 et seq.; Public Law 81-474, section 9 (relating to Navajo and Hopi Indians), 25 U.S.C. 639.
- ADMINISTRATOR: Commissioner, Assistance Payments Administration, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program provides financial assistance to needy blind individuals to supplement their own resources, including social insurance benefits, in order to help them attain or retain capability for self-support or self-care as far as practicable. Beginning January 1, 1968, Federal financial participation was made available in payments to recipients in intermediate care facilities, which furnish institutional care of a lesser degree than that provided by a skilled nursing home.
- ELIGIBILITY: Persons who are blind or have extremely limited vision who meet the States' eligibility requirements, including the definition of "need" under a State's plan for aid to the blind approved by the Assistance Payments Administration.
- AVAILABLE ASSISTANCE: Federal grants are advanced to the States each quarter on the basis of estimates submitted by the States of their requirements for matching Federal funds for recipients eligible under the program, adjusted by the difference between the estimated and actual Federal share for the prior quarter. The Federal Government is obligated to pay the Federal share of expenditures, in accordance with any of the alternative formulas specified in the Social Security Act, on a continuing basis with no time limit or dollar limit. The Federal share of the cost of administration of the State and local welfare agencies is 50 percent. The cost of social services for the blind is described under a separate heading.
- <u>USE RESTRICTIONS:</u> Federal funds are available for all needy persons who qualify for aid under the approved State plan for operating a program.
- APPROPRIATIONS: \$58.2 million (estimate) for fiscal year 1971.
- OBLIGATIONS: The obligations for the year are the same amounts appropriated for the year based on State requests for reimbursement.



- AVERAGE ASSISTANCE: In fiscal year 1969, the estimated average monthly money payment per recipient for the United States is \$92.00 and the Federal share thereof is \$52.00. In fiscal year 1969 the estimated average monthly vendor payment per recipient to an intermediate care facility for the United States is \$219, of which the Federal share is \$117.
- ASSISTANCE PREREQUISITES: States must operate under a plan approved by the Assistance Payments Administration, and comply with the policies and regulations of the Assistance Payments Administration.
- POSTCRANT REQUIREMENTS: States must review the operation of the plan for conformity with Federal policies and requirements, including those for eligibility of recipients, maintain a working relationship with the regional offices of the Department of Health, Education, and Welfare, and they must submit fiscal and statistical reports to the Department.
- WASHINGTON CONTACT: Commissioner, Assistance Payments Administration, Social and Rehabilitation Service, Washington, D.C. 20201, (202) 962-2551.
- LOCAL CONTACT: Applicants for assistance should contact the local welfare office of the State department of public welfare or the agency administering the aid to the blind program for their State.
- APPLICATION DEADLINES: None.
- APPROVAL/DISAPPROVAL TIME: A decision on the individual's application shall be made in about 30 days. Appeals for a fair hearing should be dealt with promptly.
- REWORKING TIME: A redetermination of the eligibility of a recipient should be made once a year.
- RELATED PROGRAMS: Any of the other public or private social welfare programs in the community or the State, as well as medical programs such as medicare under Social Security or the medicaid welfare program, if the State has one in operation.
- PROGRAM ACCOMPLISHMENTS: In fiscal year 1968, an average of 82,300 blind persons per month received an average money payment of \$89.88 monthly to help them purchase the necessities of life, and total annual expenditures from Federal, State, and local funds amounted to \$88,713,000, of which the Federal share was \$51,668,000. No States had obtained approval for a plan for intermediate care facilities during 1968.



# 2.23 - PUBLIC ASSISTANCE-DEMONSTRATION PROJECTS

- <u>AUTHORIZING STATUTE</u>: 42 U.S.C. 1315, Social Security Act, section 1115.
- ADMINISTRATOR: Assistant Administrator, Office of Research,
  Demonstrations and Training, Social and Rehabilitation Service,
  U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The basic purpose of this program is to conduct experimental, pilot, and demonstration projects in public assistance, to develop and improve the methods and techniques of administering assistance and related services designed to help needy persons achieve self-support or to maintain and strengthen family life.
- ELIGIBILITY: Special Federal project fund grants are awarded to State public welfare agencies, although projects may be, and frequently are, developed and undertaken by county and city public welfare departments.
- AVAILABLE ASSISTANCE: The experimental, pilot and demonstration projects under section 1115 affect compliance with Federal requirements and the availability of Federal financial participation under each of the public assistance titles: The Secretary may waive compliance with any plan requirement, the cost of a project is subject to Federal financial participation, and State welfare agencies are encouraged to develop projects of State and national significance. An amount not to exceed \$4 million in any fiscal year, is available to carry out projects.
- USE RESTRICTIONS: The funds are spent to enable State welfare agencies to carry out projects to introduce a new method or approach to public welfare programs or to extend a successful method of approach to a new local or State agency program.
- APPROPRIATIONS: \$4.2 million for fiscal year 1971.
- AVERAGE ASSISTANCE: The average grant is \$30,000.

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- ASSISTANCE PREREQUISITES: Special Federal project fund grants are awarded only to State public welfare agencies.
- POSTGRANT REQUIREMENTS: Progress reports, final reports, and a research evaluation component for the project are required.
- WASHINGTON CONTACT: Assistant Administrator, Office of Research, Demonstrations and Training, Social and Rehabilitation Service, Washington, D.C. 20202, (202) 963-5223.



LOCAL CONTACT: State, county, and local departments of public welfare.

<u>APPLICATION DEADLINES:</u> Applications may be submitted at any time during the year.

APPROVAL/DISAPPROVAL TIME: About 2 months.

REWORKING TIME: From 30 to 60 days depending upon how soon the welfare agency can rework the application.

RELATED PROGRAMS: This is the only public assistance demonstration project program available to State public welfare agencies.

PROGRAM ACCOMPLISHMENTS: Of the section 1115 demonstration projects approved and completed since the inception of the program, approximately 90 percent have been absorbed by the State agencies into their ongoing program. Even though projects are carried out by State welfare agencies, a number of projects of national significance have been undertaken which have been the basis for legislative changes and administrative policy determination. For example, new methods are being piloted for the delivery of services in model cities and neighborhood service centers. In addition, emphasis is being placed on alleviating poverty in rural areas by testing the delivery of protective services to the aged, new approaches to community organization at the county level, and providing services never before available on Indian reservations and in rural Alaska through the use of indigenous subprofessional staff. New methods of income maintenance are being tested to determine the socioeconomic and motivative effects of employment incentives.



# 2.24-SOCIAL SERVICES TO RECIPIENTS OF AID TO FAMILIES WITH DEPENDENT CHILDREN

- <u>AUTHORIZING STATUTE</u>: 42 U.S.C. 602 (a) (14) and (15) and 403 (a) (3), the Social Security Act, Sections 402 (a) (14) and (15), 603 (a) (3), Public Law 90-248, section 201 (a) (c), 81 Statt, 877-879.
- ADMINISTRATOR: Office of Child Development Office of the Secretary U.S. Department of Heath, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program activity aims to maintain and strengthen family life, assist members of a family to attain or retain capability for self-support and personal independence and foster child development. It is applicable to families and children who are applicants for or recipients of AFDC or are former or potential recipents. The law also authorizes Federal participation in State costs for training staff to provide services to such families and children.
- <u>ELIGIBILITY</u>: Recipients or potential recipients of AFDC assistance, including dependent children and their adult relatives who meet the States eligibility requirements.
- AVAILABLE ASSISTANCE: Individuals may receive services of the state or local welfare agency included in the State plan. Certain minimum services are prescribed by the Secretary. Federal funds are made available for this purpose at the rate of 75 per cent.
- <u>USE RESTRICTIONS:</u> The funds are spent on the basis of an approved State plan.
- <u>APPROPRIATIONS</u>: \$509 million fiscal year 1971 (estimate) \$463 million 1970 fiscal year.
- <u>OBLIGATIONS</u>: \$100 million limitation is imposed for the first quarter of 1971.
- AVERAGE ASSISTANCE: Not applicable. Varies State by State.
- ASSISTANCE PREREQUISITES: A federally approved State plan.
- <u>POSTGRANT REQUIREMENTS</u>: General requirement that the State plan remain in conformity with Federal requirements and the State submit reports required by the Secretary.
- <u>WASHINGTON CONTACT</u>: Office of Child Development Office of the Secretary Washington, D.C. 20201, (202)755-1000.
- LOCAL CONTACT: In each State, the State director of public assistance.



APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

<u>RELATED PROGRAMS</u>: Aid to families with dependent children—maintenance payments; child welfare services; work incentive program.

PROGRAM ACCOMPLISHMENTS: During the quarter ended March 31, 1968, a total of 971,000 families in which there were 3,037,000 children received services. Services related to health care were extended to some members of 415,000 families; services related to educational and vocational training reached 248,000 familes; and services to protect children reached 147,000 familes. Sizable numbers of families received services related to maintaining family life, self-support, maintaining the home, and improving financial functioning. More than one type of service often was rendered to the same family.

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### 2.25- CHILD WELFARE SERVICES

- AUTHORIZING STATUTE: 42 U.S.C. 620-625, sections 420-425 of the Social Security Act, Public Law 90-248, secition 240 (c), 81 Stat. 911.
- ADMINISTRATOR: Office of Child Development Office of the Secretary Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program activity aims to establish, extend, and strengthen child-welfare services provided by State and local public welfare agencies to protect and care for homeless, dependent, and neglected children and children in danger of becoming delinquent.
- ELIGIBILITY: Grants-in-aid go to State public welfare agencies.
- <u>AVAILABLE ASSISTANCE</u>: Each State receives a uniform amount of \$70,000 for child-welfare services. The balance of the Federal child-welfare services appropriation is allotted to the States on a variable matching formula which takes into account the child population under 21 and the State per capita income.
- <u>USE RESTRICTIONS</u>: The funds are spent on the basis of a State plan developed jointly by the State agency and the regional family and child welfare representative and subject to approval of the regional commissioner of the Social and Rehabilitation Service.
- APPROPRIATIONS: \$46 millions fiscal year 1971
- OBLIGATION: None, except as to each State's formula grant.
- AVERAGE ASSISTANCE: Each State receives a uniform grant of \$70,000 and an additional grant which varies directly with child population under 21 and inversely with average per capita income.
- ASSISTANCE PREREQUISTES: The cooperating State public welfare agency must be designated as the single State agency to administer the child-welfare services program.
- <u>POSTGRANT REQUIREMENTS:</u> A continuing evaluation process is in effect by the State public welfare agency concerned, the regional office of the Social and Rehabilitation Service and the U.S. Children's Bureau.
- <u>WASHINGTON CONTACT</u>: Office of Child Development Office of the Secretary Washington, D.C. 20201, (202) 755-1000.
- LOCAL CONTACT: County of Local public welfare agencies should contact the administrator of the State public welfare department.
- APPLICATION DEADLINES: State public welfare departments submit a budget for use of the grant by May 15 of each year.

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# APPROVAL/DISAPPROVAL TIME: About a month.

<u>REWORKING TIME</u>: Budget submittals are generally subject to continuous discussion from the planning stage on.

RELATED PROGRAMS: Child welfare training; child welfare research and demonstration; and social services to recipients of aid to families with dependent children.

PROGRAM ACCOMPLISHMENTS: About 656,000 children received child-welfare services as of March 31, 1968. Of this number, about 47 percent were living in their own homes or the homes of relatives, 33 percent were in foster family home, 11 percent were in children's institutions, 7 percent in adoptive homes, and 2 percent in independent living arrangments or elsewhere.

As of March 1968, there were in the United States some 142,000 licensed or approved foster family home; 640 group homes for children and youth; about 1,400 children's institutions, of which 1,200 were under voluntary auspices and 160 under public auspices; and 210 maternity homes for unmarried mother under voluntary auspices.

The March 1968 capacity of licensed or approved day care facilities is estimated as 535,000 children, about one-fifth more than a year earlier in 50 comparable States.

All States now have child-abuse reporting legislation and some services for the protection and care of neglected and abused children.

Approximately 1,150 persons received graduate educational leave stipends for fiscal year 1968 from the State and local child welfare programs to upgrade quality of services for children.

In fiscal year 1968, three-fourths of the Nation's counties were served by full-time child welfare caseworkers.



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## 2.26-SOCIAL SERVICES TO RECIPIENTS OF AID TO THE BLIND

- AUTHORIZING STATUTE: Social Security Act of 1935, Public Law 74-271, 49 Stat. 620, 42 U.S.C. 1201-1206 as amended (titles X and XVI).
- ADMINISTRATOR: Commissioner, Rehabilitation Services Administration, Social and Rehabilitation Service, U.S.Department of Health, Education and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program seeks to enable aid-to-the-blind recipients to gain independence to the fullest extent of their capacity. Services provided include, but are not limited to, mobility instruction, communication skills, activities of daily living, and home management.
- ELIGIBILITY: Recipients or potential recipients of aid to the blind may apply for this service if: (1) he has no more the 20/200 visual acuity in the better eye with correcting lenses: (2) he has visual acuity greater than 20/200 but with a limited visual field that subtends an angle no greater than 20°.
- AVAILABLE ASSISTANCE: Individuals may receive these services according to their particular needs, as made available through the State welfare departments. Federal financial assistance is available through titles X and XVI of the Social Security Act at a rate of 50 or 75 percent matching.
- <u>USE RESTRICTIONS</u>: Funds are made available to State agencies and are expended in accordance with the Social Security Act, as amended, and related rules and regualtions.
- APPROPRIATIONS: Funding is through open-ended appropriation: \$3.6 million fiscal year 1971.

OBLIGATIONS: None.

AVERAGE ASSISTANCE: Not applicable. Varies State by State.

ASSISTANCE PREREQUISITES: A federally approved State plan.

- <u>POSTGRANT REQUIREMENTS</u>: General requirement that the State plan remain in conformity with Federal requirements and the State submit reports required by the Secretary.
- WASHINGTON CONTACT: Commissioner, Rehabilitation Services Administration, Social and Rehabilitation Service, Department of Health, Education, and Welfare, Washington, D.C. 20201, (202) 963-3006.
- LOCAL CONTACT: In each State, the State public assistance agency.
- APPLICATION DEADLINES: Not applicable (ongoing activity).



APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Public assistance; old-age assistance; and aid
to the permanently and totally disabled.

PROGRAM ACCOMPLISHMENTS: Social services to aid-to-the-blind recipients have enabled may individuals to become independent and productive, to a level consistent with their needs and capacities. State and Federal programs are strongly concentrating social service efforts aimed at assisting blind persons to achieve the fullest possible amount of self-help and self-care, as provided by the 1962 welfare amendments.



#### 2.27- EMERGENCY WELFARE ASSISTANCE

AUTHORIZING STATUTE: Social Security Act, Public Law 74-271,
August 14, 1935, titles IV, part A, and XI, as amended, 42 U.S.C.
601 et seq. and 1301 et seq.; Public Law 81-484, section 9
(relating to Navaho and Hopi Indians), 25 U.S.C. 639.

ADMINISTRATOR: Commissioner, Assistance Payments Administration, Social and Rehabilitation Service, U.S.Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: Emergency assistance to families, including families of migratory workers, with children under 21 years of age was reported on for the first time in fiscal year 1969. It is provided in crisis situations that must be ameliorated before the process of eligibility determination for an authorization of assistance to families with dependent children can be completed. Such situations include imminent eviction, loss of utility services because of nonpayment, and exhauston of food supplies. These payments may be in the form of money of vendor payments for food, clothing, rent, utilitites, medical care, etc,

ELIGIBILITY: Needy families, including families of migratory workers, with children under 21 years of age with a crisis situation that is included in the State's plan for this activity and which has been approved by the Assistance Payments Administration.

AVAILABLE ASSISTANCE: Federal grants are advanced to the States each quarter on the basis of estimates submitted by the States of their requirements for matching Federal funds for recipients eligible under this activity, adjusted by the difference between the estimated and actual Federal share for the prior quarter. The Federal Goverment is obligated to pay 50 percent of total payments for this activity under part A of title IV of the Social Secuirty Act but is limited to assistance for up to 30 days to any family during a 12-month period. The Federal Government is obligated to pay the Federal share of expenditures for this activity (within the limitation of 30 days to any one family specified above), on a continuing basis with no time limit or dollar limit. The Federal share of the cost of administration of the State and local public welfare agencies is 50 perent. The cost of social services for recipients "Social services to recipients of aid to families with dependent children."

<u>USE RESTRICTIONS</u>: Federal funds are available for all families with children for the crisis situations included for this activity under an approved State plan for part A of title IV of the Social Security Act except that Federal financial participation is limited to assistance for up to 30 days to any family during a 12-month period.



APPROPRIATIONS: \$12.3 million (estimate) fiscal year 1971.

OBLIGATIONS: All appropriations are obligated based on State requests for reimbursement for the year.

AVERAGE ASSISTANCE: In fiscal year 1969, the estimated average monthly assistance payment per recipient for the United States was \$64.25, and the Federal share therof was \$32.05.

ASSISTANCE PREREQUISITES: States must review the operation of the plan for conformity with Federal policies and requirements, including those for eligibility of recipients, maintain a working relationship with the regional offices of the Department of Health, Education, and Welfare, and they must submit fiscal and statistical reports to the Department.

<u>WASHINGTON CONTACT</u>: Commissioner, Assistance Payments Administration, Social and Rehabilitation Service, Washington, D.C. 20201, (202) 962-2551.

LOCAL CONTACT: Applicants for assistance should contact the local welfare office of the State department of public welfare for the State.

APPLICATION DEADLINES: NONE.

APPROVAL/DISAPPROVAL TIME: A decision on the individual's application shall be made promptly.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: The aid to families with dependent children program for those families that are eligible under that program, any of the other public or private social welfare programs in the community or the State, and medical programs such as the medicaid welfare program, if the State has one in operation.

PROGRAM ACCOMPLISHMENTS: In fiscal year 1969, it is estimated that an average of 15,300 children and adults per month in 16 States will receive an average emergency payment of \$64.25 per month to help them in crisis situations, and total annual expenditures from Federal, State, and local funds are estimated at \$11,300,000, of which the Federal share is \$5,638,000. In the fiscal year 1970, 18 States estimate that an average of 34,700 children and adults per month will receive an average emergency payment of \$68.90, of which the Federal share is \$34.45. Total annual expenditures from Federal, State, and local funds are estimated at \$29,370,000, of which the Federal share is \$14,685,000.



# INDIVIDUAL GRANTS FROGRAMS

- 3.00 Indian Child Welfare Assistance
- 3.01 Social Security--Survivors Insurance
- 3.02 Dependency and Indemnity Compensation for Service-Connected Death
- 3.03 Pensions to Widows and Children
- 3.04 War Orphans' and Widows Educational Assistance
- 3.05 Guardianship Service for Veterans and Their Dependents



# 3.00- INDIAN CHILD WELFARE ASSISTANCE

AUTHORIZING STATUTE: 25 U.S.C. 13.

- ADMINISTRATOR: Assistant Commissioner, Office of Community Services,
  Bureau of Indian Affairs, Department of the Interior.
- NATURE OF PROGRAM: To provide child welfare assistance and counseling for eligible Indian children, including foster home care and necessary institutional care and planning for adoption.
- ELIGIBILITY: Program is for dependent, neglected, and handicapped Indian children of one-fourth or more degree of Indian blood whose families live on Indian reservations or tribal lands, or in jurisdictions under the Bureau of Indian Affairs in Alaska or Oklahoma, for whom child welfare assistance from State or local agencies is not available.
- AVAILABLE ASSISTANCE: Financial assistance is granted to provide foster home care, institutional care or for other special children's needs.
- USE RESTRICTIONS: For child welfare assistance as outlined above.
- PAST APPROPRIATIONS: \$4.9 million (estimate) for fiscal year 1971.
- <u>OBLIGATIONS INCURRED</u>: Budget is based upon individual reservation requests and is disbursed accordingly.
- AVERAGE ASSISTANCE: The average cost per child per month is approximately \$88.
- ASSISTANCE PREREQUISITES: The child and parents must be in need of assistance to secure appropriate care.
- <u>POSTGRANT REQUIREMENTS:</u> Periodic review is made to verify continued need for care and assistance.
- WASHINGTON CONTACT: Charles B. Rovin, Chief, Division of Social Services, Bureau of Indian Affairs, 1951 Constitution Avenue NW., Washington, D. C. 20426, (202) 343-5553.
- LOCAL CONTACT: Superintendent, local Bureau agency located on or near reservation (see directory).
- APPLICATION DEADLINES: None.
- APPROVAL/DISAPPROVAL TIME: Requests for assistance are generally approved or disapproved the same day or within a few days after they are received, depending upon the extent of investigation necessary. There are no statistics on elapsed time between request for assistance and action taken.



REWORKING TIME: Answer is same as above under "Approval/Disapproval Time."

 $\frac{\text{RELATED PROGRAMS:}}{\text{local welfare departments.}} \quad \text{Child welfare programs administered by State and local welfare departments.}$ 

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### 3.U1\_SOCIAL SECURITY - SURVIVORS INSURANCE

- AUTHORIZING STATUTE: 42 U.S.C. 401-429, Social Security Act, as amended, Public Law 74-271.
- <u>ADMINISTRATOR</u>: Commissioner, Social Security Administration, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program provides monthly cash payments to survivors of deceased workers. Workers, their employers, and self-employed people pay concributions based on earnings during their working years. These are placed in trust funds. When earnings stop because of the worker's death, monthly cash benefits are paid to surviving dependents to replace part of the earning which the family has lost. A lump-sum death payment is made also.
- ELIGIBILITY: Those who can get monthly cash payments include any widow 60 or older; a widow of any age if she is caring for a child under 18 if disabled and the child gets payments based on the deceased's record; unmarried children 18 or over if they are severely disabled before 18, and who continue to be disabled; a widow or dependent widower 50 or older who becomes disabled not later than 7 years after the death of the worker; a widow with a child in her care not more than 7 years after the end of her entitlement to benefits; a dependent widower 62 or older; and dependent parents 62 or older. In order for survivors to receive benefits, the deceased worker must have credit for the required amount of work under social security.
- AVAILABLE ASSISTANCE: The primary form of assistance which this program offers is in the form of monthly cash benefits to dependents of deceased workers. Application may be to any of the 800 local offices of the Social Security Administration. These offices also offer information in the form of printed materials on social security benefits and personal counseling to potential beneficiaries about what they are entitled to and how to go about applying.
- USE RESTRICTIONS: Funds are expended for the payment of benefits as authorized by law and for necessary administrative expenses. There are no restrictions on the use of benefits by a beneficiary, who is free to spend the social security check any way he or she pleases.
- APPROPRIATIONS: Fiscal year 1971, \$7.9 billion.
- AVERAGE ASSISTANCE: Average monthly benefits \$79 (estimate), fiscal year 1970.
- BENEFIT PREREQUISITES: Beneficiaries must meet requirements for entitlement as provided under the Social Security Act and as explained under "Eligibility." When a person applies for wife's or widow's benefits, she should take with her the marriage certificate and, if children are eligible, their birth certificates.



- POSTPAYMENT REQUIREMENTS: The payment of benefits is subject to an earnings test. If survivor of a covered worker earns more than \$1,680 a year, the general rule is that benefits are reduced \$1 for each \$2 earned from \$1,680 to \$2,880, after which benefits are reduced \$1 for each \$1 of earnings. More details are available from local social security offices.
- WASHINGTON CONTACT: Potential beneficiaries should contact a local office rather than headquarters offices in Washington or Baltimore.
- LOCAL CONTACT: There are more than 800 local social security offices throughout the country. Interested persons should consult their telephone directory or their post office.
- <u>APPLICATION DEADLINES:</u> An application for monthly benefits under this program is effective for as much as 12 months before the month the application is filed, if the individual meets all the requirements for entitlement in those months.
- <u>APPROVAL/DISAPPROVAL TIME</u>: Median elapsed time from the filing of the application to the date of payment is certified at 5 weeks.
- REWORKING TIME: Not applicable.
- RELATED PROGRAMS: Social security: retirement insurance; disability insurance. Health insurance for the aged (medicare): hospital insurance; supplementary medical insurance.
- PROGRAM ACCOMPLISHMENTS: By the end of December 1968, 5,962,669 survivors of deceased workers were receiving benefits, which for the year totaled \$6,108,712,000. About 95 percent of all young children and their mothers are eligible for monthly benefits if the breadwinner of the family should die.



## 3.02-DEPENDENCY AND INDEMNITY COMPENSATION FOR SERVICE-CONNECTED DEATH

AUTHORIZING STATUTE: 38 U.S.C., chapter 13, section 410.

ADMINISTRATOR: Chief Benefits Director, Department of Veterans' Benefits, Veterans' Administration, Washington, D. C. 20420.

NATURE OF PROGRAM: To compensate surviving widows, children and parents for the death of any veteran who died on or after January 1, 1957, because of a service-connected disability.

ELIGIBILITY: A surviving unremarried widow, child or children, and qualifying parent or parents of the deceased veteran are eligible for this benefit.

#### AVAILABLE ASSISTANCE:

Monthly monetary benefits are payable. The widow's basic rate is \$120 a month plus 12 percent of the veteran's service pay based on his rank and longevity. The rates may be altered or increased, according to changes in the basic military pay scale. At the present time the minimum amount a widow can receive is \$133 and the maximum is \$392. Under certain circumstances, her benefits may be increased by \$28 monthly for each child in excess of one.

If there is no widow entitled, benefits for one child are \$80 monthly; two children, \$115; three children, \$149; and each additional child is \$29 with the total benefit for all the children being equally divided.

Rates for parents vary according to number, income, marital status, and whether they are living together or are separated. For a sole surviving single parent, or married but not living with spouse, rates range from \$10 to \$87 if the parent's income does not exceed \$2,000. For two parents not living together, rates for each range from \$10 to \$58 with no payment made if annual income of each is above \$2,000. If the two parents live together or a parent has remarried, the income limitation is \$3,200 with the same rates as two parents not living together.

<u>USE RESTRICTIONS</u>: Not applicable.

<u>APPROPRIATIONS</u>: Appropriation is open-ended so as to cover all beneficiaries.

OBLIGATIONS INCURRED: All appropriations are obligated to certified beneficiaries.

AVERAGE ASSISTANCE: Fiscal year 1969, \$1,796.



#### ASSISTANCE PREREQUISITES:

Widow: Generally, she must be unremarried, have lived continually with the veteran from the time of the marriage until his death, have married the veteran before 15 years had expired after termination of the period of service in which the disability causing death was incurred or aggravated, or for 1 year or more, or for any period of time if a child was born to the parties of the marriage. Certain widowers may also establish entitlement.

Child: The child must be unmarried, under 18, or if over 18 but under 23, attending a VA-approved school, or a helpless child who became permanently incapable of self-support because of a mental or physical defect prior to reaching age 18.

Parent: No amount is payable for a parent, if his income is more than \$2,000, or if living with his spouse or remarried, their combined annual income is more than \$3,200.

All beneficiaries: In order for entitlement to exist the veterans' death must be shown to be related to a service-connected disability not related to the veterans' willful misconduct. If the service-connected death occurred after service, the veteran's discharge must have been under other than dishonorable conditions. If a veteran dies on or after May 1, 1957, with an inservice waiver of premiums on GI life insurance in effect at the time of his death, his survivors are eligible only for death compensation, and not dependency and indemnity compensation.

<u>POSTGRANT REQUIREMENTS</u>: Any change in the marital status of a widow, child, or parent must be reported promptly. Also, a change in school attendance of children over 18 must be reported. Parents must report changes in income and every year they are sent an income questionnaire.

<u>WASHINGTON CONTACT</u>: Mr. J. T. Taaffe, Jr., Director, Compensation, Pension and Education Service, Department of Veterans' Benefits, Veterans' Administration, Washington, D. C. 20420.

LOCAL CONTACT: Nearest Veterans' Administration Office.

<u>APPLICATION DEADLINES</u>: None, but benefits are payable from the first day of the month in which the veteran's death occurred if the claim is received within 1 year after the date of death; otherwise, benefits are payable from the date of receipt of claim.

APPROVAL/DISAPPROVAL TIME: The following figures include death compensation, dependency and indemnity compensation and death pension as time figures for processing these benefits are grouped under death benefit applications: 54 percent of the applications are approved or disapproved in 30 days or less; 76 percent in 60 days or less; 87 percent in 90 days or less; 98.2 percent in 6 months or less; 1.8 percent in over 6 months.



REWORKING TIME: Figures for average elapsed time between receipt of application and notice of return or reworking reflect all the programs administered by the Chief Benefits Director: 79.7 percent in 7 days or less; 93 percent in 14 days or less; 98.1 percent in 21 days or less; 1.9 percent in over 21 days.

RELATED PROGRAMS: Administered by the Veterans' Administration are compensation for service-connected death and death pension. Social security is administered by the Social Security Administration.



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### 3.03-PENSIONS TO WIDOWS AND CHILDREN

AUTHORIZING STATUTE: Title 38 United States Code, chapter 15, section 541.

ADMINISTRATOR: Chief Benefits Director, Department of Veterans' Benefits, Veterans' Administration, Washington, D. C. 20420.

NATURE OF PROGRAM: To assist widows and children of wartime veterans who died of non-service-connected disabilities.

ELIGIBILITY: Unremarried widows and children of deceased veterans who had at least 90 days of honorable active wartime service or, if less than 90 days, were discharged for a service-connected disability.

# **AVAILABLE ASSISTANCE:**

There are two primary pension laws under which monthly benefits are payable. The "old" pension law in effect prior to July 1, 1960, and the "new" pension law in effect since July 1, 1960.

Under the "old" pension law an unremarried widow without a child whose annual income does not exceed \$1,600 receives \$50.40 monthly. If she has one child, the rate is \$63 plus \$7.56 for each additional child. Also, if she has one or more children, her income limitation is \$2,000 annually. If the widow is in need of regular aid and attendance or a patient in a nursing home an additional \$50 monthly over the basic pension rate is payable. If there is no eligible widow, children's rates are \$27.30 for one child, \$40.95 for two, \$54.60 for three, plus \$7.56 for each additional child.

Under the "new" pension law, monthly benefits are paid on a sliding scale. Monthly benefits for an unremarried widow without a child range from \$17 to \$74 monthly if her annual income does not exceed \$2,000. If she has a child, benefits range from \$41 to \$90 monthly if her annual income does not exceed \$3,200. Also, \$50 monthly is payable in addition to the basic pension rate if the widow needs aid and attendance or is a patient in a nursing home. She is also entitled to \$16 monthly for each additional child over one.

If there is no eligible widow a child may receive \$40 monthly and \$16 for each additional child if the child's annual income does not exceed \$1,800.

Pension is not payable to those whose estates are so large that it is reasonable they look to the estate for maintenance.

USE RESTRICTIONS: Not applicable.

APPROPRIATIONS: Open-ended.

OBLIGATIONS INCURRED: All funds are obligated to certified beneficiaries.



## AVERAGE ASSISTANCE: 1969, \$769.

- ASSISTANCE PREREQUISITES: The veteran must have had honorable active wartime service of at least 90 days, or if less than 90 days, have been discharged for a service-connected disability. He must have died of non-service-connected disabilities not the result of his own willful misconduct. The income and assets of the widow and children must be limited as prescribed by statute. Under the "new" pension law the limitation is \$2,000 annually for a widow without a child and \$3,200 annually for a widow with a child. In addition, the widow must be unremarried, have continuously cohabited with the veteran, and have been married for at least 1 year prior to the veteran's death or no time limit if a child was born to the parties of the marriage.
- <u>POSTGRANT REQUIREMENTS</u>: A widow or child must promptly report a change in marital status and income. Additionally, a change in schooling for a child over 18 but under 23 must be reported. Annual income questionnaires are sent to all beneficiaries under this program.
- WASHINGTON CONTACT: Mr. J. T. Taaffe, Jr., Director, Compensation, Pension, and Education Service, Department of Veterans' Benefits, Veterans' Administration, Washington, D. C. 20420.
- LOCAL CONTACT: Nearest Veterans' Administration office.
- <u>APPLICATION DEADLINES</u>: No time limit, but benefits may be paid from the first day of the month in which the veteran died if the application is received within 1 year after the date of death; otherwise, benefits are payable from the date of receipt of the application.
- APPROVAL/DISAPPROVAL TIME: The following figures include death compensation, dependency and indemnity compensation and death pension as time figures for processing these benefits are grouped under death benefit applications. 54 percent of the applications are approved or disapproved in 30 days or less; 76 percent in 60 days or less; 87 percent in 90 days or less; 98.2 percent in 6 months or less; 1.8 percent in over 6 months.
- REWORKING TIME: Figures for average elapsed time between receipt of application and notice of return or reworking reflect all the programs administered by the Chief Benefits Director. 79.7 percent in 7 days or less; 93 percent in 14 days or less; 98.1 percent in 21 days or less; 1.9 percent in over 21 days.
- RELATED PROGRAMS: Administered by the Veterans' Administration are compensation for service-connected death and dependency and indemnity compensation. Social security as administered by the Social Security Administration.



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3.04- WAR ORPHANS' AND WIDOWS' EDUCATIONAL ASSISTANCE

AUTHORIZING STATUTE: 38 U.S.C. 35, section 1710.

ADMINISTRATOR: Chief Benefits Director, Department of Veterans' Benefits, Veterans' Administration, Washington, D. C. 20420.

NATURE OF PROGRAM: To provide opportunities for education to children whose education would otherwise be impeded or interrupted by reason of the disability or death of a parent from a service-connected disability incurred or aggravated in the Armed Forces and to aid such children in attaining the educational status which they might normally have aspired to and obtained but for the disability or death of such parent. It also provides opportunities to widows of veterans who died of service-connected disabilities and wives of veterans with a service-connected disability permanent in nature for the purpose of assisting them in preparing to support themselves and their families at a standard of living level which the veteran, but for his death or service disability could have expected to provide for his family.

ELIGIBILITY: Generally, wives, widows, and sons and daughters between age 18 and 26 of a veteran who died of a service-connected disability or a living veteran whose service-connected disability is considered permanently and totally disabling, or who died from any cause while such disability was in existence.

AVAILABLE ASSISTANCE: An educational assistance allowance is paid to help the eligible person meet the expenses of training in colleges and schools above the regular high school levels. Monthly benefits not to exceed 36 months range from \$60 to \$130 dependent on whether the eligible person is a half-time, three-quarter-time, or full-time student and whether he is taking institutional courses or is enrolled in cooperative courses (alternating classroom study and related experience on the job). Educational and vocational guidance and development of an educational plan are mandatory. Special restorative training may be provided those who cannot pursue an education because of some physical or mental disability. A monthly allowance of \$130 is paid such persons taking this special training. A higher rate may be paid if the charges for the course exceed \$41 monthly.

<u>USE RESTRICTIONS</u>: Not applicable.

<u>APPROPRIATIONS</u>: Open-ended.

<u>OBLIGATIONS INCURRED:</u> All appropriations are obligated to certified beneficiaries.

AVERAGE ASSISTANCE: Fiscal year 1967, \$924; fiscal year 1968, \$939; fiscal year 1969, \$843.



ASSISTANCE PREREQUISITES: The veteran must have died of a serviceconnected disability or if alive, have a service-connected disability considered permanent and total as a result of service in the Armed Forces after the beginning of the Spanish-American War or died of any cause while a service-connected permanent and total disability was in existence. The child must generally be between ages 18 and 26 and marriage of a child does not preclude entitlement. A widow's remarriage terminates entitlement. Some training such as special restorative training or special vocational courses can be begun before 18. No training may extend beyond a child's 31st birthday. An eligible person may receive up to 36 months of schooling - or the equivalent if encolled part time. The aggregate entitlement to educational benefits under various laws administered by the VA may not exceed 48 months. Correspondence courses, on-the-job training, on-the-farm and flight training are prohibited. The VA must approve the program of training for which the eligible person enrolls. Regular high school training is not available under this program.

POSTGRANT REQUIREMENTS: Monthly certification of attendance for courses not leading to a college degree must be executed by the beneficiary and an official of the school in order for monthly benefits to be paid. For college students certification is required near the end of the enrollment period. The allowance may be discontinued if the beneficiary fails to follow the regularly prescribed standards and practices of the educational institution he attends or if his conduct or progress is unsatisfactory.

WASHINGTON CONTACT: Mr. J. T. Taaffe, Jr., Director, Compensation, Pension, and Education Service, Department of Veterans' Benefits, Veterans' Administration, Washington, D. C. 20420.

LOCAL CONTACT: Nearest Veterans' Administration office.

APPLICATION DEADLINES: None, but the period of eligibility for a wife or widow extends to November 30, 1976, or for 8 years from the date the veteran was first found to have a service-connected total disability permanent in nature or from his date of death, whichever is later. Generally, eligibility for a child terminates at age 26. In some instances, it may be extended for 5 years. For example, service in the Armed Forces in which case eligibility will end 5 years after the first discharge or release from service, but in no event, beyond age 31.

APPROVAL/DISAPPROVAL TIME: Average elapsed time between receipt of an application for educational assistance and notice of approval or disapproval is: 93 percent in 30 days or less, 97 percent in 60 days or less, 99 percent in 90 days or less, 99.8 percent in 6 months or less, and 0.2 percent in over 6 months.

REWORKING TIME: Figures for average elapsed time between receipt of application and notice of return or reworking reflect all the programs administered by the Chief Benefits Director: 79.7 percent in 7 days or less, 93 percent in 14 days or less, 98.1 percent in 21 days or less, and 1.9 percent in over 21 days.



RELATED PROGRAMS: Administered by the Veterans' Administration are veterans' educational assistance and vocational rehabilitation. See the Appendix for "Veterans' Administration Regional Offices."

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#### 3.05-GUARDIANSHIP SERVICE FOR VETERANS AND THEIR DEPENDENTS

AUTHORIZING STATUTE: 38 U.S.C. 3201-4.

ADMINISTRATOR: Chief Benefits Director, Department of Veterans' Benefits, Veterans' Administration, Washington, D. C. 20420.

NATURE OF PROGRAM: Supervision of estate administration of Veterans' Administration benefits paid on behalf of individuals under legal disability because of minority or mental disability.

ELIGIBILITY: Individuals under legal disability with entitlement to VA benefits.

AVAILABLE ASSISTANCE: The relognition or appointment of a proper fiduciary to administer the fund payable.

USE RESTRICTIONS: Funds may be spent for the benefit of the beneficiary.

PAST APPROPRIATIONS: Not applicable.

OBLIGATIONS INCURRED: Not applicable.

AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PREREQUISITES: The applicant needs only knowledge and an interest in a VA beneficiary who is under legal disability.

POSTGRANT REQUIREMENT: Not applicable.

WASHINGTON CONTACT: P. H. Thomas, Director, Guardianship Service, 810 Vermont Avenue NW., Washington, D. C. 20420, DU 9-3701.

LOCAL CONTACT: Any Veterans' Administration office.

<u>APPLICATION DEADLINES</u>: None - Deadlines are applicable to programs which award Veterans' Administration benefits.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

<u>RELATED PROGRAMS</u>: Compensation, pension, educational assistance, and insurance programs of the Veterans' Administration.



#### TRAINING PROGRAMS

- 4.00 Child Health and Human Development--Training Grants
- 4.01 Child Health and Human Development--Fellowships
- 4.02 Preschool, Elementary, and Secondary--Grants to States
- 4.03 Maternal and Child Health Training
- 4.04 Child Welfare Training Projects--Continued Training Projects
- 4.05 Child Welfare Training
- 4.06 State Institutional Training Services
- 4.07 Juvenile Delinquency Prevention and Control--Training
- 4.08 Juvenile Delinquency Planning, Prevention, and Rehabilitation
- 4.09 Juvenile Delinquency Prevention and Control--Model Programs and Technical Assistance
- 4.10 Experimental and Special Training Projects in Mental Health
- 4.11 Staffing of Community Mental Health Centers
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- 4.13 Mental Health Continuing Education Programs
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- 4.15 Encouraging Qualified Persons to Enter Education Careers
- 4.16 Educational Classroom Personnel Training--Early Childhood
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- 4.19 Strengthening State Departments of Education--Grants to States
- 4.20 Educational Staff Training--More Effective School Personnel Utilization
- 4.21 Educational Personnel Training Grants--Career Opportunities

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- 4.22 Educational Personnel Development Support Personnel
- 4.23 Summer Institutes for College Teachers
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- 4.25 Trainers of Teacher Trainers



- 4.26 Teacher Corps--Operations and Training
- 4.27 Handicapped Teacher Education
- 4.28 Handicapped Teacher Recruitment and Information
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- 4.30 New Career Opportunities for the Handicapped
- 4.31 Vocational Education--State Advisory Councils
- 4.32 Vocational Education -- Basic Grants to States
- 4.33 Vocational Education--Planning and Evaluation
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- 4.35 Vocational Education--Curriculum Development
- 4.36 Vocational Education--Consumer and Homemaking
- 4.37 Programs for the Disadvantaged--Special Services in College
- 4.38 Higher Education Act Insured Loans-Guaranteed Student Loan Program
- 4.39 Work-Study Program
- 4.40 Student Aid--Educational Opportunity Grants
- 4.41 Social Services Training-Formula Grants in Public Assistance
- 4.42 Social Services--Training in Social Worl Manpower
- 4.44 Training and Technical Assistance
- 4.45 Volunteers in Service to America
- 4.46 Medical and Allied Health Services Training
- 4.47 Manpower Development and Training Act -- Institutional Training
- 4.48 Manpower Development and Training
- 4.49 New Careers
- 4.50 Concentrated Employment Program
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- 4.52 On-the-Job Training Program
- 4.53 Work Incentive Program



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- 4.54 Special Impact Program
- 4.55 Programs for the Disadvantaged--Upward Bound
- 4.56 Job Corps
- 4.57 Programs for the Disadvantaged--Talent Search
- 4.58 Neighborhood Youth Corps--Summer Program
- 4.59 Neighborhood Youth Corps--Out-of-School Program
- 4.60 Neighborhood Youth Corps--In-School Program
- 4.61 Adult Education Courses
- 4.62 Agriculture Extension for Indians
- 4.63 Adult Basic Education--Grants to States
- 4.64 Extension Programs for Assisting in Community Development
- 4.65 Extension Programs for Improved Nutrition
- 4.66 Extension Programs for Improved Family Living



#### 4.00-CHILD HEALTH AND HUMAN DEVELOPMENT - TRAINING GRANTS

AUTHORIZING STATUTE: 42 U.S.C. 241, section 301 (d). Public Health Service Act.

ADMINISTRATOR: Director, National Institute of Child Health and Human Development, National Institutes of Health, U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: Designed to increase the national supply of trained scientists, teachers, and physicians in basic and clinical sciences related to child health and human development. The programs were established both to improve the quality of training and to increase and strengthen opportunities for promising young scientists and established investigators for careers in medical research. Major emphasis is in the various aspects of human development, behavioral as well as biological, from infancy through the aging process, including the areas of reproduction, child health, and basic life processes, differentiation, maturation, and senescense of human beings.

ELIGIBILITY: Qualified nonprofit institutions.

AVAILABLE ASSISTANCE: Training grants.

<u>USE RESTRICTIONS</u>: The grantee must abide by the terms and conditions as stated in the application form.

APPROPRIATIONS: \$10.1 million fiscal year 1971.

OBLIGATIONS: Fiscal year 1971 - \$6.3 million.

AVERAGE ASSISTANCE: \$52,452.

ASSISTANCE PREREQUISITES: Each application is reviewed on the basis of scientific merit, the qualifications of the program director, and the adequacy of the facilities. Applications must undergo dual review.

POSTGRANT REQUIREMENT: Progress report and report of expenditures.

WASHINGTON CONTACT: Chief, Grants and Contracts Management Branch, National Institute of Child Health and Human Development, National Institutes of Health, Bethesda, Md. 20014, (301) 496-5481.

LOCAL CONTACT: No field office.

APPLICATION DEADLINES: October, February, and June.



APPROVAL/DISAPPROVAL TIME: 6 months.

REWORKING TIME: Does not apply.

RELATED PROGRAMS: Child health and human development fellowships.

PROGRAM ACCOMPLISHMENTS: A total of 155 training grants were active as of April 30, 1969.



#### 4.01-CHILD HEALTH AND HUMAN DEVELOPMENT - FELLOWSHIPS

<u>AUTHORIZING STATUTE</u>: 42 U.S.C. 241, section 301 (c), Public Health Service Act.

ADMINISTRATOR: Director, National Institute of Child Health and Human Development, National Institutes of Health, U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: Supports training of qualified scholars for research and academic careers in the basic and clinical sciences related to child health, human development, and the aging process. Under this program, awards are made directly to individuals, not to an institution. NICHD supports postdoctoral and special fellowships.

ELIGIBILITY: Postdoctoral fellowships are awarded to qualified scientists who need additional research training. The awards provide stipends for those with a doctoral degree taking training in this country or abroad. Research training must be directed by a competent sponsor. A maximum of three years of fellowship support may be requested on one application. Special fellowships also support research training. For candidates who hold an earned doctorate, a minimum of 3 years' relevant postdoctoral experience is required for eligibility; however, special fellowships are usually awarded to individuals with more extensive previous research training and experience. They are also available to qualified persons who do not hold a doctoral degree and for whom other fellowship programs are not appropriate. Partial salary support during sabbatical leave for research training may also be provided to qualified candidates.

AVAILABLE ASSISTANCE: Postdoctoral stipends range from \$6,000 to \$7,000 per year; \$500 is provided for each dependent and \$1,000 allowance is provided to the institution for the fellow's supplies, attendance at professional meetings, etc. Special fellowship stipends are determined on an individual basis. Dependency allowances and supply allowance are also provided.

<u>USE RESTRICTIONS</u>: All fellowship awards are made subject to the provisions of the information booklet which is mailed to successful applicants.

APPROPRIATIONS: \$3.6 million (estimate), fiscal year 1971.

OBLIGATIONS: \$2.2 million (estimate), fiscal year 1971.

AVERAGE ASSISTANCE: Restricted to allowable stipends.



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ASSISTANCE PREREQUISITES: Must be a citizen or noncitizen national of the United States or have been lawfully admitted to the United States for permanent residence. Postdoctoral candidates must have received a Ph.D., M.D., D.D.S., D.O., D.V.M., Sc.D., D. Eng., or equivalent domestic or foreign degree. Prior to formal application, he must arrange for admission to an appropriate institution and acceptance by a sponsor who will supervise his training. Special fellowship applicants must:

1. Have a doctorate or equivalent degree and at least 3 subsequent years of relevant research or professional experience, or

2. Have completed residency requirements in a medical specialty, or 3. Have otherwise demonstrated to the NIH sufficient competence in their field to pursue the proposed research training program. Prior to formal application, an applicant must arrange for admission to an appropriate institution and acceptance by a sponsor who will

<u>POSTGRANT REQUIREMENTS</u>: Formal termination notice which includes a space for a summary of progress and list of publications.

WASHINGTON CONTACT: Chief, Grants and Contracts Management Branch, National Institute of Child Health and Human Development, National Institutes of Health, Bethesda, Md. 20014, (301) 496-5481.

LOCAL CONTACT: No field office.

supervise his training.

<u>APPLICATION DEADLINES</u>: January, April, and October. Applications received too late for one deadline are considered at the following review.

APPROVAL/DISAPPROVAL TIME: 3 to 6 months.

REWORKING TIME: Does not apply.

RELATED PROGRAMS: Child health and human development training grants.

PROGRAM ACCOMPLISHMENTS: A total of 124 fellowships active on April 30, 1969.



# 4.02 PRESCHOOL, ELEMENTARY, AND SECONDARY PERSONNEL DEVELOPMENT GRANTS TO STATES

<u>AUTHORIZING STATUTE</u>: 20 U.S.C. 1108 et seq., Public Law 90-35, section 4, 81 Stat. 87, Education Professions Development Act.

ADMINISTRATOR: Associate Commissioner, Bureau of Educational Personnel Development, Office of Education, U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: To provide grants to States to enable them to support the efforts of State education agencies and local communities experiencing critical teacher shortages to attract persons not engaged in teaching and provide them with the necessary qualifications for teaching, and to attract and train teacher aides.

ELIGIBILITY: State education agencies on the basis of an approved State plan. Local school districts, who submit proposals to State education agencies under the approved State plan. States may directly administer training programs.

AVAILABLE ASSISTANCE: Formula based on number of children enrolled in public and private elementary and secondary schools, but not less than \$100,000 per State.

<u>USE RESTRICTIONS</u>: Federal funds will supplement not supplant funds available from State and/or local sources. Not more than one-third of the funds may be used to support programs to attract and train teacher aides. Distribution by State education agencies must be accomplished by support of projects coming from local jurisdictions having most critical teacher needs.

APPROPRIATIONS: \$15 million, fiscal year 1971 (estimate).

OBLIGATIONS: Information not available.

AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PREREQUISITES: Local school districts submit proposals to their State education agency under the rules and regulations established by the State for operation of the program. The State education agency selects projects to be funded.

POSTGRANT REQUIREMENTS: The Education Professions Development Act requires evaluation of all funded projects.

WASHINGTON CONTACT: Chief, State Programs Branch, Bureau of Educational Personnel Development, U.S. Office of Education, Washington, D.C. 20202 (202) 962-8525.



LOCAL CONTACT: Chief State school officer.

<u>APPLICATION DEADLINES</u>: State plans have been approved by Office of Education. No further application is required.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

<u>RELATED PROGRAMS</u>: Educational personnel training grants - Career Opportunities; Educationally deprived children - local educational agencies.

PROGRAM ACCOMPLISHMENTS: Program has not yet had an operational year.

### 4.03-MATERNAL AND CHILD HEALTH TRAINING

- AUTHORIZING STATUTE: 42 U.S.C. 703, 704, 711, Social Security Act, as amended by the Child Health Act of 1967, Public Law 90-248, section 301, 81 Stat. 921.
- ADMINISTRATOR: Health Services and Mental Health Administration.
- NATURE OF PROGRAM ACTIVITY: This activity supports State and local efforts designed to increase health manpower trained in the various fields pertaining to maternal and child health. The program emphasizes training in a multi-discipline setting for the care of children with multiple handicaps, particularly those who are mentally retarded.
- ELIGIBILITY: Grants may be made to State health and crippled children's agencies, and to public or other nonprofit institutions of higher learning.
- USE RESTRICTIONS: Funds may not be used to support activities unrelated to the purpose of the grant or as matching for other Federal programs.
- APPROPRIATIONS: Approximately \$ 9 million for fiscal year 1971.
- OBLIGATIONS: All obligated for 1971 fiscal year.
- AVERAGE ASSISTANCE: For regular maternal and child health and crippled children's training projects the average grant in fiscal year 1969 was approximately \$72,250. For university-affiliated training projects, the average grant was \$600,000.
- ASSISTANCE PREREQUISITES: Applicants must submit plans outlining the scope and methods of project operations and must conform with requirements established for project operations by the Children's Bureau.
- <u>POSTGRANT REQUIREMENTS</u>: Progress reports must be submitted annually, along with expenditure and other program reports to facilitate program evaluation.
- WASHINGTON CONTACT: Director, Division of Research, Maternal and Child Health Services, Health Services and Mental Health Administration, Department of Health, Education and Welfare, 4600 Fishers Lane (Parklawn Building) Rockville, Md. 20852 (301) 443-2180.



LOCAL CONTACT: Prospective grantees should communicate directly with the Federal Government or with their State health departments.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: About a month is the proposal is in good order.

REWORKING TIME: This depends upon the complexity of the project and the nature of difficulties encountered.

RELATED PROGRAMS: Maternal and child health services, crippled children's services.

PROGRAM ACCOMPLISHMENTS: The training programs in the university—
affiliated centers offer a complete range of services for mentally
retarded children and are demonstrating the specialized services
for the diagnosis, treatment, education, training, and care of
these children, including the retarded with physical handicaps.
They provide clinical training of physicians and other specialized
personnel engaged in research and diagnosis, training, and care of
the retarded. Funds awarded provide support for services, clinical
workshops and institutes. In fiscal year 1968, Children's Bureau
special project funds supported 149 training grants to institutions
of higher learning under which 406 long-term trainees received
training. A breakdown of disciplines with the numbers trained is
as follows:

Physicians	163
Nurses	97
Medical social workers	38
Audiologists-speech pathologists	37
Nutritionists	31
Psychologists	19
Dentists (pedodontics)	18
Physical therapists	2
Geneticist	1

An additional 323 trainees received Children's Bureau supported short-term (i.e., 6 months or less) training in health-related fields.



#### 4.04-CHILD WELFARE TRAINING PROJECTS - CONTINUED TRAINING PROJECTS

- AUTHORIZING STATUTE: Section 426 of Title IV, part B of the Social Security Act as amended, Public Law 90-248, sect. 240 (c), 81 Stat. 915.
- ADMINISTRATOR: Office of Child Development, Office of the Secretary U.S. Department of Health, Education, and Welfare, Washington, D.C. 20201.
- NATURE OF PROGRAM ACTIVITY: Grants are made for special projects for training personnel for work in the field of child welfare, including day care. The grants may be used for teaching grants, traineeships, or short-term training activities. Projects may be approved for a period up to 5 years when this is necessary to realize their objectives. The program is intended to provide a pool of trained child welfare personnel.
- ELIGIBILITY: Only public or other nonprofit institutions of higher learning are eligible. Only departments of social work may apply for teaching grants.
- AVAILABLE ASSISTANCE: Teaching grants may be used to expand and strengthen educational resources in the field of child welfare including classroom and field instruction. Grant funds may be used to provide for costs of personnel, travel, teaching materials, and equipment. There is no specific requirement for cost sharing, but each applicant is expected to finance as large a part of the project costs as possible. Support for traineeships, administered by the grantee, provide for a stipend, dependency allowances, and tuition and fees. Assistance for short-term training projects may be used for personnel, travel, and per diem for professional staff, teaching materials, and, in exceptional circumstances, an allowance toward per diem and travel costs of participants.
- <u>USE RESTRICTIONS</u>: The funds are provided to strengthen and expand educational resources, to train professional personnel to work in the field of child welfare, and to provide short-term training opportunities to increase the competence of personnel working in the field of child welfare.

APPROPRIATIONS: \$5.9 million fiscal year 1971.

OBLIGATIONS: Approximately 1/2 of the appropriation is obligated.

AVERAGE ASSISTANCE: The average grant for a teaching grant and traineeship is \$72,000; for short-term training, \$6,500.



- ASSISTANCE PREREQUISITES: Traineeships, leading to a post baccalaureate degree, are available to students who have a career interest in the field of child welfare. Preference for master's degree traineeships is given to persons newly entering the field of social work. Post-master's degree traineeships are available to qualified individuals to prepare them for leadership positions in the field of child welfare.
- <u>POSTGRANT REQUIREMENTS</u>: The training program is reviewed annually through progress reports as well as site consultation and evaluation. Reports on trainee appointments are also required.
- WASHINGTON CONTACT: Chief, Office of Child Development, Office of the Secretary, U.S. Department of Health, Education, and Welfare.
- LOCAL CONTACT: Regional offices of DHEW: SRS regional representative for family and child welfare services.
- APPLICATION DEADLINES: For teaching grants and traineeships, October 31; applications for short-term training may be submitted at any time during the year.
- APPROVAL/DISAPPROVAL: About 5 months after application deadline.
- REWORKING TIME: Any time between submission of application and review by advisory group in February following submission deadline.
- RELATED PROGRAMS: Social services training in social work manpower; child welfare services; and social services to recipients of aid to families with dependent children.
- PROGRAM ACCOMPLISHMENTS: Since establishment of the program in 1963, reports show that 1,052 trainees have received the master's degree, and 14 students have received the doctoral degree. More than three-fourths of the master; s degree graduates have taken employment in child welfare and related services for children immediately upon graduation. About one-half of the doctoral degree graduates are teaching in schools of social work. The others are employed in research or administration. Grants have been made to all schools of social work, providing for 142 field instruction faculty, 33 classroom and other faculty as well as supporting clerical personnel. One hundred and twenty short-term training projects have been funded through this program.



#### 4.05- CHILD WELFARE TRAINING

- AUTHORIZING STATUTE: 42 U.S.C. 626, section 426 of the Social Security Act, Public Law 90-248, section 240 (c), 81 Stat. 915.
- <u>ADMINISTRATOR</u>: Office of Child Development, Office of the Secretary, Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program activity is to provide a pool of trained personnel for work in the field of child welfare. Spec fically, grants may be used for teaching grants, traineeships, or short-term training activities.
- ELIGIBILITY: Teaching grants are available only to schools of social work. Traineeships and short-term grants are available to schools of social work and other public and nonprofit accredited educational institutions providing training in those professions that will prepare students for work in the field of child welfare.
- AVAILABLE ASSISTANCE: Teaching grants may be used to expand and strengthen educational resources in the field of child welfare including classroom and field instruction. Grant funds may be used to provide for costs of personnel, travel, teaching materials, and equipment. There is no specific requirement for cost sharing, but each applicant is expected to finance as large a part of the project costs as possible. Support for traineeships, administered by the grantee, provide for a stipend, dependency allowances, and tuition and fees. Assistance for short-term training projects may be used for personnel, travel, and per diem for professional staff, teaching materials, and, in exceptional circumstances, an allowance toward per diem and travel costs of participants.
- <u>USE RESTRICTIONS</u>: The funds are provided to strengthen and expand educational resources, to train professional personnel to work in the field of child welfare, and to provide short-term training opportunities to increase the competence of personnel working in the field of child welfare.
- <u>APPROPRIATIONS</u>: \$5.9 million fiscal year 1971.
- <u>AVERAGE ASSISTANCE</u>: The average grant for a teaching grant and traineeships is \$72,000; for short-term training, \$5,500.
- ASSISTANCE PREREQUISITES: Traineeships, leading to a post baccalaureate degree, are available to students who have a career interest in the field of child welfare. Preference for master's degree traineeship's is given to persons newly entering the field of social work. Post-master's degree traineeships are available to qualified individuals to prepare them for leadership positions in the field of child welfare.
- WASHINGTON CONTACT: Office of Child Development Office of the Secretary Washington, D.C. 20201, (202) 755-1000.
- LOCAL CONTACT: Regional offices of DHEW: SRS regional representative for family and child welfare services.

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APPLICATION DEADLINES: For teaching grants and traineeships,
October 31; applications for short-term training may be submitted
at any time during the year.

APPROVAL/DISAPPROVAL: About 5 months after application deadline.

<u>REWORKING TIME</u>: Any time between submission of application and review by advisory group in February following submission deadline.

<u>RELATED PROGRAMS</u>: Social Services—training in social work manpower; child welfare services; and social services to recipients of aid to families with dependent children.

PROGRAM ACCOMPLISHMENTS: Since establishment of the program in 1963, reports show that 1,052 trainees have received the master's degree, and 14 students have received the doctoral degree. More than three-fourths of the master's degree graduates have taken employment in child welfare and related services for children immediately upon graduation. About one-half of the doctoral degree graduates are teaching in schools of social work. The others are employed in research or administration. Grants have been made to all schools of social work, providing for 142 field instruction faculty, 33 classroom and other faculty as well as supporting clerical personnel. One hundred and twenty short-term training projects have been funded through this program.

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#### 4.06-STATE INSTITUTIONAL TRAINING SERVICES

AUTHORIZING STATUTE: Title II of the Manpower Development and Training Act of 1962, as amended.

ADMINISTRATOR: Manpower Administration, U.S. Department of Labor, Washington, D.C. 20210.

NATURE OF PROGRAM ACTIVITY: State employment service offices and State vocational education offices may develop programs jointly for institutional training in day care occupations. The employment service has responsibility for the certification of training needs in specific occupational areas. Local vocational education authorities are responsible for development of course curricula, selection and provision of facilities and instructors, and other related educational matters. When trainees have completed their training, the local office of the employment service is responsible for their referral into appropriate employment and followup. Unmet needs for workers in day care facilities may be brought to the attention of the employment service by individuals, community groups, or government agencies, and training programs developed to fill such needs.

EVIGIBILITY: State employment service offices and State vocational education offices may develop programs jointly.

AVAILABLE ASSISTANCE: The Federal contribution for allowances to trainees is 100 percent; for the cost of institutional training, 90 percent. The non-Federal contribution may be in cost or kind.

APPROPRIATIONS: \$8 million for fiscal year 1971.

OBLIGATIONS: \$8 million for fiscal year 1971.

WASHINGTON CONTACT: Bureau of Employment Security, Department of Labor, Washington, D.C. 20210.

<u>LOCAL CONTACT</u>: Regional offices of Labor or local state employment services office or Regional offices of Bureau of Employment Security.



4.07-JUVENILE DELINQUENCY PREVENTION AND CONTROL - TRAINING

AUTHORIZING STATUTE: Public Law 90-445, Juvenile Delinquency Prevention and Control Act of 1968, title III, 42 U.S.C. 3801-3890.

ADMINISTRATOR: Director, Office of Juvenile Delinquency and Youth Development, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: The purpose of this activity is to provide assistance for projects for the training of personnel employed in or preparing for employment in fields related to the diagnosis, treatment, or rehabilitation of youths who are delinquent or in danger of becoming delinquent. These programs might contain such elements as the provision of day care services for children of mothers engaged in the larger programs and the employment of nonprofessionals in day care facilities.

<u>ELIGIBILITY</u>: Support of training projects may be provided to any Federal, State, local, public or private nonprofit agency or organization.

<u>AVAILABLE ASSISTANCE</u>: Grant or contract funds are available for curriculum development, short-term institutes, and traineeships.

APPROPRIATIONS: \$2 - 3 million for fiscal year 1971.

OBLIGATIONS: Approximately 2/3 of appropriation.

AVERAGE ASSISTANCE: The estimated average size training grant is \$60,000.

ASSISTANCE PREREQUISITES: Support is provided to applicants on the basis of applications evaluated on "equitable criteria".

POSTGRANT REQUIREMENTS: The grantee is required to submit progress reports as necessary and requested by the grantor. Within 90 days after the termination date of the project, the grantee must furnish 40 copies of a final report. A final financial report is required no later than 2 months after the termination date of the budget period. A continuous evaluation process is effected by the grantee and by the Office of Juvenile Delinquency and Youth Development.

WASHINGTON CONTACT: Director, Office of Juvenile Delinquency and Youth Development, Social and Rehabilitation Service, Department of Health, Education, and Welfare, Washington, D.C. 20201, (202) 963-4132.



- LOCAL CONTACT: Applicants should contact Social and Rehabilitation Service regional office Juvenile delinquency representatives.
- APPLICATION DEADLINES: October 3, 1969, for the November Technical Advisory Committee review; January 2, 1970, for the February review; and April 3, 1970 for the May review.
- APPROVAL/DISAPPROVAL TIME: Approximately 2 months from close of filing deadline.
- REWORKING TIME: Approximately 2 months from close of filing deadline.
- RELATED PROGRAMS: Juvenile delinquency prevention and control: planning, prevention, and rehabilitation; model programs and technical assistance. Educationally deprived children in institutions for the neglected or delinquent (Office of Education).
- PROGRAM ACCOMPLISHMENTS: Data not yet available. Initial grants under Public Law 90-445 to be awarded in June 1969.



- 4.08-JUVENILE DELINQUENCY PLANNING, PREVENTION, AND REHABILITATION
- AUTHORIZING STATUTE: Public Law 90-445, Juvenile Delinquency Prevention and Control Act of 1968, title III, 42 U.S.C. 3801-3890.
- ADMINISTRATOR: Director, Office of Juvenile Delinquency and Youth Development, Social and Rehabilitation Service, U.S. Department of Health, Education and Welfare.
- NATURE OF PROGRAM ACTIVITY: The purpose of this activity is to assist States and localities to: (1) prepare comprehensive juvenile delinquency prevention and control plans; (2) provide diagnosis, treatment, rehabilitative, and preventive services to youths who are delinquent or in danger of becoming delinquent; and (3) develop community-based rehabilitative and prevention programs.
- ELIGIBILITY: Comprehensive planning grants: Support of projects for comprehensive planning may be provided to any State or local public agency designated by the chief executive of the applicant jurisdiction. Project planning grants: Support of activities for planning projects or programs mau be provided to any State, county, municipal, or other public agency or nonprofit private agency or organization. Rehabilitation grants: Support of rehabilitation projects may be provided to any public agency, or combination thereof, having responsibility for the rehabilitation of delinquent youth or youth in danger of becoming delinquent. Prevention grants: Support of prevention projects may be provided to any State or local public agency or nonprofit private agency or organization. These programs might contain such elements as the provision of day care services for children of mothers engaged in the larger programs and the employment of nonprofessionals in day care facilities.
- <u>AVAILABLE ASSISTANCE</u>: Grant funds are available for planning, prevention and rehabilitation (including construction) projects.
- <u>USE RESTRICTIONS</u>: Support is provided for the preparation of comprehensive juvenile delinquency plans, the prevention of delinquency and the rehabilitation of youth.
- <u>APPROPRIATIONS</u>: \$10 million for fiscal year 1971.
- OBLIGATIONS: Approximately \$6.6 million for fiscal year 1971.
- AVERAGE ASSISTANCE: The estimated average grant is \$50,000 for comprehensive planning; \$15,000 for project planning; \$100,000 for preventive services; and \$90,000 for rehabilitative services.



- ASSISTANCE PREREQUISITES: Support is provided to applicants on the basis of applications evaluated on "equitable criteria".
- POSTGRANT REQUIREMENTS: The grantee is required to submit progress reports as necessary and requested by the grantor. Within 90 days after the termination date of the project, the grantee must furnish 40 copies of a final report. A final financial report is required no later than 2 months after the termination date of the budget period. A continuous evaluation process is effected by the grantee and by the Office of Juvenile Delinquency and Youth Development.
- WASHINGTON CONTACT: Director, Office of Juvenile Delinquency and Youth Development, Social and Rehabilitation Service, Department of Health, Education, and Welfare, Washington, D.C. 20201 (202) 963-4132.
- LOCAL CONTACT: Applicants should contact Social and Rehabilitation Service regional office juvenile delinquency representatives.
- APPLICATION DEADLINES: September 5, 1969, for the October Technical Advisory Committee review; December 5, 1969, for the January review; and March 13, 1970 for the April review.
- APPROVAL/DISAPPROVAL TIME: Approximately 2 months from close of filing deadline.
- REWORKING TIME: Approximately 2 months from close of filing deadline.
- RELATED PROGRAMS: Juvenile delinquency prevention and control: training; model programs and technical assistance, educationally deprived children in institutions for the neglected or delinquent (Office of Education).
- PROGRAM ACCOMPLISHMENTS: Data not yet available. Initial grants under Public Law 90-445 to be awarded in June 1969.



## 4.09- JUVENILE DELINQUENCY PREVENTION AND CONTROL - MODEL PROGRAMS AND TECHNICAL ASSISTANCE

- AUTHORIZING STATUTE: Public Law 90-445, Juvenile Delinquency Prevention and Control Act of 1968, title III, 42 U.S.C. 3801-3890.
- <u>ADMINISTRATOR</u>: Director, Office of Juvenile Delinquency and Youth Development, Social Rehabilitation Service, Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The purpose of this activity is to provide assistance for projects which will develop improved techniques and practices in the juvenile delinquency and control area and to provide support for the rendering of technical assistance in matters relating to juvenile delinquency and control.
- ELIGIBILITY: Support of improved techniques and practices projects may be provided to any state, local or other public agency, or nonprofit agency or organization. Support of direct technical assistance projects may be provided to any local public agency or nonprofit agency or organization. Support of projects involving technical assistance to local units may be provided to any State agency designated in a State's comprehensive juvenile delinquency plan.
- AVAILABLE ASSISTANCE: In addition to the support of improved techniques and practices through grants, contracts for such purposes may be made with public or private agencies and organizations and with individuals. Grants or contracts are available for direct technical assistance. Grant support only is available for technical assistance to local units.
- <u>USE RESTRICTIONS</u>: Funds are available for improved techniques and practices projects and for rendering of technical assistance.
- APPROPRIATIONS: \$2 3 million for fiscal year 1971 (estimate).
- OBLIGATIONS: Approximately 2/3 of appropriation.
- AVERAGE ASSISTANCE: The estimated average size improved techniques and practices project is \$80,000. The estimated average size technical assistance grant is \$15,000.
- ASSISTANCE PREREQUISITES: Support is provided to applicants on the basis of applications evaluated on "equitable criteria".
- POSTGRANT REQUIREMENTS: The grantee is required to submit progress reports as necessary and requested by the grantor. Within 90 days after the temination date of the project, the grantee must furnish 40 copies of a final report. A final financial report is required no later than 2 months after the termination date of the budget period. A continuous evaluation process is effected by the grantee and by the Office of Juvenile Delinquency and Youth Development.

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- WASHINGTON CONTACT: Director, Office of Juvenile Delinquency and Youth Development, Social and Rehabilitation Service, Department of Health, Education, and Welfare, Washington, D.C. 20201, (202) 963-4132.
- LOCAL CONTACT: Applicants should contact Social and Rehabilitation Service regional office Juvenile delinquency representatives.
- APPLICATION DEADLINES: October 10, 1969, for November Technical Advisory Committee review; January 9, 1970, for February review; and April 10, 1970, for the May review.
- <u>APPROVAL/DISAPPROVAL TIME</u>: Approximately 2 months from close of filing deadline.
- REWORKING TIME: Approximately 2 months from close of filing deadline.
- RELATED PROGRAMS: Juvenile delinquency prevention and control:
  planning, prevention and rehabilitation; training; educationally
  deprived children in institutions for the neglected or delinquent
  (Office of Education).
- PROGRAM ACCOMPLISHMENTS: Data not yet available. Initial grants under Public Law 90-445 to be awarded in June 1969.



4.10-EXPERIMENTAL AND SPECIAL TRAINING PROJECTS IN MENTAL HEALTH

AUTHORIZING STATUTE: Public Law 79-487 (July 3, 1946), as amended.

ADMINISTRATOR: Dr. Ralph Simon, Experimental and Special Training Branch, Division of Manpower and Training Programs, National Institute of Mental Health, Barlow Building, Chevy Chase, Maryland 20203.

NATURE OF PROGRAM ACTIVITY: Grants are made to eligible training settings for experimental projects for, among others, the development of training programs for persons whose roles or functions may be related to mental health. Many persons from fields other than the basic mental health disciplines, such as personnel in day care centers, utilize mental health content and skills as an integral part of their functions. Training programs to equip such persons with mental health backgrounds may be eligible for support.

ELIGIBILITY: Experimental and special training projects may be developed in both academic and nonacademic settings for professional, subprofessional, and nonprofessional training for a variety of functions, including research, service, and teaching in the field of mental health.

AVAILABLE ASSISTANCE: Grants for innovative programs.

<u>USE RESTRICTIONS</u>: Support is limited to the pilot or experimental phase of the program. A request for further support must be submitted as a new project and is considered in competition with other requests for training grant funds. Funds may be requested for teaching costs and, where applicable, for trainee stipends which may be made available to trainees who pursue training on a full-time basis. The amount of the stipend is determined generally by the trainee's academic status.

APPROPRIATIONS: \$3 million (approximately) for fiscal year 1971.

<u>OBLIGATIONS</u>: Most money obligated to ongoing projects, some money will be available to new projects.

AVERAGE ASSISTANCE: \$60,000.

ASSISTANCE PREREQUISITES: As specified in guidelines.

POSTGRANT REQUIREMENTS: Periodic and final reports, monitoring of progress.



WASHINGTON CONTACT: Dr. Ralph Simon, Experimental and Special Training Branch, Division of Manpower and Training Programs, National Institute of Mental Health, Barlow Building, Chevy Chase, Maryland 20203.

LOCAL CONTACT: None.

APPLICATION DEADLINES: Feb. 1, June 1, and Sept. 1.

APPROVAL/DISAPPROVAL TIME: June for February deadlines, November for June deadlines, March for September deadlines.

REWORKING TIME: Proposal cannot be considered until next deadline date.

RELATED PROGRAMS: Mental Health Training Grants.



#### 4.11-STAFFING OF COMMUNITY MENTAL HEALTH CENTERS

- AUTHORIZING STATUTE: 42 U.S.C. 2688(a)-(d), Public Law 89-105, 79 Stat. 427, as amended by Public Law 90-31, 81 Stat. 79.
- ADMINISTRATOR: Director, Division of Mental Health Service Programs,
  National Institute of Mental Health, Health Services and Mental Health
  Administration, Public Health Service, Department of Health, Education,
  and Welfare.
- NATURE OF PROGRAM ACTIVITY: Under this program, grants are provided to help meet the initial cost of professional and technical personnel to provide new mental health services in community mental health centers.
- <u>ELIGIBILITY</u>: Public and private nonprofit community mental health centers are eligible for direct support.
- AVAILABLE ASSISTANCE: Funds are awarded on a percentage of cost basis for a period of four years and three months. This ranges from 75 percent of eligible technical and professional personnel costs in the first 15 months of operation to 30 percent in the final year of support. Staffing grants are awarded by the National Institute of Mental Health directly to the facility on a 12-month basis.
- <u>USE RESTRICTIONS</u>: Funds are available only toward the personnel costs of a new or expanded mental health service.
- APPROPRIATIONS: \$47.5 million (1970), \$60.0 million (1971 estimate).
- OBLIGATIONS: \$67 million (no new grants can be made in 1971).
- <u>AVERAGE ASSISTANCE</u>: The first year award to a typical center averages \$283,000.
- ASSISTANCE PREREQUISITES: The chief requirements are provision by the community mental health center of five essential services inpatient, outpatient, 24-hour emergency care, partial hospitalization (day or night care), and consultation and education services to community agencies and professionals. These are to be made available to all residents within the center's area.
- POSTGRANT REQUIREMENTS: The applicant must open the center on the starting date agreed to, which may not be more than 9 months after the grant is awarded. The five essential services (see "Assistance Prerequisites") must be provided. The center must live up to all assurances made in the grant application. A written report must be made near the end of each year with the application for funds for the following year.



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- WASHINGTON CONTACT: Director, Division of Mental Health Service Programs, National Institute of Mental Health, Health Services and Mental Health Administration, 5454 Wisconsin Avenue. Chevy Chase, Maryland 20015, (301) 496-0438.
- LOCAL CONTACT: Associate Regional Health Director for Mental Health, Department of Health, Education, and Welfare regional office for appropriate area.
- <u>APPLICATION DEADLINES</u>: Four or five review periods are established by NIMH each year, with the dates varying from year to year. An application must be received 30 days prior to the first day of the review period in which it is considered.
- APPROVAL/DISAPPROVAL TIME: 30 to 45 days.
- REWORKING TIME: Varies according to the needs of the applicant and the review periods scheduled.
- RELATED PROGRAMS: Construction grants for community mental health
  centers.
- PROGRAM ACCOMPLISHMENTS: The program is helping communities across the country develop mental health centers where people with mental and emotional problems can get comprehensive and continuing treatment in their own communities. The centers also feature preventive programs, in work with schools, physicians, clergy, and community agencies. By the end of 1968, Federal grants for construction and/or staffing of services covering more than 50 million Americans had been made to 351 centers in 49 States, Puerto Rico, and the District of Columbia. Between July 1, 1965, and December 31, 1968, \$96.6 million was awarded in staffing grants to 182 centers.



#### 4.12- MENTAL RETARDATION INITIAL STAFFING OF COMMUNITY FACILITIES

- AUTHORIZING STATUTE: 42 U.S.C. 295, Public Laws 88-164 and 90-170.
- ADMINISTRATOR: Director, Division of Mental Retardation, Rebabilitation Services Administration, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: To provide grants to pay for part of the cost of compensation of professional and technical personnel for the initial operation of new community facilities or for initiating new services in existing facilities.
- ELIGIBILITY: New public or nonprofit community facilities for the mentally retarded and existing public and nonprofit facilities initiating new services.
- AVAILABLE ASSISTANCE: Direct financial assistance up to 75 percent of the cost of the first 15 months; 60 percent for the next 12 months; 45 percent for the next 12 months; and 30 percent for the last 12 months.
- <u>USE RESTRICTIONS</u>: Funds can only be used for approved compensation of professional and technical personnel.
- APPROPRIATIONS: \$12 million (estimate) fiscal year 1970; \$835 million, fiscal year 1969.
- OBLIGATIONS: \$835 million, fiscal year 1969; none, fiscal year 1968.
- AVERAGE ASSISTANCE: \$42,000 (estimate) new program.
- ASSISTANCE PREREQUISITES: Must be for the initial operation on new community facilities or for initiating new services in existing facilities.
- POSTGRANT REQUIREMENTS: Financial and program progress reports.
- WASHINGTON CONTACT: Director, Division of Mental Retardation, Rehabilitation Services Administration, Social and Rehabilitative Service, U.S. Department of Health, Education, and Welfare, 4040 North Fairfax Drive, Arlington, Va. 22203, (703) 557-6135.
- LOCAL CONTACT: DHEW regional office.
- <u>APPLICATION DEADLINES:</u> Anytime during year but are reviewed in November, March, and June.



APPROVAL/DISAPPROVAL TIME: Approximately 4 months.

REWORKING TIME: Approximately 1 month.

RELATED PROGRAMS: Mental retardation community facilities construction.

PROGRAM ACCOMPLISHMENTS: In 1969, the first year for this program approximately 200 projects will be awarded, providing services to about 26,000 retardates. These grants will help make it possible for States to staff diagnostic and evaluation clinics and to operate those facilities determined to be of the highest priority, generally in disadvantaged areas and in areas of low income.



#### 4.13-MENTAL HEALTH CONTINUING EDUCATION PROGRAMS

- AUTHORIZING STATUTE: Public Law 79-487 (July 3, 1946), as amended.
- ADMINISTRATOR: Dr. Thomas Webster, Continuing Education Branch,
  Division of Manpower and Training Programs, National Institute
  of Mental Health, Barlow Building, Chevy Chase, Maryland 20203.
- NATURE OF PROGRAM ACTIVITY: Priority is given to projects which:

  (1) develop strong continuing education divisions within training centers for pestgraduate training in mental health professions that update skills; (2) make continuing education an integral part of community and State mental health planning and programming; or (3) provide training directed to the needs of a specific group of potential trainees. For therapeutic personnel, support is available for continuing education in mental health for nurses, practical nurses, aides, child care workers, occupational therapists, recreational therapists, art therapists, work therapists, and volunteers.
- ELIGIBILITY: Grants may be made to any public or private nonprofit institution such as a college or university, community health center, hospital, clinic, professional organization, or State or community agency.
- AVAILABLE ASSISTANCE: Support for continuing education programs is available through project grants, consultation, use of State grant-in-aid funds, contracts, special grants, conference grants, and collaborative research contracts or grants. Liason is maintained with other Federal and State sources of funds, such as the National Institutes of Health and its regional medical centers, Office of Education, Office of Vocational Rehabilitation, and Office of Economic Opportunity. Funds may be requested to defray the expenses incurred by institutions or organizations in developing or conducting continuing education programs.
- <u>USE RESTRICTIONS</u>: Funds are not generally provided for stipends, subsistence, travel, or fees for persons in training.
- APPROPRIATIONS: Undetermined portion of total \$116 million for all NIMH craining portions.
- OBLIGATIONS: 80% to 100% to ongoing projects for fiscal year 1971.
- AVERAGE ASSISTANCE: \$5000 \$100,000.
- <u>ASSISTANCE PREREQUISITES:</u> These are specified in the proposal guidelines.



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POSTGRANT REQUIREMENTS: Final report is required; as well as annual progress report and expenditures report for ongoing projects.

<u>WASHINGTON CONTACT</u>: Dr. Thomas G. Webster, Room 11A19 Barlow Building, 5454 Wisconsin Avenue, Chevy Chase, Maryland 20015.

LOCAL CONTACT: HEW Regional Office.

APPLICATION DEADLINES: September 1, February 1, June 1.

APPROVAL/DISAPPROVAL TIME: Approximately 1 month.

REWORKING TIME: No limit is imposed, but disapproved project would have to wait another year before the project could be started. Preliminary counsel is available to potential applicants and is recommended that it be taken advantage of before proposals are written.

RELATED PROGRAMS: Mental Health Training Grants.

PROGRAM ACCOMPLISHMENTS: Programs have included psychiatry in non-psychiatrist positions; as a travelling psychiatrist in rural area doing continuing education programs with physicians and nurses with the result that readmitted mental patients to state mental hospitals from this area decreased; grants for post-graduate training; sponsoring of urban violence study center; training of non-professionals in Washington, D.C., in mental health; training in community psychiatry for trained psychiatrists; and training of teachers to work with Mexican-American children and their socio-economic problems.



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#### 4.14- MENTAL HEALTH TRAINING GRANTS

- AUTHORIZING STATUTE: 42 U.S.C. 241, 242a, 289c, Public Health Service Act, sections 301, 303, 433.
- <u>ADMINISTRATOR</u>: Director, Division of Manpower and Training Programs, National Institute of Mental Health, Health Services and Mental Health Administration, Public Health Service, Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program provides training grants in the mental health disciplines for support of training at the professional level. Grants are also made to eligible institutions and agencies for innovative and experimental projects, to train persons whose roles are related to mental health, such as teachers and ministers, and new types of mental health personnel, such as subprofessional aides and indigenous workers. Support is also provided for training of manpower to deal specifically with such major problem areas as alcoholism, suicide, and drug abuse.
- ELIGIBILITY: Colleges, universities, and other training institutions may apply for support of teaching costs, and allowances to trainees. Individuals apply for stipends directly to the training institution.
- AVAILABLE ASSISTANCE: Grants are available for the following types of training: Professional training in psychiatry, psychology, social work, and psychiatric nursing; behavioral sciences training; continuing education in mental health; experimental and special training; training in research; public health training; training in special problem areas related to mental health.
- <u>USE RESTRICTIONS</u>: Funds may be used by institutions for expenses necessary to carry out the proposed training program, such as salaries of professional and nonprofessional personnel, fees for consultants and/or visiting lecturers, supplies, equipment, travel, and other direct costs essential to the training program.
- APPROPRIATIONS: \$116.3 million fiscal year 1971.
- AVERAGE ASSISTANCE: The average training grant in fiscal year 1969 was \$50,000.
- ASSISTANCE PREREQUISITES: Applications are accepted from schools, institutions, and agencies to support training programs. State mental hospitals may apply for programs of hospital staff development. Students and professionals interested in receiving support must apply directly to the training institution.



<u>POSTGRANT REQUIREMENTS</u>: Quarterly and annual reports of expenditures are required from each grantee, as are periodic progress reports. Audits are performed as requested by the grantee, or as required. Site visits, correspondence, and other contacts with the grantee are other facets of a continuing evaluation.

WASHINGTON CONTACT: Director, Division of Manpower and Training Programs, National Institute of Mental Health, Health Services and Mental Health Administration, 5454 Wisconsin Avenue, Chevy Chase, Md. 20015, (301) 496-0451.

LOCAL CONTACT: Associate Regional Health Director for Mental Health, Department of Health, Education, and Welfare regional office for the appropriate area.

APPLICATION DEADLINES: February 1, June 1, and October 1.

APPROVAL/DISAPPROVAL TIME: 5 to 6 months.

REWORKING TIME: Applications are generally subject to continuous discussion throughout the review process.

RELATED PROGRAMS: Mental health fellowships.

PROGRAM ACCOMPLISHMENTS: Between 1948 and the end of fiscal year 1969, NIMH has supported the training of over 37,000 professionals in psychiatry, psychology, psychiatric social work, and nursing, and more than 5,000 others in the social and biological sciences, public health, and interdisciplinary programs. As evidence of the impact of the training programs, between 1960 and 1966, the number of psychiatrists increased by 45 percent, psychologists by 37 percent, social workers by 73 percent, and psychiatric nurses by Trainee stipends from Federal funds have grown from 79 percent. over 200 in 1948 to over 11,000 in 1968. In addition, 12,000 nonpsychiatric physicians have received training courses in psychiatry. As intended, the scope of the Institute's training program has extended beyond traditionally recognized core mental health disciplines. Through pilot, experimental and inservice projects, innovative training programs have been created, many of which emphasize new concepts of community-based mental health services. As a result, mental health knowledge and methods have been provided to those who deal with troubled and disturbed people at the earliest stages of their difficulties - for example, to the clergy, medical practitioners, judges, or school personnel. High priorities has also been given to new approaches that incorporate the training and employment of the indigenous mental health worker. Such a person knows more intimately how his neighbors think and feel and what their problems are; with special training, he has extended significantly the reach of the traditional professional mental health workers.



#### 4.15-ENCOURAGING QUALIFIED PERSONS TO ENTER EDUCATION CAREERS

AUTHORIZING STATUTE: 20 U.S.C. 1094, Education Professions Development Act, Public Law 90-35, 81 Stat. 83; and 20 U.S.C. 1091, Higher Education Act of 1965, title V, Public Law 89-329, 79 Stat. 1254.

<u>ADMINISTRATOR</u>: Bureau of Educational Personnel Development, Office of Public Information, U.S. Office of Education, Washington D.C. 20202.

NATURE OF PROGRAM: This program awards grants and contracts to support efforts to reduce the chronic shortage of teachers and improve the quality of instruction in our Nation's schools. Support is extended to programs that: (1) encourage capable high school students to prepare for careers in education; (2) publicize career opportunities in education; (3) encourage qualified persons to reenter the education profession; and (4) encourage artists, craftsmen, scientists, homemakers, and persons from other vocations to become part-time or temporary teachers. National information center on educational careers for high school students.

<u>ELIGIBILITY</u>: State and local education agencies, colleges and universities, and other public, private, or nonprofit organizations are eligible and can submit proposals directly to the address shown below.

APPROPRIATIONS: \$500,000 for fiscal year 1971.

OBLIGATIONS: None.

POSTGRANT REQUIREMENTS: Evaluation by Bureau at end of grant.

WASHINGTON CONTACT: Bureau of Educational Personnel Development,
Office of Public Information, U.S. Office of Education, Washington,
D.C. 20202.

APPLICATION DEADLINE: September 1 (letter of intent).

APPROVAL/DISAPPROVAL TIME: Less than a month.

RELATED PROGRAMS: Educational Personnel Development Grants.

PROGRAM ACCOMPLISHMENTS: Accomplishments include a three college workshop to recruit and inform disadvantaged high school students about possibilities of educational careers.



- 4.16-EDUCATIONAL CLASSROOM PERSONNEL TRAINING EARLY CHILDHOOD
- AUTHORIZING STATUTE: (20 U.S.C. 1111 et seq.), Public Law 89-329, title V-C of the Higher Education Act of 1965, as amended by parts C and D of Public Law 90-35, Education Professions Development Act.
- ADMINISTRATOR: Associate Commissioner, Bureau of Educational Personnel Development, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The early childhood program will support projects to train and retrain educational personnel for programs for young children, ages 3 to 9.
- ELIGIBILITY: Insitutions of higher education and local and State education agencies.
- AVAILABLE ASSISTANCE: Eligible applicants submit proposals for project grants to the Office of Education. Amount of funding varies with program type.
- <u>USE RESTRICTIONS</u>: State education agencies must be satisfied that local education agency projects will be coordinated with programs under part B, EPDA.
- <u>APPROPRIATIONS</u>: \$81.9 million fiscal year 1971 (includes all training programs).
- OBLIGATIONS: Approximately \$3 million for this program. Almost all obligated for fiscal year 1971.
- AVERAGE ASSISTANCE: Varies by program.
- ASSISTANCE PREREQUISITES: Proposals must be approved by the Office of Education.
- <u>PROSTGRANT REQUIREMENTS</u>: The Education Professions Development Act requires evaluation of all funded projects.
- WASHINGTON CONTACT: Chief, Staff Development Branch, Bureau of Educational Personnel Development, U.S. Office of Education, Washington, D.C. 20202 (202) 963-7451.
- LOCAL CONTACT: Not applicable.
- APPLICATION DEADLINES: Early childhood program: prospectuses due August 1, 1970; proposals due November 1, 1969.



APPROVAL/DISAPPROVAL TIME: 3 to 4 months.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Trainers of teacher trainers; educational personnel training grants - career opportunities; educational staff training - teacher leadership development; educational staff training - more effective school personnel utilization; strengthening school administration - training grants; educational personnel development - support personnel; vocational educational personnel training; educational classroom personnel training - basic studies; educational classroom personnel training - special education.

PROGRAM ACCOMPLISHMENTS: Early childhood program has not yet had an operational year.

- 4.17-EDUCATIONAL CLASSROOM PERSONNEL TRAINING SPECIAL EDUCATION
  - AUTHORIZING STATUTE: (20 U.S.C. 1111 et seq.), Public Law 89-329, title V-C of the Higher Education Act of 1965 as amended by parts C and D of Public Law 90-35, Education Professions Development Act.
  - ADMINISTRATOR: Associate Commissioner, Bureau of Educational Personnel Development, Office of Education, U.S. Department of Health, Education, and Welfare.
  - NATURE OF PROGRAM ACTIVITY: The special education program will support projects to train regular classroom teachers and other educational personnel to meet the needs of handicapped children in regular classrooms.
  - <u>ELIGIBILITY</u>: Institutions of higher education and local and State education agencies.
  - AVAILABLE ASSISTANCE: Eligible applicants submit proposals for project grants to the Office of Education. Amount of funding varies with program type.
  - <u>USE RESTRICTIONS</u>: State education agencies must be satisfied that local education agency projects will be coordinated with programs under part B, EDPA.
  - <u>APPROPRIATIONS</u>: \$81.9 million fiscal year 1971 (includes all EPDA training programs).
  - OBLIGATIONS: Not available information.
  - AVERAGE ASSISTANCE: Varies by program.
  - ASSISTANCE PREREQUISITES: Proposals must be approved by the Office of Education.
  - <u>POSTGRANT REQUIREMENTS</u>: The Education Professions Development Act requires evaluation of all funded projects.
  - WASHINGTON CONTACT: Chief, Special Education Branch, Bureau of Educational Personnel Development, U.S. Office of Education, Washington, D.C. 20202 (202) 963-7973.



LOCAL CONTACT: Not applicable.

APPLICATION DEADLINES: Special education programs: prospectuses due August 1, 1966; proposals due November 1, 1969.

APPROVAL/DISAPPROVAL TIME: 3 to 4 months.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Trainers of teacher trainers; educational personnel training grants - career opportunities; educational staff training - teacher leadership development; educational staff training - more effective school personnel utilization: strengthening school administration - training grants; educational personnel development - support personnel; vocational educational personnel training; educational classroom personnel training - early childhood; educational classroom personnel training - basic studies.

PROGRAM ACCOMPLISHMENTS: Special education program has not yet had an operational year.

## 4.18-EDUCATIONAL STAFF TRAINING - TEACHER LEADERSHIP DEVELOPMENT

- AUTHORIZING STATUTE: (20 U.S.C. 1111, et seq.), Public Law 89-329, title V-C of the Higher Education Act of 1965, as amended by parts C and D of Public Law 90-35, Education Professions Development Act.
- ADMINISTRATOR: Associate Commissioner, Bureau of Educational Personnel Development, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The teacher leadership development program supports projects to bring talented college graduates into instructional roles in elementary and secondary schools.
- ELIGIBILITY: The teacher leadership development program is funded under part C of EPDA. Only institutions of higher education are eligible applicants.
- <u>AVAILABLE ASSISTANCE</u>: Eligible applicants submit proposals for project grants to the Office of Education. Amount of funding varies with program type.
- <u>USE RESTRICTIONS:</u> Funds may be used only for preservice, graduate fellowships to train instructional decisionmakers, not to train administrative personnel.
- APPROPRIATIONS: \$81.9 million fiscal year 1971 (includes all EPDA training programs).
- OBLIGATIONS: Information not available.
- AVERAGE ASSISTANCE: Varies by program.
- ASSISTANCE PREREQUISITES: Proposals must be approved by the Office of Education.
- <u>POSTGRANT REQUIREMENTS</u>: The Education Professions Development Act requires evaluation of all funded projects.
- WASHINGTON CONTACT: Chief, Teacher Leadership Development Branch, Bureau of Educational Personnel Development, U.S. Office of Education, Washington D.C. 20202, (202) 963-7457.
- LOCAL CONTACT: Not applicable.



APPLICATION DEADLINES: Prospectuses due August 1, 1969; proposals due November 1, 1969.

APPROVAL/DISAPPROVAL TIME: 3 to 4 months.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Educational classroom personnel training - basic studies; educational classroom personnel training - early childhood; educational classroom personnel training - special education; trainers of teacher trainers; educational personnel training grants - career opportunities; strengthening school administration - training grants; educational personnel development - support personnel; vocational educational personnel training; educational staff training - more effective school personnel utilization.

PROGRAM ACCOMPLISHMENTS: Program has not yet had an operational year.



# 4.19\_STRENGTHENING STATE DEPARTMENTS OF EDUCATION GRANTS TO STATES

- AUTHORIZING STATUTE: 20 U.S.C. 863, Elementary and Secondary Education Act of 1965, Public Law 89-10, title V, Section 503 79 Stat. 49; as amended by Public Law 89-750, title I, sections 152(a), 154, 80 Stat. 1203, amended by Public Law 90-247, title I, sections 144, 145, 81 Stat. 799,800.
- <u>ADMINISTRATOR</u>: Director, Division of State Agency Cooperation, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program aims to stimulate and assist States in strengthening the leadership resources of their State educational agencies, and to assist those agencies in the establishment and improvement of programs to identify and meet the educational needs of States.
- ELIGIBILITY: The State educational agencies of all States, the District of Columbia, Puerto Rico, Guam, American Samoa, the Virgin Islands, and the Trust Territory of the Pacific Islands are eligible for grants.
- AVAILABLE ASSISTANCE: a. The apportionment to the States and the District of Columbia is distributed as follows: (1) 40 percent in equal amounts. (2) 60 percent according to the ratio of the number of public school pupils in the State to the number of public school pupils in all the States. b. An amount note to exceed 2 percent of the appropriation is distributed among Puerto Rico, Guam, American Samoa, the Virgin Islands and the Trust Territory of the Pacific Islands. c. Each State is required to distribute a portion of its allotment (10 percent in fiscal year 1969) in an equitable manner on the basis of need among local educational agencies to be used by such agencies for any of the purposes of the program as applied to a local educational agency in lieu of a State educational agency.
- <u>RESTRICTIONS</u>: Grants are made to the State educational agency for the planning of, and for programs for, the development, improvement, or expansion of activities which will strengthen its leadership resources and ability to identify and meet the educational need of the State.
- APPROPRIATIONS: Approximately \$28 million fiscal year 1971.
- OBLIGATIONS: Based on the above formula, see available assistance.
- AVERAGE ASSISTANCE: Usually each State applies for the full amount of its entitlement. In fiscal year 1969, State allotments ranged from \$242,131 for Alaska to \$1,908,448 for California.
- ASSISTANCE PREREQUISTES: The State education agency must submit to the Office of Education an application for funds (not to exceed its allotment) describing the activities to be undertaken. Local agencies apply to their State agency for program funds.



POSTGRANT REQUIREMENTS: The grant is monitored by the Division of State
Agency Cooperation to ascertain that the funds have been expended for
the purpose stated in the application. An annual report, including financial
data, is required.

<u>WASHINGTON CONTACT</u>: Director, Division of State Agency Cooperation, Bureau of Elementary and Secondary Education, U.S. Office of Education, Washington, D.C. 20202, (202) 962-2157.

LOCAL CONTACT: A program coordinator is designated by the chief State school officer of the State.

APPLICATION DEADLINES: Funds are appropriated annually beginning July 1. No expenditure may be charged against an appropriation until an application has been received by the Commissioner in substantially approvable form.

APPROVAL/DISAPPROVAL TIME: About 2 weeks.

REWORKING TIME: About 2 weeks.

<u>RELATED PROGRAMS</u>: Title V, Section 505, Public Law 89-10-grants for special projects.

PROGRAM ACCOMPLISHMENTS: State agencies have concentrated their title V funds on strengthening their general administrative capability and on improving their instructional services to local education agencies. Lesser, though significant, portions have been devoted to statistical and data processing services and to planning and evaluation. Since the program was enacted, State departments of education have been able to increase the number of their professional personnel by 4,260 or 65 precent. There has been marked progress in reinforcing the capability of the State agencies to identify and meet the educational needs of their States and to proved competent leadership and service to local school systems. The State agencies are increasingly focusing on educational issues broader than statistics gathering and regulatory activities in an effort to stimulate imaginative solutions for improving the quality and equity of educational opportunities. Under the aegis of V the majority of the Stace departments of education have participated in self-analysis reviews as a joint undertaking of the State agency and the U.S. Office of Education.



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#### 4.20-EDUCATIONAL STAFF TRAINING - MORE EFFECTIVE SCHOOL PERSONNEL UTILIZATION

- AUTHORIZING STATUTE: (20 U.S.C. 1111, et seq.), Public Law 89-329, title V-C of the Higher Education Act of 1965, as amended by parts C and D of Public Law 90-35, Education Professions Development Act.
- <u>ADMINISTRATOR</u>: Associate Commissioner, Bureau of Educational Personnel Development, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The more effective school personnel utilization program supports projects to train elementary and secondary school personnel for new roles resulting from the adoption of differentiated staffing patterns in the schools.
- ELIGIBILITY: Institutions of higher education, State and local education agencies are eligible to submit proposals for the more effective school personnel utilization program. Priority is given, however, to projects initiated by local education agencies.
- AVAILABLE ASSISTANCE: Eligible applicants submit proposals for project grants to the Office of Education. Amount of funding varies with program type.
- <u>USE RESTRICTIONS</u>: State education agencies must be satisfied that local education agency projects will be coordinated with programs under part B of EPDA.
- <u>APPROPRIATIONS</u>: \$81.9 million fiscal year 1971 (includes all EPDA training programs).
- OBLIGATIONS: Information not available.
- AVERAGE ASSISTANCE: Varies by program.
- ASSISTANCE PREREQUISITES: Proposals must be approved by the Office of Education.
- <u>POSTGRANT REQUIREMENTS</u>: The Education Professions Development Act requires evaluation of all funded projects.
- WASHINGTON CONTACT: Chief, Staff Development Branch, Bureau of Educational Personnel Development, U.S. Department of Education, Washington, D.C. 20202 (202) 962-7451.



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LOCAL CONTACT: Not applicable.

<u>APPLICATION DEADLINES</u>: Prospectuses due August 1, 1969; proposals due November 1, 1969.

APPROVAL/DISAPPROVAL TIME: 3 to 4 months.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Educational classroom personnel training - basic studies; educational classroom personnel training - early childhood; educational classroom personnel training - special education; trainers of teacher trainers; educational personnel training grants - career opportunities; strengthening school administration - training grants; educational personnel development - support personnel; vocational educational personnel training; educational staff training - teacher leadership development.

PROGRAM ACCOMPLISHMENTS: Program has not yet had an operational year.

#### 4 21-EDUCATIONAL PERSONNEL TRAINING GRANTS - CAREER OPPORTUNITIES

- AUTHORIZING STATUTE: (20 U.S.C. 111, et seq.), Public Law 89-329, title V-C of the Higher Education Act of 1965, as amended by part I of Public Law 90-35, Education Professions Development Act.
- <u>ADMINISTRATOR</u>: Associate Commissioner, Bureau of Educational Personnel Development, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF ACTIVITY: To attract persons from low-income background and returning veterans into careers in schools serving low-income populations and to provide career lattices for their advancement.
- ELIGIBILITY: Local school districts, recognized as such by their States, with large concentration of low-income families may apply for funding. Institutions of higher education may apply where an eligible district is a participant.
- <u>AVAILABLE ASSISTANCE</u>: Funding will support recruitment, training, and administrative costs. Applicants are encouraged to use funds from other sources for salaries and/or stipends, e.g., other Federal programs or local sources.
- <u>USE RESTRICTIONS</u>: Funds may be used by school systems only for recruing, training and administrative costs.
- APPROPRIATIONS: \$81.9 million fiscal year 1971 (includes all EPDA training programs).
- OBLIGATIONS: Information not available.
- AVERAGE ASSISTANCE: \$300,000 for each grant to a school district.
- ASSISTANCE PREREQUISITES: Projects should be designed and operated with appropriate participation from respective school systems, higher education institutions, community organizations, and State education agencies. State education agencies must be satisfied that local education agency projects will be coordinated with programs under part B of EPDA.
- <u>POSTGRANT REQUIREMENTS</u>: The Education Professions Development Act requires evaluation of all funded projects.
- WASHINGTON CONTACT: Director, Career Opportunities Program, Bureau of Educational Personnel Development, Washington, D.C. 20202 (202) 962-1292.
- LOCAL CONTACT: Career opportunities program State coordinator.



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APPLICATION DEADLINES: Prospectuses due June 30, 1969; project development proposals due July 30, 1969.

REWORKING TIME: Not applicable.

<u>RELATED PROGRAMS</u>: Teacher Corps; preschool, elementary, and secondary personnel development - grants to States; programs for educationally deprived children.

PROGRAM ACCOMPLISHMENTS: Program has not yet had an operational year.



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#### 4.22-EDUCATIONAL PERSONNEL DEVELOPMENT SUPPORT PERSONNEL

- AUTHORIZING STATUTE: (20 U.S.C. 1111, et seq.), Public Law 89-329, title V-C of the Higher Education Act of 1965, as amended by parts C and D of Public Law 90-35, Education Professions Development Act.
- ADMINISTRATOR: Associate Commissioner, Bureau of Educational Personnel Development, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The Support Personnel Program supports projects to train specialized personnel to assist elementary and secondary school teachers in designing, selecting, producing, and employing print and nonprint materials for classroom use under the media specialist subprogram and projects to increase the competence of individuals who are serving or intend to serve specialized personnel functions in elementary and secondary schools under the pupil personnel services subprogram.
- ELIGIBILITY: Institutions of higher education, and State and local education agencies may submit proposals.
- <u>AVAILABLE ASSISTANCE</u>: Eligible applicants submit proposals for project grants to the Office of Education. Amount of funding varies.
- <u>USE RESTRICTIONS</u>: State education agencies must be satisfied that local education agency projects will be coordinated with programs under part B of EPDA.
- APPROPRIATIONS: \$81.9 million fiscal year 1971 (includes all EPDA training programs).
- OBLIGATIONS: Not available information.
- AVERAGE ASSISTANCE: Varies by program.
- ASSISTANCE PREREQUISITES: Proposals must be approved by the Office of Education.
- POSTGRANT REQUIREMENTS: The Education Professions Development Act requires evaluation of all funded projects.
- WASHINGTON CONTACT: Chief, Support Personnel Branch, Bureau of Educational Personnel Development, U.S. Office of Education, Washington, D.C. 20202, (202) 962-1926.



LOCAL CONTACT: Not applicable.

APPLICATION DEADLINES: Prospectuses due August 1, 1969; proposals due November 1, 1969.

APPROVAL/DISAPPROVAL TIME: 3 to 4 months.

REWORKABLE TIME: Not applicable.

RELATED PROGRAMS: Educational classroom personnel training - basic studies; educational classroom personnel training - early childhood; educational classroom personnel training - special education; trainers of teacher trainers; educational personnel training grants - career opportunities; educational staff training - teacher leadership development; educational staff training - more effective school personnel utilization; educational personnel development - support personnel; vocational educational personnel training.

PROGRAM ACCOMPLISHMENTS: During the 1969-70 academic year the media specialist subprogram will fund 17 projects which will provide training for 1,270 participants. During the same period, the pupil personnel services subprogram will fund 50 projects which will provide training for 1,476 participants.

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## 4.23- SUMMER INSTITUTES FOR COLLEGE TEACHERS

- AUTHORIZING STATUTE: Public Law 81-507, as amended.
- ADMINISTRATOR: Dr. Reinhard L. Korgen, Program Director, College Teacher Programs, Division of Undergraduate Education in Science.
- <u>NATURE OF THE PROGRAM</u>: Summer institutes are advanced-level science, mathematics, and engineering courses which permit exploration in depth of these areas that are particularly significant for the reorganization and strengthening of the college curriculum.
- ELIGIBILITY: Institutions eligible to apply for grants are normally colleges and universities offering graduate programs with staffing, laboratories, and libraries adequate for the advanced nature of the work. To be eligible to participate an individual must be a teacher of one of the sciences, mathematics, or engineering in a U.S, college, junior college, community college, or technical school.
- AVAILABLE ASSISTANCE: Grants are made to institutions based on a competitive review of projects as sumbitted to NSF in the form of proposals, which are selected for support within available funds. For the teacher-participants the maximum stipend is \$75 per week for predoctoral participants, and \$100 per week for postdoctoral participants. Dependency and travel allowances are also provided.
- <u>USE RESTRICTIONS</u>: Grant funds can be used for participant support (stipends, dependency, and travel allowances)— instructional costs (staff, teaching, and laboratory materials), and indirect costs.
- APPROPRIATIONS: \$3.25 million fiscal year 1971.
- OBLIGATIONS INCURRED: None.
- AVERAGE ASSISTANCE: In this program the average grant is about \$35,000 for a project that accommodates about 30 college teachers at an average cost of approximately \$1,200 for each.
- ASSISTANCE PREREQUISITES: For institutions applying to NSF see

  "College Teacher Programs Guide for Preparation of Proposals and
  Operation of Projects" (issued by NSF annually in March). For
  teachers applying to NSF-supported programs at the institutions see

  "Directory of College Teacher Programs" (issued by NSF annually in
  December).
- POSTGRANT REQUIREMENTS: Postgrant reporting includes: a "Status Report Form" which is a roster of participants with information about them; a report describing the accomplishments of the given project; and a detailed fiscal report submitted at the end of the grant period.



- WASHINGTON CONTACT: Dr. Reinhard L. Korgen, Program Director, College Teacher Programs, Division of Undergraduate Education in Science, National Science Foundation, (202) 632-5904
- LOCAL CONTACT: NSF does not maintain regional or State offices. For teachers applying as participants in NSF-supported science education programs, applications are submitted to project directors at the various institutions. Project directors and details of the programs are listed in the "Directory of College Teacher Programs" issued by NSF annually in December.
- APPLICATION DEADLINES: Closing date for submission of proposals to NSF is June 1 each year. Deadlines (usually very early in the calendar year) for participants applying to the institutions are established by each project director.
- APPROVAL/DISAPPROVAL TIME: The elapsed time between receipt of a proposal and receipt of a grant letter is June to late October.
- REWORKING TIME: The average elapsed time between receipt of a proposal and notice of return or request for reworking is 2.5 months.

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#### '4.24-SHORT COURSES FOR COLLEGE TEACHERS

AUTHORIZING STATUTE: Public Law 81-507, as amended.

ADMINISTRATOR: Dr. Reinhard L. Korgen, Program Director, College Teacher Programs, Division of Undergraduate Education in Science.

NATURE OF PROGRAM: Short courses, under the direction of highly competent research scientists, provide specialized short term (less than 4 weeks' duration) instructional programs covering recent advances in selected areas.

ELIGIBILITY: Institutions eligible to apply for grants are normally colleges and universities offering graduate programs with staffing, laboratories, and libraries adequate for the advanced nature of the work. To be eligible to participate, an individual must be a teacher of one of the sciences, mathematics, or engineering in a U.S. college, junior college, community college, or technical school.

AVAILABLE ASSISTANCE: Grants are made to institutions based on a competitive review of projects as submitted to NSF in the form of proposals, which are selected for support within available funds. For the teacher-participants the maximum stipend is \$75 per week for predoctoral participants, and \$100 per week for postdoctoral participants. Travel allowances are also provided.

<u>USE RESTRICTIONS:</u> Grant funds can be used for participant support (stipends and travel allowance), instructional costs (staff, teaching and laboratory materials), and indirect costs.

PAST APPROPRIATIONS: \$600,000.

OBLIGATIONS INCURRED: None.

AVERAGE ASSISTANCE: In this program the average grant is about \$35,000 for a project that accommodates about 30 college teachers at an average cost of approximately \$1,200 for each.

ASSISTANCE PREREQUISITES: For institutions applying to NSF: see

"College Teacher Programs - Guide for Preparation of Proposals and
Operation of Projects" (issued by NSF annually in March). For
teachers applying to NSF-supported programs at the institutions: see
"Directory of College Teacher Programs" (issued by NSF annually in
December).

POSTGRANT REQUIREMENTS: Postgrant reporting includes: a "Status Report Form" which is a roster of participants with information about them, a report describing the accomplishments of the given project, and a detailed fiscal report submitted at the end of the grant period.



- WASHINGTON CONTACT: Dr. Reinhard L. Korgan, Program Director, college teacher programs, Division of Undergraduate Education in Science. National Science Foundation, (202) 532-5904.
- LOCAL CONTACT: NSF does not maintain regional or State offices. For teachers applying as participants in NSF-supported science education programs, applications are submitted to project directors at the various institutions. Project directors and details of the programs are listed in the "Directory of College Teacher Programs" issued by NSF annually in December.
- APPLICATION DEADLINES: Closing date for submission of proposals to NSF is June 1 each year. Deadlines (usually very early in the calendar year) for participants applying to the institutions are established by each project director.
- APPROVAL/DISAPPROVAL TIME: The elapsed time between receipt of a proposal and receipt of a grant letter is June to late October.
- REWORKING TIME: The average elapsed time between receipt of a proposal and notice of return or request for reworking is 2.5 months.
- RELATED PROGRAMS: Related programs administered by NSF are the other programs of the college teacher programs, the advanced science education program, and the science faculty fellowship program. Related programs administered by NSF are the other programs of the college teacher programs, the advanced science education program, and the science faculty fellowship program. Related programs administered by other agencies are the programs of the Eureau of Higher Education, Office of Education.

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#### . 4.25-TRAINERS OF TEACHER TRAINERS

- AUTHORIZING STATUTE: 20 U.S.C. 1111 et seq., Public Law 89-329, title V-C of the Higher Education Act of 1965, amended by parts C and D of Public Law 90-35, of the Education Professions Development Act.
- ADMINISTRATOR: Associate Commissioner, Bureau of Educational Personnel Development, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Supports projects to increase the competence of trainers of teacher trainers, both in institutions of higher education and in local and State education agencies.
- ELIGIBILITY: Local and State education agencies and institutions of higher education, or combinations thereof.
- AVAILABLE ASSISTANCE: Eligible applicants submit proposals for project grants to the Office of Education, Amount of funding varies.
- <u>USE RESTRICTIONS</u>: State education agencies must be satisfied that local education agency projects will be coordinated with programs under part B of EPDA.
- <u>APPROPRIATIONS</u>: \$81.9 million fiscal year 1971 (includes all EPDA training programs).
- OBLIGATIONS: \$10.9 million (estimate), fiscal year 1969, from \$80 million appropriation for parts C and D, EPDA, for projects to be conducted during fiscal year 1970.
- AVERAGE ASSISTANCE: The average grant is \$170,000.
- ASSISTANCE PREREQUISITES: Proposals must be approved by the Office of Education.
- <u>POSTGRANT REQUIREMENTS:</u> The Education Professions Development Act requires evaluation of all funded projects.
- WASKINGTON CONTACT: Chief Trainers of Teacher Trainers Branch,
  Bureau of Educational Personnel Development, U.S. Office of
  Education, Washington, D.C. 20202 (202) 963-7674.



LOCAL CONTACT: Not applicable.

APPLICATION DEADLINES: Prospectuses due August 1, 1969; proposals due November 1, 1969.

APPROVAL/DISAPPROVAL TIME: 3 to 4 months.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Educational classroom personnel training - early childhood; educational classroom personnel training - basic studies; educational classroom personnel training - special education; vocational educational personnel training; educational personnel training grants - careers opportunities; educational staff training - teacher leadership development; educational staff training - more effective school personnel utilization; strengthening school administration - training grants; educational personnel development - support personnel.

PROGRAM ACCOMPLISHMENTS: Program has not yet had an operational year.

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#### 4.26-TEACHER CORPS - OPERATIONS AND TRAINING

- AUTHORIZING STATUTE: (20 U.S.C. 1101 et. seq.), Public Law 89-329, title V, section 511, 79 Stat. 1255, amended by Public Law 90-35, section 3(b), 81 Stat. 85; amended by Public Law 90-575, title II, section 231, 82 Stat. 1039.
- ADMINISTRATOR: Associate Commissioner, Bureau of Educational Personnel Development, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The Teacher Corps program aims to improve educational opportunities for children of low-income families by funding programs to attract able college graduates and persons who have completed 2 years of a baccalaureate program, and preparing them for teaching careers through a 2-year program of professional on-the-job training in poverty area schools.
- <u>ELIGIBILITY:</u> Local school districts apply for program support together with a local university, which plans and carries out the training program.
- AVAILABLE ASSISTANCE: Corpsmen become employees of a school system, which pays 10 percent of their salaries. Teacher Corps grants to the local schools pay up to 90 percent of their salaries plus administrative costs. Grants to universities cover all tuition and administrative costs for undergraduate or graduate studies.
- <u>USE RESTRICTIONS</u>: The funds are spent to provide school systems with the necessary funds to hire Teacher Corps members. The funds also are spent in the training programs of participating universities where teacher interns receive tuition-free training toward a bachelor's or master's degree.
- <u>APPROPRIATIONS</u>: \$30.8 million fiscal year 1971.
- OBLIGATIONS: Information not available.
- <u>AVERAGE ASSISTANCE</u>: The average grant to a school district or university is \$670,000.
- ASSISTANCE PREREQUISITES: School and university proposals must be approved by State departments of education and the Office of Education. Teacher Corps member applications are reviewed and selection determined by local funded Teacher Corps programs.
- POSTGRANT REQUIREMENTS: The Education Professions Development Act requires evaluation of all funded projects.



WASHINGTON CONTACT: Director, Teacher Corps, Bureau of Educational Personnel Development, Washington, D.C. 20202 (202) 962-7981.

LOCAL CONTACT: Schools and universities should contact their chief State school officer.

APPLICATION DEADLINES: Schools and universities desiring to submit proposals for fifth-cycle programs should write immediately to Chief, Programs Branch, Teacher Corps, Bureau of Educational Personnel Development, Washington, D.C. 20202. They will be provided with all necessary preliminary information.

APPROVAI / DISAPPROVAL TIME: Approximately 2 months.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Preschool, elementary, and secondary personnel development, grants to States; educational classroom personnel training, early childhood; educational classroom personnel training, basic studies; educational classroom personnel training, special education; trainers of teacher trainers; educational personnel training grants, career opportunities; educational staff training, more effective school personnel utilization; educational staff training, teacher leadership development.

PROGRAM ACCOMPLISHMENTS: About 5,500 people have enrolled in the Teacher Corps since it began in 1966 - about 4,500 interns plus 1,000 experienced teachers who have served as team leaders. A poll of the 868 interns who completed service in June 1968 indicated that 86 percent planned to continue in education, 72 percent in schools serving low-income families, and just over half in the schools where they trained and worked. The Teacher Corps has spearheaded an effort to attract Vietnam veterans to inner-city and rural schools and provides them with the training they need. The Corps also recruits and trains teachers to work with young offenders in correctional institutions and has pioneered high-intensity language training for teachers in bilingual schools.

#### 4.27-HANDICAPPED TEACHER EDUCATION

- AUTHORIZING STATUTE: 20 U.S.C. 611, Education of Mentally Retarded Children, Public Law 85-926, 72 Stat. 1777; as amended by title III, section 301, Mental Retardation Facilities and Community Mental Health Centers Construction Act of 1963, Public Law 88-164, 77 Stat. 294.
- ADMINISTRATOR: Director, Division of Training Programs, Bureau of Education for the Handicapped, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program activity directs its major effort toward providing financial assistance to individuals interested in pursuing careers in the education of handicapped children and the development of preparation programs for such programs.
- ELIGIBILITY: Grants are made to State educational agencies, institutions of higher education, and on a discretionary basis to public or private nonprofit agencies having training capabilities.
- USE RESTRICTIONS: Each participating institution or agency must first utilize its awards in accordance with the plan approved by the Commissioner of Education. Students receiving grants cannot be charged tuition or fees or each must be paid the full amount of the stipend award and dependency allowance.
- APPROPRIATIONS: \$31.6 million fiscal year 1971.
- AVERAGE ASSISTANCE: The average grant per institution falls within a range of from \$50,000 to \$100,000.
- ASSISTANCE PREREQUISITE: The recipients must be persons currently working with handicapped children; or persons preparing to work with such children; or a person who works with, or whose administrative responsibility includes, the handicapped; e.g., principal, psychologist, social worker. The recipient of an undergraduate traineeship, graduate fellowship or summer session traineeship must be accepted by an institution of higher education for full-time study with primary program emphasis in area(s) of the handicapped. recipient of a senior year traineeship must be able to complete the requirements for the baccalaureate degree in 1 year; the recipient of a graduate fellowship must hold a baccalaureate degree or its equivalent. The recipient must be a citizen or a national of the United States, or be in the United States for other than a temporary purpose and intend to become a permanent resident. The recipient must not be concurrently receiving assistance under Public Law 85-550, as amended (Veterans Readjustment Assistance Act of 1952); or must not be receiving any direct Federal educational benefit other than loans.



- POSTGRANT REQUIREMENTS: All agencies receiving grants under this program must submit a financial statement to the Commissioner of Education within 60 days after the termination of the award period showing how the grant funds were expended.
- WASHINGTON CONTACT: Director, Division of Training Programs, Bureau of Education for the Handicapped, Office of Education, Washington, D.C. 20202 (202) 963-7967.
- LOCAL CONTACT: State educational agencies and institutions of higher education. A directory listing approved agencies and their administrative officers and addresses is available from the Washington contact office.
- <u>APPLICATION DEADLINES</u>: Applications for grants to be used in the summer of 1970 and the academic year 1970-71 will be due in the Washington office on or before November 7, 1969.
- APPROVAL/DISAPPROVAL TIME: Approximately 4 months.
- REWORKING TIME: Grants are negotiated and cleared for final approval within 6 months of their receipt.
- <u>RELATED PROGRAMS</u>: Other Office of Education fellowship and traineeship programs.
- PROGRAM ACCOMPLISHMENTS: As a result of moneys made available to colleges and universities under Public Law 85-926, as amended, the training capacity for preparing professional personnel in the education of handicapped children has been increased more than 15 times since 1960. In 1960, 16 programs existed with faculty and potential for preparing professional personnel in special education. Today, less than 10 years later, more than 260 programs have been approved for the purpose of preparing professional personnel. A program of special projects has been initiated for the purpose of developing new prototypes for preparing personnel to work with the handicapped. In fiscal year 1970, this effort will result in 20 awards to institutions and to States to support their efforts to develop new and effective training techniques. As a result of these projects, institutions will be able to experiment with, and evaluate the effectiveness of, new models for preparing personnel or the transmitting of new educational knowledge to students.



#### 4.28-HANDICAPPED TEACHER RECRUITMENT AND INFORMATION

AUTHORIZING STATUTE: 20 U.S.C. 871-880, Elementary and Secondary Education Act, title VI, Public Law 89-10, part D.

<u>ADMINISTRATOR</u>: Office of the Associate Commissioner, Office of Education, U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: This program aims to improve recruitment of educational personnel and dissemination of information pertaining to educational opportunities for the handicapped.

ELIGIBLITY: Public and/or private agencies and organizations.

AVAILABLE ASSISTANCE: Up to 100 percent.

<u>USE RESTRICTIONS</u>: Contracts based on significance and soundness of bid or proposal.

APPROPRIATIONS: \$500,000 fiscal year 1971 (estimate).

AVERAGE ASSISTANCE: The average contract is \$80,000.

ASSISTANCE PREREQUISITES: Applicants must be reputable organizations or agencies.

<u>POSTGRANT REQUIREMENTS</u>: Evaluation and review are conducted regularly. In addition, contractors are required to submit periodic progress reports.

WASHINGTON CONTACT: Deputy Associate Commissioner, Bureau of Education for the Handicapped, U.S. Office of Education, Department of Health, Education, and Welfare, Washington, D.C. 20202 (202) 963-5925.

LOCAL CONTACT: Individual contractor.

<u>APPLICATION DEADLINE</u>: Proposals may be submitted at any time during the year.

APPROVAL/DISAPPROVAL TIME: About 1 month.

REWORKING TIME: About 1 mouth.

RELATED PROGRAMS: Not applicable.

PROGRAM ACCOMPLISHMENTS: Fiscal year 1969 was the first year of operation of this program. Three contracts were negotiated:

- 1. A contract to conduct a feasibility study of a computer-based special educational information center.
- 2. A contract with a public relations company to coordinate, manage, and supervise the entire promotional effort relating to the recruiting campaign.



3. A contract to conduct a demonstration project to improve the dissemination of information concerning educational opportunities for the handicapped in the Washington, D.C. metropolitan area.



### 4.29- HANDICAPPED PHYSICAL EDUCATION AND RECREATION TRAINING

- AUTHORIZING STATUTE: Public Law 88-164, as amended, by Public Law 90-170. The Mental Retardation Amendments of 1967, title V, section 501, 20 U.S.C. 618.
- ADMINISTRATOR: Director, Division of Training Programs, Bureau of Education for the Handicapped, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program aims to assist institutions of higher learning in the professional preparation of physical educators and recreation personnel working with handicapped children.
- ELIGIBILITY: Universities or colleges who desire to develop programs to provide advanced training in either physical education or recreation related to handicapped children.
- AVAILABLE ASSISTANCE: Grants are made to institutions of higher learning to provide planning and program development moneys for the academic year. In addition limited student support is given to institutions with summer programs to train students.
- <u>USE RESTRICTIONS</u>: The funds are given directly to universities and colleges to assist them in developing programs related to physical education or recreation.
- APPROPRIATIONS: \$700,000 fiscal year 1971 (approximately).
- OBLIGATIONS: Approximately \$500,000 to ongoing projects.
- AVERAGE ASSISTANCE: The average grant is \$20,000.
- ASSISTANCE PREREQUISITES: Applicants must be institutions of higher learning.
- <u>POSTGRANT REQUIREMENTS</u>: Quarterly progress reports are requested to allow for continuing project evaluation.
- <u>WASHINGTON CONTACT</u>: Director, Division of Training Programs, Bureau of Education for the Handicapped, U.S. Department of Health, Education, and Welfare.
- LOCAL CONTACT: Director, Division of Training Programs, Bureau of Education for the Handicapped, U.S. Department of Health, Education, and Welfare.



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APPLICATION DEADLINES: Late fall of 1969 for consideration in fiscal year 1970.

APPROVAL/DISAPPROVAL TIME: Approximately 2 months.

REWORKING TIME: Internal 2 weeks.

RELATED PROGRAMS: Handicapped teacher education.

PROGRAM ACCOMPLISHMENTS: To date 13 institutions of higher learning have been awarded planning grants effective the summer of 1969 to plan and develop curriculum materials related to graduate level training in the areas of physical education and recrection. Presently two institutions are operating summer short courses for a total of 32 students to prepare them to develop recreation and physical education programs for the handicapped child in the coming school year. The 15 programs are to be operated in 13 States and the District of Columbia in fiscal year 1969. The physical education and recreation training program has stimulated the interest of over 50 colleges and universities to further develop curriculum and training related to handicapped children.



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# 4:30- NEW CAREER OPPORTUNITIES FOR THE HANDICAPPED

- AUTHORIZING STATUTE: 29 U.S.C. 34, Vocational Rehabilitation Act, as amended.
- ADMINISTRATOR: Commissioner, Rehabilitation Services Administration, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program provides support for special programs to recruit and prepare handicapped persons for new career opportunities in the fields of rehabilitation, health, welfare, public safety and law enforcement, and other appropriate public service employment.
- ELIGIBILITY: State vocational rehabilitation and other public or private nonprofit agencies or organizations.
- AVAILABLE ASSISTANCE: Grant funds may be used to support: (1) Study to restructure staffing patterns and practices in order to establish new career positions in public service agencies; (2) recruitment and selection of candidates for the new careers positions; (3) provision of educational services that would enable the handicapped individual to enter and advance to higher positions in public service; and (4) provision of counseling and other services to insure maximum utilization of the new career opportunities by handicapped individuals.
- <u>USE RESTRICTIONS</u>: Grant funds can be used to provide new career opportunities in public service to persons determined by the State rehabilitation agency to be handicapped individuals who are suitable for such services.
- APPROPRIATIONS: \$500,000 requested for fiscal year 1970; none, fiscal year 1969 or fiscal year 1968.
- OBLIGATIONS: Not applicable. New statutory authority not yet implemented.
- AVERAGE ASSISTANCE: Average grant of \$125,000 estimated.
- ASSISTANCE PREREQUISITES: Proposals must be approved by the appropriate State rehabilitation agency and the Social and Rehabilitation Service.
- <u>POSTGRANT REQUIREMENTS:</u> Grantees must submit annually a report of expenditures and a summary of project activities, and such other reports as the Administrator, Social and Rehabilitation Service, may require. Evaluation by the grantee and Federal staff will be a continuing activity.
- WASHINGTON CONTACT: Commissioner, Rehabilitation Services Administration, Social and Rehabilitation Service, Department of Health, Education, and Welfare, Washington, D.C. 20201, (202) 962-2335.

APPLICATION DEADLINES: Not yet determined.

APPROVAL/DISAPPROVAL TIME: Not yet determined.

REWORKING TIME: Not yet determined.

#### 4.31-VOCATIONAL EDUCATION - STATE ADVISORY COUNCILS

- AUTHORIZING AUTHORITY: 20 U.S.C. 35-35n, Vocational Education Act of 1963, part A, Public Law 88-210, 77 Stat. 403, as amended by 20 U.S.C. 1241 to 1391, Vocational Education Amendments of 1968, title I, part A, Public Law 90-576, 82 Stat. 1064-1091.
- ADMINISTRATOR: Director, Division of Vocational and Technical Education, Bureau of Adult, Vocational and Library Programs, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Advise the State board for vocational education on the development and administration of State plans; evaluate vocational education programs, services, and activities and publish and distribute the results; prepare and submit through the State board to the Commissioner and the National Advisory Council an annual evaluation report on the vocational education programs, services, and activities carried out during the fiscal year and recommendations for such changes as may be warranted.
- ELIGIBILITY: Certification to the Commissioner of the establishment of and the membership of a State advisory council.
- AVAILABLE ASSISTANCE: Each State advisory council is to be paid an amount equal to 1 percent of the State's allotment but not to exceed \$150,000 nor less than \$50,000 to carry out its functions.
- <u>USE RESTRICTIONS</u>: Funds allocated to a State advisory council to carry out its responsibilities specified in the act may be used in accordance with the council's budget as approved by the Commissioner.
- APPROPRIATIONS: \$2.38 million (estimate) fiscal year 1971.
- OBLIGATIONS: Funds are awarded to states on a population-based formula.
- AVERAGE ASSISTANCE: Not applicable.
- ASSISTANCE PREREQUISITES: Each State which desires to receive funds under the act for any fiscal year shall establish a State advisory council which shall be appointed by the Governor or, in the case of States in which the members of the State board are elected, by such board, and which shall be separate and independent from the State board.



POSTGRANT REQUIREMENTS: Prepare and submit through the State board for vocational education to the Commissioner and to the National Advisory Council an annual report which evaluates the effectiveness of vocational education programs that were set forth in the annual and long-range program plans of the State.

WASHINGTON CONTACT: Director, Division of Vocational and Technical Education, Bureau of Adult, Vocational and Library Programs, U.S. Office of Education, Washington, D.C. 20202 (202) 962-4981.

LOCAL CONTACT: State director of vocational education, State advisory council chairman.

APPLICATION DEADLINE: Not less than 90 days prior to the beginning of any fiscal year ending after June 30, 1969.

APPROVAL/DISAPPROVAL TIME: 10 to 15 days.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Vocational education, basic grants to States.

PROGRAM ACCOMPLISHMENTS: This is a new program beginning in fiscal year 1970.



#### 4.32-VOCATIONAL EDUCATION - BASIC GRANTS TO STATES

- AUTHORIZING AUTHORITY: 20 U.S.C. 35-35n, Vocational Education Act of 1963, part A, Public Law 88-210, 77 Stat. 403, as amended by 20 U.S.C. 1241 to 1391, Vocational Education Amendments of 1968, title I, part B, Public Law 90-576, 82 Stat. 1064-1091.
- ADMINISTRATOR: Director, Division of Vocational and Technical Education, Bureau of Adult, Vocational and Library Programs, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE CF PROGRAM ACTIVITY: This program activity, through grants to the States, assists them in conducting vocational education programs for persons of all ages in all communities with the objective of insuring that education and training programs for career vocations are available to all individuals who desire and need such education and training.
- ELIGIBILITY: States with approved State plan for vocational education, including annual and long-range program plans.
- AVAILABLE ASSISTANCE: Formula grants to States based on population by age groups and per capita income. Funds may be used to help pay up to 50 percent of the costs incurred by State and local educational agencies in providing vocational education programs, services, and activities, except for American Samoa and the Trust Territory of the Pacific Islands, which receive 100 percent Federal funding.
- <u>USE RESTRICTIONS</u>: States must allocate the following minimum portions of their total allotment: 15 percent for vocational education for the disadvantaged those persons who have academic, socioeconomic, or other handicaps that prevent them from succeeding in regular programs; 15 percent for post-secondary programs; and 10 percent for vocational education programs for handicapped persons.
- APPROPRIATIONS: \$300 million (estimate) fiscal year 1971.
- OBLIGATIONS: Funds are awarded to states on the basis of a population per capita income formula.
- AVERAGE ASSISTANCE: Not applicable.
- ASSISTANCE PREREQUISITES: States must have a State plan approved by the Commissioner. Local educational agencies must have applications approved by the State board for vocational education.



- POSTGRANT REQUIREMENTS: Continuous evaluation of programs by the State board for vocational education and annual evaluation by the State advisory council and the National Advisory Council.
- WASHINGTON CONTACT: Director, Division of Vocational and Technical Education, Bureau of Adult, Vocational and Library Programs, U.S. Office of Education, Washington, D.C. 20202, (202) 962-4981.
- LOCAL CONTACT: Local educational agencies should contact the State director of vocational education. Persons interested in training should contact local vocational director or superintendent of schools.
- <u>APPLICATION DEADLINE</u>: State plan due before beginning of each fiscal year.
- APPROVAL/DISAPPROVAL TIME: About a month.
- REWORKING TIME: About 60 days for modifications of State plan which are required for approval. The plan may be amended at any time by the State.
- RELATED PROGRAMS: Vocational Education: State advisory councils, consumer and homemaking, cooperative education, innovation, curriculum, development, planning and evaluation, and research.
- PROGRAM ACCOMPLISHMENTS: During fiscal year 1968, there were 6,150,000 youths and adults enrolled in programs supported under the Vocational Education Acts who were being trained in various skills. About 2,895,000 persons were upgrading their training. The remaining 3,255,000 were being trained for initial entry into the work force. Of this number, about 858,333 completed their training or left school with a marketable skill and 489,250 (57 percent) of these were available for employment. This program met about 40.8 percent of the annual net increase in the nonprofessional civilian employment of 1.2 millics or about 13.6 percent of the estimated 3.6 million persons who entered civilian employment during fiscal year 1968. In addition, 197,417 (23 percent) continued additional school training on a full-time basis and 68,666 (8 percent) entered the Armed Forces. Of those individuals who completed their training program or left with marketable skills at the end of the school year, 88 percent contributed to an expanded economy. An additional 1,472 facilities containing 368,000 training stations were needed to meet the increase in enrollment of about 1,102,500 from 1967 to 1968. Vocational education funds were used to help construct 220 new facilities and to remodel 124 existing facilities providing a total of 81,000 additional training stations. This construction met 22 percent of the need for training stations to accommodate the increased enrollment in fiscal year 1968.



# 4.33-VOCATIONAL EDUCATION - PLANNING AND EVALUATION

- AUTHORIZING AUTHORITY: Sections 401 and 402, title IV, of the Elementary and Secondary Education Amendments of 1967, Public Law 90-247, as amended by 20 U.S.C. 1241 to 1391, Vocational Education Amendments of 1968, title III, Public Law 90-576, 82 Stat. 1094.
- ADMINISTRATOR: Director, Division of Vocational and Technical Education, Bureau of Adult, Vocational and Library Programs, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Initiate projects to develop guides to assist States in planning programs which are responsive to vocational training needs. Initiate immediate and long-range evaluation studies to appraise the effectiveness of ongoing programs and to establish priorities for new directions and approaches in program planning.
- <u>ELIGIBILITY</u>: Colleges or universities, State boards, and other public or nonprofit private agencies, institutions, and organizations.
- AVAILABLE ASSISTANCE: Grants or contracts to colleges, universities, State boards, public or nonprofit private agencies, institutions, and organizations.
- <u>USE RESTRICTIONS</u>: Limited to projects related to planning and evaluation of State and local vocational education programs.
- APPROPRIATIONS: \$1 million (estimate), fiscal year 1971.
- OBLIGATIONS: Funds are awarded to states on a population-based formula.
- AVERAGE ASSISTANCE: Not applicable.
- ASSISTANCE PREREQUISITES: Approval by the Commissioner of Education.
- POSTGRANT REQUIREMENTS: Not applicable.
- WASHINGTON CONTACT: Director, Division of Vocational and Technical Education, Bureau of Adult, Vocational and Library Programs, U.S. Office of Education, Washington, D.C. 20202 (202) 962-4981.
- LOCAL CONTACT: State director for vocational education or local educational agency.



APPLICATION DEADLINE: At any time during the year.

APPROVAL/DISAPPROVAL TIME: 30 days.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Vocational education: Basic grants to States, State advisory councils, consumer and homemaking, cooperative education, innovation, curriculum development.

PROGRAM ACCOMPLISHMENTS: This is a new program to be initiated in fiscal year 1970.



#### 4.34- VOCATIONAL EDUCATION INNOVATION

- AUTHORIZING AUTHORITY: 20 U.S.C. 35-35n, Vocational Education Act of 1963, part A, Public Law 88-210, 77 Stat. 403, as amended by 20 U.S.C. 1241 to 1391, Vocational Education Amendments of 1968, title I, part D, Public Law 90-576, 82 Stat. 1064-1091.
- ADMINISTRATOR: Director, Division of Vocational and Technical Education, Bureau of Adult, Vocational and Library Programs, Office of Education, U.S Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program assists in the development, establishment, and operation of programs of projects designed to stimulate new ways to create a bridge between school and earning a living for young people, who are still in school, who have lest school either by graduation or by dropping out, or who are in postsecondary programs of vocational education, and to promote cooperation between public education and many wer agencies.
- ELIGIBILITY: State boards, local educational agencies, public or private agencies, organizations, and institutions.
- AVAILABLE ASSISTANCE: From the sums appropriated, the Commissioner of Education shall: (1) reserve an amount not to exceed 3 percent and shall allot such amount among Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Trust Territory of the Pacific Islands according to their respective needs; and (2) from the remainder, shall allot a minimum of \$200,000 to each State (excluding the outlying areas) plus an amount which bears the same ratio to any residue of such remainder as the population aged 15 to 19 in the State bears to the population of such ages in all the States.
- USE RESTRICTIONS: Funds may be used to pay all or part of the cost of planning, developing, establishing, operating, and evaluating programs or projects designed to broaden aspirations and opportunities for youths, with special emphasis given to those who have academic, socioeconomic, or other handicaps. The Commissioner is authorized to use 50 percent of the funds allotted to each State for making grants or contracts and the State board may use the remaining 50 per cent for making grants or contracts in accordance with its approved State plan.

APPROPRIATIONS: \$13 million (estimate), fiscal year 1971

OBLIGATIONS: Funds are awarded by a population-based formula.



AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PREREQUISITES: States must have a State plan approved by the Commissioner. Local educational agencies must have applications approved by the State board for vocational education. Other prospective grantees or contractors must have an application approved by the Commissioner.

<u>POSTGRANT REQUIREMENTS</u>: Continuous evaluation of programs by the State board for vocational education and annual evaluation by the State advisory council and National Advisory Council.

WASHINGTON CONTACT: Director, Division of Vocational and Technical Education, Bureau of Adult Vocational and Library Programs, U.S. Office of Education, Washington, D.C. 20202, (202) 962-4981.

LOCAL CONTACT: State director of vocational education.

APPLICATION DEADLINE: At any time during the year.

APPROVAL/DISAPPROVAL TIME: About 30 days.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Vocational education: Basic grants to States,
State advisory councils, consumer and homemaking, cooperative
education, curriculum development, planning and evaluation.
Vocational education research: Research and development.

PROGRAM ACCOMPLISHMENTS: This is a new program to be initiated in fiscal year 1970.



### 4.35-VOCATIONAL EDUCATION - CURRICULUM DEVELOPMENT

- AUTHORIZING AUTHORITY: 20 U.S.C. 35-35n, Vocational Education Act of 1963, part A, Public Law 88-210, 7/ Stat. 403; as amended by 20 U.S.C. 1241 to 1391, Vocational Education Amendments of 1968, title I, part I, Public Law 90-576, 82 Stat. 1064-1091.
- ADMINISTRATOR: Director, Division of Vocational and Technical Education, Bureau of Adult, Vocational and Library Programs, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program activity provides assistance to State and local educational agencies in the development of curriculums for new and changing occupations, and to coordinate improvements in, and dissemination of, existing curriculum materials.
- ELIGIEILITY: Colleges or universities, State boards and other public or nonprofit private agencies, institutions, and organizations.
- AVAILABLE ASSISTANCE: Grants or contracts to colleges, universities, State boards, public or nonprofit private agencies, institutions, and organizations.
- <u>USE RESTRICTIONS</u>: Grantees and/or contracting agencies will be funded for printing and dissemination of guides previously developed; establishing standards for curriculum development; the development and dissemination of packaged material relating to occupational clusters; the development of supportive materials and aids for teachers and students; and the coordination of curriculum materials developed for occupational fields. In addition, special emphasis is directed to the development of instructional courses and materials to meet the special needs of the disadvantaged and handicapped student.

APPROPRIATIONS: \$2 million (estimate), fiscal year 1971.

OFLIGATIONS: Funds are awarded to states on a population based formula.

AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PREREQUISITES: Approval by the Commissioner of Education.

POSTGRANT REQUIREMENTS: Not applicable.

WASHINGTON CONTACT: Director, Division of Vocational and Technical Education, Bureau of Adult, Vocational and Library Programs, U.S. Office of Education, Washington, D.C. 20202, (202) 962-4981.



LOCAL CONTACT: State director for vocational education or local educational agency.

APPLICATION DEADLINE: At any time during the year.

APPROVAL/DISAPPROVAL TIME: 30 days.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Vocational education: Basic grants to States, State advisory councils, consumer and homemaking, cooperative education, innovation, planning, and evaluation.

PROGRAM ACCOMPLISHMENTS: This is a new program to be initiated in fiscal year 1970.

### 4.36-VOCATIONAL EDUCATION - CONSUMER AND HOMEMAKING

- AUTHORIZING AUTHORITY: 20 U.S.C. 35-35n, Vocational Education Act of 1963, part A, Public Law 88-210, 77 Stat. 403, as amended by 20 U.S.C. 1241 to 1391, Vocational Education Amendments of 1968, title I, part F, Public Law 90-576, 82 Stat. 1064-1091.
- ADMINISTRATOR: Director, Division of Vocational and Technical Education, Bureau of Adult, Vocational and Library Programs, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program activity, through grants to the States, assists them in conducting programs in consumer and homemaking education to increase the employability of the homemaker-wage earner and to improve family living. Programs include consumer education, nutrition, child care and guidance, improvement of home environment, and management of resources. Emphasis is placed on programs located in economically depressed areas or areas of high rates of unemployment to provide training in health, nutrition, and basic homemaking for women and young girls.
- ELIGIBILITY: States with approved State plans for vocational education including annual and long-range program plans.
- AVAILABLE ASSISTANCE: Formula grants to States based on population by age groups and per capita income. Funds may be used to help pay up to 50 percent of the costs incurred by State and local educational agencies in providing consumer and homemaking vocational education programs, activities, and services. For the fiscal year ending June 30, 1970, and the 2 succeeding fiscal years, an amount equal to 90 percent of the cost will be paid for programs in economically depressed areas or areas with high rates of unemployment.
- <u>USE RESTRICTIONS</u>: States with approved State plans must use at least one-third of the Federal funds allotted in economically depressed areas or areas with high rates of unemployment.
- APPROPRIATIONS: \$15 million (estimate), fiscal year 1971.
- <u>OBLIGATIONS</u>: Funds are awarded to states on a population based formula.
- AVERAGE ASSISTANCE: Not applicable.
- <u>ASSISTANCE PREREQUISITES</u>: States must have a State plan approved by the Commissioner.



- POSTGRANT REQUIREMENTS: Continuous evaluation of programs by the State board for vocational education and annual evaluation by the State advisory council and the National Advisory Council.
- WASHINGTON CONTACT: Director, Division of Vocational and Technical Education, Bureau of Adult, Vocational and Library Programs, U.S. Office of Education, Washington, D.C. 20202, (202) 962-4981.
- LOCAL CONTACT: Local educational agencies should contact the State director of vocational education. Persons interested in training should contact the local vocational director of superintendent of schools.
- <u>APPLICATION DEADLINE</u>: State plan due before beginning of each fiscal year.
- APPROVAL/DISAPPROVAL TIME: About 30 days.
- REWORKING TIME: About 60 days for modifications of State plan which are required for approval. The plan may be amended at any time by the State.
- <u>RELATED PROGRAMS</u>: Vocational education: Basic grants to States, State advisory councils, cooperative education, innovation, curriculum development, planning and evaluation, and research.
- PROGRAM ACCOMPLISHMENTS: Of the total 2,284,624 enrolled in home economics programs in fiscal year 1968, 2,210,827 received training in homemaking and 73,797 for gainful employment.



### 4.37- PROGRAMS FOR THE DISADVANTAGED - SPECIAL SERVICES IN COLLEGE

- AUTHORIZING STATUTE: 20 U.S.C. 1101, Higher Education Act of 1965, title IV-B, Public Law 89-329, 79 Stat. 1255, as amended by 20 U.S.C. 1068, Higher Education Amendments of 1968, Fublic Law 90-575, 82 Stat. 1014.
- ADMINISTRATOR: Chief, Talent Search and Special Services Branch,
  Division of Student Special Services, Bureau of Higher Education,
  Office of Education, U.S. Department of Health, Education, and
  Welfare.
- NATURE OF PROGRAM ACTIVITY: This program provides for remedial and other special services for students with academic potential (a) who are enrolled or accepted for enrollment at a participating institution, and (b) who, by reason of disadvantaged educational, cultural, or economic background, or physical handicap, are in need of such services to assist them to initiate, continue, or resume their postsecondary education.
- ELIGIBILITY: Students who show evidence of disadvantaged educational, cultural, or economic background, or physical handicap; institutions of higher education or combinations thereof.
- <u>AVAILABLE ASSISTANCE</u>: Remedial, counseling, tutorial, career guidance, placement, identification, encouragement, or other such services as needed by the students.
- <u>USE RESTRICTIONS:</u> Funds are awarded to institutions to operate special services in college projects in accordance with the regulations and guidelines determined by the Commissioner.

APPROPRIATIONS: \$15 million fiscal year 1971 (estimate).

OBLIGATIONS: None.

AVERAGE ASSISTANCE: Not applicable.

- ASSISTANCE PREREQUISITES: Special services students must be enrolled or accepted for enrollment in institutions of higher education; institutions must be approved by the Office of Education.
- <u>POSTGRANT REQUIREMENTS:</u> A periodic evaluation is conducted by institutions and by the special services in college staff in Washington.



WASHINGTON CONTACT: Chief, Talent Search and Special Services Branch, Division of Student Special Services, Bureau of Higher Education, Office of Education, 400 Maryland Avenue SW., Washington, D.C. 20202, (202) 962-2892.

LOCAL CONTACT: None as yet.

APPLICATION DEADLINE: Not yet determined.

APPROVAL/DISAPPROVAL TIME: Not yet determined.

REWORKING TIME: Not yet determined.

RELATED PROGRAMS: Higher education work-study, strengthening developing institutions, educational professions development programs, educational talent search, and Upward Bound.

PROGRAM ACCOMPLISHMENT: Not applicable (new program).

#### 4.38- HIGHER EDUCATION ACT INSURED LOANS - GUARANTEED STUDENT LOAN PROGRAM

- AUTHORIZING STATUTE: Higher Education Act of 1965, title IV, part B, Public Law 89-329, 79 Stat. 1236, 20 U.S.C. 1071; as amended by Public Law 89-698, 80 Stat. 1066; Public Law 89-752, 80 Stat. 1240; Public Law 89-794, 80 Stat. 1476; Public Law 90-460, 82 Stat.635; and Public Law 90-575, 82 Stat. 1020.
- ADMINISTRATOR: Chief, Insured Loans Branch, Division of Student Financial Aid, Bureau of Higher Education, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Low-interest, long-term loans made by participating lending institutions to students to help pay for higher education or vocational education are guaranteed by either a State or private nonprofit agency or insured by the Federal Government.
- ELIGIBILITY: (a) Student eligibility - U.S. citizens or nationals or those in the United States for other than a temporary purpose and enrolled and in good standing or accepted for enrollment at an eligible educational institution on at least a half-time basis may apply. Although there are no income level requirements for loan eligibility, in order to qualify for the Federal interest subsidy, the borrower's adjusted family income must be less than \$15,000. (b) Lender eligibility - An agency or instrumentality of a State, a financial or credit institution (including banks, savings and loan associations, credit unions and insurance companies) which is subject to examination and supervision by an agency of the United States or any State, eligible educational institutions and pension funds as approved by the Commissioner of Education, may lend under the program. (c) Educational institution eligibility - Eligibility requirements for educational institutions, including higher education or postsecondary vocational or technical schools in the United States and many abroad, are set forth in the act. (d) Guarantee agency eligibility - A State or private nonprofit organization legally authorized within that State to guarantee loans and which certifies to the Commissioner that their program complies with the minimum requirements of the act may participate in the program.
- AVAILABLE ASSISTANCE: (a) A portion of the interest due on loans is paid to the lender on behalf of eligible students. (b) Federal funds are made available to guarantee agencies (noninterest bearing loans) for the purpose of helping to establish or strengthen the reserve funds with which these agencies guarantee loans. (c) Federal payments are made to lenders to cover losses resulting from student defaults in Federal program States. (d) Federal payments are made to lenders to cover losses resulting from the death or total and permanent disability of the borrower. (e) Federal payments are made to guarantee agencies to cover a portion of losses resulting from student defaults in States whose agency has signed a reinsurance agreement with the U.S. Commissioner of Education.



<u>USE RESTRICTIONS:</u> Loans made to students may be used only for educational expenses. Advances for guarantee agency reserve funds may be used only in guaranteeing loans.

APPROPRIATIONS: \$149 million (estimate) for fiscal year 1971.

AVERAGE ASSISTANCE: The average loan made to students has been \$899 since the beginning of the program.

ASSISTANCE PREREQUISITES: Application for advance funds by guarantee agencies may be made only if the agency has entered into a reinsurance agreement with the Commissioner and their total reserve capacity is 75 percent encumbered.

<u>POSTGRANT REQUIREMENTS:</u> This is not applicable because the program does not make grants.

WASHINGTON CONTACT: Chief. Insured Loans Branch, Division of Student Financial Aid, Bureau of Higher Education, Office of Education, Washington, D.C. 20202 (202) 962-2677.

LOCAL CONTACT: The Department's regional offices.

APPLICATION DEADLINES: Student applications for loans may be made at any time. Interest billings may be submitted by the lender quarterly, semiannually, or annually. Claims by lenders or guarantee agencies to recover losses may be made at any time.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: National Defense Education Act direct student loans.

PROGRAM ACCOMPLISHMENTS: Since the inception of the program in 1966, approximately 1.6 million loans have been made for a total of \$1.4 billions, of which an estimated 750,000 loans totaling \$641 million were made in fiscal year 1969. The number of participating lenders has risen to almost 19,000; about 7,000 colleges and vocational schools are participating.



### 4.39-WORK-STUDY PROGRAMS

- AUTHORIZING STATUTE: Title IV, part C, of the Higher Education Act of 1965, as amended (transferred from title I of the Economic Opportunity Act of 1964 by the Higher Education Amendment of 1968).
- ADMINISTRATOR: Chief, Work-Study Branch, Office of Education,
  Department of Health, Education and Welfare, Washington, D.C.
- NATURE OF PROGRAM ACTIVITY: These programs help needy students, particularly those from low-income families, to obtain a higher education by providing part-time jobs in useful projects sponsored by the institutions of higher education in which they are enrolled. A number of young people who are studying to be social workers have elected to work up to 15 hours a week as aides in day care centers. Such off-campus arrangements are conducted under agreement between the participating institution of higher education and public or nonprofit organizations.
- ELIGIBILITY: Grants may be made to institutions of higher education for operating their work-study programs.
- AVAILABLE ASSISTANCE: Federal contributions are authorized for payments for student compensation, normally up to 80 percent of the amount earned.
- <u>USE RESTRICTIONS</u>: Funds granted may be used only to make payments to students participating in work-study programs, except that an institution may use a portion of the sum granted to it to meet administrative expenses.
- APPROPRIATIONS: \$160 million for fiscal year 1971.
- OBLIGATIONS: \$160 million for fiscal year 1971 will be spent. New colleges will be included and amounts to total decreased so as to extend the program.
- AVERAGE ASSISTANCE: \$70,000.
- ASSISTANCE PREREQUISITES: Any college or area vocational schools may apply.
- <u>POSTGRANT REQUIREMENTS</u>: Program reports (expenditures and number of students employed) and fiscal report on cash spent.
- WASHINGTON CONTACT: Warren T. Troutman, Room 4012, Regional Office Building, 7th and D Streets, SW, Washington, D.C. 20201. (202) 962-3871.



LOCAL CONTACT: Applications to the appropriate regional office of the Department of Health, Education, and Welfare are reviewed by a panel consisting of regional representatives of the Bureau of Higher Education, representatives from the national office staff of the Division of Student Financial Aid, and representatives from colleges in the region. This panel presents its recommendations to the national office of the Office of Education for allotment of funds.

APPLICATION DEADLINE: October

<u>APPROVAL/DISAPPROVAL TIME</u>: Preliminary notice given in January, mid-March final notice.

RELATED PROGRAMS: Vocational education - cooperative education.

<u>PROGRAM ACCOMPLISHMENTS</u>: 375,000 students are anticipated to be employed and enrolled in 2400 colleges through this program in fiscal year 1971.



# 4.40- STUDENT AID - EDUCATIONAL OPPORTUNITY GRANTS

- <u>AUTHORIZING STATUTE</u>: 20 U.S.C. 1061, Higher Education Act of 1965, title IV, part A, Public Law 89-329, 79 Stat. 1232; as amended by Higher Education Amendments of 1968, Public Law 90-575, 82 Stat. 1014.
- ADMINISTRATOR: Acting Chief Educational Opportunity Grants Branch,
  Division of Student Financial Aid, Bureau of Higher Education, Office
  of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program makes higher education possible for undergraduate students of exceptional financial need, who could not otherwise afford to continue their education. Participating institutions select student recipients and determine the amount each student needs, in accordance with criteria established in the legislation. They also provide each EOG recipient with a matching award in an amount at least equal to the EOG.
- ELIGIBILITY: Institutions of higher education apply to the regional offices of the Office of Education. Undergraduate students apply to participating institutions.
- <u>AVAILABLE ASSISTANCE</u>: Student recipients receive grants ranging from \$200 to \$1,000 per academic year, for up to 4 academic years of full-time undergraduate study.
- <u>USE RESTRICTIONS</u>: Funds awarded to participating institutions may be used for awards to students. Beginning in fiscal year 1970, an institution may use an amount equal to 3 percent of its expenditures as a reimbursement in lieu of payment for administrative expenses. Students may use grants only for expenses of attending an institution of higher education.
- <u>APPROPRIATIONS</u>: The appropriation for any fiscal year is awarded to institutions for use in the succeeding fiscal year. \$164.6 million in 1970 fiscal year. \$185.6 million fiscal year 1971 estimated.
- OBLIGATIONS: Funds breakdown not available. New institutions will be considered for funds, however.
- AVERAGE ASSISTANCE: The average student grant (exclusive of the \$200 incentive award formerly authorized) is \$550, fiscal year 1970 (estimate); \$500, fiscal year 1969 (estimate); \$460, fiscal year 1968 (estimate); \$415, fiscal year 1967 (actual); \$378, fiscal year 1966 (actual).



- ASSISTANCE PREREQUISITES: Students must be of exceptional firancial need, must be accepted for enrollment or enrolled in good standing as a full-time, undergraduate student, must show evidence of academic or creative promise, and must be financially unable to attend the institution without an EOG. Institutions must be nonprofit institutions of higher education which are either accredited or offer an acceptable substitute for accreditation. Additionally, institutions agree to make vigorous efforts to identify and motivate students of exceptional financial need to continue their education.
- <u>POSTGRANT REQUIREMENTS</u>: Participating institutions recruit eligible students and award EOG's and other financial aid to them. The institutions also submit a yearly report of their expenditures.
- WASHINGTON CONTACT: Acting Chief, Educational Opportunity Grants Branch, Division of Student Financial Aid, Bureau of Higher Education, Office of Education, Washington, D.C. 20202, (202) 962-4110.
- LOCAL CONTACT: Institutions should contact the appropriate regional office of the Office of Education. Students should contact the financial aid office of the institution they wish to attend.
- <u>APPLICATION DEADLINES</u>: Institutions must submit in November their applications for funds for the next fiscal year. Each institution sets its own deadline for receipt of student applications for financial aid.
- APPROVAL/DISAPPROVAL TIME: Institutional applications are reviewed by regional panels in December and January. Final allocations are announced in March or April for the upcoming fiscal year.
- <u>REWORKING TIME</u>: Institutions may appeal the recommendations of the regional panel through January 30.
- RELATED PROGRAMS: National defense student loans, college work-study, educational talent search. Upward Bound, special services for disadvantaged students (authorized but not yet funded).
- PROGRAM ACCOMPLISHMENTS: In its 3 years of operation, the educational opportunity grants program has enabled approximately 396,500 young people to undertake or continue higher education. In 1969-70, the program achieves full cycle, with an initial-year class and three renewal classes. Over 1,900 institutions of higher education will participate. These institutions have committed their resources to the national effort to make higher education accessible to those who traditionally have been excluded from it because of exceptional financial and related needs. The educational opportunity grants program is one of the crucial components of this effort.



#### 4.41-SOCIAL SERVICES TRAINING - FORMULA GRANTS IN PUBLIC ASSISTANCE

- AUTHORIZING STATUTE: 42 U.S.C. 303, 603, 1203, 1353, 1383, and 1396b; sections 3, 403, 1003, 1403, 1603, and 1903 of the Social Security Act as amended by the Public Welfare Amendments of 1962, sections 101 and 141, and the Social Security Amendments of 1965, section 121; 6 Stat. 173, 175, 177, 178, 202, 79 Stat. 349.
- ADMINISTRATOR: Chief, Division Training and Manpower Development, Social and Rehabilitiation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: To train personnel employed or preparing for employment by the State agency or by the local agency administering approved public assistance plans. This enables State agencies to provide educational leave for employees, stipends for persons preparing for employment or make grants to educational institutions as well as pay for the costs of the agency inservice training programs.
- ELIGIBILITY: The State agency makes its own regulations within the requirements established by the Secretary of the Department of Health, Education, and Welfare. Applicants must apply to the State agency.
- AVAILABLE ASSISTANCE: 75 percent Federal financial participation in the costs if the States provide social services and for skilled professional medical personnel and their supporting staff, otherwise 50 percent Federal financial participation.
- <u>USE RESTRICTIONS</u>: The State agency regulations. To secure Federal financial participation, the program must be a part of the State's approved plan.
- APPROPRIATIONS: Open-ended for fiscal year 1971.

OBLIGATIONS: None.

AVERAGE ASSISTANCE: Not available.

- ASSISTANCE PREREQUISITES: The regulations of the State agency administering the plan. Applicants muct apply to the State agency.
- <u>POSTGRANT REQUIREMENTS</u>: Whatever the State agency requires for accounting for expenditures and progress reports.
- WASHINGTON CONTACT: Chief, Division Training and Manpower Development, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare, Washington, D.C. 20201 (202) 963-4178.



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LOCAL CONTACT: State directors of departments of public welfare, social welfare, social services, health, or health and welfare.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: None.

PROGRAM ACCOMPLISHMENTS: Approximately 2,200 persons were granted educational leave for professional or technical education; about 20,000 staff were granted tuition and maintenance for short-term courses provided by educational resources outside the agency; and 800 agency trainers provided in-house training to approximately 60,000 agency employees.



#### 4.42- SOCIAL SERVICES - TRAINING IN SOCIAL WORK MANPOWER

- AUTHORIZING STATUTE: 42 U.S.C. 908, Social Security Act, section 707, as amended by Social Security Amendments of 1967, section 401; 81 Stat. 930.
- ADMINISTRATOR: Chief, Division Training and Manpower Development, Social and Rehabilitation Sorvice, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The program activity aims to develop, expand and improve undergraduate programs in social work and programs for graduate training of professional social work personnel by paying part of the costs for additional faculty and administrative personnel.
- ELIGIBILITY: Undergraduate colleges, undergraduate programs in universities, graduate schools of social work and associations of such schools.
- AVAILABLE ASSISTANCE: It is expected that the applicant will contribute at least 10 percent of the costs for which funding is requested.
- <u>USE RESTRICTIONS:</u> The relative need in States for personnel trained in social work.
- APPROPRIATIONS: \$3 million in fiscal year 1971.
- OBLIGATIONS INCURRED: All 3 million obligated to ongoing projects for 1971.
- AVERAGE ASSISTANCE: The average grant is \$40,000.
- ASSISTANCE PREREQUISITES: Applicant must be accredited by or a candidate for accreditation by the appropriate accrediting body.
- <u>POSTGRANT REQUIREMENTS</u>: Accounting for all expenditures; progress report if continuation grant is requested; final project report 3 months after termination.
- WASHINGTON CONTACT: Chief, Division Training and Manpower Development, Social and Rehabilitation Service, U.S. Department of Haalth, Education, and Welfare, Washington, D.C. 20201 (202) 963-4178.



- LOCAL CONTACT: SRS regional commissioner, Department of Health, Education, and Welfare regional office that serves the State in which the educational institution is located.
- APPLICATION DEADLINES: For fiscal year 1970 will be established as soon as DHEW appropriation bill is passed.
- <u>APPROVAL/DISAPPROVAL TIME</u>: Since applications must be reviewed by an advisory committee, all applications are considered at one time. Larsed time between application deadline and grant award notification is about 4 months.
- REWORKING TIME: No more than a month after grant approval.
- RELATED PROGRAMS: Rehabilitation research training, juvenile delinquency prevention and control training, aging training, child welfare training, and maternal child health training.
- PROGRAM ACCOMPLISHMENTS: First grants under this program made in June 1969, therefore, there are no accomplishments to report.



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### 4.44- TRAINING AND TECHNICAL ASSISTANCE (CAP)

- <u>AUTHORIZING STATUTE</u>: Section 230 amended 1967 Economic Opportunity Act.
- ADMINISTRATOR: Thomas F. Broden, Chief, Training and Technical Assistance Division, 1200 19th Street NW., Washington, D.C. 20506.
- NATURE OF PROGRAM: The staff training program involves the orientation and training of all community action personnel volunteer board members and payroll employees at the Washington headquarters, the seven regional offices and the more than 1,100 community action agencies and their delegated agencies across the Nation.
- AVAILABLE ASSISTANCE: Through a network of training centers offered to community action program personnel, boards and neighborhood advisory groups. A number of single-purpose training grants are authorized as needed.
- USE RESTRICTIONS: Training funds are spent in four major areas:

  (1) Training for professional and nonprofessional employees of community action agencies; (2) Training for CAA and regional officer personnel in the area of general administrative and management support; (3) Training for specific program areas such as aging, housing, and manpower; and (4) Training for personnel working with special programs for Indians and migrant workers.
- PAST APPROPRIATIONS: \$22.4 million fiscal year 1971 estimate.
- OBLIGATIONS INCURRED: These funds are largely to sustain existing programs.
- AVERAGE ASSISTANCE: Grants for training vary between \$15,000 and \$100,000 depending on the size and scope of training.
- ASSISTANCE PREREQUISITES: Applicant must justify the competence in training and their administrative ability.
- POSTGRANT REQUIREMENTS: During the grant period, the grantee is required to submit quarterly statistical and narrative reports on program operation, monthly financial reports and to make provisions for continuous independent evaluation reports is required. Headquarters staff makes periodic site visits and in some cases evaluates programs.



WASHINGTON CONTACT: Thomas F. Broden, Chief, Training and Technical Assistance Division, CAP, 1200 19th Street NW., Washington, D.C. 20506, (202) 382-2245.

<u>APPROVAL/DISAPPROVAL TIME</u>: 3 to 6 months - depending on volume of applications.

REWORKING TIME: About 10 days.

RELATED PROGRAMS: OEO/CAP - Finds CAA's through regional offices - authorizes demonstrations research and technical assistance programs OEO - also funds Job Corps, VISTA, etc.



# 4:45-VOLUNTEERS IN SERVICE TO AMERICA (VISTA)

AUTHORIZING STATUTE: 42 U.S.C. 2996 - Economic Opportunity Act of 1964, as amended, August 20, 1964, title VIII.

ADMINISTRATOR: Padraic Kennedy, Acting Assistant Director of the Office of Economic Opportunity for VISTA, Office of Economic Opportunity, 1200 19th Street NW., Washington, D.C. 20506, (202) 382-6134.

NATURE OF PROGRAM: VISTA is a manpower organization that provides volunteers who are willing to live and work with the poor. The volunteers live on subsistence allowances in urban slums, in rural poverty areas, on Indian reservations and in migrant camps. Many volunteers contribute specific skills as lawyers, health technicians, and architects. However, the basic job of the volunteer is to help the poor find leadership from within the poor community. The volunteer should take a significant role in developing an atmosphere in which leadership can be found and problems resolved. VISTA volunteers are requested by and serve under the immediate supervision of a sponsor, which may be public or private agency or group. There is a wide variety of sponsors - the most frequent are community action agencies, the locally controlled agency for the Office of Economic Opportunity, and local public groups such as county and city health units of school boards. The State of Alaska is the sponsor for all volunteers in that State and the Appalachian Volunteers, a private group, sponsors most volunteers in the mountain areas of West Virginia and Kentucky. Individuals, churches or church-oriented groups are often sponsors. Applicant sponsors should write: VISTA, Washington, D.C. 20506.

year 5 percent of the volunteers were 18 or 19 and about 25 percent were retired persons over 60.

<u>AVAILABLE ASSISTANCE</u>: VISTA provides manpower - it is not a grant program.

<u>USE RESTRICTIONS</u>: VISTA spends its funds for volunteers occasionally, grants for supervision and transportation are made to grassroots organizations which cannot otherwise afford them.

APPROPRIATIONS: \$36.7 million fiscal year 1971.

OBLIGATIONS: \$39 million fiscal year 1971.

POSTGRANT REQUIREMENTS: Evaluation of all VISTA projects once yearly.



WASHINGTON CONTACT: C.R. Lane, Acting Director, Office of Economic Opportunity for VISTA, Office of Economic Opportunity, 1200 19th Street NW., Washington, D.C. 20506, (202) 382-7186.

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APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: Depends on the applicants' availability dates - about 3 months.

RELATED PROGRAMS: CAP, Headstart, Job Corps, Upward Bound, Foster Grandparents.

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# 4.46- MEDICAL AND ALLIED HEALTH SERVICES TRAINING

AUTHORIZING STATUTE: 38 U.S.C. 4101.

- <u>ADMINISTRATOR</u>: Chief Medical Director, Department of Medicine and Surgery, Veterans' Administration.
- MATURE OF PROGRAM: Clinical training is provided in VA hospitals and clinics to physicians and dentists (residents and interns), medical and dental students, graduate students in health fields, students of nursing schools and other professional health schools, students in health fields at community and junior colleges, and to health service trainees in accredited health services programs where college training is not required.
- ELIGIBILITY: The trainee must meet eligibility requirements of accredited educational institutions, or of accredited professional bodies, as well as trainee employment qualifications set by the Veterans' Administration.
- AVAILABLE ASSISTANCE: Based on traditional practice within each health service discipline and on local custom at the cooperating educational instutions, some trainees receive stipends while in VA training, others do not. The latter may receive meals during the training day and quarters, if available, in consideration of services rendered by them while in training.
- <u>USE RESTRICTIONS</u>: Funds can be spent for trainee stipends, instructional staff salaries, and miscellaneous other educational costs.
- AVERAGE ASSISTANCE: An "average" would have no significance. Stipend rates range from \$1,200 per annum for certified laboratory assistant trainees (only high school graduate required) to \$8,000 per annum (average) for residents (M.D. or D.D.S. degree and completion of internship required).
- ASSISTANCE PREREQUISITES: In medicine and dentistry, individual must meet VA and American Medical Association or American Dental Association qualifications for residency or internship training; in other disciplines students must be referred to VA hospitals by their schools.
- POSTGRANT REQUIREMENTS: The continuation of cooperative training arrangements between the Veterans' Administration and an educational institution will depend on the general record of successful performance by the students while in VA training.

WASHINGTON CONTACT: See "Administrator" above.



- LOCAL CONTACT: The school in which the trainee is enrolled, or the Director of the VA hospital or clinic which is providing the clinical training.
- APPLICATION DEADLINES: The Veterans' Administration is prepared to start its training programs on July 1 of each year. Therefore, the arrangements made by an applicant should be completed through his school or through the VA station directly, where applicable, as much as possible before that date.
- APPROVAL/DISAPPROVAL TIME: Applicants are notified by their school of acceptance in accordance with the school's schedule. VA hospitals and clinics notify those who apply to them directly as soon as possible after application, contingent upon the station's knowledge of availability of funds for training each year. 'There is no "average" time of record available.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Other Federal agencies which operate hospitals, such as Public Health Service, also conduct medical and allied health services training, though under different statutory authority.



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### 4.47\_MANPOWER DEVELOPMENT AND TRAINING ACT--INSTITUTIONAL TRAINING

AUTHORIZING STATUTE: Manpower Development and Training Act of 1962,
Public Law 87-415, March 15, 1962; Public Law 88-214, December 19,1963;
Publice Law 89-15 April 26, 1965; Public Law 89-792, November 7, 1966;
and Public Law 90-636, October 24, 1968.

ADMINISTRATORS: The U.S. Department of Labor, through the U.S. Training and Employment Service, and the Department of Health, Education, and Welfare, through the U.S. Office of Education. Purpose: To provide training and/or retraining for unemployed and underemployed persons who need assistance to obtain or retain full-time employment.

ELIGIBILITY: Unemployed or underemployed individuals.

<u>AVAILABLE ASSISTANCE</u>: Training and/or retraining with allowance (training, subsistence, and transportation) to eligible individuals.

<u>USE RESTRICTIONS</u>: To underwrite cost of training and allowance payments.

APPROPRIATIONS: Fiscal year 1971 - \$2.5 million

OBLIGATIONS INCURRED: Fiscal year 1971- \$2.5 million

<u>POSTGRANT REQUIREMENTS</u>: To receive regular training allowances, applicant must be unemployed, head of household, or member of family in which head of household is unemployed, and must have at least 1 year's experience in gainful employment. Disadvantaged youth, age 17 through 21, may be eligible for special youth allowances.

LOCAL CONTACT: Nearest local office of the U.S. Employment Service.

RELATED PROGRAMS: Title I-B of the Economic Opportunity Act also is administered by the Department of Labor, under delegation from the Office of Economic Opportunity. There are many other legislative authorities under which the U.S. Office of Education operates.



### 4.48 MANPOWER DEVELOPMENT AND TRAINING

- AUTHORIZING STATUTE: The Manpower Development and Training Act of 1962, as amended. Public Law 87-415, March 15, 1962, 76 Stat. 23, as amended by: (a) Public Law 87-729, October 1, 1962, 76 Stat. 679; (b) Public Law 88-214, December 19, 1963, 77 Stat. 422; (c) Public Law 89-15, April 26, 1965, 79 Stat. 75; (d) Public Law 89-792, November 7, 1966, 80 Stat. 1434; (e) Public Law 89-792, November 8, 1966, 80 Stat. 1451; (f) Public Law 90-636, October 24, 1968; and (g) Public Law 91-4, March 19, 1969, 83 Stat. 6; 42 U.S.C. 2571-2628.
- ADMINISTRATOR: Director, Division of Manpower Development and Training,
  Office of Education, U.S.Department of Health, Education, and
  Welfare.
- NATURE OF PROGRAM ACTIVITY: Occupational training, basic education, counseling, and supportive services for unemployed and underemployed persons who cannot secure appropriate full-time employment without training. Training may also be provided for inmates of correctional institutions and residents of redevelopment areas as designated by the Secretary of Commerce.
- ELIGIBILITY: Individuals may apply for entry into training programs; public or private education and training institutions may apply either to State agencies having an agreement with the Commissioner to provide training or directly to the Division of Manpower Development and training.
- <u>AVAILABLE ASSISTANCE</u>: There is no charge for the cost of training and eligible trainees receive allowance payments while they are in training; training facilities are reimbursed for the costs of providing training.
- <u>USE RESTRICTIONS</u>: Funds appropriated under the act may not be used for the erection or repair of any building.
- APPROPRIATIONS: \$6.25 million for fiscal year 1971.
- OBLIGATIONS: NONE.
- AVERAGE ASSISTANCE: The average cost of training is \$800; the average payment for allowance is \$1,000.
- ASSISTANCE PREREQUISITES: Unemployed and underemployed individuals are eligible for MDTA training. Eligibility for allowance payments are determined by the State employment service at the time of referral. Training facilities must meet the requirements of the Commissioner or the State education agency.
- POSTGRANT REQUIREMENTS: States are required to submit evaluations of MDTA programs on an annual basis, or as requested by the Commissioner.
- WASHINGTON CONTACT: Director, Division on Manpower Development and



Training, Bureau of Adult, Vocational, and Library Programs, U.S. Office of Education, Washington, D.C. 20202, (202) 963-7132.

LOCAL CONTACT: Individuals interested in receiving training should contact the local office of the State employment service. Training agencies wishing to participate in the program smould contact the State department of vocational education.

APPLICATION DEADLINES: NONE

APPROVAL/DISAPPROVAL TIME: From 1 to several months.

REWORKING TIME: Proposals for training are generally subject to continuous discussion from the planning stage on.

RELATED PROGRAMS: Job Corps; Neighborhood Corps out-of-school (OEO); vocational education programs of the Office of Education.

PROGRAM ACCOMPLISHMENTS: Since the MDTA program started in 1962, more than 830,200 people have offered institutional training opportunities under provisions of the act. An additional 59,600 persons have received on-the-job training and about one-third of these received related or supplementary instruction as part of the on-the-job training program. More than 80 percent of the individuals completing training have found employment.



## 4.49-NEW CAREERS

AUTHORIZING STATUTE: Public Law 88-452. Economic Opportunity Act of 1964, as amended. Title I-E.

ADMINISTRATOR: U.S. Training and Employment Service, U.S. Department of Labor.

NATURE OF PROGRAM: To train unemployed adults in subprofessional careers of service to the community.

ELIGIBILITY: Community agencies, especially community action agencies.

<u>AVAILABLE ASSISTANCE</u>: Work-training, educational training, counseling, placement, other supportive services for up to 2 years for individuals.

<u>USE RESTRICTIONS</u>: Wages, supportive services for enrollees; administrative costs.

APPROPRIATIONS: \$15 million (approximately) fiscal year 1971.

OBLIGATIONS INCURRED: Same as appropriations.

AVERAGE ASSISTANCE: Approximately \$135,000 (\$4,200 per enrollee).

ASSISTANCE PREREQUISITES: Adults 22 years of age or older who are unemployed or meet poverty criteria.

<u>POSTGRANT REQUIREMENTS</u>: Periodic statistical summaries and narrative reports.

WASHINGTON CONTACT: Richard Mendenhall, Chief, Division of Job Opportunities Programs. USTES, 1741 Rhode Island Avenue NW.

LOCAL CONTACT: Regional office of Department of Labor (see appendix).

Contracts are made by the regional offices.

PROGRAM ACCOMPLISHMENTS: One hundred and twelve programs are in operation with approximately 100 participants in each program.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: A couple of months.

REWORKING TIME: Information not available.



# 4.50-CONCENTRATED EMPLOYMENT PROGRAM (CEP)

AUTHORIZING STATUTE: CEP is a system established by administrative action of the Department of Labor to deliver concentrations of manpower programs and services, authorized under existing legislation, to selected areas. Categorical programs normally covered by this delivery system are the training programs authorized under the Manpower Development and Training Act (MDTA) and manpower programs established by the Economic Opportunity Act (EOA) and delegated to the Department of Labor.

ADMINISTRATOR: U.S. Training and Employment Service Manpower
Association, U.S. Department of Labor. CEP projects are operated
in local areas pursuant to contracts between a local sponsor,
usually the Community Action Agency, and the Department of Labor.

NATURE OF PROGRAM: CEP is, as noted above, a delivery system which combines in a single contract with one sponsor the full array of manpower development programs and services available to the Department of Labor under existing legislation. The program's objective is to assist jobless, disadvantaged persons to develop the skills needed to obtain and hold suitable jobs, mainly in the private sector of the economy. Employment of the CEP technique assures that the local CEP sponsor is afforded a concentration of resources, as well as maximum flexibility, to facilitate development of a manpower program which is best suited to the needs of the local area.

ELIGIBILITY: The program was started in selected areas of 20 large cities and two rural areas. It has since been expanded to include 67 cities and 13 rural areas. The program is designed to serve areas which have the relatively highest concentrations of the hard-core disadvantaged and also offer good prospects for conducting the program successfully. Cities which were designated model cities and met the basic criteria were given preferential consideration for a CEP.

AVAILABLE ASSISTANCE: CEP provides a broad array of programs and services designed to assist the hard-core disadvantaged to become employable and to find and hold regular employment. Included are a large variety of programs and services which may appropriately be provided pursuant to the component programs (principally MDTA training programs and EOA work-training programs) which are included in CEP. Some examples of assistance and services provided are: basic education; work experience; on-the-job and institutional skills training; counseling, testing, and vocational guidance; vocational rehabilitation; limited medical and dental treatment; and day care for children of disadvantaged working mothers.



ISE RESTRICTIONS: Funds can be expended, consistent with applicable legislation, for any reasonable purpose necessary to conduct an effective CEP project. Examples of functional purposes for which funds may be expended are: payment of wages or allowances to CEP enrollees participating in occupational training or work experience programs; provision of supplies and equipment needed to conduct occupational training or work experience programs; payment of the administrative costs of a CEP project; and payment for various types of assistance and services, such as those enumerated in the answer to question "Available assistance," which are needed to support the effort to improve the employability of the hard-core disadvantaged.

APPROPRIATIONS: \$142.4 million for fiscal year 1971.

OBLIGATIONS INCURRED: \$123 million for fiscal year 1971.

POSTGRANT REQUIREMENTS: Local project sponsors are required to report program and fiscal information of the type that the Federal agency responsible for the program must have in order to manage the program efficiently, monitor its progress and accomplishments, and otherwise discharge its responsibilities for implementation of the program. Thus, sponsors are required to provide information routinely relative to such matters as status of funds allocated to the project; number of persons enrolled in the project; selected characteristics of project enrollees; terminations of enrollees from the project; and disposition of those terminated. Special reports in the program and fiscal areas may also be required from time to time. Project sponsors are expected to perform continuous self-evaluation of their projects in order to develop information they must have to promote efficient and effective program management and operation. U.S. Training and Employment Service and the Manpower Administration also perform monitoring and evaluation on a continuing basis, through such activities as field review of project operations by agency staff, review and analysis of data, and other information reported by sponsors and contracting with outside firms for performance of special evaluative reviews and studies.

WASHINGTON CONTACT: The agency responsible for administering CEP is the U.S. Training and Employment Service, Manpower Administration, U.S. Department of Labor, Washington, D.C. 20210. Inquiries on the program may be addressed to Robert J. Brown, Acting Deputy Associate Manpower Administrator. Telephone inquiries may be directed to William E. Blake, Deputy Assistant Director, Manpower Delivery Systems, (202) 961-2836.

LOCAL CONTACT: The responsible official is the Manpower Administrator for the region concerned.



APPLICATION DEADLINES: The relatively small number of areas to which CEP can be allocated are carefully selected on the basis of specified criteria. Such cities are requested to develop their proposals after congressional action on appropriations for the Department of Labor's manpower programs has been completed and the Department is in a position to determine the amount of funds which will be reserved for CEP operations.

APPROVAL/DISAPPROVAL TIME: As we anticipate only refunding of CEP projects in fiscal year 1970, renegotiations with the sponsors will be held well in advance of the expiration of contract.

RELATED PROGRAMS: The Department of Labor administers many other programs which are closely related to and coordinated with CEP. Some examples are the apprenticeship and training program administered by the Bureau of Apprenticeship and Training; the unemployment insurance, Employment Service, and related programs administered by the Bureau of Employment and Security and its affiliated employment security agencies; and comprehensive research and experimental and demonstration programs in the field of manpower. Many programs administered by other Federal agencies are similarly related to an coordinated with the CEP. This is true, for example, of such programs as the Community Action and the VISTA volunteer programs administered by the Office of Economic Opportunity, as well as many types of health, education, and welfare programs, administered by the Department of Health, Education, and Welfare, through which enrollees are provided a wide range of services which help them remedy the conditions which are the cause of their unemployment.



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### 4.51-OPERATION MAINSTREAM

AUTHORIZING STATUTE: Public Law 88-452, Economic Opportunity Act of 1964, as amended.

ADMINISTRATOR: U.S. Training and Employment Service, U.S. Department of Labor.

NATURE OF PROGRAM: Employment projects for chronically unemployed poor adults in small towns and rural areas.

ELIGIBILITY: Adult individual.

<u>AVAILABLE ASSISTANCE</u>: Work-training, employment, and supportive services.

FUNDING USE RESTRICTIONS: Wages, supportive services for enrollees, and administrative costs.

APPROPRIATIONS: \$41 million for fiscal year 1971.

OBLIGATIONS INCURRED: \$41 million for fiscal year 1971.

AVERAGE ASSISTANCE: Information not available.

ASSISTANCE PREREQUISITES: Chronically unemployed adults with income below poverty line; priority given to elderly.

POSTGRANT REQUIREMENTS: Periodic statistical summaries and narrative reports.

WASHINGTON CONTACT: See Administrator.

LOCAL CONTACT: Manpower Administrator for District of Columbia, Mr. Horace Holmes, District Building, room 429, 14th and E Streets NW., Washington, D.C. 20004.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

<u>RELATED PROGRAMS</u>: New careers, special impact, work incentive program, Neighborhood Youth Corps, on-the-job training, and concentrated employment programs.



# 4.52-ON-THE-JOB TRAINING PROGRAM (OJT)

- AUTHORIZING STATUTE: Title II of the Manpower Development and Training Act of 1962, as amended.
- ADMINISTRATOR: Director, Manpower Development and Training Division, U.S. Department of Labor, Washington, D.C.
- NATURE OF PROGRAM: To develop and secure the adoption of programs for the on-the-job training needed to equip unemployed and underemployed persons with employable skills and updated skills to keep up with modern day technology.
- ELIGIBILITY: This act was created primarily to give job skills to the unemployed, underemployed, and workers whose jobs are endangered by changing technology. Under title II of this act employers, labor organizations, trade associations, and public and private agencies are able to obtain Federal funds for OJT programs for training in day care occupations.
- AVAILABLE ASSISTANCE: Work-training, employment, and supportive services
- <u>USE RESTRICTIONS</u>: Funds may be expended for reimbursement of instructors, administrative costs, supplementary education, and other costs consistent with administrative guidelines.
- PAST APPROPRIATIONS: \$222.9 million for fiscal year 1971.
- OBLIGATIONS INCURRED: \$340 million for fiscal year 1971.
- AVERAGE ASSISTANCE: The average OJT contract during fiscal year 1969 was for \$1149.
- ASSISTANCE PREREQUISITES: Persons including youth, who are unemployed, underemployed, in need of skill training or retraining, and/or disadvantaged.
- <u>POSTGRANT REQUIREMENTS</u>: Contractors are required to submit periodic statistical and financial reports; Manpower Administration officials are required to monitor projects periodically, prepare monitoring reports and evaluation surveys.
- WASHINGTON CONTACT: Richard Mendenhall, Chief, Division of Job Opportunities Programs, U.S. Training and Employment Service, 1741 Rhode Island Avenue NW, Washington, D.C.
- LOCAL CONTACT: Morris Riger, Regional Manpower Administration, region III, 1111 20th Street NW, Washington, D.C. 20036.



APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: Information not available.

Which relate to the OJT program. Among them are Apprenticeship and training program administered by the Bureau of Apprenticeship and Training, job opportunities in the business sector, Neighborhood Youth Corps, concentrated employment program, job opportunities in the public sector, work incentive program, all administered by the Department of Labor, Related programs administered by other Federal agencies include: Community Action program (EOE) institutional training program (HEW).



### 4.53- WORK INCENTIVE PROGRAM (WIN)

AUTHORIZING STATUTE: Title IV-B of the Social Security Act, as amended.

NATURE OF PROGRAM: AFDC (Aid to Families with Dependent Children) recipients are referred, after orientation, testing, and counseling by the local employment service, to work-experience and training projects. These projects will seek to increase the employability of persons over 16 years of age and out-of-school who are welfare recipients and not immediately employable. State and local manpower agencies deliver manpower services. The Manpower Administration has the responsibility for providing training and work experience. Both public agencies and private nonprofit organizations are eligible to sponsor training projects for persons referred to them. The welfare agency's role rests primarily in the determination of appropriateness and an orderly referral of employable recipients. (See page 1.) Programmatically, State and local welfare agencies concentrate their activities in providing social services necessary to assist family groups. day care services are provided for the children of trainees.

ELIGIBLITY: All adult memebers of a family and all youth over the age of 16 who are not in school full time and who are receiving AFDC are considered appropriate for referral, except persons who are ill, advanced in age or incapacitated, remote from a project that precludes effective participation in work or training, or required because of illness or incapacity of another member of the household to be continually present in the home. All recipients of assistance, including the excepted persons, may request to be referred and such request must be referred to the Secretary of Labor.

AVAILABLE ASSISTANCE: The program components described under "Nature of Program" are supplemented by support services provided by the State sponsor which in all cases is the State employment security system. The WIN program centers around the development and implementation of an individual employability plan developed in conjunction with the AFDC recipient.

<u>USE RESTRICTIONS</u>: Funds may be extended to develop and improve the employability of AFDC recipients only.

PAST APPROPRIATIONS: \$139 million estimate for fiscal year 1971.

OBLIGATIONS INCURRED: All but approximately \$5 million dollars.

AVERAGE ASSISTANCE: Not applicable.



ASSISTANCE PREREQUISITES: See eligibility above.

<u>POSTGRANT REQUIREMENTS</u>: WIN sponsors are required to report program and fiscal information that the Department of Labor deems necessary in order to efficiently manage, monitor, and evaluate the program.

WASHINGTON CONTACT: The responsible Washington official is Mr. Earl Klines, Director of Work Incentive Program, Manpower Administration, U.S. Department of Labor, (202) 961-2678.

LOCAL CONTACT: The person responsible for conduct of the WIN program in each region established by the DOL is the Regional Manpower Administrator.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: The WIN program in the implementation of individual employability plans utilizes the services available under all menpower programs administered by the Department, including MDTA and EOA programs. In addition, the services provided by the concentrated employment programs and existing services provided by the Federal-state employment security system are utilized as well as social services provided by the DHEW and its affiliated State and local agencies.



4.54\_SPECIAL IMPACT PROGRAM (delegated to the Department of Labor by the Office of Economic Opportunity)

AUTHORIZING STATUTE: Title I-D of the Economic Opportunity Act of 1964, as amended.

ADMINISTRATOR: Bureau of Work-Training Programs, Manpower Administration U.S. Department of Labor, Washington, D.C. 20210.

NATURE OF PROGRAM: Local sponsors may develop and operate projects that aim at solving critical problems facing urban areas with large numbers of low-income persons. The projects concentrate on work training that will result in improvements in the neighborhoods where participants live. Project activities may include renovating houses or apartments, improving health facilities, developing vest pocket parks, expanding community programs, or developing recreational facilities. To be eligible individuals must be residents of the community or neighborhood served by the project, be at least 16 years of age, and come from families with annual incomes below the poverty line or be unemployed.

ELIGIBILITY: Community action agencies will receive preference as sponsors of projects in localities where the agencies demonstrate their desire and capability. Any group—other than a political party— that meets established standards is eligibile to sponsor projects.

AVAILABLE ASSISTANCE: The Federal contribution usually provides up to 90 percent. The sponsor's share may be paid in cash or in kind.

<u>USE RESTRICTIONS</u>: Proposals are reviewed by the Administrator of the Bureau of Work-Training Programs or his authorized representatives. To be approved they must meet certain conditions, including:

- 1. Projects must be located in urban neighborhoods with large concentrations of low-income persons.
- 2. Projects must not result in the displacement of employed workers or impair existing contracts for services.

Priority is given to projects that provide a total spectrum of services and therefore appear most likely to help participants obtain permanent jobs.

Priority is given to projects that demonstrate optimum linkage and coordination with all other related programs and provide training not otherwise available to residents of the neighborhood.

APPROPRIATIONS: \$19.2 million for fiscal year 1971.



OBLIGATIONS INCURRED: \$32.2 million for fiscal year 1971.

AVERAGE ASSISTANCE: Information not available.

ASSISTANCE PREREQUISITES: Persons over age 16 who are residents of the community served by the project.

<u>POSTGRANT REQUIREMENTS:</u> Periodic statistical summaries and narrative reports.

WASHINGTON CONTACT: (Same as above)

LOCAL CONTACT: Regional Manpower Administrators - See appendix

APPLICATION DEADLINES: None

APPROVAL/DISAPPROVAL TIME: Information not available

RELATED PROGRAMS: New careers, Operation Mainstream, WIN, Neighborhood Youth Corps.



## 4.55-PROGRAMS FOR THE DISADVANTAGED - UPWARD BOUND

- AUTHORIZING STATUTE: 20 U.S.C. 1101, Higher Education Act of 1965, title IV-B, Public Law 89-329, 79 Stat. 1255, as amended by 20 U.S.C. 1068, Higher Education Amendments of 1968, Public Law 90-575, 82 Stat. 1014.
- ADMINISTRATOR: Chief, Upward Bound Branch, Division of Student Special Services, Bureau of Higher Education, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Upward Bound aims to generate skills and motivation necessary for success in education beyond high school for enrollees from low-income backgrounds and with inadequate secondary school preparation.
- ELIGIBILITY: To enroll in Upward Bound, individuals must be from low-income backgrounds and show evidence of inadequate secondary school preparation. Upward Bound project sponsors must be either institutions of higher education, combinations thereof, or in exceptional cases secondary schools or post-secondary educational institutions accredited by a State.
- AVAILABLE ASSISTANCE: Upward Bound enrollees receive a monthly stipend of up to \$30 per month. The maximum Federal contribution to a participating institution may not exceed \$1,440 per enrollee per year.
- <u>USE RESTRICTIONS</u>: Funds are awarded to institutions to operate Upward Bound projects in accordance with the regulations and guidelines determined by the Commissioner.

APPROPRIATIONS: \$30 million (estimate), fiscal year 1971.

OBLIGATIONS: None.

AVERAGE ASSISTANCE: \$100,000 per project grant.

- ASSISTANCE PREREQUISITES: Upward Bound students must be high school students. Institution proposals must be approved by the Office of Education.
- <u>POSTGRANT REQUIREMENTS</u>: A periodic evaluation is conducted by institutions and by the Upward Bound staff in Washington.
- WASHINGTON CONTACT: Chief, Upward Bound Branch, Division of Student Special Services, Bureau of Higher Education, Office of Education, 400 Maryland Avenue SW., Washington, D.C. 20202 (202) 962-2392.



LOCAL CONTACT: Interested students should contact local colleges' and universities. Institutional applicants should contact Upward Bound in Washington. APPLICATION DEADLINE: December 15, 1969.

APPROVAL/DISAPPROVAL TIME: March 15, 1969 (tentative).

REWORKING TIME: Approximately 3 months.

RELATED PROGRAMS: Educational talent search, special services in college.

PROGRAM ACCOMPLISHMENTS: Upward Bound currently funds 300 projects, serving a total of 26,000 students. The record of college enrollment and retention for the program's graduates is as follows: 1965 (pilot programs)\_\_\_\_\_\_ 80.5 percent of 1,277. 1966 \_\_\_\_\_\_ 82.1 percent of 1,275. 79.1 percent of 4,855. 1968 66.5 percent of 9,300. The casualty rate has been:

1965 through junior year \_\_\_\_\_\_ 23.1 percent dropped out.

1966 through sophomore year \_\_\_\_\_\_ 17.9 percent dropped out.

1967 as of fall of sophomore year \_\_\_\_\_ 30.9 percent dropped out.



## 4.56- JOB CORPS

AUTHORIZING STATUTE: Title I, part A of Economic Opportunity Act of 1964, as amended, through December 23, 1967: 42 USC 2711.

ADMINISTRATOR: William Mirengoff, Acting Director.

NATURE OF PROGRAM: (a) Organizations: Job Corps is a consortium of Federal, State, and local governments, of private enterprises, trade unions, universities, and social agencies, presently numbering 53 centers. Job Corps enlists the cooperation of State and Federal agencies to further its operations: The Civil Service Commission and Post Office administer qualifying examinations at centers. The Army Finance Center provides for Corps member pay, allowances and transportation. The Equal Opportunity Commission assists Job Corps in identifying job opportunities for minority youth. The Bureau of Employment Security and affiliated State agencies recruit and screen and assist in placement. interagency agreements, the Departments of Agriculture and Interior operate civilian conservation centers. The General Services Administration provides facilities and equipment and stocks and distributes educational and medical supplies, while the Department of Defense Supply Agency stocks and distributes clothing and food items. The public Health Service and the Veterans Administration provide dental consultation and in-patient hospitalization. About two-thirds of the full-time staff of administrators, teachers, counselors, nurses, clerical workers, cooks, maintenance men, etc., on centers are employed by the private organizations that operate centers under contract with Job Corps; the remainder are civil service workers employed by the Federal and State agencies that operate the civilian conservation centers.

(b) Objectives: Many underprivileged youth need to be removed from their environment, given training and other support so that they can make a successful transition to the job market and pursue a more productive life. Job Corps' mission is unique as the Nation's only residential program serving youth who have dropped out of school, who came from families meeting the criteria of poverty as defined in the antipoverty legislation, who have the fewest educational and vocational skills, and who require the most intensive remediation. The program design has been conceived to fulfill the overall objectives so that existing Corps members may acquire and hold jobs at the entry level or above and progress in these jobs or related fields, return to school for advanced training, or enter the Armed Forces. See "Available assistance."



ELIGIBILITY: By law, Job Corps applicants go through a specified recruitment and selection program before they are admitted to the centers. Specifically, Job Corps enrollees must be 14 through 21 years old; citizens or permanent residents of the United States; school dropouts for 3 months or more; unable to find or hold an adequate job; underprivileged and in need of a change of environment; not have a history of serious criminal or antisocial behavior that would jeopardize their own safety or that of others; express a firm interest in joining the Job Corps; and agree in writing to a minimum stay of 90 days. In assigning an accepted applicant to a center, the Job Corps considers the educational and vocational needs of the youth and availability of center spaces within the region closest to the enrollee's home. See Job Corps admissions manual JCH 313, January 1, 1967, as amended.

AVAILABLE ASSISTANCE: Two years is the maximum length of training permitted by law. Corps members are said to be completers of the program when, in the judgement of the staff, they have concluded sufficient education and vocational courses within their chosen skill cluster to make them employable. To achieve the goals described above, each Job Corps center program provides a diversified curriculum with flexible grouping methods permitting Corps members to progress at individual rates of speed, reflective of their motivation, ability, previous educational achievement, and occupational goals. Components considered basic to the program are the following: Basic education including the remedial as well as the advanced work necessary for the G.E.D. (high school equivalency) certificate; world-of-work training; vocational training and work experience in 11 basic vocational clusters, each cluster containing programs with transferable skills so as to give enrollees the best possible job mobility; comprehensive health services; home and family living education; a vocational program encompassing a diversity of leisure time activities; a program of physical fitness; counseling and guidance services; placement services through a network of Job Corps center personnel, regional offices, State employment offices, employers, unions and trade associations, women in community service (WICS) and joint action of community services (JACS). Critical to the program are the living and readjustment allowances and transportation costs paid by the Federal Government. Each ex-Corps member receives room and board, work clothing, a nominal sum to be used toward the purchase of dress clothing, and a monthly living allowance of \$30, less deductions for social security and taxes. In addition, each enrollee receives a readjustment allowance of \$50 for each month of satisfactory Job Corps service. See Job Corps admissions manual.



<u>USE RESTRICTIONS</u>: In general, Job Corps funds are available for the operation and maintenance of Job Corps centers, support of enrollees, and all other necessary expenses including evaluation and information dissemination as called for in the EOA of 1964 as amended. Specific limitations on the use of Job Corps funds are set forth below:

Special limitations: Sec. 117 (a) The Director shall not use any funds made available to carry out this part for the fiscal year ending June 30, 1968, in a manner that will increase the residential capacity of Job Corps centers above 45,000 enrollees.

- (b) The Director shall take necessary action to assure that on or before June 30, 1968, of the total number of Job Corps enrollees receiving training, at least 25 per centum shall be women. The Director shall immediately take steps to achieve an enrollment ratio of 50 per centum women enrollees in training in the Job Corps consistent with (1) efficiency and economy in the operation of the program, (2) sound administrative practice, and (3) the socioeconomic, educational and training needs of the population to be served.
- (c) The Director shall take necessary action to assure that for any fiscal year the direct operating costs of Job Corps centers which have been in operation for more than 9 months do not exceed \$6,900 per enrollee.
- (d) The Director shall take necessary action to assure that all studies, evaluations, proposals, and data produced or developed with Federal funds, in the course of the operation of any conservation or training center shall become the property of the United States.

APPROPRIATIONS: \$191.7 million for fiscal year 1971.

ORLIGATIONS: \$180.0 million for fiscal year 1971.

AVERAGE ASSISTANCE: The Job Corps program makes no grants per se. The program is essentially a number of contractual agreements between Job Corps and industries or agencies, or nonprofit organizations who operate the Job Corps centers. Job Corps solicits proposals for centers operations from these, Job Corps reviews bids; selects the best bid; and proceeds to contract negotiation. However overall Job Corps costs applied on an average "per enrollee" basis show that from July 1, 1968, through January 31, 1969, \$4,045 was the average spent on each enrollee served.

ASSISTANCE PREREQUISITES: Not applicable. See "Eligibility."

POSTGRANT REQUIREMENTS: Not applicable.

WASHINGTON CONTACT: For information on volunteering for the Job Corps contact E. Hunter Smith, Jr., Office of the Associate Director, Recruitment, Screening, and Placement Division, 1111 18th Street NW., Washington, D.C. (202) 382-3861.



LOCAL CONTACT: Job Corps regional administrators are the key contact people. The Job Corps regional administrators work with Department of Labor regional offices and their affiliated State agencies in the interest of recruiting and screening applicants for Job Corps. They also work with the directors of screening agencies such as WICS (women in community service), the department of public welfare of the State of North Tarolina, AFL-CIO, the Human Resources Administration in New York City, and other local contract screening agencies to the same end. Job Corps national headquarters prepares the national recruitment plan and monitors screener accomplishment in reaching the goals established. In addition, it issues policy and procedural guidelines relating to eligibility, assignment, enrollment, and transportation of approved applicants.

<u>APPLICATION DEADLINE</u>: Facsimile of the application form for enrollees are contained within the Job Corps admissions manual.

APPROVAL/DISAPPROVAL TIME: With respect to the applications of Job Corps enrollees, the average elapsed time between receipt of application and notice of approval is as follows: (1) Each youth applies at a local screening office for admission to Job Corps. The interval from the date of initial interview to date of receipt of application at the regional office for processing is about 1 The interval from the date of receipt by the regional office to date of notification of the screener of approval or disapproval is about 1 week. The time span from the date of notification of approval to date of departure for a Job Corps center is about 3 weeks. Problem cases requiring extensive medical or behavior review may take longer t. process; and (2) applications received by regional offices which are incomplete or otherwise need reworking are returned to the screener in about 1 week. The reworking time depends on the problem and the amount of additional information required.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: All Department of Labor manpower training programs.

## 4.57-PROGRAMS FOR THE DISADVANTAGED - TALENT SEARCH

- AUTHORIZING STATUTE: 20 U.S.C. 1101, Higher Education Act of 1965, title IV-B, Public Law 89-329, 79 Stat. 1255, as amended by 20 U.S.C. 1068, Higher Education Amendments of 1968, Public Law 90-575, 82 Stat. 1014.
- ADMINISTRATOR: Chief, Talent Search and Special Services Branch,
  Division of Student Special Services, Bureau of Higher Education,
  Office of Education, U.S. Department of Health, Education, and
  Welfare.
- NATURE OF PROGRAM ACTIVITY: Talent search contracts are awarded to support activities that establish relationships with youth of exceptional financial need to motivate them toward continuation of their education at both the secondary and higher education levels. Talent search projects may work in urban ghettos, serve statewide networks, cover specific regional areas, or deal with special minority groups in these geographic areas.
- ELIGIBILITY: Educational talent search project sponsors may be State or local education agencies, or other public or nonprofit organizations and institutions.
- AVAILABLE ASSISTANCE: Talent search awards funds for such staff salaries and benefits, office supplies, space, utilities, equipment, and transportation as are needed by projects to pursue the program's recruitment, counseling, and placement objectives.
- <u>U.M. RESTRICTIONS:</u> Funds are awarded to institutions to operate talent search projects in accordance with the regulations and guidelines determined by the Commissioner.

APPROPRIATION: \$5 million, fiscal year 1971 (requested).

OBLIGATIONS: None.

AVERAGE ASSISTANCE: \$45,000 per project contract.

ASSISTANCE PREREQUISITES: Proposals recommended for contracts must be approved by the Commissioner of Education.

POSTGRANT REQUIREMENTS: A periodic evaluation is conducted by participating agencies, institutions, and organizations and by the educational talent search staff in Washington.



WASHINGTON CONTACT: Chief, Talent Search and Special Services

Branch, Division of Student Special Services, Bureau of Higher

Education, Office of Education, 400 Maryland Avenue SW., Washington,

D.C., 20202 (202) 962-2892

LOCAL CONTACT: None.

APPLICATION DEADLINE: Not yet determined.

APPROVAL/DISAPPROVAL TIME: Not yet determined.

REWORKING TIME: Not yet determined.

RELATED PROGRAMS: Upward Bound, special services in college.

PROGRAM ACCOMPLISHMENTS: Talent Search contracts for fiscal year 1969 have been awarded to 62 projects in 39 States, Puerto Rico, and the District of Columbia. Several of these are statewide projects; others will serve migrants and Indians outside the urban area.



## 4.58- NEIGHBORHOOD YOUTH CORPS, SUMMER PROGRAM

AUTHORIZING STATE: Public Law 88-452, Economic Opportunity Act of 1964, as amended.

<u>ADMINISTRATOR</u>: United States Training and Employment Service, U.S. Department of Labor.

NATURE OF PROGRAM: To provide summer employment to high school age students from low-income families.

ELIGIBILITY: High school age individuals.

AVAILABLE ASSISTANCE: Practical work experience and supportive services.

<u>FUNDING USE RESTRICTIONS</u>: Wages, supportive services for enrollees and administrative costs.

PAST APPROPRIATIONS: Fiscal year 1971: \$196.4 million (includes summer and in-school programs).

OBLIGATIONS INCURRED: \$208.9 million fiscal year 1971.

AVERAGE ASSISTANCE: Information not available.

ASSISTANCE PREREQUISITES: High school age individuals who meet poterty criteria.

<u>POSTGRANT REQUIREMENTS:</u> Periodic statistical summaries and narrative reports.

WASHINGTON CONTACT: See Administrator above.

LOCAL CONTACT: Manpower Administrator for District of Columbia, Mr. Horace Holmes, District Building, room 429, 14th and E Streets NW., Washington, D.C. 20004

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

<u>RELATED PROGRAMS</u>: New careers, special impact, work incentive program, Operation Mainstream, on-the-job training, concentrated employment programs.



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## 4.59-NEIGHBORHOOD YOUTH CORPS, OUT-OF-SCHOOL PROGRAM

AUTHORIZING STATUTE: Public Law 88-452, Economic Opportunity Act of 1964, as amended.

ADMINISTRATOR: U.S. Training and Employment Service.

<u>NATURE OF PROGRAM</u>: To provide work-experience and supportive services to unemployed youth necessary to develop their abilities to find and keep meaningful employment.

ELIGIBILITY: Unemployed out-of-school individuals, priority to youths.

<u>AVAILABLE ASSISTANCE</u>: Work-training, counseling, remedial education, and other supportive services.

<u>USE RESTRICTIONS</u>: Wages, supportive services for enrollees and administrative costs.

PAST APPROPRIATIONS: Fiscal year 1971: \$120.7 million.

OBLIGATIONS INCURRED: Fiscal year 1971: \$125.8 million.

AVERAGE ASSISTANCE: Information not available.

ASSISTANCE PREREQUISITES: Out-of-school individuals who meet poverty criteria.

<u>POSTGRANT REQUIREMENTS:</u> Periodic statistical summaries and narrative reports.

WASHINGTON CONTACT: Director of U.S. Training and Employment Service.

LOCAL CONTACT: Horace Holmes, District Building, room 429, 14th and E Street NW., Washington, D.C. 20004.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: Information not available.

REWORKING TIME: Information not available.

RELATED PROGRAMS: NYC out-of-school and summer, new careers, special impact, Operation Mainstream, work incentive program, concentrated employment program, and on-the-job training program.



## 4.60-NEIGHBORHOOD YOU'TH CORPS, IN-SCHOOL PROGRAM

AUTHORIZING STATUTE: Public Law 88-452, Economic Opportunity Act of 1964, as amended.

ADMINISTRATOR: U.S. Training and Employment Service.

NATURE OF PROGRAM: To provide part-time work experience and training for students of high school age from low-income families to help them continue or resume their education.

ELIGIBILITY: High school age youth.

<u>AVAILABLE ASSISTANCE</u>: Work experience, counseling, remedial education; other supportive services.

<u>USE RESTRICTIONS:</u> Wages, supportive services for enrollees and administrative cost.

PAST APPROPRIATIONS: Fiscal year 1971: \$196.4 million (for in-school and summer).

OBLIGATIONS INCURRED: \$208.9 million fiscal year 1971.

AVERAGE ASSISTANCE: Information not available.

ASSISTANCE PREREQUISITES: Students of high school age from low-income families.

<u>POSTGRANT REQUIREMENTS</u>: Periodic statistical summaries and narrative reports.

WASHINGTON CONTACT: Horace Holmes, District Building, room 429, 14th and E Street NW., Washington, D.C. 20004.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: Information not available.

REWORKING TIME: Information not available.

<u>RELATED PROGRAMS</u>: NYC out-of-school and summer, new careers, special impact, Operation Mainstream, work incentive program, concentrated employment program, on-the-job training program.



## 4.61- ADULT EDUCATION COURSES

AUTHORIZING STATUTE: 25 U.S.C. 13.

ADMINISTRATOR: Assistant Commissioner, Community Services, Bureau of Indian Affairs, Department of the Interior.

NATURE OF PROGRAM: It is the responsibility of this program to provide, train, and coordinate staff and programs to the American adult Indians on reservations in order that they may have educational opportunities to meet their basic educational needs.

ELIGIBILITY: Any adult American Indian living on a reservation.

AVAILABLE ASSISTANCE: Adult educators arrange (and sometimes teach) classes, workshops, lectures, and so forth.

PAST APPROPRIATIONS: \$2 1/2 million for fiscal year 1971.

AVERAGE ASSISTANCE: All committed to ongoing projects. Some additional funds may become available for additional programs however.

<u>WASHINGTON CONTACT</u>: Coordinator, Adult Education, Office of Community Development.

LOCAL CONTACT: Area director (regionally) or the superintendent of the local agency (State).



## 4.62-AGRICULTURE EXTENSION FOR INDIANS

- AUTHORIZING STATUTE: 25 U.S.C. 13; 25 U.S.C. 452 et seq.
- <u>ADMINISTRATOR</u>: Assistant Commissioner, Division of Community Services, Bureau of Indian Affairs, Department of the Interior.
- NATURE OF PROGRAM: The agricultural extension program for Indians is carried out through contracting with State universities and use of Bureau of Indian Affairs staff. Extension agents counsel with individual Indians, families and groups, on problems concerning farming, ranching, family economics, homemaking, youth development through 4-H, and other youth organizations.
- ELIGIBILITY: Indian individuals and groups, such as tribes, who are eligible for Bureau services are eligible for participation in the agricultural extension program.
- AVAILABLE ASSISTANCE: Educational and counseling assistance are available to Indians through agricultural extension.
- <u>USE RESTRICTIONS:</u> Funds are spent for contracts with State universities and for personnel services of Bureau of Indian Affairs employees.
- APPROPRIATIONS: \$2.2 million.
- <u>OBLIGATIONS INCURRED</u>: All obligated to ongoing programs for fiscal year 1971.
- ASSISTANCE PREREQUISITES: Applicant must be an Indian eligible for Bureau services.
- <u>WASHINGTON CONTACT</u>: Community Development Officer, Office of Community Development.
- LOCATION CONTACT: See bureau directory.
- RELATED FROGRAMS: This program is operated in conjunction with the cooperative extension service a Federal, State, and county partnership which is the educational agency of the U.S. Department of Agriculture.



## 4.63-ADULT BASIC EDUCATION - GRANTS TO STATES

- AUTHORIZING STATUTE: The Adult Education Act of 1966, title III of Public Law 89-750, as amended by title V of Public Law 90-247 and title III, section 302, of Public Law 90-576,20 U.S.C. 1206.
- ADMINISTRATOR: Director, Division of Adult Education Programs, Offfice of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Under State plan arrangements with the Office, States receive direct grants to operate programs of instruction in basic education for undereducated adults through local public and private nonprofit educational agencies.
- ELIGIBILITY: All States, Puerto Rico, Guam, American Samoa, District of Columbia, trust territories, and Virgin Islands are eligible to participate. The program is designed for adults 18 years of age and over (16 years as of July 1, 1969), with less than an eighth-grade level of competency in the basic communications and computational skills.
- AVAILABLE ASSISTANCE: State grants from Federal funds represent 90 percent of total program costs with State and local educational agencies providing 10 percent matching funds (trust territories excepted). Local schools are funded by State agencies to pay costs of administration, instruction, facilities, equipment, and supplies.
- USE RESTRICTIONS: Funds are spent primarily for programs which provide instruction in speaking, reading or writing the English language for persons functioning at the fifth-grade level or below; second priority is for programs serving persons functioning above the fifth and through the eighth-grade level. In addition, State grant funds may be used for special projects, teacher training and research programs related to the basic skills at the eighth grade level or below.
- APPROPRIATIONS: \$45 million (estimate) for fiscal year 1971.
- OBLIGATIONS: Each state receives \$150,000 base. There is also a formula based on number of high school graduates on which additional funds may be apportioned.

An additional \$10 million of discretionary money is available to non-profit organizations or colleges for adult basic education programs. Applications for these funds may be made directly to the Adult Education Branch in Washington anytime after July 1, 1970.



- AVERAGE ASSISTANCE: There is no average since grants to States are based on the number of adults within the State who have completed not more than five grades of school or have not achieved an equivalent level of education.
- ASSISTANCE PREREQUISITES: Each State must submit through its State educational agency a State plan to the U.S. Commissioner of Education for approval.
- POSTGRANT REQUIREMENTS: Program assistance and evaluation by the Office of Education regional program staff on a continuing basis; program and fiscal reporting requirements; State administrative reviews and evaluations.
- WASHINGTON CONTACT: Chief, Adult Education Branch, U.S. Office of Education, Washington, D.C. 20202, (202) 963-7318.

LOCAL CONTACT: State director of ABE and regional program officer.

<u>APPLICATION DEADLINES</u>: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED FROGRAMS: MDTA adult basic education programs; OEO adult basic education; adult education programs, Bureau of Indian Affairs; Department of Labor adult basic education programs; Bureau of Prisons; educational service programs for military personnel; social and rehabilitation service programs.



- 4.64-EXTENSION PROGRAMS FOR ASSISTING IN COMMUNITY DEVELOPMENT
- AUTHORIZING STATUTE: Smith-Lever Act as amended, 7 U.S.C. 341-349, 7 U.S.C. 1621-1627.
- ADMINISTRATOR: Dr. Lloyd H. Davis, Administrator, Federal Extension Service, U.S. Department of Agriculture, room 5039, South Building, Washington, D.C. 20250.
- NATURE OF PROGRAM: Educational and informational programs to assist communities - citizen groups, community leaders and organizations, local governments, and educational institutions in: (1) developing programs to enhance the social and economic well-being of all citizens; (2) expanding economic development to increase employment and income opportunities in nonmetropolitan areas; (3) comprehensive planning and development of facilities, services, and institutional arrangements to meet the economic, social, and cultural concerns of the community; (4) developing more effective educational, training and other manpower development services; (5) planning and implementing programs designed to enhance community environments for living, including natural beauty and pollution abatement; (6) helping the public better understand needs, opportunities, and alternatives for community development. Cooperative Extension Service work is conducted by State landgrant universities and county governments in cooperation with the U.S. Department of Agriculture. Cooperatively employed Extension agents in county governments, State extension services in the land-grant universities, and the Federal Extension Service in the U.S. Department of Agriculture together make up the Cooperative Extension Service. The purpose is education aimed at helping local people obtain and apply the best of scientific research results, good management, practical training, and other program activities to their farming, food and fiber marketing, family living, youth development, and community and area improvement problems and possibilities.
- ELIGIBILITY: Anyone interested may contact the county extension office.
- AVAILABLE ASSISTANCE: Information and advice on the program described under "Nature Program."
- USE RESTRICTIONS: Cooperative Extension programs are planned in line with area needs largely by local committee and advisory groups working with the county extension agents. They are brought together by the State extension director and submitted to the Federal Extension Service. When they are approved or mutually worked out, in line with the laws and State and national goals, they form the basis for expending available funds.

PAST AFPROPRIATIONS: \$9.9 million 1971 estimate.



- OBLIGATIONS: Each state receives 10 percent plus an amount proportionate to the number of poor people in each state according to OEO standards.
- AVERAGE ASSISTANCE: Federal funds provided by Congress for Cooperative Extension work are allocated to the State extension services primarily on a formula basis. Use within the State is based on a number of factors including population density, variety of agriculture, and other factors involved in carrying out an overall extension program for the particular State.
- ASSISTANCE PREREQUISITES: Grants and/or loans are not made to individual applicants under the Cooperative Extension Service program. The Smith-Lever Act and other laws set forth the population or project basis on which Federal funds are allotted to carry out approved plans of work.
- POSTGRANT REQUIREMENTS: A memorandum of understanding between the State land-grant universities and the U.S. Department of Agriculture outlines the cooperative effort. It provides for longtime projects, annual plans of work, and reports.
- WASHINGTON CONTACT: Dr. Lloyd H. Davis, Administrator, Federal Extension Service, U.S. Department of Agriculture, Washington, D.C. 20250, phone: 388-3377 (code 111, extension 3377).
- LOCAL CONTACT: The State extension service director at each land-grant university.
- APPLICATION DEADLINES: Comments on these questionnaire sections are not applicable. The State cooperative extension director, in line with funds available and guidelines from the Federal Extension Service, brings together the program needs and plans of the counties and State extension service. He submits them as longtime projects and annual plans of work to the Federal Extension Service. When approved, they become the basis for the cooperative program.



### 4.65-EXTENSION PROGRAMS FOR IMPROVED NUTRITION

AUTHORIZING STATUTE: Smith-Lever Act as amended, 7 U.S.C. 341-349, 7 U.S.C. 1621-1627.

ADMINISTRATOR: Dr. Lloyd H. Davis, Administrator, Federal Extension Service, U.S. Department of Agriculture, room 5039, South Building, Washington, D.C. 20250.

NATURE OF PROGRAM: Provide nutrition education programs to maintain highest possible dietary levels by: (1) influencing families and individuals to upgrade practices of food selection and use; (2) influencing young families to establish good food habits and continue them throughout life; (3) influencing families who receive food stamps or donated foods to use these resources for improvement of the family diet; (4) encouraging rural families, where feasible, to have home gardens; (5) assisting rural families moving to urban areas to adjust to new sources of supply, wider choice, and other new patterns affecting food habits of the family; (6) helping parents understand and accept the school lunch program for their children or to provide nutritious packed lunches. Cooperative Extension Service work is conducted by State land-grant universities and county governments in cooperation with the U.S. Department of Agriculture. Cooperatively employed Extension agents in county governments, State extension services in the land-grant universities, and the Federal Extension Service in the U.S. Department of Agriculture together make up the Cooperative Extension Service. The purpose is education aimed at helping local people obtain and apply the best of scientific research results, good management, practical training, and other program activities to their farming, food and fiber marketing, family living, youth development, and community and area improvement problems and possibilities.

ELIGIBLITY: Anyone interested may contact the county extension office.

<u>AVAILABLE ASSISTANCE</u>: Information and advice on the program described under "Nature of Program."

<u>USE RESTRICTIONS</u>: Cooperative Extension programs are planned in line with area needs largely by local committee and advisory grouworking with the county extension agents. They are brought together by the State extension director and submitted to the Federal Extension Service. When they are approved or mutually worked out, in line with the laws and State and national goals, they form the basis for expending available funds.



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APPROPRIATIONS: \$48.5 million.

- OBLIGATIONS: Based on proportionate of poor in state above 10% basis for each state.
- PAST APPROPRIATIONS: Fiscal year 1967, \$2.7 million; fiscal year 1968, \$2.8 million; fiscal year 1969 (current estimates) \$12.9 million (includes \$10 million allotment from section 32 funds for nutrition education program).
- OBLIGATIONS INCURRED: Fiscal year 1967, \$2.7 million; fiscal year 1968, \$2.8 million; fiscal year 1969 (current estimates) \$12.9 million (includes \$10 million allotment from section 32 funds for nutrition education program).
- AVERAGE ASSISTANCE: Federal funds provided by Congress for Cooperative Extension work are allocated to the State extension services primarily on a formula basis. Use within the State is based on a number of factors including population density, variety of agriculture, and other factors involved in carrying out an overall extension program for the particular State.
- ASSISTANCE PREREQUISITES: Grants and/or loans are not made to individual applicants under the Cooperative Extension Service program. The Smith-Lever Act and other laws set forth the population or project basis on which Federal funds are allotted to carry out approved plans of work.
- POSTGRANT REQUIREMENTS: A memorandum of understanding between the State land-grant universities and the U.S. Department of Agriculture outlines the cooperative effort. It provides for long-time projects, annual plans of work, and reports.
- WASHINGTON CONTACT: Dr. Lloyd H. Davis, Administrator, Federal Extension Service, U.S. Department of Agriculture, Washington, D.C. 20250. Telephone, 388-3377 (code 111, ext. 3377).
- LOCAL CONTACT: The State extension service director at each land-grant university.
- APPLICATION DEADLINES: Comments on these questionnaire sections are not applicable. The State Cooperative Extension Director, in line with funds available and guidelines from the Federal Extension Service, brings together the program needs and plans of the counties and State extension service. He submits them as long-time projects and annual plans of work to the Federal Extension Service. When approved, they become the basis for the cooperative program.



## 4.66- EXTENSION PROGRAMS FOR IMPROVED FAMILY LIVING

- AUTHORIZING STATUTE: Smith-Lever Act as amended, 7 U.S.C. 341-349, 7 U.S.C. 1621-1627.
- ADMINISTRATOR: Dr. Lloyd H. Davis, Adminstrator, Federal Extension Service, U.S. Department of Agriculture, room 5039, South Building, Washington, D.C. 20250.
- NATURE OF PROGRAM: To help families acquire knowledge and skills and to adopt practices necessary to the achievement of the highest possible level of family living through: ( ) increasing home management knowledge to help families make everyday decisions which affect family stability and improve consumer competence; (2) providing information for increased knowledge of selection, care, and maintenance of clothing and household textiles; (3) providing knowledge to aid families in the selection and use of housing, furnishings, and equipment; (4) educating families in the broad program of health and safety measures; (5) training families in healthy, creative personal development and competence in human relationships in the family, as well as between the family and the local, national, and world community. Cooperative Extension Service work is conducted by State land-grant universities and county governments in cooperation with the U.S. Department of Agriculture. Cooperatively employed Extension agents in county governments, State extension services in the land-grant universities, and the Federal Extension Service in the U.S. Department of Agriculture together make up the Cooperative Extension Service. The purpose is education aimed at helping local people obtain and apply the best of scientific research results, good management, practical training, and other program activities to their families, food and fiber marketing, family living, youth development, and community and area improvement problems and possibilities.
- ELIGIBILITY: Anyone interested may contact the county extension office.
- AVAILABLE ASSISTANCE: Information and advice on the program described under "Nature of Program."
- <u>USE RESTRICTIONS</u>: Cooperative extension programs are planned in line with area needs largely by local committee and advisory groups working with the county extension agents. They are brought together by the State extension director and submitted to the Federal Extension Service. When they are approved or mutually worked out, in line with the laws and State and National goals, they form the basis for expending available funds.



- AVERAGE ASSISTANCE: Federal funds provided by Congress for cooperative extension work are allocated to the State extension services primarily on a formula basis. Use within the State is based on a number of factors involved in carrying out an overall extension program for the particular state.
- ASSISTANCE PREREQUISITES: Grants and/or loans are not made to individual applicants under the Cooperative Extension Service program. The Smith-Lever Act and other laws set forth the population or project basis on which Federal funds are allotted to carry out approved plans of work.
- <u>POSTGRANT REQUIREMENTS</u>: A memorandum of understanding between the State land-grant universities and the U.S. Department of Agriculture outlines the cooperative effort. It provides for long-time projects, annual plans of work and reports.
- WASHINGTON CONTACT: Dr. Lloyd H. Davis, Administrator, Federal Extension Service, U.S. Department of Agriculture, Washington, D.C. 20205, Telephone, 388-3377 (code 111, Extension 3377).
- <u>LOCAL CONTACT</u>: The State extension service director at each land-grant university.
- APPLICATION DEADLINES: Comments on these questionnaire sections are not applicable. The State cooperative extension director, in line with funds available and guidelines from the Federal Extension Service, brings together the program needs and plans of the counties and State extension service. He submits them as long-time projects and annual plans of work to the Federal Extension Service. When approved, they become the basis for the cooperative program.



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## FOOD AND NUTRITION PROGRAMS

- 5.00 Direct Food Distribution
- 5.01 Food Stamp Program
- 5.02 Non-School-Child Nutrition Program (Special Food Service for Children)
- 5.03 School Lunch Program
- 5.04 School Lunch Program--Nonfood Assistance (Equipment)
- 5.05 School Lunch Program--School Breakfast Program
- 5.06 Special Feeding Program--Section 32 (Child Feeding Program)
- 5.07 Special Milk Program



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#### 5.00-DIRECT FOOD DISTRIBUTION

AUTHORIZING STATUTE: 7 U.S.C. 1431.

ADMINISTRATOR: Roy W. Lennartson.

NATURE OF PROGRAM: This program helps to improve the nutrition of schoolchildren and families in need of food assistance, and to increase the market for domestically produced foods acquired under surplus removal and price-support operations. Available foods may be donated to nonprofit school lunch programs, summer camps for children, needy Indians on reservations, charitable institutions serving needy persons, and State and local welfare agencies for distribution to low-income households. These foods are also available for use in disaster relief such as earthquakes, hurricanes, floods, etc. All States have a donation program for one or more of the eligible outlets cited above. The U.S. Department of Agriculture pays for processing and packaging the foods and for transporting them in carload lots to receiving points chosen by the State. State and local governments pay all costs of intrastate transportation, storage, distribution, and, in the case of low-income households, cextification. In certain selected low-income counties, Federal financial assistance is being provided through the U.S. Department of Agriculture to cover a share of the intrastate costs.

ELIGIBILITY: Any local government may participate in the needy family program; any public or nonprofit private school or any charitable institution serving needy persons is eligible for commodities. If a county or city can demonstrate that it cannot finance a donation program for its low-income families, the Office of Economic Opportunity will consider an application for assistance under the community action program. Families may participate if they (1) live in an area that has the program; (2) are found by local welfare officials to need food assistance; (3) are receiving some form of welfare assistance; and (4) are unemployed, part-time employed, working for low wages, or living on limited pensions. If families are not receiving welfare assistance, eligibility is based on family size and income and level of liquid assets.

PAST APPROPRIATIONS: \$263 million (estimate) fiscal year 1971.

OBLIGATIONS INCURRED: \$263 million.

AVERAGE ASSISTANCE: \$83 per person per year in regular program (families); \$60-84 per person per year for supplemental food program (infants, children, and new and expectant mothers). (Both values are on cost-to-Government basis; \$27 per person per year for persons in charitable institutions.)



ASSISTANCE PREREQUISITES: See eligibility.

POSTGRANT REQUIREMENTS: States report distribution of food and recipient participation data.

<u>WASHINGTON CONTACT</u>: Commodity Distribution Division, Consumer and Marketing Service, U.S. Department of Agriculture, Washington, D.C. 20250.

LOCAL CONTACT: Write Washington contact for nearest office.

APPLICATION DEADLINES: Not applicable.

<u>APPROVAL/DISAPPROVAL TIME</u>: 5-15 days for approval of State plans for operating programs.

RELATED PROGRAMS: Food stamp program; OEO financial assistance programs.



### 5.01-FOOD STAMP PROGRAM

AUTHORIZING STATUTE: 7 U.S.C. 2011-2025.

ADMINISTRATOR: Roy W. Lennartson.

NATURE OF PROGRAM: This program improves the diets of low-income households and expands the market for domestically produced food by supplementing the food purchasing power of eligible low-income families. Families exchange the money they would normally be expected to spend for food for coupons worth more. The U.S. Government pays for the difference between the amount each family pays and the total value of food loupons it receives. The coupons are used to buy food in retail stores. Except for items labeled as imported, the coupons may be used to buy any food for human consumption. The Consumer and Marketing Service, U.S. Department of Agriculture, authorizes retailers to accept coupons. Retailers redeem the coupons at face value at their local banks or authorized wholesalers.

ELIGIBILITY: Families may participate if they live in an area that has the programs, and if they are receiving some form of welfare assistance. Other families may also be eligible if they meet the criteria of family size, income, and level of liquid assets. The Food Stamp Act of 1964 as amended on October 8, 1968, allows for expansion of the program during fiscal year 1969 and 1970 into areas of the country that want it. The State agency responsible for federally aided public assistance programs submits requests for the program to the Consumer and Marketing Service on behalf of local political subdivisions that want to participate.

AVAILABLE ASSISTANCE: See eligibility.

USE RESTRICTIONS: See eligibility.

PAST APPROPRIATIONS: Fiscal year 1971, \$1.2 billion (estimate).

OBLIGATIONS INCURRED: \$1.2 billion (estimate), fiscal year 1971.

AVERAGE ASSISTANCE: \$82 per person per year.

<u>ASSISTANCE PREREQUISITES:</u> See eligibility.

POSTGRANT REQUIREMENTS: Not applicable.

WASHINGTON CONTACT: Food Stamp Division, Consumer and Marketing Service, U.S. Department of Agriculture, Washington, D.C. 20250.

LOCAL CONTACT: Write Washington contact or local State welfare agency.



APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: 30-60 days for approval of State plans for operation of program.

RELATED PROGRAMS: Direct food distribution to families program;
OEO financial assistance programs.



# 5.02-NON-SCHOOL-CHILD NUTRITION PROGRAM SPECIAL FOOD SERVICE PROGRAM FOR CHILDREN

AUTHORIZING STATUTE: 42 U.S.C. 1751-1760, National School Lunch Act, Amendment; Public Law 90-302, 80 Stat. 117.

ADMINISTRATOR: Roy W. Lennartson.

NATURE OF PROCRAM: This program provides, on a 3-year pilot basis, for cash grants to assist States to initiate, maintain, or expand nonprofit food service programs for children in service institutions, to improve child nutrition. These programs will reach school-age children during the summer months in settlement houses, neighborhood houses and recreation centers. Preschool children will receive year-round assistance in child day-care centers.

ELIGIBILITY: All public and private nonprofit institutions such as child day-care centers, settlement houses or recreation centers, which provide day-care, or other child-care where children are not maintained in residence are eligible to participate. In some States the educational agency will handle the program for all service institutions. If the State educational agency is not able to handle the program, the Consumer and Marketing Service will contract with and disburse funds directly to the service institutions.

AVAILABLE ASSISTANCE: See eligibility.

USE RESTRICTIONS: See eligibility.

PAST APPROPRIATIONS: Fiscal year 1971, \$15 million proposed.

OBLIGATIONS INCURRED: Each State may receive a basic grant of not more than \$50,000. The remainder will be apportioned by a formula.

AVERAGE ASSISTANCE: See above.

POSTGRANT REQUIREMENTS: States report use of funds granted.

WASHINGTON CONTACT: School Lunch Division, Consumer and Marketing Service, U.S. Department of Agriculture, Washington, D. C. 20250.

LOCAL CONTACT: Write Washington contact for nearest office.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

RELATED PROGRAMS: Other child nutrition programs.



## 5.03- SCHOOL LUNCH PROGRAM

AUTHORIZING STATUTE: 42 U.S.C. 1751-1760, National School Lunch Act of 1946, as amended by Public Law 90-302, 82 Stat. 117.

ADMINISTRATOR: Roy W. Lennartson.

NATURE OF PROGRAM: This program provides commodity and cash grants to State educational agencies to assist them in providing adequate school lunches. This helps to safeguard the health and well-being of children and to encourage the domestic consumption of nutritious agricultural commodities. Cash grants are distributed by the State agencies for food assistance to schools participating in the program. Part of the appropriation may be used by the Secretary of Agriculture for direct expenditure on agricultural commodities and other foods to be donated to the States for distribution among participating schools according to their needs as determined by local school authorities. Not less than 75 percent of the sum appropriated each fiscal year is allotted to States on the basis of their participating rate and their assistance need rate.

ELIGIBILITY: The State educational agencies must enter into an agreement with the Secretary of Agriculture in accordance with the National School Lunch Act, and then enter into agreements with public and nonprofit private high schools and grade schools. Private schools contract with the Department of Agriculture in those States where State educational agencies cannot legally administer the program in such schools. To be eligible, schools must agree to: Operate the lunch program on a nonprofit basis; serve nutritious lunches that meet requirements established by the Secretary of Agriculture; and provide lunches free or at a reduced price to children who are unable to pay the full price.

AVAILABLE ASSISTANCE: See eligibility.

USE RESTRICTIONS: See eligibility.

PAST APPROPRIATIONS: Fiscal year 1971, \$414.9 million (estimate).

OBLIGATIONS INCURRED: Each State receives a basic grant of \$50,000. The remainder is distributed according to a formula.

AVERAGE ASSISTANCE: \$9,345,000 per State. (Includes Washington, D. C., Puerto Rico, Virgin Islands, American Samoa, and Guam.

ABSISTANCE PROREQUISITES: See eligibility.

POSTGRANT REQUIREMENTS: States report use of funds.



WASHINGTON CONTACT: School Lunch Division, Consumer and Marketing Service, U.S. Department of Agriculture, Washington, D. C. 20250.

LOCAL CONTACT: Write Washington contact for nearest office.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

RELATED PROGRAMS: Breakfast program, nonfood assistance program, special food assistance program, special milk program, DHEW educational assistance programs.



5.04- SCHOOL LUNCH PROGRAM - NONFOOD ASSISTANCE PROGRAM (EQUIPMENT)

AUTHORIZING STATUTE: 42 U.S.C. 1774-75, Child Nutrition Act of 1966; Public Law 89-642.

ADMINISTRATOR: Roy W. Lennartson.

NATURE OF PROGRAM: This program provides States with grants-in-aid and other means to supply schools in low-income areas with equipment for storing, preparing, transporting, and serving food to children. State educational agencies arrange for grants through the Secretary of Agriculture and then contract with eligible public and nonprofit private elementary and high schools needing equipment to initiate or expand nonprofit food service for children. The cash grants are distributed by State educational agencies to schools participating in the program. In States where State educational agencies cannot legally administer the program in private schools, such schools contract directly with the Department of Agriculture for grants. Payments to States from appropriated funds are made on condition that at least one-fourth of the equipment cost shall be borne by State or local funds. Funding for this program in fiscal 1969 is authorized on a limited basis.

ELIGIBILITY: Assistance is limited to schools in low-income areas that have no food service facilities or very inadequate facilities. Each applicant school submits to the State agency a justification of the need for assistance and proof of the inability to finance food service equipment needs. Each request is accompanied by a detailed description of the equipment to be acquired and the plans for its use to improve child nutrition in the school.

AVAILABLE ASSISTANCE: See eligibility.

USE RESTRICTIONS: See eligibility.

PAST APPROPRIATIONS: Fiscal year 1971, \$12.5 million.

<u>OBLIGATIONS INCURRED</u>: Each State receives a basic grant. The remainder is distributed according to a formula.

AVERAGE ASSISTANCE: \$10,000 per school.

ASSISTANCE PREREQUISITES: See eligibiltiy.

POSTGRANT REQUIREMENTS: States report use of funds granted.

WASHINGTON CONTACT: School Lunch Division, Consumer and Marketing Service, U.S. Department of Agriculture, Washington, D. C. 20250.



LOCAL CONTACT: Write Washington contact for nearest office.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

RELATED PROGRAMS: School lunch and breakfast programs, DHEW educational assistance program.



## 5.05- SCHOOL LUNCH PROGRAM - SCHOOL BREAKFAST PROGRAM

AUTHORIZING STATUTE: 42 U.S.C. 1773(a), Child Nutrition Act of 1966, as amended by Public Law 90-302, 82 Stat. 119.

ADMINISTRATOR: Roy W. Lennartson.

NATURE OF PROGRAM: This program grants cash to schools through State educational agencies to help them provide breakfasts that improve child nutrition. Benefits are also available for children in preschool activities. State educational agencies arrange for grants through the Secretary of Agriculture and then contract with eligible public and nonprofit private elementary and high schools wishing to participate in the food assistance program. The State agencies distribute cash grants to participating schools. In States where the State educational agency cannot legally administer the program to private schools, such schools contract directly with the Department of Agriculture for grants. In cases of severe need, the grant may provide up to 80 percent of the breakfast program cost.

ELIGIBILITY: Public and nonprofit private elementary and high schools are eligible if they agree to: (1) Operate the breakfast program on a nonprofit basis; (2) serve breakfasts that meet nutritional requirements established by the Secretary of Agriculture; (3) provide breakfasts free or at reduced price to children who are unable to pay the full price. First preference is given to schools in low-income areas and to schools where attending children travel long distances.

AVAILABLE ASSISTANCE: See above.

USE RESTRICTIONS: See above.

PAST APPROPRIATIONS: Fiscal year 1971, \$12 million.

OBLIGATIONS INCURRED: Each State receives a basic grant. The remainder is distributed according to a formula.

AVERAGE ASSISTANCE: \$37 per person per annum.

ASSISTANCE PREREQUISITES: See above.

POSTGRANT REQUIREMENTS: None.

WASHINGTON CONTACT: School Lunch Division, Consumer and Marketing Service, U.S. Department of Agriculture, Washington, D. C. 20250.

LOCAL CONTACT: Write Washington contact for nearest office.

APPLICATION DEADLINES: Not applicable.



APPROVAL/DISAPPROVAL TIME: Not applicable.

RELATED PROGRAMS: School lunch program, DHEW educational assistance programs.



### 5.06- SPECIAL FEEDING PROGRAM - SECTION 32 (CHILD FEEDING PROGRAM)

AUTHORIZING STATUTE: Public Law 90-463, 82 Stat. 645-646.

ADMINISTRATOR: Roy W. Lennartson.

NATURE OF PROGRAM: This program provides for additional food assistance to needy children and persons suffering from general and continued hunger. Cash grants are allocated to States to provide for: More free and reduced price lunches and breakfasts; short-term equipment investment; and State-level administrative expenses. Funds are also available to States to provide special food packages to needy expectant and new mothers, and babies and children 1-6 years of age in food stamp areas.

ELIGIBILITY: To be eligible, schools must agree to: Operate the lunch and breakfast programs on a nonprofit basis; serve nutritious lunches and breakfasts that meet requirements established by the Secretary of Agriculture; and provide lunches and breakfasts free or at a reduced price to children who are unable to pay the full price. An individual's need for supplemental food in food stamp areas is determined by a member of a medical team at the participating health facility.

AVAILABLE ASSISTANCE: See above.

USE RESTRICTIONS: See above.

PAST APPROPRIATIONS: Fiscal year 1971, \$156 million.

<u>OBLIGATIONS INCURRED</u>: Each State receives a basic grant. The remainder is distributed according to a formula.

AVERAGE ASSISTANCE: Program authorizes up to a maximum of 25 cents per lunch and 15 cents per breakfast. Persons receiving special food packages in food stamp areas receive between \$5 to \$7 per month.

ASSISTANCE PREREQUISITES: See above.

POSTGRANT REQUIREMENTS: States report use of funds granted.

WASHINGTON CONTACT: School Lunch Division, Consumer and Marketing Service, U.S. Department of Agriculture, Washington, D. C. 20250.

LOCAL CONTACT: Write Washington contact for nearest office.

<u>APPLICATION DEADLINES</u>: Not applicable.

Al\_ROVAL/DISAPPROVAL TIME: Not applicable.

RELATED PROGRAMS: Other child nutrition programs.



## 5.07-SPECIAL MILK PROGRAM

AUTHORIZING STATUTE: 42 U.S.C. 1772 Child Nutrition Act of 1966; Public Law 89-642.

ADMINISTRATOR: Roy W. Lennartson.

NATURE OF PROGRAM: This program provides assistance, in the form of reimbursement payments, to encourage consumption of fluid milk by children in public and nonprofit private schools of high school grade and under, nonprofit nursery schools, child-care centers, settlement houses, summer camps, and similar nonprofit institutions that provide for the care and training of children. The reimbursement payments make it possible for schools and child-care institutions to inaugurate a milk service or to expand their current service by offering milk at reduced prices or by establishing new service times. There are no matching provisions, but the cost of milk in excess of the Federal reimbursement must be borne by sources within the State.

ELIGIBILITY: All public and nonprofit private schools of high school grade and under, nonprofit nursery schools, child-care centers, settlement houses, summer camps, and similar nonprofit institutions that provide for the care and training of children are eligible to participate. In all States the program is administered in public schools by the educational agency of the State. In some States this same agency may handle the program in private schools and child-care institutions, whereas in other States some other State government agency or the Consumer and Marketing Service may administer the program.

AVAILABLE ASSISTANCE: See above.

<u>USE RESTRICTIONS</u>: See above.

PAST APPROPRIATIONS: \$120 million.

OBLIGATIONS INCURRED: Each State receives a basic grant. The remainder is distributed according to a formula.

AVERAGE ASSISTANCE: 3.44 cents per half pint of milk.

ASSISTANCE PREREQUISITES: See above.

POSTGRANT REQUIREMENTS: States report milk usage.

WASHINGTON CONTACT: School Lunch Division, Consumer and Marketing Service, U.S. Department of Agriculture, Washington, D. C. 20250.

LOCAL CONTACT: Write Washington contact for nearest office.



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APPLICATION DEADLINE: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

RELATED PROGRAMS: School lunch and other child nutrition programs.



## FACILITIES AND EQUIPMENT PROGRAMS

- 6.00 Construction of Community Mental Health Centers
- 6.01 Mental Health Hospital Improvement Grants
- 6.02 Mental Retardation Community Facilities Construction
- 6.03 Mental Retardation Hospital Improvement Program and Inservice Training
- 6.04 Mental Retardation--University Affiliated Facilities
- 6.05 Handicapped Innovative Programs--Deaf-Blind Centers
- 6.06 Higher Education Facilities -- State Administration
- 6.07 Construction for Educational Research
- 6.08 Model Cities Program
- 6.09 Neighborhood Facilities Grant
- 6.10 Farm Labor Housing Grants
- 6.11 Public Works and Economic Development Facilities
- 6.12 Public Facility Loans
- 6.13 Rural Rental and Cooperative Housing Loans
- 6.14 Farm Labor Housing Loans
- 6.15 HUD Guaranteed Individual Loans for Low Income Farm and Rural Families
- 6.16 Renewal Assistance Administration (RAA) Nonresidential Rehabilitation Loans
- 6.17 Construction of Nonresidential or Nonfarm Structures
- 6.18 Property Improvement Loan Insurance--All Structures
- 6.19 Construction of Nonresidential Farm Structures
- 6.20 Economic Opportunity Loans
- 6.21 Displaced Business Loans
- 6.22 Guaranteed Business Loans
- 6.23 Lease Guarantee Program
- 6.24 Minority Business Enterprise
- 6.25 Direct Business Loans



- 6.26 Economic Opportunity Nonfarm Enterprise Loans
- 6.27 Disposal of Federal Surplus Real Property
- 6.28 Donation of Federal Surplus Personal Property
- 6.29 Sale of Federal Surplus Personal Property
- 6.30 Surplus Property Utilization

# 6.00-CONSTRUCTION OF COMMUNITY MENTAL HEALTH CENTERS

- AUTHORIZING STATUTE: 42 U.S.C. 2681-2687, Community Mental Health Centers Act, Public Law 88-164, 77 Stat. 290-294; as amended by Public Law 90-31, 81 Stat. 79.
- <u>ADMINISTRATOR</u>: Director, Division of Mental Health Service Programs, National Institute of Mental health, Health Services and Mental Health Administration, Public Health Service, Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Under this program, frants are provided to help finance construction of new buildings or to remodel or expand existing ones as community mental health centers. As conceived and established under the national mental health program, the mental health center is a comprehensive program of coordinated mental health services serving a defined area.
- ELIGIBILITY: Private, nonprofit organizations, and State and other public agencies may apply for construction grants.
- AVAILABLE ASSISTANCE: The Federal share of a community mental health center construction project may be from one-third to two-thirds of the total cost.
- USE RESTRICTIONS: Funds may be awarded to local mental health groups or agencies, general hospitals, public or private nonprofit facilities, or to mental health programs representing contractual affiliations among several agencies. Local applicants desiring to share in State allotments made under this program should contact their designated State community health centers construction agency. Applications must be State approved.
- OBLIGATIONAL AUTHORITY: \$28.5 million (estimate) carried over to 1971, fiscal year 1970; \$26 million, fiscal year 1970; \$50 million, fiscal year 1969.
- OBLIGATIONS: \$50 million, fiscal year 1969; \$41.9 million, fiscal year 1968; \$2.5 million, fiscal year 1970.
- AVERAGE ASSISTANCE: \$450,000 per center.
- ASSISTANCE PREREQUISITES: The program must be approved for inclusion in the State plan for community mental health centers. Federal regulations for aid require that the center provide at least five essential services: inpatient, outpatient, 24-hour emergency service, partial hospitalization (day or night care), and consultation and education services for community professionals and agencies. The center's services must be available to all who reside within its defined area of 75,000 to 200,000 population.



- POSTGRANT REQUIREMENTS: The applicant must possess a legal title to the building site or a 50-year lease: demonstrate financial ability to fund the structure; have final blueprints and specifications for the structure: and have firm bids on the construction. Funds are awarded at different intervals according to the degree of completion. The center must offer five essential services and live up to assurances. Recipient of the grant must use the structure as a community mental health center for a minimum of 20 years, unless the Federal Government agrees otherwise.
- WASHINGTON CONTACT: Director, Division of Mental Health Service Programs National Institute of Mental Health. Health Services and Mental Health Administration. 5454 Wisconsin Avenue. Chevy Chase, Maryland 20015, (301) 496-0438.
- LOCAL CONTACT: State mental health authority, and Associate Regional Health Director for Mental Health, Department of Health, Education, and Welfare regional office for the appropriate area.
- APPLICATION DEADLINES: Four or five review periods are established by NIMH each year, with the dates varying from year to year. An application must be received 30 days prior to the first day of the review period in which it is to be considered.
- APPROVAL/DISAPPROVAL TIME: 30 to 45 days.
  - REWORKING TIME: Varies according to the needs of the applicant and the review periods schedules.
  - RELATED PROGRAMS: Staffing of community health centers.
  - PROGRAM ACCOMPLISHMENTS: The program is helping communities across the country develop mental health centers where people with mental and emotional problems can get comprehensive and continuing treatment in their own communities. The centers also feature preventive programs in work with schools, physicians, clergy, and community agencies. By the end of 1968, Federal grants for construction and/or staffing of services covering more than 50 million Americans had been made to 351 centers in 49 States, Puerto Rico, and the District of Columbia. Between July 1, 1965, when the first grant was awarded, and December 31, 1968, 169 centers were funded with construction grants only, 88 with staffing grants only, and 94 with both. During this period, the amount awarded in construction grants reached \$128.3 million. About 165 community mental health centers were in operation by early 1969.



# 6.01-MENTAL HEALTH HOSPITAL IMPROVEMENT GRANTS

- AUTHORIZING STATUTE: 42 U.S.C. 242a, Public Health Service Act, section 303.
- ADMINISTRATOR: Director, Division of Mental Health Service Programs,
  National Institute of Mental Health, Health Services and Mental Health
  Administration, Public Health Service, Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Hospital improvement project grants are awarded to State mental hospitals to improve care, treatment, and rehabilitation of patients. Projects supported provide specialized programs for patient groups such as long-term chronic patients, alcoholics, children, adolescents, and the elderly, or demonstration of improved programs affecting quality and continuity of care of all patients. Hospital staff development grants aimed at upgrading and extending the skills of hospital staff, thereby promoting improved care and treatment of patients.
- ELIGIBILITY: Each State hospital for the mentally ill directly administered by the State agency responsible for mental hospitals, and any installation not directly administered by the State agency but which is a part of the State's formal system for institutional care of the mentally ill, is eligible to apply. No matching funds are required.
- AVAILABLE ASSISTANCE: Support for a project or series of projects may be awarded for a period of up to 10 years, at no more than \$100,000 in any 1 year.
- USE RESTRICTIONS: These grants are available to State mental hospitals to provide for immediate improvements through specific new projects.
- APPROPRIATIONS: Approximately \$8 million in 1970 (fiscal); fiscal year 1969, \$10.6 million.
- OBLIGATIONS: Approximately \$4 million in 1971; \$10.6 million fiscal year 1969; \$10.4 million, fiscal year 1968.
- AVERAGE ASSISTANCE: The average hospital improvement grant in fiscal year 1969 was \$84,000.
- ASSISTANCE PREREQUISITES: Applications must be submitted by the hospital superintendent of director, serving as project director. Each application must be accompanied by an endorsement of the administrator of the State agency responsible for the State's mental hospitals, to assure coordination with the State's overall program.



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- POSTGRANT REQUIREMENTS: Annual progress reports are required. Upon termination of the project, a report must be submitted evaluating the problems and accomplishments.
- WASHINGTON CONTACT: Director, Mental Health Services Programs,

  National Institute of Mental Health, Health Services and Mental
  Health Administration, 5454 Wisconsin Avenue, Chevy Chase, Maryland
  20015, (301) 486-0438.
- LOCAL CONTACT: Associate Regional Health Director of Mental Health,
  Department of Health, Education, and Welfare regional office for
  appropriate area.
- APPLICATION DEADLINES: February 1, June 1, and October 1, of each year for new and supplemental applications. For renewals the deadlines are January 1, May 1, and September 1, of each year.

APPROVAL/DISAPPROVAL TIME: About 6 months.

REWORKING TIME: The amount of time until the next period of review.

RELATED PROGRAMS: Hospital staff development program.

PROGRAM ACCOMPLISHMENTS: The program is improving the treatment of thousands of State mental hospital patients. Since 1963 when it started, 183 or nearly two-thirds, of the Nation's 300 State mental hospitals have received hospital improvement project grants, totaling \$41.6 million. To date, hospital improvement projects have benefited long-term patients, the elderly, children, alcoholics, hospitalized illiterates, and maximum security patients. Nearly 70,000 of the 120,000 patients who have received service through the program have been discharged from the hospital and are living at home, in nursing homes, or in other community facilities.



## 6.02-MENTAL RETARDATION COMMUNITY FACILITIES CONSTRUCTION

- AUTHORIZING STATUTE: 42 U.S.C. 295, Mental Retardation Facilities Construction Act.
- ADMINISTRATOR: Director, Division of Mental Retardation, Rehabilitation Services Administration, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Formula grants to States to aid in the construction of community facilities for diagnosis and treatment, education, training or custodial care services for the mentally retarded including sheltered workshops in facilities which provide or will provide comprehensive services.
- ELIGIBILITY: Public agencies and private nonprofit organizations.
- <u>AVAILABLE ASSISTANCE</u>: Minimum allotment of \$100,000 for any State for each fiscal year. Federal share may range from one-third to two-thirds of construction costs.
- USE RESTRICTIONS: Must be used as specified in the approved application.

AVERAGE ASSISTANCE: \$225,000.

ASSISTANCE PREREQUISITES: Projects must meet a community need as determined by State administering agency and must be included in the State plan for construction of community facilities for the mentally retarded.

POSTGRANT REQUIREMENTS: Continue to operate for 20 years.

<u>WASHINGTON CONTACT:</u> Director, Division of Mental Retardation, Rehabilitation Services Administration, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare, 4040 North Fairfax Drive, Arlington, Virginia 22203, (703) 557-6135.

LOCAL CONTACT: DHEW regional office.

APPLICATION DEADLINES: Established by State agency.

APPROVAL/DISAPPROVAL TIME: Approximately 7 months.

REWORKING TIME: Approximately one week.

RELATED PROGRAMS: Vocational rehabilitation-facility improvement grants, community mental health centers, construction, Hill-Burton program (HSMHA).



PROGRAM ACCOMPLISHMENTS: The 240 projects approved since the initiation of the program provide services to 27,500 retarded persons with some 28,000 individuals benefiting from improved and updated services. The construction of modern and efficient facilities in appropriate areas of each State assists communities to bring the benefits of modern techniques of diagnosis and evaluation, education, rehabilitation, health care, and training to the retarded.



# 6.03-MENTAL RETARDATION HOSPITAL IMPROVEMENT PROGRAM AND INSERVICE TRAINING

- AUTHORIZING STATUTE: Public Health Service Act, Public Law 78-410, as amended, section 303, 42 U.S.C. 241.
- <u>ADMINISTRATOR</u>: Director, Division of Mental Retardation, Rehabilitation Services Administration, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: To provide support for innovation projects designed to improve methods of care, treatment, and rehabilitation of the mentally retarded in State and residential institutions; and to improve effectiveness of available staff through inservice training.
- ELIGIBILITY: Every State residential facility for the mentally retarded.
- AVAILABLE ASSISTANCE: Direct financial assistance for staff, equipment, etc., to conduct the project (\$100,000 per year maximum for innovation grants, \$25,000 per year maximum for inservice training grants), with a minimum of 5 percent sharing on innovation grants.
- <u>USE RESTRICTIONS:</u> Funds cannot be used for any purpose not in approved application without prior approval.
- <u>APPROPRIATIONS</u>: \$8,972,000, (estimate) fiscal year 1970; \$8,972,000, fiscal year 1969; \$8,972,000, fiscal year 1968.
- AVERAGE ASSISTANCE: \$90,000 for innovation; \$24,000 for inservice training.
- ASSISTANCE PREREQUISITES: None.
- POSTGRANT REQUIREMENTS: Financial and program progress reports.
- WASHINGTON CONTACT: Director, Division of Mental Retardation, Rehabilitation Services Administration, Social and Rehabilitative Service, U.S. Department of Health, Education, and Welfare, 4040 North Fairfax Drive, Arlington, Va. 22203, (703) 557-6135.
- LOCAL CONTACT: DHEW regional office.
- APPLICATION DEADLINES: Any time during the year but are reviewed in September, January, and April for study section review with council review in November, March, and June.
- APPROVAL/DISAPPROVAL TIME: Approximately 5 months.
- REWORKING TIME: Approximately 1 week.



## RELATED PROGRAMS: None.

PROGRAM ACCOMPLISHMENTS: Approximately 91 residential institutions have received assistance which provide improved services to about 28,000 retardates and provide inservice training to some 14,000 staff members. Many institutions have embarked on reorganizing their entire therapeutic program, have realized diminished personnel turnover, increased employee morale, and improved patient care.



## 6.04- MENTAL RETARDATION - UNIVERSITY-AFFILIATED FACILITIES

- AUTHORIZING STATUTE: 42 U.S.C. 2661-65 and 42 U.S.C. 2691-97.
- ADMINISTRATOR: Division of Mental Retardation, Rehabilitation Services Administration, Social and Rehabilitative Service, U.S. Department of Health, Education, and Welfare, Arlington, Virginia 22203.
- NATURE OF PROGRAM ACTIVITY: This program makes grants to help build university-affiliated clinical facilities for training specialists in the care of the mentally retarded. The facilities must also provide, as nearly as is practical, a full range of inpatient and outpatient services for the mentally retarded. The Federal grant may be used to finance not more than three-fourths of the necessary construction cost. Payments may be made as advances, as reimbursements, or in installments consistent with construction progress.
- ELIGIBILITY: Public and nonprofit private agencies sponsoring facilities which are owned by or affiliated with a university or college are eligible.
- <u>USE RESTRICTIONS</u>: Applications must assure that the facility is associated with a college or university, and that financial support is adequate.
- APPROPRIATIONS: \$12,031,000 (1970), \$8 million (1971).
- OBLIGATIONS: \$19,405,000 (1970), \$10 million (1971).
- ASSISTANCE PREREQUISITES: Applications should be made to the appropriate HEW regional office.
- WASHINGTON CONTACT: Rehabilitation Services Administration, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- RELATED PROGRAMS: Mental Retardation Community Facilities Construction,
  Mental Retardation Hospital Improvement Program and Inservice Training.



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#### 6.05-HANDICAPPED INNOVATIVE PROGRAMS - DEAF-BLIND CENTERS

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- AUTHORIZING STATUTE: 20 U.S.C. 877b, exacted January 2, 1968, Public Law 90-247, part C, amends title VI of the Elementary and Secondary Education Act.
- ADMINISTRATOR: Coordinator, Project Centers Branch, Bureau of Education for the Handicapped, Office of Education, U.S. Department of Health, Education and Welfare.
- NATURE OF PROGRAM ACTIVITY: To establish model centers for deaf-blind children to provide comprehensive diagnostic and evaluative services; education, adjustment, and orientation programs, and consultative services for parents, teachers, aides, and other persons concerned and involved with deaf-blind children.
- ELIGIBILITY: Public or nonprofit agencies, organizations and institutions may apply to the Bureau of Education for the Handicapped.
- AVAILABLE ASSISTANCE: The appropriation for fiscal year 1969 was \$1 million.
- <u>USE RESTRICTIONS</u>: Funds are to be used to plan, develop, and operate centers for deaf-blind children. Funds must be spent to provide those services for deaf-blind children as specified in Public Law 90-247, part c, section 609(d)(1) and 609(d)2(e).
- <u>APPROPRIATIONS</u>: \$2.5 million (estimate) 1971; \$4 million (estimate) fiscal year 1970; \$1 million, fiscal year 1969; none, fiscal year 1968.
- OBLIGATIONS: \$2 million, fiscal year 1970; \$1 million, fiscal year 1969; none, fiscal year 1968.
- AVERAGE ASSISTANCE: The average planning grant is \$50,000; operational grants \$150,000.
- ASSISTANCE PROREQUISITES: Grants or contracts may be awarded to public and private nonprofit agencies, organizations, or institutions meeting the requirements of the act and the deaf-blind "policies and procedures."
- POSTGRANT REQUIREMENTS: Centers must comply with the grant terms and conditions described in the deaf-blind "policies and procedures" manual. Site visits, periodic project reports, and continuous liaison is maintained by the project offic r assigned by the U.S. Office of Education.
- <u>WASHINGTON CONTACT</u>: Coordinator, Centers and Services for Deaf-Blind

  Children, Project Centers Branch, Bureau of Education for the Handicapped, U.S. Office of Education, Washington, D. C. 20202, (202) 962-0851.



LOCAL CONTACT: This program is administered through the Bureau of Education for the Handicapped, U.S. Office of Education, Washington, D.C.

APPLICATION DEADLINES: Tentative deadline, December 15, 1969, for new projects. Continuation projects April 15, 1970, (tentative).

APPROVAL/DISAPPROVAL TIME: About a month.

REWORKING TIME: About two weeks for new applications.

RELATED PROGRAMS: ESEA, Title III, Public Law 90-247, Supplemental Educational Centers and Services for the Handicapped, Public Law 90-538, Handicapped Children's Early Education Assistance Act, ESEA title VI-A, Public Law 89-750, Education of Handicapped Children, Preschool, Elementary, and Secondary.

PROGRAM ACCOMPLISHMENTS: Eight centers for deaf-blind children have been funded. Three of these centers are now developmental in nature and will plan during their first year to develop specific programs to meet the needs of deaf-blind children in their area. The remaining five centers are operational and will provide those comprehensive services specified in the act. The eight centers will serve 40 states during fiscal year 1970 and plans are underway to provide services and develop programs in the remaining States.



#### 6.06-HIGHER EDUCATION FACILITIES - STATE ADMINISTRATION

AUTHORIZING STATUTE: 20 U.S.C. 715, Higher Education Facilities Act of 1963, title I, section 105, Public Law 88-204, as amended.

ADMINISTRATOR: Director, Division of College Facilities, Office of Education, U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: Provides funds to the State commissions on higher education facilities for administering the State plans approved under title I of the Higher Education Act and title VI-A of the Higher Education Act of 1965.

ELIGIBILITY: All States or territories with approved State plans. (Presently all States and territories are participating.)

<u>AVAILABLE ASSISTANCE</u>: Funds made available cover cost of administering State plans - no matching funds required.

<u>USE RESTRICTIONS:</u> Funds may be used to cover any costs associated with the authorized responsibilities of the State commission.

APPROPRIATIONS: \$6 million (1970), \$6 million (1971).

OBLIGATIONS: \$3 million (estimate), fiscal year 1970.

AVERAGE ASSISTANCE: Approximately \$50,000 per state.

ASSISTANCE PREREQUISITES: State must have a designated State agency and State plan approved by the U.S. Commission and Education.

<u>POSTGRANT REQUIREMENTS:</u> State commissions must provide an accounting for funds expended.

WASHINGTON CONTACT: Director, Division of College Facilities, Bureau of Higher Education, U.S. Office of Education, Washington, D. C. 20202, (202) 963-7738.

LOCAL CONTACT: None.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

<u>RELATED PROGRAMS</u>: Higher education facilities - State comprehensive planning.

PROGRAM ACCOMPLISHMENTS: Not applicable. All States are participating.



### 6.07- CONSTRUCTION FOR EDUCATIONAL RESEARCH

AUTHORIZING STATUTE: 20 U.S.C. 332a, Cooperative Research Act,
Public Law 83-531, section 4, 68 Stat. 533; as amended by the
Elementary and Secondary Education Act of 1965, Public Law 89-19,
title I, part D.

ADMINISTRATOR: Associate Commissioner for Research, Office of Education, U.S. Department of Health, Education and Welfare.

NATURE OF PROGRAM ACTIVITY: This facilities program provides funds for constructing, remodeling and equipping needed physical facilities for educational research and related purposes.

ELIGIBILITY: Grants may be made to a university, college, or other appropriate public or nonprofit private agency or institution, or to a combination of such agencies or institutions, which display marked competency in educational research and related activities.

AVAILABLE ASSISTANCE: The act authorizing the facilities program contains no specific requirements for cost sharing of construction project costs: "...the Commissioner...may make a grant for part or all of the costs of constructing such facilities...." However, participation or cost sharing by the applicant institution is encouraged. Additional programs will be supported only on the basis of substantial participation by the applicant.

USE RESTRICTIONS: Funds are provided only to institutions which are capable of making a national or regional contribution to educational research and related purposes. The funds can be used for the construction and alteration of existing buildings, including architect's fees. The cost of acquiring land can be an acceptable part of the cost only when a facility already constructed is to be purchased. New or existing buildings may also be equipped under the facilities program, whether or not they are expanded, remodeled, or altered.

APPROPRIATIONS: The act authorizes \$100 million to be appropriated over a period of 5 fiscal years, beginning in fiscal year 1966 and ending in fiscal year 1970. In actuality, \$20 million was appropriated in fiscal year 1966 and \$12.4 million in fiscal year 1967.

LOCAL CONTACT: None.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.



RELATED PROGRAMS: Higher Education facilities - State comprehensive
planning.

PROGRAM ACCOMPLISHMENTS: Not applicable. All States are participating.



#### 6.08-MODEL CITIES PROGRAM

- AUTHOPIZED BY: Title I, Demonstration Cities and Metropolitan Development Act of 1966.
- ADMINISTERED BY: Floyd H. Hyde, Assistant Secretary for Model Cities and Governmental Relations, Department of Housing and Urban Development.
- NATURE OF PROGRAM: The model cities program demonstrates how the living environment and the general welfare of people living in slum and blighted urban areas can be substantially improved in cities of all sizes and in all parts of the country. It calls for comprehensive attacks on social, economic, and physical problems in selected slum and blighted areas, utilizing Federal, State, local and private resources in a coordinated and concentrated manner. Financial and technical assistance are provided to enable cities to plan, develop, and carry out comprehensive 5-year local programs in these selected areas, and encourages new and imaginative proposals.
- ELIGIBILITY: Any general purpose unit of local government.
- TYPES OF ASSISTANCE: (A) Planning grants covering up to 80 percent of total cost; (B) Supplementary grants, up to 80 percent of the non-Federal local share of all Federal grant programs in or affecting the model cities area, and (C) Supplementary grants to cover up to 80 percent of the local administrative costs for the program.
- FUNCTIONAL PURPOSES: (A) Planning grants may be used for any approved cost of planning (not including the cost of preparing the original application), for overhead, or normal rent and space costs; and (B) Supplementary grants may be used for any cost of the general administration of local governments; any costs related to the administration of the approved implementation program except the cost of administering any project or activity assisted under a Federal grant-in-aid program.
- <u>APPROPRIATIONS</u>: \$575 million available through June 30, 1971; 1969, \$312,500,000; 1968, \$212 million; and 1967, \$11 million.
- OBLIGATIONS INCURRED: 1969, \$249 million; and 1968, \$13,400,000.
- AVERAGE ASSISTANCE: The average planning grant is \$125,000. The supplemental grants to cities vary according to the size of the population in the model cities areas, as well as factors relating to poverty, education, and housing deficiencies in these areas.



CONDITIONS APPLICANTS MUST MEET: The applicant must show that the target area contains the serious physical and social problems which make such a program necessary as contemplated by Congress in the act—that the program contemplated will be comprehensive, concentrating efforts in an appropriately designated target area and coordinating all available public and private resources and service activities at all levels; that the program will demonstrate innovative proposals, and hold the promise of making a substantial impact on the quality of urban life in the model cities area. The local elected governing body must approve the program and the local corporation counsel must submit an opinion finding that the applicant has the authority to carry out the program.

POSTGRANT REQUIREMENTS: Bimonthly reports and an interim report two-thirds of the way through the planning year are required. Regular monthly, quarterly, and annual reports are required, the latter being the basis for yearly funding determinations, for each model city under contract for the action phase of the program. There must be regular financial reporting supplemented by periodic Federal audits. Also required are evaluation by outside contractor of selected cities, augmented by HUD leadmen reports, HUD regional office quarterly reports, city reports comparing expected versus actual achievements by function within each project in the annual plan. Contracts have been awarded for development and installation of a set of prototype information procedures in each model cities agency which will provide data for evaluation.

WASHINGTON CONTACT: Assistant Secretary Floyd F. Hyde, Department of Housing and Urban Development, Washington, D. C. 20410, (202) 755-5435.

LOCAL CONTACT: Regional offices, Department of HUD.

APPLICATION DEADLINES: All past.

TIME BETWEEN APPLICATION AND APPROVAL OR DISAPPROVAL: 6 months for application for planning grants. Three months for execution grants.

TIME BETWEEN APPLICATION AND RETURN FOR REWORKING: Not applicable to planning grants. Three to four weeks for applications for execution grants.

RELATED PROGRAMS: Since the supplemental funds can be used for virtually any new project related to the physical, economic, and social needs of the model cities area, or can be applied to the city's share of programs currently offered by the Federal Government to be utilized in the model cities area, all other Federal urban programs are related.



#### 6.09-NEIGHBORHOOD FACILITIES GRANT

AUTHORIZING STATUTE: Housing and Urban Development Act of 1965, Title VII

ADMINISTRATOR: Lawrence M. Cox, Assistant Secretary for Renewal and Housing Assistance, Department of Housing and Urban Development, Washington, D.C. 20413.

<u>NATURE OF PROGRAM</u>: Provide multipurpose neighborhood centers that offer programs of health, recreation, social and similar community services. Priorities must be given to projects benefiting primarily low income families.

ELIGIBILITY: Local public governmental agencies, or non-profit organization controlled by local public agencies. All proposals are submitted through regional offices.

AVAILABLE ASSISTANCE: Two-thirds of the development cost, or three-fourths of the cost in areas designated for redevelopment under Section 410, Public Works and Economic Development Act of 1965.

<u>USE RESTRICTIONS</u>: New construction of centers, or the acquisition, expansion, or rehabilitation of existing structures.

PAST APPROPRIATIONS: Fiscal year 1967, \$17 million; fiscal year 1968, \$30 million; and fiscal year 1969, \$35 million. 1971-\$40 million

OBLIGATION INCURRED: Fiscal year 1971-none

AVERAGE ASSISTANCE: \$332,000

ASSISTANCE PREREQUISITES: A neighborhood center must be (1) necessary for carrying out a program of health, recreational, social, or similar community service (including a community action program approved under Title II of the Economic Opportunity Act of 1964) in the area; (2) consistent with comprehensive planning for the development of the community; and (3) so located as to be available for use of the significant portion (or number in the case of large urban areas) of the area's low or moderate income residents.

POSTGRANT REQUIREMENTS: HUD conducts postgrant audits and inspections.

WASHINGTON CONTACT: Ralph L. Herod, Acting Deputy Assistant Secretary, Renewal Assistance Administration, Department of Housing and Urban Development, Washington, D.C. 20413. Telephone: 202-755-6530.



LOCAL CONTACT: Assistant regional administrator for renewal assistance, regional offices, Department of Housing and Urban Development.

APPLICATION DEADLINES: April 1 to be eligible in the then current fiscal year.

APPROVAL/DISAPPROVAL: Not available.

REWORKING TIME: Not available.

RELATED PROGRAMS: Children's Bureau and Welfare Administration of the Department of Health, Education, and Welfare; employment and service; manpower training, and Neighborhood Youth Corps of the Labor Department; and community action and VISTA programs of the Office of Economic Opportunity.



#### 6.10-FARM LABOR HOUSING GRANTS

- AUTHORIZING STATUTE: 42 U.S.C. 1486; Housing Act of 1949, as amended, sec. 516.
- ADMINISTRATOR: James V. Smith, Administrator, Farmers Home Administration, U.S. Department of Agriculture.
- NATURE OF PROGRAM: Provides grants toward construction of rental housing for domestic farm laborers.
- ELIGIBILITY: States or their political subdivisions; broadly based community nonprofit organizations.
- AVAILABLE ASSISTANCE: Grants not exceeding one-half of project cost.
- <u>USE RESTRICTIONS</u>: Build, improve, buy or repair farm labor housing, including kitchens and dining halls and appropriate utilities, health and recreational facilities; buy land on which housing projects will be located.
- PAST APPROPRIATIONS: Fiscal year 1969 \$6.2 million; fiscal year 1968 \$3.96 million; fiscal year 1967 \$3.8 million.
- APPROPRIATIONS: 1970 \$2.5 million; 1971 \$2.5 million (estimate).
- OBLIGATIONS INCURRED: Fiscal year 1969 (11 months) \$2.6 million; fiscal year 1968 \$2.7 million; fiscal year 1967 \$2.67 million.
- AVERAGE ASSISTANCE: \$324,500.
- ASSISTANCE PREREQUISITES: Portion of project cost to be paid with grant funds cannot be met through other resources, including loan.
- <u>POSTGRANT REQUIREMENTS:</u> FHA inspection and approval of construction; annual report on operations for FHA analysis.
- WASHINGTON CONTACT: Louis Malotky, Director, Rural Housing Division,
  Farmers Home Administration, USDA, Washington, D. C. 20250; phone DU 8-4295.
- LOCAL CONTACT: County Supervisor Farmers Home Administration. (See phone directory listing under U.S. Government or inquire at any local office of a USDA agency.)
- APPLICATION DEADLINES: None.



APPROVAL/Disapproval TIME: Time required for processing depends on length of time required to complete project plans, determine what financial resources other than grant are available, secure necessary legal clearances not controlled by FHA, and confirm availability of grant funds.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Farm labor housing loans; mutual and self-help
housing program.

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#### 6.11- PUBLIC WORKS AND ECONOMIC DEVELOPMENT FACILITIES

- AUTHORIZING STATUTE: Public Law 89-136, as amended.
- <u>ADMINISTRATOR</u>: George T. Karras, Director, Office of Public Works, Economic Development Administration, Department of Commerce.
- NATURE OF PROGRAM: To provide Federal financial assistance for public works and economic development facilities.
- ELIGIBILITY: States, local subdivisions thereof, Indian tribes, and private or public nonprofit organizations or associations representing a redevelopment area or part thereof are eligible to receive public works grants and loans. They must furnish evidence that they are legally empowered to undertake the proposed project and to receive and disburse Federal grants and loans for its construction.
- AVAILABLE ASSISTANCE: Grants and loans to acquire and develop land and improvements for public works and public service or development facility usage, and acquire, construct, rehabilitate, alter, expand, or improve such facilities, including related machinery and equipment.
- APPROPRIATIONS: (Titles I and IV) J.970 \$178 million; fiscal year 1969, \$180 million; fiscal year 1968, \$175 million; fiscal year 1967, \$174,722,000.
- OBLIGATIONS INCURRED: Fiscal year 1969, \$145,900,000 (as of March 31, 1969); fiscal year 1968, \$175 million; fiscal year 1967, \$174,375,000.
- AVERAGE ASSISTANCE: Grants and loans, fiscal year 1969, \$585,803 (as of March 31, 1969); grants and loans, fiscal year 1968, \$422,751; grants and loans, fiscal year 1967, \$425,000.
- ASSISTANCE PREREQUISITES: A project must be located within an EDAdesignated area or designated Economic Development Center and must be consistent with the approved overall economic development program.
- POSTGRANT REQUIREMENTS: Financial and accomplishment reports.
- LOCAL CONTACT: detailed information and advice concerning the development of an application is the responsibility of the seven area offices. See list following program entitled "Technical Assistance (To Support Redevelopment Activities)."
- APPROVAL/DISAPPROVAL TIME: 90 days is usually a minimum; maximum time would depend upon the complexity of the application and upon the completeness of the information submitted in support of the application, and whether or not it became necessary to rework portions of the proposed project before it could be fully evaluated.



RELATED PROGRAMS: Similar public facilities programs are administered by the Department of Housing and Urban Development, and by the Farmers Home Administration of the Department of Agriculture; additionally, EDA gives consideration to requests for supplemental grant funds where the applicant has secured a basic grant commitment from the Federal Water Pollution Control Administration of the Department of the Interior. EDA also will give consideration to requests for supplemental grant funds where the primary funds have been committed by the Department of Health, Education, and Welfare under the provisions of the Hill-Burton Act.



#### 6.12-PUBLIC FACILITY LOANS

AUTHORIZED BY: Title II, Housing Amendments of 1955.

ADMINISTERED BY: Samuel C. Jackson, Assistant Secretary for Metropolitan Development, Department of Housing and Urban Development.

<u>DESCRIPTION OF PROGRAM</u>: This program provides long-term loans for the construction of all types of public works, other than school facilities, when such credit is not otherwise available on reasonable terms.

ELIGIBILITY: Any local unit of government or State instrumentality (city, town, village, township, county, public corporation or board, sanitary or water district, and Indian tribe) that has the legal authority to build public works and issue bonds to pay for them, is eligible, how—ever, the applicant community must have a population of under 50,000. In designated development areas, population may be up to 150,000. Areas near research and development installations of the National Aeronautics and Space Administration are not subject to a population limit. A nonprofit private corporation serving a community under 10,000 population also is eligible for assistance, but for water and sewer facilities only.

AVAILABLE ASSISTANCE: Long-term construction loans for up to 40 years and to cover up to 100 percent of project cost are available.

FUNCTIONAL PURPOSES: Loans may be made to finance the construction of public works of all types, excluding school facilities.

APPROPRIATIONS: Fiscal year 1971 - \$40,000,000.

OBLIGATIONS: Fiscal year 1971 - \$40,000,000.

AVERAGE LOAN: \$494,116.

CONDITIONS: An applicant must have legal authority to plan, finance, construct, maintain, and operate the proposed public facility. It must be established that the proposed loan is of sound value and so secured as to reasonably assure repayment.

POST GRANT REPORTING AND EVALUATION REQUIREMENTS: None.

WASHINGTON CONTACT: Leo A Morris, Director, Community Facilities Division, Community Resources Development Administration, Metropolitan Development, Department of Housing and Urban Development, 451 Seventh Street, SW., Washington, D.C. 20410 (202) 755-6182.

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LOCAL CONTACT: Assistant regional administrator for metropolitan development, regional offices, Department of Housing and Urban Development.

APPLICATION DEADLINES: None.

TIME BETWEEN APPLICATION AND APPROVAL OR DISAPPROVAL: 90 to 180 days.

TIME BETWEEN APPLICATION AND RETURN FOR REWORKING: 20 days.

RELATED PROGRAMS. Water and sewer facilities grant program, HUD program of advances for public works planning, HUD grants and loans for public works and development facilities, Economic Development Administration, Department of Commerce Water Pollution Control, waste treatment works construction grants program, Federal Water Pollution Control Administration, Department of the Interior, Financial assistance to small towns and rural groups, Farmer's Home Administration, Department of Agriculture.



## 6.13-RURAL RENTAL AND COOPERATIVE HOUSING LOANS

- AUTHORIZING STATUTE: 42 U.S.C. 1485, 1490a; sections 515, 521, Housing Act of 1949, as amended.
- ADMINISTRATOR: James V. Smith, Administrator, Farmers Home Administration, U.S. Department of Agriculture.
- <u>NATURE OF PROGRAM</u>: Provides loan financing for construction of rental and cooperative housing for rural families of low and moderate income and rural senior citizens.
- ELIGIBILITY: Individuals, business corporations, nonprofit corporations, cooperatives.

#### AVAILABLE ASSISTANCE: Loans.

- <u>USE RESTRICTIONS</u>: Construct, purchase, improve, repair single-unit or multiple-unit rental or cooperative housing; buy and improve land as building sites; provide recreation and service facilities for use by occupants of the dwellings. Day care could be included.
- PAST APPROPRIATIONS: Combined insured loan authority and direct loan appropriation: Fiscal year 1969, \$25 million (estimate); fiscal year 1968, \$16,200,000; fiscal year 1967, \$19,550,000.
- OBLIGATIONS INCURRED: Fiscal year 1969 (11 months), \$13.7 million; fiscal year 1968, \$13,176,000; fiscal year 1967, \$5,489,730; 1970, \$30 million; 1971, at least \$30 million.

### AVERAGE ASSISTANCE: \$52,000.

- ASSISTANCE PREREQUISITES: Housing will be located in rural area (if a rural town, not more than 5,500 population); applicant cannot obtain suitable financing from any other source.
- <u>POSTGRANT KEQUIREMENTS</u>: FHA inspects and approves construction; annual report on operations for analysis by FHA.
- <u>WASHINGTON CONTACT</u>: Louis Malotky, Director, Rural Housing Division, Farmers Home Administration, USDA, Washington, D. C. 20250; phone DU 8-4295
- LOCAL CONTACT: County Supervisor, Farmers Home Administration. (See phone directory listing under U.S. Government or inquire at any local office of a USDA agency.)
- APPLICATION DEADLINES: None.



APPROVAL/DISAPPROVAL TIME: Time required for processing depends on length of time required to complete building plans, secure necessary legal clearance not controlled by FHA, and confirm availability of loan funds.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Rural housing loans, farm labor housing loans and grants.

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#### 6.14- FARM LABOR HOUSING LOANS

- AUTHORIZING STATUTE: 42 U.S.C. 1484; Housing Act of 1949, as amended, sec. 514.
- ADMINISTRATOR: James V. Smith, Administrator, Farmers Home Administration, U.S. Department of Agriculture.
- NATURE OF PROGRAM: Provides loan financing for construction of rental housing for domestic farm laborers.
- ELIGIBILITY: Farm owners, associations of farmers, States or their political subdivisions, nonprofit organizations.
- AVAILABLE ASSISTANCE: Loans.
- <u>USE RESTRICTIONS</u>: Build, improve, buy or repair farm labor housing, including kitchens and dining halls and appropriate utilities, health and recreation facilities; buy land on which housing projects will be located.
- PAST APPROPRIATIONS: Insured loan authority: Fiscal year 1969 \$15
  million; fiscal year 1968 \$15 million; fiscal year 1967 \$10 million;
  1970 \$15 million; 1971 \$15 million.
- OBLIGATIONS INCURRED: Fiscal year 1969 (11 months) \$13 million; fiscal year 1968 \$8 million; fiscal year 1967 \$3.8 million.
- AVERAGE ASSISTANCE: Organizations \$170,859; individuals \$9,443.
- ASSISTANCE PREREQUISITES: Applicant individual or organization cannot finance needed improvements with own resources or credit from other sources.
- POSTGRANT REQUIREMENTS: FHA inspection and approval of construction; annual report on operations for FHA analysis.
- WASHINGTON CONTACT: Louis Malotky, Director, Rural Housing Division, Farmers Home Administration, USDA, Washington, D. C. 20250; phone DU 8-4295.
- LOCAL CONTACT: County supervisor, Farmers Home Administration. (See phone directory listing under U.S. Government or inquire at any local office of a USDA agency.)
- APPLICATION DEADLINES: None.



APPROVAL/DISAPPROVAL TIME: Time required for processing depends on length of time required to complete project plans, secure necessary legal clearances not controlled by FHA, and confirm availability of loan funds.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Farm labor housing grants; mutual and self-help housing program.



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#### 6.15\_HUD GUARANTEED INDIVIDUAL LOANS FOR LOW INCOME FARM AND RURAL FAMILIES

- AUTHORIZING STATUTE: 12 U.S.C. 1707-1715y, sec. 101 of title I, Lower Income Housing as amended by section 235, 1968.
- ADMINISTRATOR: James V. Smith, Administrator, Farmers Home Administration, Department of Agriculture, Washington, D. C.
- NATURE OF PROGRAM: Interest supplements by HUD reduce interest costs to as low as 1 percent when mortgage payment exceeds 20 percent of family income. FHA administers by delegation from HUD in rural areas.
- ELIGIBILITY: Homeowner or a cooperative member of lower income who satisfies eligibility requirements prescribed by the Secretary.
- <u>AVAILABLE ASSISTANCE</u>: Income analysis, technical assistance in home financing and construction.
- USE RESTRICTIONS: Buy adequate new or rehabilitated single-family homes or units in multiple dwellings, with some exceptions.
- PAST APPROPRIATIONS: \$75 million.
- OBLIGATIONS INCURRED: Fiscal year 1969 (11 months) \$49.1 million; fiscal year 1968 not applicable; fiscal year 1967 not applicable.
- AVERAGE ASSISTANCE: Initial loans: \$9,723.
- ASSISTANCE PREREQUISITES: U.S. citizen, legal capacity to incur obligations, low income unable to qualify for credit elsewhere.
- POSTGRANT REQUIREMENTS: Borrower's financial status reviewed every 2 years. Interest supplement reduced or eliminated as income improves.
- WASHINGTON CONTACT: Louis Malotky, Director of Rural Housing Division, Farmers Home Administration, USDA, Washington, D. C. 20250. Phone DU 8-4295.
- LOCAL CONTACT: County Supervisor, Farmers Home Administration. (See phone directory listing under U.S. Government or inquire at any local office of a USDA agency.
- APPLICATION DEADLINES: None.



APPROVAL/DISAPPROVAL TIME: Maximum 30 days for ruling on eligibility of applicant; time required for subsequent processing depends on length of time required to complete plans for use of loan, secure availability of funds.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Rural housing for farmers and rural people with low to moderate incomes. Rental and cooperative housing, senior citizen housing.



# 6.16-RENEWAL ASSISTANCE ADMINISTRATION (RAA) - NONRESIDENTIAL REHABILITATION LOANS

- AUTHORIZING STATUTE: Section 312, Public Law 88-560; SBA-HUD memo of agreement dated March 20, 1967, and supplement No. 1 to agreement dated June 20, 1967.
- ADMINISTRATOR: Mr. Pierron R. Leef, Director, Office of Business Loans, Small Business Administration, 1441 L Street, NW., Washington, D. C. 20416, (202) 382-7238.
- NATURE OF PROGRAM: Under this Department of Housing and Urban Development (HUD) program, RAA loans are made to rehabilitate an entire property. Rehabilitation of the entire property is considered as one undertaking. Therefore, there may be one or many applicants who are owners of or tenants in the property to be rehabilitated, each of whom may need work done to bring the entire property into compliance with the applicable code requirements and the requirements and objectives of the urban renewal plan.
- ELIGIBILITY: Owners and/or tenants who may use loan funds to rehabilitate:

  (a) nonresidential property, or (b) the nonresidential portion of a property.
- <u>AVAILABLE ASSISTANCE</u>: Considering outstanding liens, loan shall not exceed the lesser of (a) rehabilitation cost, (b) \$50,000, (c) 80 percent of property value after rehabilitation.
- USE RESTRICTIONS: An RAA loan shall cover only the costs necessary to rehabilitate nonresidential property so as to meet the code requirements in a concentrated code enforcement project area or the requirements and objectives of the urban renewal plan in the urban renewal project area. The loan amount shall include only the rehabilitation cost, viz, the actual cost of the construction repair work indicated by the local public body as required to rehabilitate the property, including architect's fees and permits; (b) fees and charges related to the loan. An RAA loan shall not be used to refinance any existing debt; however, within the loan limits, an RAA loan may be made to increase an existing RAA loan in cases of overrun.

APPROPRIATIONS: Funds are obtained from HUD.

OBLIGATIONS INCURRED: Loans approved as of May 31, 1969; \$1,900,000.

AVERAGE ASSISTANCE: \$27,760.

ASSISTANCE PREREQUISITES: Assurance rehabilitation can be completed; compliance agreements furnished by all involved; repayment ability; collateral per SBA's displaced business loan program.



<u>POSTGRANT REQUIREMENTS</u>: Must submit financial statements and statistical data periodically, and must make collateral available for inspection at any time.

CONTACT: SBA should not be contacted initially by potential applicant.

Rather, local public body or HUD regional office should be contacted.

Eligibility will be determined by HUD and such applicant referred to SBA.

APPLICATION DEADLINES: None.

RELATED PROGRAMS: Any HUD program.

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#### 6.17-CONSTRUCTION OF NONRESIDENTIAL OR NONFARM STRUCTURES

AUTHORIZED BY: Section 2, National Housing Act.

ADMINISTERED BY: Federal Housing Act.

DESCRIPTION OF PROGRAM: FHA insures private lenders against lo ses on up to 90 percent of any single loan with maximum insurance coverage limited to a total of 10 percent of the total amount insured. Loans are made to finance construction of proposed structures.

TYPE OF STRUCTURE: Nonresidential or nonfarm structure.

MAXIMUMS: Loan amount exclusive of financing charges: \$5000.

MATURITY OF NOTE: 7 years and 32 days.

MATURITY OF REFINANCED NOTE: 7 years and 32 days (not more than 12 years from date of original note.)

FINANCING CHARGE: 8.83 percent to 10.57 percent (annual percentage rate) depending on amount of loan and terms. If insured takes security, borrower may be required to pay recording fees and cost of title search, but these may not be paid out of proceeds of loan or included in face amount of note.

INSURANCE CHARGE (TO BE INCLUDED IN FINANCING): 50 cents per \$100 per year of the amount advanced.

OBLIGATIONS INCURRED: (Est.) 1969, 3,759 loans insured totaling \$10,185,253; 1968, 3,953 loans insured totaling \$9,485,904; 1967, 4,985 loans insured totaling \$10,435,614.

WASHINGTON CONTACT: Charles F. Walsh, Assistant Commissioner for Property Improvement, Federal Housing Administration, Department of Housing and Urban Development, Washington, D. C. 20410. Telephone: 755-5351.

LOCAL CONTACT: Private lenders-banks, building and loan associations, etc. Regional offices, Department of Housing and Urban Development, and FHA insuring offices.



## 6.18-PROPERTY IMPROVEMENT LOAN INSURANCE - ALL STRUCTURES

AUTHORIZED BY: Section 2, National Housing Act.

ADMINISTERED BY: Federal Housing Administration.

DESCRIPTION OF PROGRAM: FHA insures private lenders against losses of up to 90 percent of any single loan with maximum insurance coverage limited to a total of 10 percent of the total amount insured. Loans are made to finance alterations, repairs, and improvements upon or in connection with existing structures, which substantially protect or improve the basic livability or utility.

TYPE CF STRUCTURE: All structures. If advance, exclusive of financing charges, exceeds \$600, and is to finance improvements on a residential structure, the structure must have been completed and occupied for at least 90 days, unless the loan is for the construction of a civil defense shelter or for repair of a structure damaged in a major disaster.

MAXIMUMS: Loan amount exclusive of financing charges, \$5,000.

MATURITY OF NOTE: 7 years and 32 days.

MATURITY OF REFINANCED NOTE: 7 years and 32 days (not more than 12 years from date of original note).

FINANCING CHARGE: 8.83 to 10.57 percent (annual percentage rate)
depending on amount of loan and term. If insured takes security,
borrower may be required to pay recording fees and cost of title
search, but these may not be paid out of proceeds of loans or included
in face amount of note.

INSURANCE CHARGE (TO BE INCLUDED IN FINANCING CHARGE): 50 cents
per \$100 per year of the amount advanced.

OBLIGATIONS INCURRED (EST.): 1969, 382,577 loans insured totaling \$600,250,884 (preliminary); 1968, 401,038 loans insured totaling \$553,976,804; 1967, 411,935 loans insured totaling \$531,602,432.

WASHINGTON CONTACT: Charles E. Walsh, Assistant Commissioner for Property Improvement, Federal Housing Administration, Department of Housing and Urban Development, Washington, D. C. 20410. Telephone: 755-5351.

LOCAL CONTACT: Private lenders-banks, building and loan associations, etc. Regional offices, Department of Housing and Urban Development, and FHA insuring offices.



## 6.19\_CONSTRUCTION OF NONRESIDENTIAL FARM STRUCTURES

AUTHORIZED BY: Section 2, National Housing Act.

ADMINISTERED BY: Federal Housing Administration.

DESCRIPTION OF PROGRAM: FHA insures private lenders against losses of up to 90 percent of any single loan with maximum coverage limited to a total of 10 percent of the total amount insured. Loans are made to finance construction of nonresidential farm structures.

MAXIMUMS: Loan amount exclusive of financing charges: \$5000.

MATURITY OF LOAN: 7 years and 32 days, or 15 years and 32 days if secured by first lien.

MATURITY OF REFINANCED NOTE: 7 years and 32 days (not more than 12 years from date of original note), or 15 years and 32 days if original and refinanced note are both secured by first lien (not exceeding 25 years from date of original note).

FINANCING CHARGE: 3.83 to 10.57 percent (annual percentage rate) depending on amount of loan and term (5.86 to 6.25 percent if term exceeds 7 years and 32 days). If insured takes security, borrower may be required to pay recording fees and cost of title search, but these may not be paid out of proceeds of loans or included in face amount of note.

<u>APPROPRIATIONS:</u> No federal appropriations. All funds are from private local lenders.

INSURANCE CHARGE (TO BE INCLUDED IN FINANCING CHARGE): 50 cents
per \$100 per year of the amount advanced.

OBLIGATIONS INCURRED (EST.): 1969, 6,683 loans insured totaling \$13,580,336; 1968, 7,467 loans insured totaling \$13,280,266; 1967, 7,251 loans insured totaling \$12,277,192.

WASHINGTON CONTACT: Charles E. Walsh, Assistant Commissioner for Property Improvement, Federal Housing Administration, Department of Housing and Urban Development, Washington, D. C. 20410. Phone 755-5351.

LOCAL CONTACT: Private lenders-banks, building, and loan associations, etc. Regional offices, Department of Housing and Urban Development, FHA insuring offices.



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#### 6.20-ECONOMIC OPPORTUNITY LOANS

- AUTHORIZING STATUTE: Title VI, Economic Opportunity Act of 1964, as amended, 42 United States Code 2901 and the following.
- ADMINISTRATOR: John E. Moore, Chief, Economic Opportunity Loan
  Division, Small Business Administration, 1441 L Street NW., Washington,
  D. C. 20416, (202) 382-5301.
- NATURE OF PROGRAM: Designed to provide financial and management assistance to low-income individuals or to those individuals who due to social or economic disadvantage have been denied the opportunity to acquire adequate business financing through normal lending channels on reasonable terms. Provides loans to expand or establish small businesses.
- ELIGIBILITY: Any resident of the United States, Puerto Rico, and Guam may apply for an EOL if: 1. His total family income from all sources (other than welfare) is not sufficient for the basic needs of that family; or 2. Due to social and economic disadvantage he has been denied the opportunity to acquire adequate business financing through normal lending channels on reasonable terms.
- AVAILABLE ASSISTANCE: Loan up to \$25,000 up to 15 years maturity. The interest rate fluctuates and is presently set at 5 5/8 percent for direct loans and the SBA share of participation loans.
- USE RESTRICTIONS: Any purpose consistent with the legislative intent.
- APPROPRIATIONS: The agency is not seeking an appropriation for loan for fiscal year 1970, as we have not found this process necessary for the current fiscal year or for fiscal year 1967. This is due to the legislation passed in 1966 (Participation Sales Act of 1966), which authorized FNMA to sell "participation certificates" in pools of SBA loans, in order to provide needed funds for all SBA loan programs.
- OBLIGATIONS INCURRED (LOANS APPROVED): Fiscal year 1969 (through May), total amount \$47,016,000, SBA share \$45,025,000.
- AVERAGE ASSISTANCE: Fiscal year 1969, \$13,276.
- ASSISTANCE PREREQUISITES: Meet eligibility requirements; repayment ability; good character; adequate experience technical ability; some investment of own money or other assets.
- POSTGRANT REQUIREMENTS: Periodical submission of financial statements.
- WASHINGTON CONTACT: Lending activity carried out through field offices.



LOCAL CONTACT: See list of field offices in Appendix.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: 29 days.

REWORKING TIME: No specified time - normally within a few days.

RELATED PROGRAMS: Economic opportunity loans of Farmers Home Administration, Department of Agriculture.



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#### 6.21- DISPLACED BUSINESS LOANS

- AUTHORIZING STATUTE: Section 7(b) (3), Small Business Act, as amended; 15 United States Code 636(b)(3).
- ADMINISTRATOR: Pierron R. Leef, Director, Office of Business Loans, Small Business Administration, 1441 L Street NW., Washington, D. C. 20416, (202) 382-7238.
- NATURE OF PROGRAM: Such loans are made to assist small business concerns economically injured when displaced by, or located near any construction conducted by, or with funds provided by the Federal Government.
- ELIGIBILITY: Small business firms only.
- AVAILABLE ASSISTANCE: No dollar limit. May either guarantee or provide up to 90 percent of a bank participation loan. If bank participation cannot be obtained, SBA will consider lending the entire amount. SBA's interest rate set yearly by law. Until June 30, 1968, 4 1/4 percent. Maximum maturity, 30 years.
- <u>USE RESTRICTIONS</u>: Financing may be provided either to assist the small firm to relocate in leased quarters or to construct new facilities when adequate space is not available on a rental basis, and generally to assist the business in recovering from economic injury.
- APPROPRIATIONS: The agency is not seeking an appropriation for loan for fiscal year 1970 as we have not found this process necessary for the current fiscal year ox for fiscal year 1969 or 1968. This is due to the legislation passed in 1966 (Participation Sales Act of 1966), which authorized FNMA to sell "participation certificates" in pools of SBA loans, in order to provide needed funds for all SBA loan programs.
- OBLIGATIONS INCURRED (LOANS APPROVED): Fiscal year 1969 (through May), total amount \$27,968,000, SBA share \$25,469,000; fiscal year 1968, total amount \$45,307,000, SBA share \$42,553,000; fiscal year 1967, total amount \$32,833,000, SBA share \$31,508,000.
- AVERAGE ASSISTANCE: Fiscal year 1969, \$140,299.
- <u>ASSISTANCE PREREQUISITES:</u> Economic injury caused by Federal construction project.
- <u>POSTGRANT REQUIREMENTS</u>: Must submit financial statements and statistical data periodically, and must make collateral available for inspection at any time.
- WASHINGTON CONTACT: Lending activity carried out through field offices.



APPLICATION DEADLINES: No deadlines.

APPROVAL/DISAPPROVAL TIME: 45 days.

REWORKING TIME: No specific time - normally handled within a few days.

RELATED PROGRAMS: Displacement assistance Department of Housing and Urban Development and Department of Transportation; rehabilitation loans, Department of Housing and Urban Development.

#### 6.22- GUARANTEED BUSINESS LOANS

- AUTHORIZING STATUTE: Section 7(a), Small Business Act, as amended, 15 U.S.C. 636 (a).
- ADMINISTRATOR: Mr. Pierron R. Leef, Director, Office of Business Loans, Small Business Administration, 1441 L Street NW., Washington, D. C. 20416, (202) 382-7238.
- MATURE OF PROGRAM: Loan made by a lending institution under an agreement made with SBA. Upon default by borrower for 90 days of payment (60 days under the simplified blanket guaranty plan) due as to principal or interest the bank may call upon SBA to purchase its guaranteed portion.
- ELIGIBILITY: Small business firms.
- AVAILABLE ASSISTANCE: \$350,000, 90 percent maximum SBA participation, 5 1/2 percent maximum SBA interest, Bank share legal and reasonable maximum interest 10-year maturity.
- <u>USE RESTRICTION</u>: Construct, expand, or convert facilities, purchase buildings, equipment, or materials, or obtain working capital, when needed financing is not available for these purposes in full or in part on reasonable terms from private sources.
- APPROPRIATIONS: The agency is not seeking an appropriation for loans for fiscal year 1970, as we have not found this process necessary for the current fiscal year or for fiscal year 1968. This is due to the legislation passed in 1966 (Participation Sales Act of 1966), which authorized FNMA to sell "participation certificates" in pools of SBA loans, in order to provide needed funds for all SBA loan programs.
- OBLIGATIONS INCURRED (LOANS APPROVED): Fiscal year 1969 (through May), total amount \$344,496,000, SBA share \$281,763,000.
- AVERAGE ASSISTANCE: Fiscal year 1969, \$54,695 (\$42,132, SBA share).
- ASSISTANCE PREREQUISITES: Funds not otherwise available, good character, repayment ability, sufficient equity, collateral, must meet appropriate size standard.
- POSTGRANT REQUIREMENTS: Must submit financial statements and statistical data periodically, and must make collateral available for inspection at any time.
- WASHINGTON CONTACT: Lending activity carried out through field offices.
- LOCAL CONTACT: See list in Appendix.



APPLICATION DEADLINES: No deadlines.

APPROVAL/DISAPPROVAL TIME: 21 days.

REWORKING TIME: No specific time - normally handled within a few days.

RELATED PROGRAMS: Trade adjustment loans, Department of Commerce;
FDA loans, Economic Development Administration, Department of Commerce;
Rehabilitation loans, Department of Housing and Urban Development.



#### 6.23-LEASE GUARANTEE PROGRAM

- AUTHO: IZING STATUTE: Title IV of the Small Business Investment Act of 1958, 15 U.S.C. 692-694, effective August 10, 1965. Small Business Investment Act Amendments of 1967, Public Law 90-104, approved October 11, 1967, effective January 11, 1968.
- ADMINISTRATOR: Small Business Administration, Office of Insurance/Lease Guarantee, Harold D. Brown, Director, Room 820, Imperial Building, 1441 L Street, N. W., Washington, D. C. 20416.
- NATURE OF PROGRAM: This program was established to enable small businesses to compete for business space on a more equal basis. This program will supply the guarantee that the rent due the landlord by a small business tenant will be paid. This anticipates all types of small business manufacturing, wholesaling, retailing, and servicing.
- ELIGIBILITY: Any business which qualifies as small for SBA's financial assistance programs.
- AVAILABLE ASSISTANCE: SBA guarantee of lease, either direct or with participating insurance companies. Direct guarantees cannot be made for a period of less than 15 years.
- FUNDING USE RESTRICTIONS: There are no funds given to applicants. This is an insurance program. Premium income will make this a self-supporting program.
- <u>APPROPRIATIONS SOUGHT</u>: None. A revolving fund of \$5 million has been established which is anticipated to be self-sustaining.
- PAST APPROPRIATIONS: A revolving \$5 million fund.
- OBLIGATIONS INCURRED: 197 lease guarantee commitments have been made to date for which the maximum contingent liability is \$93,469,000.

AVERAGE ASSISTANCE: \$489,000.

POSTGRANT REQUIREMENTS: As defined by the signed lease.

WASHINGTON CONTACT: See "Administration" above.

LOCAL CONTACT: All SBA regional offices.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: 15 days if application is well prepared.

REWORKING TIME: 1 to 2 weeks.

RELATED PROGRAMS: The only comparable could be FHA, but this is a new program with no precedent.



#### 6.24- MINORITY BUSINESS ENTERPRISE

AUTHORIZING STATUTE: Executive Order 11458, dated March 5, 1969.

ADMINISTRATOR: Mr. Thomas F. Roeser.

NATURE OF THE PROGRAM: The Office of Minority Business Enterprise was established, with the Department of Commerce, to be the focal point of the Federal Government's efforts to assist the establishment of new minority enterprises and the expansion of existing ones. It is responsible for concentrating governmental resources and also involving the business community and others in enlisting the full range of the Nation's resources to create new minority business enterprise and mobilizes financial and other resources, both public and private, to this end.

ELIGIBILITY: Persons and organizations throughout the Nation concerned with understanding and promoting the establishment and successful operation of minority business enterprises.

AVAILABLE ASSISTANCE: Coordinative and promotional activities which affect and contribute to the establishment, preservation, and strengthening of minority business enterprises. Develop, collect, summarize and disseminate information helpful to minority business enterprise efforts.

WASHINGTON CONTACT: Mr. Thomas F. Roeser, Room 3800-A, OMBE, Department of Commerce, Washington, D. C. 20230. Telephone: 967-5061.

RELATED PROGRAMS: Small Business Loans, programs under Public Works and Economic Development Act of 1965, and others.



#### 6.25-DIRECT BUSINESS LOANS

- AUTHORIZING STATUTE: Section 7(a), Small Business Act, as amended, 15 U.S.C. 636 (a).
- ADMINISTRATOR: Pierron R. Leef, Director, Office of Business Loans, Small Business Administration, 1441 L Street NW., Washington, D.C. 20416, (202) 382-7238.
- NATURE OF PROGRAM: A loan made by the agency without bank participation or guarantee is a direct loan. Loans are made to help small manufacturers, wholesalers, retailers, service concerns, and other businesses get started, expand, grow and prosper.
- ELIGIBILITY: Small business firms.
- AVAILABLE ASSISTANCE: Loans up to \$100,000. Maturity 10 or 15 years for portions for construction of facilities 5 1/2 percent interest rate.
- <u>USE RESTRICTIONS</u>: Construct, expand, or convert facilities, purchase buildings, equipment, or materials, or obtain working capital, when needed financing is not available for these purposes in full or in part on reasonable terms from private sources.
- APPROPRIATIONS: Fiscal year 1971 \$35,000,000.
- OBLIGATIONS INCURRED (loans approved): Fiscal year 1971 \$25,000,000.
- AVERAGE ASSISTANCE: In fiscal year 1969, \$25,261.
- ASSISTANCE PREREQUISITES: Funds not otherwise available, good character, repayment ability, sufficient equity, collateral, must meet appropriate size standard.
- <u>POSTGRANT REQUIREMENTS</u>: Must submit financial statements and statistical data periodically and must make collateral available for inspection at any time.
- WASHINGTON CONTACT: Lending activity carried out by field offices.
- LOCAL CONTACT: See list of field offices following SBA program description.
- APPLICATION DEADLINES: None.
- APPROVAL/DISAPPROVAL TIME: 36 days.
- REWORKING TIME: No specific time normally handled within a few days.
- <u>RELATED PROGRAMS:</u> Department of Commerce, EDA loans, HUD rehablitation loans.



## 6.26-ECONOMIC OPPORTUNITY NONFARM ENTERPRISE LOANS

- AUTHORIZING STATUTE: The Economic Opportunity Act of 1964, as amended, Title III (A), "Special Programs to combat Poverty in Rural Areas," Section 302, Public Law 88-452 (42 U.S.C. 2851).
- ADMINISTRATOR: Farmers Home Administration, Department of Agriculture.
- NATURE OF PROGRAM ACTIVITY: Through the extension of credit and supervision, this program enables low income farm and other rural families to acquire and develop nonfarm enterprises that will increase their incomes and assist them to increase their stundard of living.
- ELIGIBILITY: An applicant must be legally competent and the head of a low-income farm or other rural family or a legally competent member of such family; have experiences or training in the farm or nonfarm enterprise sufficient to assure reasonable prospects of success; possess the character, ability, and industry necessary to carry out the proposed operations; be unable to obtain the credit needed from other sources on reasonable rates and terms; produce only goods or services from the nonfarm enterprise that are not being adequately supplied by others in the community; a nonfarm family must reside in a rural area or a town or place of not more than 5,500 population.
- AVAILABLE ASSISTANCE: Direct loans and repayable advances.
- <u>USE RESTRICTIONS</u>: Loan funds may be used as follows: purchase equipment, facilities, animals, birds, fish; purchase real estate; provide essential buildings; pay operating expenses and purchase inventory; pay debts secured by liens on real or chattel property used in enterprise; purchase franchise contract or privelege; other miscellaneous purposes.
- APPROPRIATIONS: Fiscal year 1971 \$3.5 million.
- OBLIGATIONS: Fiscal year 1971 \$3.5 million.
- AVERAGE ASSISTANCE: Unpaid principal balance may not exceed \$3,500.
- ASSISTANCE PREREQUISITE: Applicant must establish that credit from other sources is not available on reasonable terms for the requested purposes.
- POSTGRANT REQUIREMENTS: None.
- <u>WASHINGTON CONTACT</u>: James V. Smith, Administrator, Farmers Home Administration, U.S. Department of Agriculture, Washington, D.C. 20250, (202) 388-7967.
- LOCAL CONTACT: County Farmers Home Administration office.
- APPLICATION DEADLINES: None.

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APPROVAL/DISAPPROVAL TIME: Within 30 days.

REWORKING TIME: Applicants request for reconsideration by county committee is granted. Applicant may meet with the county committee to present facts concerning his situation, needs, and potential.

PROGRAM ACCOMPLISHMENTS: In fiscal year 1969, 2,438 loans were made under this program.



#### 6.27-DISPOSAL OF FEDERAL SURPLUS REAL PROPERTY

<u>AUTHORIZING STATUTE</u>: Federal Property and Administrative Services Act of 1949, 63 Stat. 377, as amended.

ADMINISTRATOR: Assistant Commissioner for Real Property Disposal,
Property Management and Disposal Service, General Services Administration.

NATURE OF PROGRAM: In the disposal of surplus real property, there is no grant or loan program. However, surplus real property may be conveyed for certain public purposes or price discounts as follows:

Surplus real property may be made available to the Department of Health, Education, and Welfare for conveyance to States and their political subdivisions and instrumentalities, and tax-supported institutions, and certain nonprofit institutions for public health and educational use at various discounts up to 100 percent as determined by DHEW.

Surplus real property determined by the Federal Aviation Administration to be essential, suitable or desirable for public airport purposes may be conveyed to any State, political subdivision, municipality, or tax-supported institution for such use without monetary consideration.

Surplus real property which is determined to be chiefly valuable for wildlife conservation purposes may be conveyed to States for such use without monetary consideration.

AVAILABLE ASSISTANCE: As explained above.

USE RESTRICTIONS: To the uses stated above.

PAST APPROPRIATIONS: No specific appropriations for these types of property disposals.

OBLIGATIONS INCURRED: Not applicable.

AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PREREQUISITES: To be able to carry out programs for the public uses shown above.

<u>POSTGRANT REQUIREMENTS</u>: To comply with the various public use program requirements. Responsibility for enforcing compliance with the use restrictions is vested in the sponsoring Federal agencies.

WASHINGTON CONTACT: Curtis A. Roos, Assistant Commissioner for Real Property Disposal, Property Management and Disposal Service, General Services Administration, 18th and F Streets NW., Washington, D. C. 20405, 343-4784.



POSTGRANT REQUIREMENTS: Not applicable

WASHINGTON CONTACT: See "Administrator" above

<u>LOCAL CONTACT</u>: Regional Director, Property Management and Disposal Service, General Services Administration <u>Regions</u>:

New York, New Hersey, Pennsylvania, Delaware, Puerto Rico, Virgin Islands: Federal Building, 26 Federal Plaza, New York, N.Y. 10007, 212-264-2662

District of Columbia, Maryland, Virginia, West Virginia: Seventh and D Streets SW, Washington, D.C. 20407. 202-962-3501

Alabama, Florida, Georgia, Mississippi, North Carolina, South Carolins, Tennessee: 1776 Peachtree Street NW, Atlanta, Georgia 30309, 404-526-5767

Illinois, Indiana, Kentucky, Michigan, Ohio, Wisconsin: 219 South Dearborn Street, Chicago, Illinois. 60604 312-828-6040

Also see real Property Disposal State Offices in Appendix

APPLICATION DEADLINES: Not applicable

APPROVAL/DISAPPROVAL TIME: Not applicable

REWORKING TIME: Not applicable

RELATED PROGRAMS: None



#### 6.28-DONATION OF FEDERAL SURPLUS PERSONAL PROPERTY

- AUTHORIZING STATUTE: Federal Property and Administrative Services Act of 1949, 63 Stat. 377, as amended, and section 13(g) of the Surplus Property Act of 1944, 58 Stat. 770, as amended.
- <u>ADMINISTRATOR</u>: Commissioner, Property Management and Disposal Service, General Services Administration, Washington, D. C. 20405.
- NATURE OF PROGRAM: This program provides surplus personal property for educational, public health, civil defense, and public airport purposes. This property is no longer needed by the Federal Government and may include vehicles, office machines, hardware, scientific and electronic equipment, machine tools, and many other items that can be used for approved public purposes.
- ELIGIBILITY: Surplus personal property may be donated by the Administrator of General Services to:
  - 1. Tax-supported or tax-exempt universities, colleges, schools, educational radio or TV stations, schools for the mentally retarded or physically handicapped, and public libraries.
  - 2. Tax-supported or tax-exempt hospitals, health centers, medical institutions, and clinics.
    - 3. Civil Defense organizations.
  - 4. Service educational activities, including American Red Cross, Boy Scouts of America. Boys Clubs of America, Camp Fire Girls, Girl Scouts of America, Naval Sea Cadet Corps, United Service Organization, schools with military connected programs.
    - 5. Public airports.

AVAILABLE ASSISTANCE: See "Nature of Program" above.

<u>USE RESTRICTIONS</u>: Not applicable.

<u>PAST APPROPRIATIONS</u>: Fiscal year 1970, \$475,050; fiscal year 1969, \$472,290; fiscal year 1968, \$459,150.

OBLIGATION INCURRED: None.

- <u>AVERAGE ASSISTANCE</u>: Not applicable. (Government-owned surplus personal property with an acquisition cost of \$320.2 million was approved for donation by GSA in fiscal year 1969.)
- ASSISTANCE PREREQUISITES: Must establish eligibility. Criteria for eligibility for educational and public health purposes established by Department of Health, Education, and Welfare; for civil defense purposes, established by the Office of Civil Defense, Department of the Army; for public airport purposes, established by Federal Aviation Administration. Service educational activities are designated by the Department of Defense.



LOCAL CONTACT: Regional directors, Property Management and Disposal Service, General Services Administration. See listing below for addresses and the areas served.

General Services Administration, Post Office and Courthouse, Boston, Mass. 02109, (617) 223-2601 - Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont.

General Services Administration, 26 Federal Plaza, New York, N. Y. 10007, (212) 264-2600 - Delaware, New Jersey, New York, Pennsylvania, Puerto Rico, and Virgin Islands.

General Services Administration, General Services Regional Office Building, Seventh and D Streets SW., Washington, D. C. 20407, (202) 963-6146 - District of Columbia, Maryland, Virginia, and West Virginia.

General Services Administration, 1776 Peachtree Street NW., Atlanta, Ga. 30309, (404) 526-5600 - Alabama, Georgia, Florida, Mississippi, North Carolina, South Carolina, and Tennessee.

General Services Administration, U.S. Courthouse, 219 South Dearborn Street, Chicago, Ill. 60604, (312) 828-5395 - Illinois, Indiana, Kentucky, Michigan, Ohio, and Wisconsin.

General Services Administration, GSA Administration Building, 1500 East Bannister Road, Kansas City, Mo. 64131, (816) 361-7201 - Iowa, Kansas, Minnesota, Missouri, Nebraska, North Dakota, and South Dakota.

General Services Administration, Building 41, Denver Federal Center, Denver, Colo. 80225, (303) 233-8525 - Arizona, Colorado, New Mexico, Utah, and Wyoming.

General Services Administration, 49 Fourth Street, San Francisco. Calif. 94103, (415) 556-3221 - California, Nevada, and Havaii.

General Services Administration, GSA Center, Auburn, Wash. 98002, (206) 833-5223 - Idaho, Montana, Oregon, Washington, and Alaska.

APPLICATION DEADLINES: No specific. Reasonable period of time is allowed.

APPROVAL/DISAPPROVAL\_TIME: Varies.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Park and recreation, historic monument, and wildlife conservation, Department of the Interior; Public Airport, Federal Aviation Administration; Health and Education, Department of Health, Education, and Welfare.



## 6/29\_SALE OF FEDERAL SURPLUS PERSONAL PROPERTY

AUTHORIZING STATUTE: Federal Property and Administrative Services Act of 1949, 63 Stat. 377, as amended.

<u>ADMINISTRATOR:</u> Daniel T. Kingsley, Commissioner, Property Management and Disposal Service.

NATURE OF PROGRAM: Sale of personal property when determined to be surplus to needs of the Federal Government and is also not required by donees within the categories eligible to receive such property. The objective is to obtain at least the fair market value of the property.

ELIGIBILITY: No one is eligible for benefits, as such, in that the property must be purchased. However, while it is the general policy to sell competitively, and State and local governments may bid on such property, they may also purchase by negotiation, but the above statute requires that the government obtain fair market value.

AVAILABLE ASSISTANCE: Not applicable.

<u>USE RESTRICTIONS</u>: Personal services, travel, printing, advertising, and other related functions necessary in selling property.

<u>PAST APPROPRIATIONS</u>: Fiscal year 1970, \$1,484,000; fiscal year 1969, \$1,476,000; fiscal year 1968; \$1,333,500.

OBLIGATIONS INCURRED: Not applicable.

AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PREREQUISITES: Not applicable.

POSTGRANT REQUIREMENTS: Not applicable.

WASHINGTON CONTACT: Not applicable.

LOCAL CONTACT: Not applicable.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Not applicable.



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### 6.30-SURPLUS PROPERTY UTILIZATION

- AUTHORIZING STATUTE: 40 U.S.C. 484; Federal Property and Administrative Services Act of 1949, as amended; Public Law 81-152, as amended; sections 203(j) and (k), as amended; 63 Stat. 377.
- ADMINISTRATOR: Director, Office of Surplus Property Utilization, Office of the Assistant Secretary for Administration, Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: To make Federal surplus personal property available to eligible health and educational donees and to convey surplus Federal real property needed and usable for health and educational programs to eligible transferees. The acquisition of this property to their communities at less cost. Thus, a portion of the taxpayers' dollars initially used to acquire the property is returned to them.
- ELIGIBILITY: Personal property may be donated to approved or accredited tax-supported or private nonprofit tax-exempt medical institutions, hospitals, clinics, health centers, schools, school systems, colleges, and universities; schools for the mentally retarded and physically handicapped; licensed educational radic and television stations; public libraries, and civil defense organizations. Real property may be acquired by States, their political subdivisions and instrumentalities, and by tax-supported or comprofit educational and medical institutions. Nonprofit institutions must be tax-exempt under section 501(c) (3) of the 1954 Internal Revenue Code.
- AVAILABLE ASSISTANCE: Personal property is distributed through established State agencies for surplus property to eligible institutions for health, educational, and civil defense purposes. Donees pay a nominal service charge assessed by the State agency to cover costs of transportation, care, and handling. Surplus real and related personal property is transferred to eligible applicants at fair market value with a discount which takes into consideration benefits which may accrue to the United States because of its proposed use, plus any external administrative costs. Public benefit allowance discounts may range from 50 to 100 percent of the fair market value, depending on type of facility and the proposed use.
- <u>USE RESTRICTIONS</u>: Personal property which initially cost \$2,500 or more must be used by donees for a period of 4 years (this varies for motor vehicles, aircraft, and certain vessels). Transfers of real property arc made by deed or bill of sale and include use and encumbrance restrictions which run up to 30 years.
- APPROPRIATIONS: For program administration, \$1.4 million (estimate) 1971; \$1, 380,000 fiscal year 1970; \$1,186,000, fiscal year 1969; \$1,119,000, fiscal year 1968. No grant funds available.



OBLIGATIONS: Same as appropriations.

AVERAGE ASSISTANCE: Not applicable.

- ASSISTANCE PREREQUISITES: All applicants for surplus property must meet the eligibility criteria. Eligible institutions which receive donations of personal property must certify need and use for the property. Institutions applying for real property must meet organizational eligibility requirements, establish a current or reasonably foreseeable future need for the property for an eligible health or educational program, and must show financial ability to acquire the property and carry out the proposed program.
- POSTGRANT REQUIREMENTS: Donees receiving Federal surplus personal property which cost the Government \$2,500 or more must report during the period of restricted use any property not being used for the purpose for which it was acquired. Approval must be obtained if they desire to cannibalize or use the property for some purpose other than that for which it was donated. Real property transferees must make an annual report of utilization being made of the property and improvements.
- WASHINGTON CONTACT: Director, Office of Surplus Property Utilization,
  Office of Assistant Secretary for Administration, Department of Health,
  Education, and Welfare, Washington, D. C. 20201, phone: 202--962-3283.
- LOCAL CONTACT: For personal property, the initial contact should be the State agency for surplus property. Applicants for real property should contact the appropriate HEW regional office.
- <u>APPLICATION DEADLINES</u>: Application deadlines are established for surplus real property on a case-by-case basis, depending upon the disposal requirements of the General Services Administration. In the case of surplus personal property, eligible donees may visit State agency warehouses to inspect, select, or make particular needs known.
- APPROVAL/DISAPPROVAL TIME: In the case of surplus real property, the time between receipt of application and proposed program of use and notice of approval or disapproval is approximately 45 days, but may be extended. In the case of surplus personal property, there is no application approval from donees other than for eligibility.
- REWORKING TIME: In the case of surplus real property, this could be as short as 3 days, or as long as 15.
- RELATED PROGRAMS: By delegation of authority from the Department of Defense, this Office screens, allocates, and distributes donable surplus personal property to eligible civil defense donees as designated by the Office of Civil Defense, Department of the Army.

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PROGRAM ACCOMPLISHMENTS: The following tabulation indicates the amount of personal property donated and real property transferred during the fiscal years of 1966, 1967, and 1968 (based on original Federal Government acquisition cost): fiscal year 1968, personal property \$224,573,108, real property \$114,020,695; fiscal year 1967, personal property \$227,508,705, real property, \$94,147,473; fiscal year 1966, personal property \$343,688,643, real property \$71,032,254

#### RESEARCH PROGRAMS

- 7.00 Maternal and Child Health Research
- 7.01 Child Health and Human Development Collaborative Research and Development Contracts
- 7.02 Child Health and Human Development--Research Grants
- 7.03 Mental Health Fellowhsips
- 7.04 Mental Health Research Grants
- 7.05 Mental Retardation Research
- 7.06 Research Participation for College Teachers
- 7.07 Scientific Research Grants in the Social Sciences
- 7.08 Training in Educational Research
- 7.09 Handicapped Physical Education and Recreation Research
- 7.10 Vocational Education Research
- 7.11 Evaluations Under Cooperative Research Authority
- 7.12 Biomedical Sciences Support
- 7.13 Scientific Research Projects in the Biological and Medical Sciences
- 7.14 General Clinical Research Centers
- 7.15 Dental Research Grants
- 7.16 Dental Research Fellowships
- 7.17 Dental Research -- Collaborative Research and Development Contracts
- 7.18 General Research Support Grants
- 7.19 Medical Research in Veterans' Administration
- 7.20 Food and Nutrition Research
- 7.21 Milk and Food-Research Grants
- 7.22 Agricultural Research
- 7.23 REgional Research Fund Program
- 7.24 Specific Grants for Research



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#### 7.00-MATERNAL AND CHILD HEALTH RESEARCH

- <u>AUTHORIZING STATUTE</u>: Section 512, Social Security Act as amended, 42 U.S.C. 712, Public Law 90-248.
- ADMINISTRATOR: Health Services and Mental Health Administration, Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The provision of grants in support of research projects relating to maternal and child health services or crippled children's services which show promise of substantial contribution to the advancement thereof. Special emphasis is accorded projects aimed at need for services, costs, effectiveness, comprehensive health care, and family palnning.
- ELIGIBII ITY: Grants may be made to public or other nonprofit institutions of higher learning and to other public or nonprofit agencies or organizations engaged in research or maternal and child health activities.
- <u>AVAILABLE ASSISTANCE</u>: Grants are available for virtually all categories of expense in connection with a specific research project. Cost sharing with non-Federal funds is required.
- <u>USE RESTRICTIONS</u>: These funds may not be used solely to provide or extend services. Funds are restricted to research efforts.
- APPROPRIATIONS: \$5.88 million fiscal year 1971 (estimate).
- OBLIGATIONS: Information not available.
- AVERAGE ASSISTANCE: The average grant is approximately \$88,000.
- ASSISTANCE PREREQUISITES: Grant applications must show provisions for qualified and adequate staff and facilities, and for adequate supervision to accomplish the purpose of the project.
- POSTGRANT REQUIREMENTS: Grantee must have prior approval or substantial alterations of scope, purpose, operation, administration, or budget. The gratnee must establish acceptable accounting procedures, furnish progress reports, and submit a comprehensive final report worthy of publication in appropriate professional journals.
- WASHINGTON CONTACT: Director, Division of Research, Maternal and Child Health Services, Health Services and Mental Health Administration, Department of Health, Education, and Welfare, 5600 Fishers Lane, (Parklawn Building) Rockville, Md. 20852. (301) 443-2180.

LOCAL CONTACT: None.



- <u>APPLICATION DEADLINES</u>: Applicants should allow approximately 4 months time between submission and desired starting date of the project. Deadlines for submission are April 1, August 1, and December 1.
- <u>APPROVAL/DISAPPROVAL TIME</u>: Approximately 4 months are required for applications requiring full review.
- REWORKING TIME: The review period allows for minor reworking. Major reworking may be held over into the next review period.
- RELATED PROGRAMS: This program works closely with other research grant programs within SRS and within the Department. All applications are registered with the Science Information Exchange.
- PROGRAM ACCOMPLISHMENTS: Studies funded through this program have aided development of batter maternal and child health services programs which have contributed remarkably to the decrease in infant mortality. A nationwide study of the nutrition of preschool children is underway with pilot studies already completed. Studies are also underway in the care of unwed school age mothers and in family planning programs.



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# 7.01-CHILD HEALTH AND HUMAN DEVELOPMENT COLLABORATIVE RESEARCH AND DEVELOPMENT CONTRACTS

AUTHORIZING STATUTE: 42 U.S.C. 241, Section 301(h), PHS Act 1944, as amended.

ADMINISTRATOR: Director, National Institute of Child Health and Human Development, National Institutes of Health, U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: Supports research contracts in the fields of biological, behavioral, and clinical sciences.

ELIGIBILITY: Universities, colleges, medical, dental, and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private nonprofit and profitmaking institutions.

AVAILABLE ASSISTANCE: Research contracts.

<u>USE RESTRICTIONS</u>: Contractors must abide by terms and conditions of the contract including the Federal Procurement Regulations.

APPROPRIATIONS: \$14.7 million fiscal year 1971, \$6.2 million 1970.

OBLIGATIONS: \$6.7 million for fiscal year 1971.

AVERAGE ASSISTANCE: \$63,953.

ASSISTANCE PREREQUISITES: Each contract proposal is reviewed by a preliminary review group and a contract review committee for scientific merit, the qualifications of the contractors, and the adequacy of the facilities.

POSTCONTRACT REQUIREMENTS: Final report of accomplishment.

WASHINGTON CONTACT: Chief, Grants and Contracts Management Branch, National Institute of Child Health and Human Development, National Institutes of Health, Bethesda, Md. 20014, (301) 496-5481.

LOCAL CONTACT: No field office.

PROPOSAL DEADLINES: No established deadlines. Deadlines may be set by individual requests for proposals.

APPROVAL/DISAPPROVAL TIME: 3 to 6 months.

REWORKING TIME: Does not apply.

RELATED PROGRAMS: Child health and human development research grants.

PROGRAM ACCOMPLISHMENTS: Fiscal year 1969, 78 contracts.



#### 7.02-CHILD HEALTH AND HUMAN DEVELOPMENT - RESEARCH GRANTS

- AUTHORIZING STATUTE: 42 U.S.C. 241, section 301(d), Public Health Service Act.
- ADMINISTRATOR: Director, National Institute of Child Health and Human Development, National Institutes of Health, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: To provide research grants to qualified scientists working in areas related to maternal health, child health, human growth and development, and the aging process, NICHD supports studies in reproductive biology, embryogenesis, prenatal biology, obstetrics, causes of infant and fetal mortality, special diseases of childhood and pregnancy, and the biological and behavioral growth and development of the individual from prenatal stages through infancy and childhood and on into the stages of maturation and aging. The Institute also supports studies in mental retardation and in problem areas such as teratology, congenital defects, and developmental pharmacology.
- ELIGIBILITY: Universities, colleges, medical, dental, and nursing schools, schools of public health, laboratories, hospitals, State and local health departments, other public or private nonprofit institutions, and individuals.
- AVAILABLE ASSISTANCE: For services, materials, and other items required to carry out the project.
- <u>USE RESTRICTIONS</u>: The grantee must abide by the terms and conditions stated on the application for the grant.
- APPROPRIATIONS: \$49 million 1971 estimate.
- OBLIGATIONS: \$37.6 million (estimate) for fiscal year 1971.
- AVERAGE ASSISTANCE: \$38,413.
- ASSISTANCE PREREQUISITES: Each application is reviewed on the basis of scientific merit, the qualifications of the investigator, and the adequacy of his facilities, by study sections or special committees of expert consultants and finally, by a National Advisory Council.
- <u>POSTGRANT REQUIREMENTS:</u> Progress reports, reprints, and reports of expenditures.
- WASHINGTON CONTACT: Chief, Grants and Contracts Management Branch, National Institute of Child Health and Human Development, National Institutes of Health, Bethesda, Md. 20014, (301) 496-5481.



LOCAL CONTACT: No field office.

APPLICATION DEADLINES: October, February, and June.

APPROVAL/DISAPPROVAL TIME: 6 months.

REWORKING TIME: Does not apply.

RELATED PROGRAMS: Child health and human development training grants.

PROGRAM ACCOMPLISHMENTS: A total of 983 research grants active April 30, 1969.

#### 7.03- MENTAL HEALTH FELLOWSHIPS

- AUTHORIZING STATUTE: 42 U.S.C. 241, 289c, Public Health Service Act, sections 301, 433.
- ADMINISTRATOR: Director, Division of Manpower and Training Programs,
  National Institute of Mental Health, Health Services and Mental
  Health Administration, Public Health Service, Department of Health,
  Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Under this program, research fellowships are awarded to qualified persons in all mental health and related disciplines for research training in appropriate institutions.
- ELIGIBILITY: Predoctoral fellowships: Applications may be submitted by individuals who have a bachelor's degree or equivalent. Postdoctoral fellowships: Applications may be submitted by individuals who have a Ph.D., M.D., D.D.S., D.V.M., Sc.D., E.Eng., D.O., or equivalent domestic or foreign degree. Special fellowships: Applications may be submitted by individuals who: (a) Have a doctorate or equivalent and at least 3 years of relevant experience; or (b) Have completed residency requirements in a medical specialty; or (c) Have otherwise demonstrated sufficient competence to pursue the proposed training program. Research scientist development awards: Applications may be submitted by behavioral scientists who need advanced research training and/or additional experience to realize their potential as investigators. Research career awards: Applications may be submitted by experienced behavioral scientists.
- AVAILABLE ASSISTANCE: Predoctoral fellowships: The basic annual stipend is \$2,400 for the first year of graduate work, \$2,600 for intermediate years and \$2,800 for the terminal year. An additional \$500 per annum is provided for each dependent. The sponsoring institution receives a fellowship allowance of \$2,500 in lieu of tuition and fees. Postdoctoral fellowships: Stipends are \$6,000, \$6,500, and \$7,000 per year, depending upon prior education and experience. An additional \$500 is provided for each eligible dependent. A supply allowance up to \$1,000 is made available to the institution on behalf of the fellow. Special fellowships: Stipends are determined individually. A supply allowance of up to \$1,000 is made available to the institution on behalf of the fellow. Research scientist development awards: Funds are available for salary support, and in certain circumstances, for research costs. Research career awards: Funds are available for salary support, and in certain circumstances, for research costs.
- USE RESTRICTIONS: Research fellowships and awards are given by NIMH to individuals who are committed to research careers relevant to mental health, and who propose to undertake fulltime research training.



APPROPRIATIONS: \$4 million for fiscal year 1971.

OBLIGATIONS: None for fiscal year 1971.

AVERAGE ASSISTANCE: \$9,000, fiscal year 1969.

ASSISTANCE PREREQUISITES: Applicants must be sponsored by institutions with adequate programs and facilities.

POSTGRANT REQUIREMENTS: Normal progress in training is required.

WASHINGTON CONTACT: Dr. Peter Arnott, Manpower Training Program,
National Institute of Mental Health, Health Services and Mental
Health Administration, 5454 Wisconsin Avenue, Chevy Chase, Md.
20015, (301) 496-7054.

LOCAL CONTACT: Associate Regional Health Director for Mental Health, Department of Health, Education, and Welfare regional office for the appropriate area.

<u>APPLICATION DEADLINES:</u> January 1, April 1, October 1 (These are subject to revision to September 1 and March 1).

APPROVAL/DISAPPROVAL TIME: 5 to 6 months.

<u>REWORKING TIME</u>: Applications are subject to discussion throughout the review process.

RELATED PROGRAMS: Mental health training grants.

PROGRAM ACCOMPLISHMENTS: Research fellowships awarded by the National Institute of Mental Health in fiscal year 1969 numbered over 1,100. This support is helping develop an urgently needed manpower pool of excellent scientific researchers in mental health and related disciplines. These scientists daily uncover new knowledge upon which steady progress and breakthrough advances against mental illness depend.



## 7.04\_MENTAL HEALTH RESEARCH GRANTS

- AUTHORIZING STATUTE: 42 U.S.C. 241, 242, 242a, Public Health Service Act, sections 301, 302, 302a, 303.
- ADMINISTRATOR: Director, Division of Extramural Research Programs,
  National Institute of Mental Health, Health Services and Mental Health
  Administration, Public Health Service, Department of Health, Education
  and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program provides grants to agencies, institutions, and individuals for research in the area of mental health. Basis, clinical and applied research projects are supported in the behavioral sciences, psychopharmacology, schizophrenia, depressions, and special mental health problem areas, such as narcotics and drug abuse, alchoholism, metropolitan mental health problems, crime and delinquency, and suicide.
- ELIGIBILITY: Nonprofit public or private agencies, including local,
  State, or regional government agencies, institutions, schools, and
  laboratories may apply for research grants. Individuals also may
  apply for research grants through any of the aforementioned institutions.
- <u>AVAILABLE ASSISTANCE</u>: Four major types of mental health research grants are available:
  - (1) Research project grant: Provides support for a clearly defined project or a small group of closely related research activities.
  - (2) Program-project grant: Provides larger scale, broad-based support for a program of research, usually interdisciplinary and on a long-term basis.
  - (3) Small grant: Provides support, limited to \$6,000, for a small-scale exploratory or pilot study, or for exploration of an unusual research opportunity.
  - (4) Special grant: Initiated by the Administrator to meet a special developmental need in a deficient research area.
- <u>USE RESTRICTIONS:</u> The funds are spent to provide grants-in-aid to universities, hospitals, laboratories, and other public or private institutions, and to individuals for such research projects as recommended by the National Advisory Mental Health Council.
- APPROPRIATIONS: \$81 million fiscal year 1971 (estimate).
- OBLIGATIONS: \$61.5 million for fiscal year 1971.
- AVERAGE ASSISTANCE: The average research grant in fiscal year 1969 was \$58,000.



- ASSISTANCE PREREQUISITES: Applications are accepted from investigators affiliated with universities, colleges, hospitals, academic or research institutions, and other nonprofit organizations in the United States. Under very special circumstances grants may be awarded to foreign institutions for research in areas of top priority.
- POSTGRANT REQUIREMENTS: Quarterly and annual reports of expenditures are required from each grantee, as are periodic progress reports. Audits are performed as requested by the grantee, or as required. Site visits, correspondence, and other contacts with the grantee are other facets of a continuing evaluation.
- WASHINGTON CONTACT: Director, Division of Extramural Research Programs,
  National Institute of Mental Health, Health Services and Mental Health
  Administration, 5454 Wisconsin Avenue, Chevy Chase, Md. 20015,
  (301) 496-0435.
- LOCAL CONTACT: Associate Regional Health Director for Mental Health, Department of Health, Education, and Welfare regional office for appropriate area.
- <u>APPLICATION DEADLINES</u>: Applications submitted by February 1, June 1, and October 1 will be considered for projects beginning not earlier than the succeeding September 1, January 1, or May 1, respectively. No grants may begin in the months of July or August.
- APPROVAL/DISAPPROVAL TIME: 5 to 6 months.
- REWORKING TIME: Applications are generally subject to continuous discussion throughout the review process.
- RELATED PROGRAMS: Mental health intramural research.
- PROGRAM ACCOMPLISHMENTS: In fiscal year 1969, over 2,000 research projects covering a broad spectrum of mental health problems received grant support from the National Institute of Mental Health. progress realized in recent years from such support of research in the Nation's colleges, universities, hospitals, and other research institutions has steadily enhanced the potential for providing the best mental health care to all Americans. Fifteen years ago, for example, no clearly useful drug treatment for any form of mental illness was available. Today, largely through the Institute's efforts, the clinical psychiatrist has a powerful arsenal of drugs for treating patients. Such drugs have shortened the patient's hospital stay, and allow treatment and rehabilitation of more and more patients in the community without serious disruption of family and work. Studies by grantees have also identified errors in metabolism which either trigger or perpetuate abnormal behavior in man. Further, new techniques of electrical and chemical stimulation of specific brain areas have enriched man's knowledge of the way in which emotions are evoked by a



## PROGRAM ACCOMPLISHMENTS (CONTINUED):

particular segment of the brain, and how such behavior might be modified. Many other accomplishments involve solutions to the everyday problems of the mentally ill. Research has demonstrated how parole failure rates among delinquents can be reduced; how careful and wise foster placements can transform the destiny of a rootless child; how the aged can be helped to a life of greater service and serenity; and how the chronically ill mental patient can be motivated to function more independently and productively.



#### 7.05 MENTAL RETARDATION RESEARCH

- <u>AUTHORIZING STATUTE</u>: Public Health Service Act, Public Law 78-410 as amended, section 301, 42 U.S.C 241.
- ADMINISTRATOR: Director, Division of Mental Retardation, Rehabilitation Services Administration, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: To support appropriate research activities designed to discover and develop new knowledge and techniques, and to gather information for the increased efficiency of services.
- <u>ELIGIBITLIY:</u> Public and nonprofit agencies, institutions, organizations, individuals engaged in mental retardation activities.
- AVAILABLE ASSISTANCE: Direct financial assistance for staff, equipment, etc., to conduct the project with a minimum of 5 percent cost sharing.
- <u>USE RESTRICTIONS</u>: Funds cannot be usedfor any purpose not in approved application without prior approval.
- <u>APPROPRIATIONS</u>: \$24.7 million for all mental retardation programs in fiscal year 1971.

AVERAGE ASSISTANCE: \$50,000.

ASSISTANCE PREREQUISITES: Financial and program progress reports.

POSTGRANT REQUIREMENTS: Financial and program progress reports.

WASHINGTON CONTACT: Director, Division of Mental Retardation,
Rehabilitation Administration, Social and Rehabilitation Service,
U.S. Department of Health, Education, and Welfare, 4040 North
Fairfax Drieve, Arlington, Va. 22203, (703) 557-6135.

LOCAL CONTACT: DHEW regional office.

<u>APPLICATION DEADLINES:</u> Anytime during year but are reviewed in September, January, and April for study section review with council review in November, March and June.

APPROVAL/DISAPPROVAL TIME: Approximately 5 months.

REWORKING TIME: Approximately 1 week.

RELATED PROGRAMS: None.

PROGRAM ACCOMPLISHMENTS: Valuable laboratory studies have aided in diagnosis and treatment of mental retardation, discovery of new knowledge of a highly practical or applied nature, and improvement in the delivery of services to the mentally retarded.

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## 7.06\_RESEARCH PARTICIPATION FOR COLLEGE TEACHERS

AUTHORIZING STATUTE: Public Law 81-507, as amended.

ADMINISTRATOR: Dr. Reinhard L. Korgen, Program Director, College
Teacher Programs, Division of Undergraduate Education in Science.

NATURE OF PROGRAM: Summer research opportunities are provided for college teachers who have adequate subject-matter knowledge in their scientific disciplines but have limited opportunity for research during the academic year. Some of the participants may be nominated to receive academic year extensions intended to encourage the summer participant to continue his research in the ensuing 2 academic years at his home institution with grants to the home institution for those selected.

ELIGIBILITY: Institutions eligible to apply for grants are normally colleges and universities offering graduate programs, or field stations and research laboratories affiliated with them. To be eligible to participate, an individual must be a teacher of one of the sciences, mathematics, or engineering in a U.S. college, junior college, community college, or technical school and must have a master's degree in the scientific field of the intended research participation.

AVAILABLE ASSISTANCE: Grants are made to institutions based on a competitive review of projects as submitted to NSF in the form of proposals, which are selected for support within available funds. For the teacher-participants the maximum stipend is \$75 per week for predoctoral participants, and \$100 per week for postdoctoral participants. Dependency and travel allowances are also provided.

USE RESTRICTIONS: Grant funds can be used for participant support (stipends, dependency and travel allowances), instructional costs (staff, teaching and laboratory materials), and indirect costs.

APPROPRIATIONS: \$1.25 million (approximately) for fiscal year 1971.

OBLIGATIONS: \$1.25 in 1970 fiscal year.

AVERAGE ASSISTANCE: In this program the average grant is about \$21,000 for a project supporting seven college teachers in research participation lasting throughout the summer and costing on the average of \$3,000 per participant: included in this cost, however, are funds enabling the Foundation to support half the participants in a 2-year continuation of research at their home campuses. Each such 2-year "continuation grant" is for \$2,000, often increased by matching funds from an institutional grant.



- ASSISTANCE PREREQUISITES: For institutions applying to NSF: see
  "College Teacher Programs Guide for Preparation of Proposals and
  Operation of Projects" (issued by NSF annually in March). For
  teachers applying to NSF-supported programs at the institution:
  see "Directory of College Teacher Programs" (issued by NSF annually
  in December).
- POSTGRANT REQUIREMENTS: Postgrant reporting includes: a "Status Report Form" which is a roster of participants with information about them, a report describing the accomplishments of the given project, and a detailed fiscal report submitted at the end of the grant period.
- <u>WASHINGTON CONTACT</u>: Dr. Reinhard L. Korgen, program director, college teacher programs, Division of Undergraduate Education in Science. Area code (202) 632-5904.
- LOCAL CONTACT: NSF does not maintain regional or State offices. For teachers applying as participants in NSF-supported science education programs, applications are submitted to project directors at the various institutions, project directors and details of the programs are listed in the "Directory of College Teacher Programs" issued by NSF annually in December.
- APPLICATION DEADLINES: Closing date for submission of proposals to NSF is June 1 each year. Deadlines (usually very early in the calendar year) for participants applying to the institutions are established by each project director.
- <u>APPROVAL/DISAPPROVAL TIME</u>: The elapsed time between receipt of a proposal and receipt of a grant letter is June to late October.
- REWORKING TIME: The average elapsed time between receipt of a proposal and notice of return or request for reworking is 2 1/2 months.
- RELATED PROGRAMS: Related programs administered by NSF are the other programs of the college teacher programs, the advanced science education program, and the science faculty fellowship program. Related programs administered by other agencies are the programs of the Bureau of Higher Education, Office of Education.



## 7.07- SCIENTIFIC RESEARCH GRANTS IN THE SOCIAL SCIENCES

AUTHORIZING STATUTE: Public Law 81-507, as amended.

ADMINISTRATOR: Dr. Howard H. Hines, Director, Division of Social Sciences, NSF, Washington, D. C., (202) 632-4286.

NATURE OF PROGRAM: Grants are awarded to support scientific research.

On rare occasions research support may take the form of a contract rather than a grant; proposals directed at grants or contracts are prepared in an identical manner. Support for the social sciences (excluding studies designed to formulate particular social policies) includes anthropology, economic and social geography, economics, history and philosophy of science, linguistics, political science, social psychology, and sociology. Institutions are required to share in the cost of each research project supported by an NSF research grant; this may be accomplished by a contribution to any cost element in the project, direct or indirect.

<u>ELIGIBILITY</u>: Colleges, universities, and academically related nonprofit research organizations.

AVAILABLE ASSISTANCE: Grants are made on the basis of a competitive review of projects submitted to the NSF in the form of proposals, which are selected for support within available funds.

<u>USE RESTRICTIONS</u>: Grant funds may be used for the normal expenses of conducting research including salaries, equipment, supplies, travel necessary to the project, computer services, publication charges, and indirect costs.

PAST APPROPRIATIONS: \$17.3 million for fiscal year 1971 (estimate).

OBLIGATIONS INCURRED: \$13.3 million fiscal year 1971.

AVERAGE ASSISTANCE: Fiscal year 1968 grant range, \$1,300 to \$282,800; fiscal year 1968 average grant, \$36,100.

ASSISTANCE PREREQUISITES: See "Eligibility."

<u>POSTGRANT REQUIREMENTS</u>: For information concerning postgrant requirements, please contact the Associate Director (Research), NSF, Washington, D. C., (202) 632-4240, or send for the brochure, "Grants for Scientific Research."

WASHINGTON CONTACT: See "Administrator" above.

LOCAL CONTACT: Not applicable.



APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: 3 to 6 months.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: NSF postdoctoral education programs and other Federal agency research activities.



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### 7.08- TRAINING IN EDUCATIONAL RESEARCH

- AUTHORIZING STATUTE: 20 U.S.C. 331, Cooperative Research Act, Public Law 83-531, 68 Stat. 533; as amended by the Elementary and Secondary Education Act of 1965, Public Law 89-10, title IV, 79 Stat. 44, and by Public Law 89-750, title I, part D, 80 Stat. 1202, and Public Law 90-247, title VII, sec. 706, 81 Stat. 820.
- ADMINISTRATOR: Associate Commissioner for Research, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program seeks to increase the number of professionally trained educational research and development personnel and to increase the competencies of those already in the field through support of graduate training programs, senior postdoctoral fellowships, institutes and other short-term training activities, development of more effective training programs, and by means of special related projects to meet training needs.
- ELIGIBILITY: Grants may be awarded to colleges and universities, State and local education agencies and systems, and other public and nonprofit organizations. The program is also authorized to contract with profitmaking organizations.
- AVAILABLE ASSISTANCE: Grants for graduate programs provide funds for annual student stipends of \$2,400 to \$2,800 and allowances for one-way travel to the training institutions. Grants for senior postdoctoral fellowships provide for student stipends equal to the expected annual salary of the fellow up to \$18,000 per year, institutional allowance of \$1,000 per fellow, and travel and relocation costs of \$2,000 per fellow. Institute and other short-term training grants provide up to \$75 per week for each trainee and, in certain cases, up to \$15 per week for each dependent. Assistance for other types of activities depends upon the approved budgets.
- USE RESTRICTIONS: Funds can be used to support the training of educational research and development personnel, to improve existing and to develop new research training programs, and to support the development of training materials and aids. Funds cannot be used to pay the costs of foreign travel or study, building construction or alteration, office furniture or accessories, entertainment or recreational programs, or publication of books or monographs.

APPROPRIATIONS: \$6.25 million fiscal year 1971.

OBLIGATIONS: Most of the funds are committed to ongoing projects.



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- AVERAGE ASSISTANCE: Average institutional grants for each type of program activity are: Graduate training, \$68,643; postdoctoral fellowships, \$20,877; institutes and other short-term training programs, \$33,084; program development, \$73,860; and special projects, \$60,427.
- ASSISTANCE PREREQUISITES: Applicants for graduate and other training programs must meet approved selection criteria of the programs in the institutions, organizations, or agencies carrying on the programs and are selected by the program directors. Program proposals must be approved by the U.S. Office of Education.
- <u>POSTGRANT REQUIREMENTS</u>: All continuing programs are evaluated each year on the basis of applications for continuation. Final reports containing evaluative information are required of all terminating programs.
- WASHINGTON CONTACT: Prospective program applicants should contact the Chief, Research Training Branch, Bureau of Research, U.S. Office of Education, Washington, D. C. 20202, (202) 962-0400.
- LOCAL CONTACT: Prospective trainees should contact the directors of the programs in the training institutions, organizations, or other agencies.
- APPLICATION DEADLINES: Trainee applications should be submitted to program directors according to the approval deadlines established for each program. Program continuation applications must be submitted to the U.S. Office of Education no later than November 1 of the year preceding the training period. Deadlines for other applications are dependent upon the requirements of the proposed programs.
- <u>APPROVAL/DISAPPROVAL TIME</u>: 2 to 3 months following receipt of final proposal.
- REWORKING TIME: Trainee applications are subject to procedures of institutional programs. Program applications usually require substantial and continuous discussion from initial approval to final negotiations.
- RELATED PROGRAMS: Educational personnel development.
- PROGRAM ACCOMPLISHMENT: Since its inception in 1966, this program has provided for the support of 88 graduate training programs, enrolling more than 800 trainees in 56 institutions. An average of about 300 students per year complete master's and doctoral degrees in these programs. A total of 91 postdoctoral trainees have been awarded fellowships for advanced study through fiscal year 1969. More than 4,000 individuals have participated in 75 institutes and other short-term programs supported in the past 3 years. New research training programs have been created and several existing ones have been strengthened through the award of more than 20 program development grants.



#### 7.09 - HANDICAPPED PHYSICAL EDUCATION AND RECREATION RESEARCH

- AUTHORIZING STATUTE: 42 U.S.C. 2698-2698b, Mental Retardation Facilities and Community Mental Health Centers Construction Act of 1963, Public Law 88-164, title V, section 502, 81 Stat. 530.
- ADMINISTRATOR: Director, Division of Research, Bureau of Education for the Handicapped, Office of Education, U.S. Department of Health, Education, and Welfare.
- <u>NATURE OF PROGRAM ACTIVITIES</u>: The intent of this program is to provide grant support for research and demonstration projects relating to physical education and recreation for handicapped children.
- ELIGIBILITY: Grants for research and related activities may be made to States, State or local educational agencies, public and nonprofit private institutions of higher learning, and other public or nonprofit private educational or research agencies and organizations.
- <u>AVAILABLE ASSISTANCE</u>: Grants of various amounts may be made for research activities. Some degree of cost sharing is required.
- <u>USE RESTRICTIONS:</u> Research and demonstrations must relate to physical education and/or recreation of children who are mentally retarded, hard of hearing, deaf, speech impared, visually handicapped, seriously emotionally disturbed, crippled or other health impaired who by reason thereof require special education.

APPROPRIATIONS: \$300,000 fiscal year 1971.

OBLIGATIONS: \$150,000 fiscal year 1971.

AVERAGE ASSISTANCE: \$75,000.

ASSISTANCE PREREQUISITES: Applicants must be knowledgeable about physical education and/or recreation as it relates to handicapped children.

POSTGRANT REQUIREMENTS: None.

WASHINGTON CONTACT: Director, Division of Research, Bureau of Education for the Handicapped, Office of Education, Washington, D. C. 20202, (202) 963-7695.

LOCAL CONTACT: HEW regional offices.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: 3 to 6 months.



REWORKING TIME: Varied.

RELATED PROGRAMS: Handicapped research and demonstration.

PROGRAM ACCOMPLISHMENTS: This is a newly initiated program. Four grants were awarded during fiscal year 1969.



### 7.10 - VOCATIONAL EDUCATION RESEARCH

AUTHORIZING STATUTE: 20 U.S.C. 35c(c), Vocational Education Act of 1963, Public Law 88-210, section 4(c), 77 Stat. 405; as amended by Public Law 90-576, Vocational Education Amendments of 1968, part C.

ADMINISTRATOR: Associate Commissioner for Research, Office of Education, U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: The purpose of this program is to provide funds to the Office of Education and State Departments of Education for grants and contracts for research in vocational education; training programs designed to familiarize vocational education personnel with research findings and successful pilot and demonstration projects in vocational education; experimental, developmental, and pilot programs and projects designed to test the effectiveness of research findings; demonstration and dissemination projects; development of new vocational education curriculums; and projects related to education for new careers and occupations.

ELIGIBILITY: Funds available to the Commissioner may be used for grants to and contracts with institutions of higher education, public and private agencies and institutions, State boards; and, with approval of the appropriate State board, to local education agencies in that State. Funds available to each State may be used by its State board for paying up to 75 percent of the costs of the State research coordination unit, and for grants to colleges and universities, and other public or nonprofit private agencies, organizations, and institutions.

AVAILABLE ASSISTANCE: 50 percent of the funds allotted to each State under part C will be used by the U.S. Commissioner of Education for programs approved by the Commissioner. The remaining 50 percent will be available for programs approved by the State board. Federal funds may be used to pay 75 to 90 percent of the cost of such approved programs.

<u>USE RESTRICTIONS</u>: The funds are used only to support grants and contracts for the kinds of activities and within the restrictions described above.

APPROPRIATIONS: \$25 million fiscal year 1971, \$14.9 million 1970.

AVERAGE ASSISTANCE: The average grant is \$100,000.

ASSIS'ANCE PREREQUISITES: Contracts and grants are awarded on the basis of a formal application proposal. Agencies listed above under "Eligibility" may apply.



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- <u>POSTGRANT REQUIREMENTS</u>: Regular fiscal and progress reports and a final report are required. Continuation funding after the initial year depends upon reassessment of the activity in terms of efficiency and available support.
- <u>WASHINGTON CONTACT</u>: Director, Division of Comprehensive and Vocational Education Research, U.S. Office of Education, Washington, D. C. 20202, (202) 963-6031.
- LOCAL CONTACT: State vocational education agencies and State directors of vocational education.
- <u>APPLICATION DEADLINES</u>: Proposals may be submitted at any time; however, proposed starting dates should provide at least 6 months leadtime between submission of proposal and proposed date to begin project.
- APPROVAL/DISAPPROVAL TIME: As much as 4 months for larger projects.
- <u>REWORKING TIME</u>: Most projects require some reworking during negotiation. Some larger projects may need refinement to additional elements needing attention.
- RELATED PROGRAMS: Directly related to the vocational and technical education programs conducted under provisions of the Vocational Education Act of 1963, Public Law 88-210, as amended, and directed by the Division of Vocational and Technical Education, Bureau of Adult, Vocational and Library Programs, USOE.
- PROGRAM ACCOMPLISHMENTS: Since fiscal year 1966 the program has furnished about \$55 million for vocational education research and development grants and contracts. About 500 grants and contracts have been approved and 50 State research coordinating units have been established and supported. Two research and development centers in vocational education and an educational resources information center clearinghouse were established, and regular institutes were provided to upgrade the competencies of vocational education professional personnel. Implementation of the Vocational Education Amendments of 1968 marks a substantial shift in the sources of support for vocational education research activities during fiscal year 1970.



#### 7.11\_EVALUATIONS UNDER COOPERATIVE RESEARCH AUTHORITY

- AUTHORIZING STATUTE: 20 U.S.C. 331, Cooperative Research Act, Public Law 83-531, 68 Stat. 533; as amended by the Elementary and Secondary Education Act of 1965, Public Law 89-10, title IV, 79 Stat. 44.
- ADMINISTRATOR: Assistant Commissioner for Program Planning and Evaluation, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program solicits evaluation studies on the impact and efficiency of programs administered by the Office of Education. These studies are concerned also with analyzing the process and product of education.
- ELIGIBILITY: Individuals, associations, nonprofit and profitmaking organizations, and colleges and universities are eligible to receive contracts on the basis of competitive proposals.
- <u>AVAILABLE ASSISTANCE</u>: Available funds are spread for maximum coverage of the evaluations needed. The program supports competitive contracts.

USE RESTRICTIONS: None, except as stated above.

APPROPRIATIONS: \$5 million fiscal year 1971, \$2.79 million fiscal year 1970.

AVERAGE ASSISTANCE: \$75,000.

<u>ASSISTANCE PREREQUISITES</u>: Competence and fiscal accountability to do the work.

POSTGRANT REQUIREMENTS: Nor applicable.

WASHINGTON CONTACT: Assistant Commissioner for Program Planning and Evaluation, U.S. Office of Education, Washington, D. C. 20202, (202) 963-2307.

LOCAL CONTACT: None.

<u>APPLICATION DEADLINES</u>: None. Proposal solicitations indicate the relative urgency of studies to be undertaken.

APPROVAL/DISAPPROVAL TIME: Not applicable.

<u>REWORKING TIME</u>: Approved proposals resulting from solicitations are subject to refinement during negotiations.

RELATED PROGRAMS: None.



PROGRAM ACCOMPLISHMENTS: Evaluation studies conducted under the Cooperative Research Act support the responsibilities of the Commissioner of Education to determine and report on the progress of education in America and to determine the effectiveness and impact of Federal programs of aid to American education. Together with studies undertaken by the professional staff of the Office of Education, they are the core of this evaluative effort. In fiscal year 1968, evaluation studies concentrated on three broad areas: effects of compensatory programs for the disadvantaged; attitudes and characteristics of high school seniors with respect to college and financial problems of college students; and effective vocational educational programs at the secondary and junior college level and in metropolitan areas. In fiscal year 1969, evaluation efforts represented a balance between new studies and follow-on analyses of studies initiated in prior years and primarily concerned with successful education for the disadvantaged.



#### 7.12 BIOMEDICAL SCIENCES SUPPORT

- AUTHORIZING STATUTE: 42 U.S.C. 241, section 301(d), the Public Health Service Act of 1944 as amended.
- ADMINISTRATOR: Chief, General Research Support Branch, Division of Research Resources, Bureau of Education and Manpower Training, National Institutes of mealth, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program is designed to enable institutions to: cultivate scientific escellence through building institutional strengths; contribute to the stable support and development of their research and research training programs; redress imbalances in their ongoing programs created in part by the zeal with which Federal agencies have pursued their statutory missions; enhance their role and initiative in determining the direction and thrust of their programs; explore and support emerging opportunities in research via the pursuit of new and unorthodox ideas which otherwise might not successfully compete in an evaluation system based upon approval by peer scientists from similar institutions; foster earlier recognition and support of scientific talent.
- <u>ELIGIBILITY</u>: Institutions of higher education in the United States, other than a health professions school, or one of the separate colleges or campuses within a State university system, which are accredited or approved by a nationally recognized accrediting agency, by a State department of education, or by a State university and are engaged in health related research.
- AVAILABLE ASSISTANCE: Grants to support: new research activities, collaboration among research disciplines where project-type research is difficult to formulate, pilot projects and unanticipated expenses in established research projects and programs; salaries of professional and technical personnel, stipends, tuition, and fees for peons engaged in health research and research training, and sources and general purpose research equipment; certain activities formerly covered by Public Health Service grants, and supplemental grants during an active grant year for research projects where additional funds are necessary to cover institutionwide salary increases.
- USE RESTRICTIONS: Funds may not be used for: indirect costs; construction;
  library support; payments to Federal employees, consultant fees to
  staff members or employees of the grantee institution; broad institutional
  planning activities; travel unrelated to allowable activities;
  salary support for research/administrative positions; costs of research
  and research training for baccalaureate degree students.

APPROPRIATION: \$5.65 million.

OBLIGATIONS: None specifically; appropriation is prorated to eligible instituions.



AVERAGE ASSISTANCE: The average grant is \$68,000.

ASSISTANCE PREREQUISITES: Application must be from an eligible institution which has received a minimum of \$200,000 in appropriate NIH and NIMH research project grants within the latest complete 12-month period, July 1 through June 30, inclusive. Appropriate applications are referred to the National Advisory Health Council Subcommittee for General Research Support Programs and to the National Advisory Health Council for further review and recommendations of appropriate action.

<u>POSTGRANT REQUIREMENTS:</u> Annual narrative reports of programs progress and expenditure reports are required.

WASHINGTON CONTACT: Program Director, General Research Support Branch, Bureau of Education and Manpower Training, National Institutes of Health, 9000 Rockville Pike, Bethesda, Md. 20014, (301) 496-6324.

LOCAL CONTACT: None.

<u>APPLICATION DEADLINE</u>: The deadline is established annually. Normally the closing date is in February.

APPROVAL/DISAPPROVAL TIME: About 3 months.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: General research support grants and health sciences advancement awards.

PROGRAM ACCOMPLISHMENTS: The BSSG program through its flexible support has provided grantees: (1) the ability to meet emerging opportunities in research especially with respect to supporting unorthodox ideas and pilot projects; (2) the ability to stimulate new research and strengthen ongoing research; and (3) the means by which to provide early recognition of talent. Some specific projects assisted by these funds were: (1) purchasing special high-vacuum equipment for distillation of multicomponent mixtures of intermediates essential in the synthesis of compounds for cancer chemotherapy; (2) development of a new research program in population biology, involving the acquisition of five new faculty members; and (3) enabling research in air pollution to start by providing special equipment for a department of sanitary engineering.



# 7.13-SCIENTIFIC RESEARCH PROJECTS IN THE BIOLOGICAL AND MEDICAL SCIENCES

AUTHORIZING STATUTE: Public Law 81-507, as amended

ADMINISTRATOR: Dr. Harve J. Carlson, Division Director, RMS, NSF, Washington, D. C. 20550, (202) 632-4338.

NATURE OF PROGRAM: Grants are awarded to support scientific research.

On rare occasions research support may take the form of a contract rather than a grant; proposals directed at grants or contracts are prepared in an identical manner. Support for the biological and medical sciences (excluding clinical aspects) includes cellular biology, environmental and systematic biology, molecular biology, physiological processes, psychobiology, and biological oceanography. Institutions are required to share in the cost of each scientific research project supported by an NSF research grant; this may be accomplished by a contribution to any cost element in the project, direct or indirect.

ELIGIBILITY: Colleges, universities and academically related nonprofit research organizations.

AVAILABLE ASSISTANCE: Grants are made on the basis of a competitive review of projects submitted to the NSF in the form of propose ls, which are selected for support within available funds.

<u>USE RESTRICTIONS</u>: Grant funds may be used for the normal expenses of conducting research including salaries, equipment, supplies, travel necessary to the project, computer services, publication charges, and indirect costs.

PAST APPROPRIATIONS: \$42 million fiscal year 1971 (estimate).

OBLIGATIONS INCURRED: \$32.4 million fiscal year 1971 (estimate).

AVERAGE ASSISTANCE: Fiscal year 1968 average award, \$38,500.

ASSISTANCE PREREQUISITES: See "Eligibility."

POSTGRANT REQUIREMENTS: For information concerning postgrant requirements, please contact the Associate Director (Research), NSF, Washington, D. C., (202) 632-4240, or send for the brochure, "Grants for Scientific Research."

WASHINGTON CONTACT: Same as "Administrator."

LOCAL CONTACT: Not applicable.

APPLICATION DEADLINE: None.



APPROVAL/DISAPPROVAL TIME: 6 to 8 months.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: NSF, Office of Antarctic Programs: NSF Office of Sea Grant Programs; and NSF, education fellowship programs and education research participation programs; international cooperative research programs, NSF; various institutes of the National Institutes of Health.



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#### 7.14 \_GENERAL CLINICAL RESEARCH CENTERS

- AUTHORIZING STATUTE: 42 U.S.C. 241, section 301(d), of the Public Health Service Act of 1944, as amended.
- ADMINISTRATOR: Chief, General Clinical Research Centers Branch, Division of Research Resources, Bureau of Education and Manpower Training, National Institutes of Health, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program aims to create and sustain, on a stable basis, highly specialized institutional resources in which clinical investigators can: observe and study human diseases; define their characteristics; devise ways of exploring clinical phenomena in a laboratory situation; apply, where possible, fundamental biological concepts to patient care; and study the biological characteristics of the normal human subject.
- ELIGIBILITY: Public or nonprofit private medical schools research hospitals, and other medical institutions, capable of carrying out well-designed studies in any preclinical or clinical science, working with human patients, are generally eligible. Institutions must demonstrate potential research productivity, quality of proposed physical facility, and soundness of administrative plan for the center.
- AVAILABLE ASSISTANCE: Grants pay the costs of renovation of facilities, equipment, hospitalization, core laboratory, salaries of the center director, nurses, and technicians, and other operational costs. Salaries for research scientists and the cost of studies to be carried out are supported by funds from other sources.
- <u>USE RESTRICTIONS:</u> Such centers operate as discrete, multidepartmental, multicategorical facilities, usually within a hospital complex, and are generally affiliated with a medical school. While centers conduct research on a variety of human diseases over a broad range of clinical problems, individual centers may specialize in certain areas of research such as acute conditions, chronic disease, or maternal and child health. Centers operate as an institutional resource where scientists from many departments correlate their laboratory studies with carefully controlled clinical observations and analyses.

APPROPRIATIONS: \$38 million (estimate) for fiscal year 1971.

OBLIGATIONS: \$38 million is obligated to the existing centers.

AVERAGE ASSISTANCE: The average grant is \$350,000.



ASSISTANCE PREREQUISITES: Applications must be from eligible institutions. These are initially reviewed by study sections and committees, composed of authorities in the various fields of medical research. They are responsible for the scientific peer evaluation of all research grant applications and forward their recommendations to the National Advisory Research Resources Council, where final recommendation is made.

POSTGRANT REQUIREMENTS: To assure adequate planning and stability, the program involves long-term commitments by the grantee institution and the Federal Government. Periodic appraisals of the Center's programs are made by the Branch .taff through evaluation of reports from the centers, site visits, and meetings with Center program directors. Periodic applications for continued grant support must be approved by the National Advisory Research Resources Council.

WASHINGTON CONTACT: Chief, General Clinical Research Centers Branch, Bureau of Education and Manpower Training, National Institutes of Health, 9000 Rockville Pike, Bethesda, Md. 20014, (301) 496-6595.

LOCAL CONTACT: None.

APPLICATION DEADLINE: 6 months prior to council meetings which are held in November, March, and June of each year.

APPROVAL/DISAPPROVAL TIME: About 6 months.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Special research resources.

PROGRAM ACCOMPLISHMENTS: The first general clinical research center was opened in 1960. In the intervening 8 years, this program has raised the national capacity for clinical investigation and has contributed to the sustained resurgence of distinctively patient-related research. In 1960, there were eight centers in eight medical schools; in 1969 there were 93 centers in 63 medical schools. Within the physical and conceptual confines of these resources, a number of patterns of research activity have emerged which are identifiable and can be projected. One pattern is intense investigation centering around the management of diseases such as cancer, cardiovascular-renal diseases, infectious diseases, the process of aging, and diseases contributing to high infant mortality rates. A second pattern is training of all classes of personnel who will conduct and participate in clinical investigation in the year ahead, which includes medical and scientific as well as ancillary health professional personnel. For example, in fiscal year 1964 there were 647 medical students receiving training in the centers while in fiscal year 1968 there were 2,538. The third pattern is the increasing interchange between research activity of the center and local organizations concerned with the practice of medicine. Such interaction facilitates communication and expedites application of new methods of diagnosis and treatment of disease at the community level.



#### 1.15\_DENTAL RESEARCH GRANTS

- AUTHORIZING STATUTE: 42 U.S.C. 241, section 301(d), Public Health Service Act.
- ADMINISTRATOR: Director, National Institute of Dental Research,
  National Institutes of Health, Public Health Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Grants support both clinical and nonclinical research projects bearing on dental problems. To develop methods for treating and preventing tooth decay, periodontal disease, oral cancer, and oral-facial defects, knowledge is needed in the fundamental as well as the applied sciences.
- ELIGIBILITY: Investigators at universities, hospitals, laboratories, and other public or private institutions and individuals.
- AVAILABLE ASSISTANCE: Funds cover costs of research plus indirect costs. Projects range from those carried out by one investigator to those involving many experts studying a central problem.
- <u>USE RESTRICTIONS</u>: Grant funds may be used for salaries, permanent equipment, consumable supplies, travel, other approved expenditures and indirect costs.
- APPROPRIATIONS: \$10.5 million (estimate) fiscal year 1971.
- OBLIGATIONS: All but \$1.5 million obligated for fiscal year 1971.
- AVERAGE ASSISTANCE: The average grant is \$37,000.
- ASSISTANCE PREREQUISITES: Applications are reviewed by two non-Government advisory committees.
- <u>POSTGRANT REQUIREMENTS</u>: Annual reports on progress, expenditures, and inventions.
- WASHINGTON CONTACT: Research Grants Officer, Extramural Programs,
  National Institute of Dental Research, National Institutes of Health,
  Bethesda, Md. 20014, (301) 496-7807.
- LOCAL CONTACT: None.
- APPLICATION DEADLINES: February 1, June 1, October.
- APPROVAL/DISAPPROVAL TIME: 4 to 6 months.
- REWORKING TIME: Actions will be announced by April 1, July 1, and December 1. Resubmissions should meet next possible deadline.



RELATED PROGRAMS: The NIDR supports the development and expansion of centers of excellence in dental research in universities and other institutions to attract to and train outstanding scientists for the search for oral health.

#### PROGRAM ACCOMPLISHMENTS:

The NIDR, the main support of oral research in this country, encourages basic scientists to work with clinical investigators. In caries research, this productive approach may make dental decay preventable within a decade. Just drinking properly fluoridated water can reduce decay by two-thirds, and research has improved other methods of application and types of fluoride solutions.

A promising new tooth protector is an adhesive plastic painted on chewing surfaces. If this coating will last about a year, then large-scale clinical tests could begin. Since decay on grinding surfaces is the most common and destructive type of caries, such an adhesive would inexpensively protect thousands of children's teeth.

Microbiology has contributed another potential weapon against decay - the enzyme dextranase. Human trials have already begun to learn whether in man as in hamsters, dextranase prevents formation of the film of microbes called plaque which leads to decay.

Dextranase may also help prevent the gum destruction of periodontal diseases, since plaque plays a role there. Meanwhile research is methodically determining the role of various bacterial products in oral diseases. From immunology may come new treatments capitalizing on local immune reactions. Perhaps vaccines injected locally or inhaled may protect against oral diseases.

Until dental diseases can be prevented, lost parts must be replaced. Research has improved metal and cement fillings and dentures. A softer yet durable cobalt-chromium alloy for the framework and clasps of dentures, and a new glue to bond artificial teeth to that framework should prevent breakage and prolong denture life. Scientists are examining natural marine adhesives and new synthetic resins to make tooth repair even better than it is today.

By considering each cleft-palate patient as a whole, clinical research teams have greatly improved their treatment. Combinations of surgical, speech, orthodontic, and psychological therapy help these children to effective lives. Injecting teflon into the back wall of the throat is one new and simple way to help some of them speak clearly. Research continues to explore genetic and other causes of clefts in hope of preventing these defects.

To insure and multiply progress in dental research, the NIDR supports several centers in various parts of the country. These growing institutes should provide ideal settings for developing and applying new knowledge to improve oral health.



### 7.16 \_ DENTAL RESEARCH FELLOWSHIPS

- <u>AUTHORIZING STATUTE</u>: 42 U.S.C. 241, section 301(c), Public Health Service Act, as amended.
- ADMINISTRATOR: Director, National Institute of Dental Research,
  National Institutes of Health, Public Health Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Fellowships are awarded to individuals to support their graduate training. Because there is a critical shortage of dental investigators, NIDR support extends to nearly all biological sciences. Research career development awards (RCDA) are made to an institution on behalf of a young investigator to further his development as an independent investigator.
- ELIGIBILITY: Outstanding citizens or noncitizen nationals of the United States or persons lawfully admitted to the United States for permanent residence.

#### AVAILABLE ASSISTANCE:

Predoctoral fellowship: Stipends \$2,400, \$2,600, and \$2,800 in successive years. Additional allowance may include \$500 per dependent, allowance for travel to the institution, and \$2,500 for tuition, travel to meetings, supplies, and other expenses.

Postdoctoral fellowships: Stipends \$6,000, \$6,500, and \$7,000 per year according to experience. Additional funds may include \$500 per dependent, tuition, travel and, if requested, \$1,000 for supplies.

Special fellowships: Stipends determined individually. Additional funds may include tuition, travel, and a supply allowance.

RCDA covers salary, up to \$25,000 per year for 5 years. Can be renewed for 3 more years, but not beyond the recipient's 45th birthday.

USE RESTRICTIONS: Fellowships may not support training toward a D.D.S., M.D., or other such degrees. RCDA holders should be involved principally in research, but may pursue studies and teach. No funds are provided for indirect costs, fringe benefits, or other needs such as research support, travel, tuition, or training.

APPROPRIATIONS: \$1.3 million estimate for fiscal year 1971.

OBLIGATIONS: \$1 million for fiscal year 1971.

AVERAGE ASSISTANCE: The average award is \$14,500.



ASSISTANCE PREREQUISITES: Before applying for a fellowship, applicants first select a sponsor and institution. Other requirements are:

Predoctoral fellowship: A bachelor's degree. Postdoctoral fellowship: A doctoral degree.

Special fellowships: Experienced researchers or others requiring specialized research training.

RCDA candidates must have at least 3 years of postdoctoral experience and have shown the potential for a career in independent research and must be less than 40 years old.

POSTGRANT REQUIREMENTS: Fellowships and RCDA holders submit annual reports on their progress and inventions. In addition, predoctoral fellows and RCDA awardees must submit yearly reports on expenditures.

WASHINGTON CONTACT: Training Grants Officer, Extramural Programs, National Institute of Dental Research, National Institutes of Health, Bethesda, Md. 20014, (301) 396-7807.

LOCAL CONTACT: None.

<u>APPLICATION DEADLINES</u>: Fellowships: January 2, April 1, and October 1. RCDA's: May 1 and September 1.

APPROVAL/DISAPPROVAL TIME: Fellowships: 5 months. RCDA's: 6 months.

REWORKING TIME: Fellowships: Review actions announced by September 1, February 1, and May 1. Resubmissions must meet the next possible deadline. RCDA: Actions announced by July 1, December 1, and April 1. Resubmissions should meet the next possible deadline.

RELATED PROGRAMS: Training grants, awarded to research institutions, are another mechanism for research training support. Potential graduate students should contact the heads of the NTDR-supported programs. For a listing with brief descriptions of the training programs, write the NTDR training grants officer (see Washington contact for address).

PROGRAM ACCOMPLISHMENTS: In an effort to provide more teacher-researchers for the dental schools, NIDR fellowship support, since 1963 alone, has resulted in at least 100 people receiving either the master's or the Ph.D. degrees. Research career development awards also have enriched the world of dental science. With NIDR support, about 25 promising scientists have completed 5 years devoted fully to research, studies, and teaching. More than 40 others are currently supported. Afterward, the awardees generally go forward as independent investigators attracting others to and contributing knowledge to the battle for oral health.



# 7.17 DENTAL RESEARCH - COLLABORATIVE RESEARCH AND DEVELOPMENT CONTRACTS

- <u>AUTHORIZING STATUTE</u>: 42 U.S.C. 241, section 301 (h), Public Health Service Act of 1944, as amended.
- ADMINISTRATOR: Director, National Institute of Dental Research,
  National Institutes of Health, U.S. Department of Health, Education,
  and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program activity is aimed at specific oral health problems where targeted research and development work will complement and supplement research undertaken in the laboratories and clinics of the National Institute of Dental Research or will aid in the advancement of research findings to dental practice and public health measures.
- ELIGIBILITY: Individuals and organizations having the scientific and other resources required to perform research and development work. Competitive awards are made whenever possible.
- AVAILABLE ASSISTANCE: All direct and indirect costs attributable to the performance of the contract work are covered.
- <u>USE OF RESTRICTIONS</u>: Funds are to be used only in accordance with the terms of the contract.
- APPROPRIATIONS: \$800,000 estimate for fiscal year 1971.
- OBLIGATIONS: Small percentage unobligated. If proposed \$4.3 million budget is approved, all of the additional money will be available.
- AVERAGE ASSISTANCE: The average contract is \$38,000.
- ASSISTANCE PREREQUISITES: Potential contractors must be qualified to perform the work specified.
- <u>POSTCONTRACT REQUIREMENTS</u>: A Federal contract management officer provides a more or less constant evaluation to ascertain progress and assure conformity to the contract specifications.
- WASHINGTON CONTACT: Director, National Institute of Dental Research, National Institutes of Health, 900 Rockville Pike, Bethesda, Md. 20014, (301) 496-3571.
- LOCAL CONTACT: None.
- APPLICATION DEADLINES: As stated in the invitation to bid and notices in the Commerce Business Daily.

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APPROVAL/DISAPPROVAL TIME: 30 to 60 days.

REWORKING TIME: None.

RELATED PROCRAMS: Dental research grants.

PROGRAM ACCOMPLISHMENTS: A number of scientific progress reports and papers have emanated from this activity and have enriched the body of scientific knowledge on dental diseases and biomaterials.



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#### 7.18 GENERAL RESEARCH SUPPORT GRANTS

- AUTHORIZING STATUTE: 42 U.S.C. 241, section 301(d), the Public Health Service Act of 1944, as amended.
- ADMINISTRATOR: Chief, General Research Support Branch, Division of Research Resources, Bureau of Education and Manpower Training, National Institutes of Health, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program is designed to enable institutions to: cultivate scientific excellence through building institutional strengths; contribute to the stable support and development of their research and research training programs; redress imbalances in their on-going programs created in part by the zeal with which Federal agencies have pursued their statutory missions; enhance their role and initiative in determining the direction and thrust of their programs; explore and support emerging opportunities in research via the pursuit of new and unorthodox ideas which otherwise might not successfully compete in an evaluation system based upon approval by peer scientists from similar institutions; foster earlier recognition and support of scientific talent.
- ELIGIBILITY: Health professional schools; non-Federal hospitals
  (whether or not a component of a university); State and municipal
  health agencies; and other nonprofit, nonacademic research organizations
  engaged in health-related research.
- AVAILABLE ASSISTANCE: Grants to support: new research activities, collaboration among research disciplines where project-type research is difficult to formulate, pilot projects, and unanticipated expenses in established research projects and programs; salaries of professional and technical personnel, stipends tuition, and fees for persons engaged in health research and research training, and travel, conferences, and scientific communications; central or shared research resources and general purpose research equipment; certain activities formerly covered by Public Health Service grants; and supplemental grants during an active grant year for research projects where additional funds are necessary to cover institution-wide salary increases.
- USE RESTRICTIONS: Funds may not be used for: indirect costs; construction; library support; payments to Federal employees; consultant fees to staff members or employees of the grantee institution; broad institutional planning activities; travel unrelated to allowable activities; salary support for research/administrative positions; costs of research and research training for baccalaureate degree students.

APPROPRIATIONS: \$39.8 fiscal year 1971, \$50.3 fiscal year 1970.



A"ERAGE ASSISTANCE: The average grant is \$146,000.

ASSISTANCE PREREQUISITES: Application must be from an eligible institution which has received a minimum of \$100,000 in appropriate NIH and NIMH research project grants within the latest complete 12-month period, July 1 through June 30, inclusive. Appropriate applications are referred to the General Research Support Scientific Advisory and Review Committee and to the Mational Advisory Health Council for further review and recommendation of appropriate action.

<u>POSTGRANT REQUIREMENTS:</u> Annual narrative reports of program progress and expenditure reports are required.

WASHINGTON CONTACT: Program Director, General Research Support Branch, Bureau of Education and Manpower Training, National Institutes of Health, 9000 Rockville Pike, Bethesda, Md. 20014, (301) 496-6324.

LOCAL CONTACT: None.

APPLICATION DEADLINE: The deadline is established annually. Normally the closing date is in the month of September.

APPROVAL/DISAPPROVAL TIME: About 3 months.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Biomedical sciences support grants and health sciences advancement awards.

PROGRAM ACCOMPLISHMENTS: The GRS program through its flexible support has provided grantees: (1) the ability to meet emerging opportunities in research especially with respect to supporting unorthodex ideas and pilot projects; (2) the means by which to provide early recognition of talent; and (3) the ability to stimulate new research and strengthen on-going research. Almost 60 percent of all pilot projects in 1966-67 received their sole or major support from the GRS program. Some specific projects assisted by GRS funds were: (1) support of preliminary work on a major interdisciplinary heart disease and stroke research project; (2) support for the development of a technique for matching donor and recipient for organ and bone marrow transplants; and (3) financing a study on alternatives to institutionalization of juvenile delinquents.



#### 7.19-MEDICAL RESEARCH IN VETERANS' ADMINISTRATION

AUTHORIZING STATUTE: 38 U.S.C. 4101(a), as amended.

ADMINISTRATOR: Chief Medical Director, VA Department of Medicine and Surgery.

NATURE OF PROGRAM: The purpose of the medical research program is improved diagnosis, treatment, and care of VA patients through increased knowledge and understanding.

ELIGIBILITY: Medical research in the Veterans' Administration is an intramural activity conducted in VA hospitals and medical clinics in collaboration with the Deans Committees of the affiliated medical schools. Medical research is directly related to the mission of the agency to provide the best medical care for eligible veterans.

AVAILABLE ASSISTANCE: This is not an assistance program in the sense of providing grants or direct service to individual applicants. Assistance is provided in the larger context through staffing the operation of veterans hospitals and clinics.

USE RESTRICTIONS: See "Nature of the Program" above.

PAST APPROPRIATIONS: \$47 million (estimate) fiscal year 1971.

OBLIGATIONS INCURRED: All money is obligated to the VA facilities.

AVERAGE ASSISTANCE: Not applicable.

RELATED PROGRAMS: State nursing home care program; State home domiciliary program; VA nursing home care program; VA domiciliary and restoration center program; community (contract) nursing home care program; health, education, and welfare programs - medicare and medicaid.



#### 7.20 FOOD AND NUTRITION RESEARCH

NAME: Food and Nutrition Research.

AUTHORIZING STATUTE: The Organic Act of 1862; Research and Marketing Act of 1946.

ADMINISTRATOR: Dr. F. R. Senti, Deputy Administrator (NCIUR), Agricultural Research Service, U.S. Depattment of Agriculture, Washington, D. C. 20250.

NATURE OF PROGRAM: Research directed to development of new knowledge about human nutrition, better use of food, and effective household management of money and other resources available for food. The program involves: (a) Determining nutrient requirements and nutritional status of individuals; (b) assessing nutritive values in foods; (c) evaluating food consumption patterns, dietary levels, food habits, and food management practices of families and individuals; (d) developing and improving procedures used by consumers to prepare and handle food; and (e) reviewing and interpreting research findings for application to food and nutrition programs and development of guidance materials.

ELIGIBILITY: Most of the research program is conducted in-house in USDA laboratories. Some research is conducted under contract and cooperative agreements and grants with qualified State, university, and private research laboratories.

AVAILABLE ASSISTANCE: Contracts, grants, and cooperative agreements.

<u>USE RESTRICTIONS</u>: Funds can be spent for research in food and nutrition as described above under "Nature of Program."

PAST APPROPRIATIONS: \$4.1 million approximately fiscal year 1971.

OBLIGATIONS INCURRED: All but \$300,000 obligated for fiscal year 1971.

<u>AVERAGE ASSISTANCE</u>: Not applicable.

ASSISTANCE PREREQUISITES: Recipients of funds under research agreements must be qualified to conduct research in the physical or the social sciences.

POSTGRANT REQUIREMENTS: Satisfactory progress reports.

WASHINGTON CONTACT: Dr. F. R. Senti, Deputy Administrative (NCIUR), Agricultural Research Service, U.S. Department of Agriculture, Washington, D. C. 20250. Phone Dudley 8-5134.



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LOCAL CONTACT: Not applicable. See "Washington Contact."

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Food assistance programs administered by the USDA Comsumer and Marketing Service.



#### 7.21 MILK AND FOOD - RESEARCH GRANTS

- AUTHORIZING STATUTE: 42 U.S.C. 241, Public Health Service Act, as amended, Title III, Sec. 301, Public Law No. 78-410, 58 Stat. 682; 42 U.S.C. 264, Public Health Service Act, as amended, Title III, Sec. 361, Public Law No. 78-410, 58 Stat. 682.
- ADMINISTRATOR: Office of Research and Training Grants, Food and Drug Administration, Crystal Plaza 6, 2221 Jefferson Davis Highway, Arlington, Virginia 20204.
- NATURE OF PROGRAM: This program is responsible for controlling health hazards associated with food and milk and with interstate travel. Financial assistance is available through: research grants to encourage and stimulate improved approaches to health problems related to milk and food; and training and fellowship grants for academic graduate programs.
- ELIGIBILITY: Academic, public, and other nonprofit institutions may apply for grants and technical assistance.
- AVAILABLE ASSISTANCE: Training courses are provided for professional personnel involved in the various aspects of milk and food sanitation. Technical assistance, and grants are also available.
- <u>USE RESTRICTIONS</u>: Equipment, personnel, etc., expenditures must be specified in proposal.
- APPROPRIATIONS: \$3 million (approximately) for fiscal year 1971.
- OBLIGATIONS INCURRED: Most of the funds are obligated to ongoing projects, but some money is available for new projects.
- AVERAGE ASSISTANCE: \$20,000-\$25,000.
- POSTGRANT REQUIREMENTS: Terminal progress report and report of expenditures.
- WASHINGTON CONTACT: Mr. Leroy Houser, Office of Research and Training Grants, Food and Drug Administration, Crystal Plaza 6, 2221 Jefferson Davis Highway, Arlington, Virginia 20204.
- APPLICATION DEADLINES: June 1, February 1, and September 1.
- APPROVAL/DISAPPROVAL TIME: 6 months.
- <u>REWORKING TIME:</u> Proposals may be resubmitted for consideration at the next deadline date.
- RELATED PROGRAMS: Nutrition Research (USDA).



PROGRAM ACCOMPLISHMENTS: Studies have been and are currently being conducted on food poisoning organisms, toxic organisms in meat products, salmonella studies (especially as related to dry milk), staphlyococcus, and botulism.

#### 7.22 AGRICULTURAL RESEARCH

<u>AUTHORIZING STATUTE</u>: Research and Marketing Act of 1946, as amended; 7 U.S.C. 4271 and 1624; Public Law 85-934--42 U.S.C. 1891-1893; Public Law 89-106--79 Stat. 431.

ADMINISTRATOR: Agricultural Research Service, Department of Agriculture.

NATURE OF PROGRAM ACTIVITY: Making agricultural research discoveries; evaluating alternative ways of attaining goals; and providing scientific technical information. Types of research include farm research, utilization research and development, nutrition and consumer use research, marketing research, and construction of facilities.

ELIGIBILITY: Applicant must have facilities available for performing under government license.

AVAILABLE ASSISTANCE: Disseminiation of technical information.

<u>USE RESTRICTIONS:</u> Patents resulting from research are available for licensing without charge to responsible individuals or organizations. Results of research are available to the public upon request after public disclosure. Research results are beneficial to national goals of scientific knowledge, dissemination of knowledge, and utilization of scientific knowledge.

APPROPRIATIONS: Fiscal year 1971 - \$161,952.

OBLIGATIONS: Fiscal year 1971 - \$161,952.

ASSISTANCE PREREQUISITES: See eligibility.

POSTGRANT REQUIREMENTS: See use restrictions.

WASHINGTON CONTACT: Dr. George W. Irving, Administrator, Agricultural Research Service, USDA, Washington, D.C. 20250

LOCAL CONTACT: Not applicable.

<u>APPLICATION DEADLINES:</u> Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

PROGRAM ACCOMPLISHMENTS: Bulletins, both technical and popular are available to the public. For information on Agricultural Research Service, see "Agricultural Information Bulletin No. 224, Questions and Answers on Agricultural Research." Special information is available on community and family betterment — including ways to increse employment, broaden community income, and enhance family living conditions. These publications may be obtained through U.S. Department of Agriculture, Office of Information.



#### 7.23 \_REGIONAL RESEARCH FUND PROGRAM

- AUTHORIZING STATUTE: Public Law 84-352, August 11, 1955 (sec. 3(c)3, 7 U.S.C. 361a-361i).
- ADMINISTRATOR: R. L. Lovvorn, Administrator, CSRS-USDA.
- NATURE OF PROGRAM: Not more than 25 percent of increases available under the authorizing statute shall be allotted to the States for cooperative research in which two or more State agricultural experiment stations are cooperating to solve problems that concern the agriculture of more than one State.
- ELIGIBILITY: State agricultural experiment stations under direction of the land-grant colleges and universities in every State and Puerto Rico, and the Connecticut Agricultural Experiment Station, New Haven.
- AVAILABLE ASSISTANCE: Allotments are made to each State agricultural experiment station, based on programmed participation in cooperative research projects recommended by a committee of nine and approved by CSRS.
- USE RESTRICTIONS: To accomplish specific assignments of responsibility in the cooperative regional projects approved by CSRS.
- APPROPRIATIONS: \$149 million for fiscal year 1971.
- OBLIGATIONS INCURRED: Most is unobligated for fiscal year 1971.
- AVERAGE ASSISTANCE: \$7,000 average allotment per project per State station; \$50,000 average total allotment per regional project.
- ASSISTANCE PREREQUISITES: Proposed research must be acceptable to the technical committee for the approved cooperative regional research project and recommended by the State station director.
- POSTGRANT REQUIREMENTS: Annual report of receipts and expenditures; annual progress reports on research projects; termination report; periodic (3 to 5 year) on-site review of research progress.
- <u>WASHINGTON CONTACT</u>: R. L. Lovvorn, Administrator, CSRS-USDA, phone: 202-388-4423.
- LOCAL CONTACT: Consult Washington contact.
- <u>APPLICATION DEADLINES</u>: None; proposals are submitted throughout the year.
- APPROVAL/DISAPPROVAL TIME: 10 days.



REWORKING TIME: 10 days.

RELATED PROGRAMS: Hatch Act program, facilities for State agricultural experiment stations, cooperative forestry research program, and specific grants for research.



#### 7.24 \_SPECIFIC GRANTS FOR RESEARCH

AUTHORIZING STATUTE: Public Law 89-106, August 4, 1965 (7 U.S.C. 450b).

ADMINISTRATOR: R. L. Lovvorn, Administrator, CSRS-USDA.

NATURE OF PROGRAM: Special grants for research at the State agricultural experiment stations, land-grant colleges, and other colleges and organizations where qualified researchers would enable the Department to carry out its missions more effectively. These grants are made to utilize academic science competence to solve urgent problems in important agricultural enterprises, rural communities, and rural areas of human need.

ELIGIBILITY: Agricultural experiment stations, colleges, universities, and other research institutions and organizations and to Federal and private organizations and individuals having the specific research capability demanded by the nature of the problem.

AVAILABLE ASSISTANCE: Project grants, on a competitive basis, for costs of doing research over a period not to exceed 5 years.

<u>USE RESTRICTIONS</u>: Funds can be spent only for purposes of carrying out the research as outlined in approved projects.

<u>APPROPRIATIONS</u>: \$2.2 million for fiscal year 1971.

AVERAGE ASSISTANCE: \$45,000.

ASSISTANCE PREREQUISITES: Project proposals are evaluated by highly qualified reviewers on the basis of such criteria as: Importance with regard to existing problems; quality; demonstrated research competence of principal investigators; time which can be made available for the project; adequacy of facilities and equipment; quality of the research program in the institution in the relevant area; feasibility of solution of problem and proposal; relationship of of proposed research to current research in USDA and State agricultural experiment stations.

<u>POSTGRANT REQUIREMENTS:</u> Recipients keep prescribed records, including amount and disposition of grants, total costs of projects, and amount supported from other sources; books, documents, papers, and records of the recipients are subject to audit.

WASHINGTON CONTACT: R. L. Lovvorn, Administrator, CSRS-USDA, phone: 202-388-4423.

LOCAL CONTACT: Consult Washington contact.

APPLICATION DEADLINES: None; proposals are submitted throughout the year.



# APPROVAL/DISAPPROVAL TIME: 10 days.

RELATED PROGRAMS: Hatch Act program, regional research fund program (part of Hatch Act program), cooperative forestry research program, and facilities for State agricultural experiment stations.



#### RESEARCH AND DEMONSTRATION PROGRAMS

#### SPECIAL CENTER PROGRAMS

- 8.00 Public Assistance--Demonstration Projects
- 8.01 Social Welfare Cooperative Research and Demonstrations--Directed Research
- 8.02 Social Welfare Cooperative Research and Demonstration--Research Grants
- 8.03 Child Welfare Research and Demonstration Grants Program
- 8.04 Research and Pilot Projects (Small Grants Program)
- 8.05 Research and Development--General Education (Project) Research
- 8.06 Research and Development--Regional Research
- 8.07 Educational Research--Experimental Schools
- 8.08 Educational Research--Major Pilot Projects
- 8.09 Arts Education
- 8.10 Handicapped Research and Demonstration
- 8.11 Handicapped Service Projects for the Mentally Retarded
- 8.12 Rehabilitation Research and Demonstration Grant Program
- 8.13 Rehabilitation Service Projects for the Mentally Retarded
- 8.14 Educationally Deprived Children-Handicapped Children
- 8.15 Manpower Experimental and Demonstration Program
- 8.16 Research and Development Centers
- 8.17 Research and Development--Educational Laboratories
- 8.18 Supplementary Educational Centers and Services
- 8.19 Handicapped Innovative Programs--Deaf-Blind Centers
- 8.20 Regional Resource Centers
- 8.21 Rehabilitation Research and Training Centers (Special Center Program)



## 8.00-PUBLIC ASSISTANCE - DEMONSTRATION PROJECTS

- AUTHORIZING STATUTE: 42 U.S.C. 1315, Social Security Act, section 1115.
- ADMINISTRATOR: Assistant Administrator, Office of Research, Demonstrations and Training, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The basic purpose of this program is to conduct experimental, pilot, and demonstration projects in public assistance, to develop and improve the methods and techniques of administering assistance and related services designed to help needy persons achieve self-support or to maintain and strengthen family life.
- ELIGIBILITY: Special Federal project fund grants are awarded to State public welfare agencies, although projects may be, and frequently are, developed and undertaken by county and city public welfare departments.
- AVAILABLE ASSISTANCE: The experimental, pilot, and demonstration projects under section 1115 affect compliance with Federal requirements and the availability of Federal financial participation under each of the public assistance titles: The Secretary may waive compliance with any plan requirement, the cost of a project is subject to Federal financial participation, and State welfare agencies are encouraged to develop projects of State and national significance. An amount not to exceed \$4 million in any fiscal year, is available to carry out projects.
- USE RESTRICTIONS: The funds are spent to enable State welfare agencies to carry out projects to introduce a new method or approach to public welfare programs or to extend a successful method or approach to a new local or State agency program.
- APPROPRIATIONS: \$4 million, 1971 fiscal year.
- OBLIGATIONS: \$3 million, 1971 for ongoing projects. An additional \$.5 million is earmarked for Model Cities grants.
- AVERAGE ASSISTANCE: The average grant is \$30,000.
- ASSISTANCE PREREQUISITES: Special Federal project fund grants are awarded only to State public welfare agencies.
- <u>POSTGRANT REQUIREMENTS:</u> Progress reports, final reports, and a research evaluation component for the project are required.
- WASHINGTON CONTACT: Assistant Administrator, Office of Research, Demonstrations and Training, Social and Rehabilitation Service, Washington, D. C. 20202, (202) 963-5223.
- LOCAL CONTACT: State, county, and local departments of public welfare.



<u>APPLICATION DEADLINES:</u> Applications may be submitted at any time during the year.

APPROVAL/DISAPPROVAL TIME: About 2 months.

REWORKING TIME: From 30 to 60 days depending upon how soon the welfare agency can rework the application.

RELATED PROGRAMS: This is the only public assistance demonstration project program available to State public welfare agencies.

PROGRAM ACCOMPLISHMENTS: Of the section 1115 demonstration projects approved and completed since the inception of the program, approximately 90 percent have been absorbed by the State agencies into their ongoing program. Even though projects are carried out by State welfare agencies, a number of projects of national significance have been undertaken which have been the basis for legislative changes and administrative policy determination. For example, new methods are being piloted for the delivery of services in model cities and neighborhood service centers. In addition, emphasis is being placed on alleviating poverty in rural areas by testing the delivery of protective services to the aged, new approaches to community organization at the county level, and providing services never before available on Indian reservations and in rural Alaska through the use of indigenous sub-professional staff. New methods of income maintenance are being tested to determine the socioeconomic and motivative effects of employment incentives.

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# 8.01-SOCIAL WELFARE COOPERATIVE RESEARCH AND DEMONSTRATIONS-DIRECTED RESEARCH

AUTHORIZING STATUTE: Title XI, section 1110, Social Security Act, 42 U.S.C. 1310; as amended by 1967 social security legislation.

ADMINISTRATOR: Chief, Intramural Research Division, Office of Research,
Demonstrations and Training, Social and Rehabilitation Service,
U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: The research program consists of social welfare research with major emphasis on research development relating to policies, programs, and issues affecting the agency. Utilizes both in-house and contract procedures to support the overall agency mission of reducing dependency and promoting human welfare. Special emphasis is placed on pioneering methodology and research designs - often experimental - involving program issues and problems where techniques, generalizations, and research theories have not yet been fully developed.

ELIGIBILITY: State, public, nonprofit, or profitmaking research organizations. Contracts let on a bid basis after appropriate advertisement.

AVAILABLE ASSISTANCE: In addition to funds for directed research contracts, the program provides expert counsel on relevant social science research with leadership afforded for planning research and/or communicating the findings of existing research having implications for national program and policy issues.

<u>USE RESTRICTIONS:</u> Funds are provided for directed research contracts focused on policies, programs, and issues of relevance to the prevention and reduction of social, cultural, and economic deprivation, and the enhancement of self-support.

APPROPRIATIONS: \$6.5 million, fiscal year 1971.

OBLIGATIONS: All obligated for 1971.

AVERAGE ASSISTANCE: The average grant is \$103,000.

ASSISTANCE PREREQUISITES: Contracts are let to nonprofit and profitmaking organizations on a standard bidding basis. Proposals are reviewed by experts.

POSTGRANT REQUIREMENTS: Projects are closely monitored by project officer, with continuous review of progress. In addition, contracts usually stipulate the timing and nature of formal progress reports.



WASHINGTON CONTACT: Chief. Intramural Research Division, Social and Rehabilitation Service, U.S. Expertment of Health, Education, and Welfare, 330 C Street SW., Washington, D. C. 20201, (202) 962-6556.

LOCAL CONTACT: Regional SRS commissioner.

<u>APPLICATION DEADLINES</u>: Unsolicited proposals may be submitted at any time during the year. Usual procedure involves response to formal request for proposals as advertised in the Commerce Business Daily of the U.S. Department of Commerce.

APPROVAL/DISAPPROVAL TIME: Varies with each contract. Sixty to ninety days often considered desirable.

REWORKING TIME: Up to 60 days after receipt of proposal.

RELATED PROGRAMS: Social Welfare Cooperative and Research Grants Program (also funded under section 1110 of Social Security Act, as amended).

PROGRAM ACCOMPLISHMENTS: Intramural-directed research provides an objective knowledge base for policy formulation, program planning and/or program redirection. Findings are utilized in promoting greater efficiency and effectiveness of agency programs, as well as in the advancement of applied research methodology. A substantial portion of the first year of directed research money (fiscal year 1967) was used for the first nationwide AFDC interview study. The results of this comprehensive study are now being disseminated. The major part of the fiscal year 1968 allocation was for two highly significant nationwide studies which will provide the basis for assessing the impact of medicaid on both the users of health care facilities and program, as well as the health care "system." During the current fiscal year, 11 new research projects have been funded, with high priority attached to a nationwide study of the standard employment exemption provision, identification of the major obstacles to employment of AFDC mothers, and two studies on social welfare manpower (including the use of subprofessionals). The fiscal year 1970 appropriation will further support necessary research on the impact of earnings exemptions, the organization and delivery of social services, and income maintenance. In addition to directed research, staff of the Intramural Research Division have participated in in-house research which has immediate and highly significant implications to programs of the agency and the Department. Illustrative of such efforts are the preparation of a mandated report on housing of welfare recipients.



# 8.02\_SOCIAL WELFARE COOPERATIVE RESEARCH AND DEMONSTRATIONS-RESEARCH GRANTS

<u>AUTHORIZING STATUTE</u>: Title XI, section 1110, Social Security Act, 42 U.S.C. 1310.

ADMINISTRATOR: Chief, Cooperative Research and Demonstration Grants Branch, Office of Research, Demonstrations, and Training, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM: Projects must add to existing knowledge or practice with regard to the prevention and reduction of dependency, coordination of planning between public and private welfare agencies, or improvements in the administration and effectiveness of programs carried on or assisted under the Social Security Act and related programs.

ELIGIBILITY: Support may be given to State and public and other nonprofit organizations and agencies. Support is not available to individuals, even though they may be affiliated with public or nonprofit organizations.

AVAILABLE ASSISTANCE: Grants are provided to cover the bulk of costs incurred by cooperative research and demonstrations research projects.

<u>USE RESTRICTIONS</u>: Grant funds may be used for the following categories of expenditures: professional and nonprofessional personnel, permanent equipment, consumable supplies, travel, other expenditures (which do not fall into the specific categories), and indirect costs.

APPROPRIATIONS: \$1.75 million fiscal year 197..

OBLIGATIONS: All obligated for 1971.

AVERAGE ASSISTANCE: The average grant is \$45,000.

ASSISTANCE PREREQUISITES: Applications are reviewed by selected experts and by an Advisory Panel, made up of eight persons designated by the Social Security Administration, which recommends projects which it believes will make a contribution to program objectives. Primary factors considered in evaluating an application are the potential value and national significance of the knowledge obtained, the soundness of the project design, the adequacy of resources to conduct the proposed research or demonstration, and the relationship of the project to other similar projects already completed or in progress.

<u>POSTGRANT REQUIREMENTS</u>: Substantive progress reports are required then:

(a) request is made for continuation of project (15 copies); (b) the project director, codirector, research director is replaced, (two copies);



POSTGRANT REQUIREMENTS (CONTINUED):

or (c) the plans or objectives are materially changed (two copies). Thirty-five copies of a final report of acceptable scientific and scholarly quality plus a 2,000-word summary of the research are required at the end of the terminal grant period. In addition, reprints or copies of all published articles, monographs, or books are requested.

WASHINGTON CONTACT: Chief, Cooperative Research and Demonstration Grants Branch, Office of Research, Demonstrations, and Training, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare, Washington, D. C. 20201, (202) 962-7260.

LOCAL CONTACT: Qualifying organizations may also contact the Regional Offices of the Department of Health, Education, and Welfare.

APPLICATION DEADLINES: February 1, June 1, and October 1 of each year.

APPROVAL/DISAPPROVAL TIME: A maximum of 4 months.

RELATED PROGRAMS: Child welfare - research, training, or demonstration
projects.

PROGRAM ACCOMPLISHMENTS: More than 40 new and continuation grants supported each year reflect interest in problems of priority to the Social and Rehabilitation Service and the Social Security Administration. About 70 percent of research grant funds were obligated for projects of interest to the Social and Rehabilitation Service. These include a research-demonstration project to train AFDC mothers in clerical skills; a study of the urban Negro American focusing on the historical antecedents of current racial and urban problems; a study to improve the efficiency of processing vendor claims under medicaide. The remaining 30 percent of research funds were coligated to support studies of interest to the Social Security Administration. Examples of such studies are: an analysis of long-term care in various health institutions; a study of economic and demographic factors associated with retirement of men; an inquiry into tax policy and children's allowances.



## 8703-CHILD WELFARE RESEARCH AND DEMONSTRATION GRANTS PROGRAM

- AUTHORIZING STATUTE: Section 426, Social Security Act, Public Law 90-248, 42 U.S.C. 626.
- <u>ADMINISTRATOR</u>: Chief, Children's Bureau, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The provision of grants in support of research projects relating to child welfare activities, and of demonstration projects in the field of child welfare which are of regional and national significance, and for special projects for the demonstration of new methods or facilities which show promise of substantial contribution to the advancement of child welfare.
- ELIGIBILITY: Grants for research, demonstration, and special projects may be made to public or other nonprofit agencies and organizations engaged in research or child welfare activities. Contracts, or jointly financed cooperative arrangements, may be made with States and public and other organizations and agencies for the conduct of research, special projects, or demonstration projects.
- <u>AVAILABLE ASSISTANCE</u>: Grants are available for most categories of expense in connection with a specific project, excepting construction. Cost sharing with non-Federal funds is required.
- <u>USE RESTRICTIONS:</u> These funds may not be used solely to provide or extend services, are restricted to research, demonstration, and special projects. Demonstration and special projects require evaluation plan submitted with application.

APPROPRIATIONS: \$8.5 million (estimate) for fiscal year 1971.

OBLIGATIONS: Only a few tentative obligations have been made.

AVERAGE ASSISTANCE: \$122,000.

- ASSISTANCE PREREQUISITES: Grant applications must show provisions for qualified and adequate staff and facilities, and for adequate supervision to accomplish purpose of the project. Curriculum vitae of project director and all other professional employees must be submitted and approved, either with application or prior to employment.
- <u>POSTGRANT REQUIREMENTS</u>: Grantee must have prior approval for substantial alterations of scope, purpose, operation, administration, or budget. The grantee must establish acceptable accounting



procedures, furnish progress reports and submit a comprehensive final report worthy of publication in appropriate professional journals.

WASHINGTON CONTACT: Director, Research Division, Office of Child Development, Office of the Secretary, U.S. Department of Health, Education and Welfare, Room 2211, 330 C Street, SW., Washington, DC 20201, (202) 963-4358.

LOCAL CONTACT: None.

<u>APPLICATION DEADLINE</u>: Applicants should allow approximately 4 months between submission and desired starting date of project. Deadlines for submission are March 1, July 1, and January 1.

APPROVAL/DISAPPROVAL TIME: Approximately 4 months are required for review. For applications submitted by March 1, award will be announced in June, project to begin in July or later. For applications submitted by July 1, award will be announced in October, project to begin November 1 or later. For projects submitted November 1, award will be announced in February, project to begin March or later.

REWORKING TIME: The review period allows for minor reworking. Major revision will be held over for the next review period.

RELATED PROGRAMS: This program is closely tied in with other service and training programs related to welfare in Children's Bureau and SRS. There is constant cooperation and exchange of information with the National Institutes of Health, and other programs inside and outside of HEW. All applications are registered with the Science Information Exchange.

PROGRAM ACCOMPLISHMENTS: This grants program has funded a number of studies in the past concerning the effects of adoption, adoptive procedures, types of children and family needing foster care, and factors in successful foster placement. A second area of emphasis has been on providing high quality day care for infants and young children, demonstrating different types of child care arrangements including group care of infants, and devising means of building in socializing and developmental experiences. The third major area of historical interest has been in the establishment of multiservice programs for unwed teenage pregnant girls, providing for health, social work, and educational services during and after pregnancy.

Grants have been awarded on projects such as "The Outcomes of Day Care," "Cost Analysis in Day Care Centers," "Development of a Demonstration Day Care Center for Young Children," "The Day Care Exchange," "Buckhorn Day Care Project," "Training the Nonprofessional

Person for Licensing Independent Day Care and Full-Time Foster Homes," "Magnitude and Scope of Family Day Care Problems in New York City," "A Group Day Care Program for Culturally Deprived Children and Parents," and "An Observational Study of Day Care Programs."



### 8.04\_RESEARCH AND PILOT PROJECTS (SMALL GRANTS PROGRAM)

AUTHORIZING STATUTE: Public Law 78-410 (July 1, 1944) as amended.

ADMINISTRATOR: Center for Studies of Child and Family Mental Health,
National Institute of Mental Health, Barlow Building, Chevy Chase, Md.
20203.

<u>WATURE OF PROGRAM ACTIVITY:</u> Grants are awarded to investigators in universities, colleges, hospitals, academic or research institutions, and other nonprofit organizations. Their research may include projects relating to day care centers.

ELIGIBILITY: Investigators in universities, colleges, hospitals, academic or research institutions, and other nonprofit organizations.

AVAILABLE ASSISTANCE: For pilot projects (limited to 1 year), there is a grant of up to \$5,000. Application must be made for funding of any further research. Funds are granted for each project dependent upon personnel and complexity of the project.

USE RESTRICTIONS: Funds may not be used for extraneous expenses.

APPROPRIATIONS: \$1.5 million for fiscal year (1971).

OBLIGATIONS: None.

AVERAGE ASSISTANCE: \$5,000.

ASSISTANCE PREREQUISITES: Applicant must demonstrate fiscal solidarity, curriculum vitae of staff must be included in application.

POSTGRANT REQUIREMENTS: Submission of summary of research.

WASHINGTON CONTACT: Center for Studies of Child and Family Mental Health, National Institute of Mental Health, Barlow Building, Chevy Chase, Md. 20203.

LOCAL CONTACT: Regional Office of HEW.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: 2 to 3 months.

RELATED PROGRAMS: Child Welfare Research.

PROGRAM ACCOMPLISHMENTS: Program accomplishments include such things as a study by a state mental hospital of the variance of its youth population over a period of years.



# 8.05-RESEARCH AND DEVELOPMENT - GENERAL EDUCATION (PROJECT) RESEARCH

- AUTHORIZING STATUTE: 20 U.S.C. 331, Cooperative Research Act, Public Law 83-531, 68 Stat. 533; as amended by the Elementary and Secondary Education Act of 1965, Public Law 89-10, title IV, 79 Stat. 44.
- ADMINISTRATOR: Associate Commissioner for Research Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program activity includes a wide variety of research, development, demonstration, and dissemination projects to improve educational practice, expand the body of knowledge about learning and teaching, bring the research and development outcomes to the attention of all appropriate audiences, and develop the capabilities needed to carry out the above.
- ELIGIBILITY: Proposal applications are eligible from colleges and universities, State and local school systems, public and private organizations, and individuals. Priority areas are announced from time to time, but unsolicited proposals from other areas are eligible for consideration and there are no regulations about the size or duration of individual projects.
- AVAILABLE ASSISTANCE: Appropriations are spread over a variety of research and development activities to provide a balanced total approach to educational improvement. Projects range from a few thousand dollars for activities which can be completed in a few months to comprehensive multidimensional studies which involve continuation funding over several years.
- USE RESTRICTIONS: Funded activities must show promise of improving education in settings other than those in which they are carried out. Support is not available for purely operational activities, which normally are supported from local funds or from other sources. However, support may be requested for the research component of operational programs.
- AFTROPRIATIONS: \$22.5 million fiscal year 1971 estimate, \$22.5 million fiscal year 1970.
- AVERAGE ASSISTANCE: Projects vary widely, from small projects (under \$10,000) to a few at more than \$1 million in a single year. The average is about \$40,000.
- ASSISTANCE PREREQUISITES: Applicants must have the professional competence and the fiscal accountability necessary to assure a successful completion of the projects undertaken.

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- <u>POSTGRANT REQUIREMENTS</u>: Regular fiscal and progress reports are required.

  Monitoring is geared to the nature of the individual project. The end products are carefully evaluated, and a formal final report is required.
- <u>WASHINGTON CONTACT</u>: Associate Commissioner for Research Bureau of Research, U.S. Office of Education, Washington, D. C. 20202, (202) 963-6965.
- LOCAL CONTACT: Office of Education regional offices, educational laboratories, State and local school systems.
- <u>APPLICATION DEADLINES</u>: There are no application deadlines for most projects. Occasionally, proposals are requested in a priority area and deadlines are announced so that related proposals may be evaluated together.
- APPROVAL/DISAPPROVAL TIME: Time depends upon the size of the project.

  Smaller project proposals may be reviewed and evaluated by professionals inside and outside the Government in about a month. Larger proposals require more extensive evaluation and hence take a longer time.
- REWORKING TIME: Smaller proposals are generally reworked only in connection with negotiation. Larger ones may be subject to lengthy discussion, particularly if the "best" of a group of proposals must be refined to produce the needed results.
- RELATED PROGRAMS: Regional educational laboratories, research and development center-type activities, vocational education research and training.
- PROGRAM ACCOMPLISHMENTS: The communication revolution and advances in learning and teaching theory have been forcing a realignment of old functional divisions of labor between classroom and library, texts and other resources materials, lectures and audiovisual presentations, and teacher-student relationships. The knowledge revolution and the media revolution have combined to require continuous revisions in the content and methods of instruction in nearly every subject at every educational level. In fiscal year 1968, project research included a variety of curriculum development activities, a major project using television for preschool population, a group of related efforts to provide specifications for improvement of teacher education programs, attention to higher education facilities and networks, exploration of computer-managed and computer-assisted instruction, and a whole range of projects designed to improve the education of the disadvantaged. A special project was funded with the National Research Council to identify needed basic research and attract scholars to participate in it. Projects were also funded to arrive at a better understanding of cultural differences of minority groups and improved ways of helping minority students learn. Interpretive studies devoted attention to bilingual education, paraprofessional aides, shared educational services, school-community cooperation, and



## PROGRAM ACCOMPLISHEMNTS (CONTINUED):

methods for disseminating and installing innovations. Laboratory theater demonstrations at three sites across the country showed educational implications of such programs.

Many of the above areas of emphasis continued to receive attention in fiscal year 1969. Further progress was made with a network of secondary school seeking to develop comprehensive programs which combine academic and occupational preparation more efficiently than in the past. Expanded support was provided for continued research on motivation, values, and emotion as they affect learning, and for a new series of coordinated fundamental studies on the nature of perceptual, behavioral, and psychological processes associated with the skill of reading. Continuing studies identified attitudinal and lociological data about parents, homes, and communities of disadvantaged groups as they affect student attitudes and learning. University developments in two different urban environments were compared.



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### 8.06-RESEARCH AND DEVELOPMENT - REGIONAL RESEARCH

- <u>AUTHORIZING STATUTE</u>: 20 U.S.C. 331, Cooperative Research Act, Public Law 83-531, 68 Stat. 533; as amended by the Elementary and Secondary Education Act of 1965, Public Law 89-10, title IV, 79 Stat. 44.
- <u>ADMINISTRATOR</u>: Associate Commissioner for Research, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Special allocations set aside to improve the flow of information and build resources for educational research and development are used in the regional research program to encourage broad participation in small project research (under \$10,000 with a duration of 18 months or less) and in research development grants, a program in which smaller higher education institutions pool their resources to develop their research orientation. Regional administration brings certain Office of Education services closer to those who may be eligible to participate in the effort to improve education through research.
- ELIGIBILITY: Colleges and universities, State and local school systems, public and private organizations, and individuals are eligible to participate in small project research activities. Consortiums of institutions with commitment for improving their research orientation may apply for research development grants, which provide up to \$50,000 annually, renewable for 3 years at decreasing levels.
- AVAILABLE ASSISTANCE: Allotments are made annually from the cooperative research appropriations for general education research. Awards are administered by Office of Education regional offices.
- <u>USE RESTRICTIONS</u>: Small projects must show promise of improving education in settings other than those in which they are carried out. Institutions undertaking research development grants must have plans for self-sustaining research activities at the close of the grants, which are renewable for 3 years.
- APPROPRIATIONS: \$2 million for fiscal year 1971 estimate.
- OBLIGATIONS: \$2 million for fiscal year 1971.
- AVERAGE ASSISTANCE: For small projects, just under \$10,000. For research development grants, up to \$50,000 annually, renewable at decreasing levels, for groups or organizations pooling their resources.
- ASSISTANCE PREREQUISITES: Small projects applicants must be affiliated with an institution which carries fiscal responsibility or show other evidence of such accountability. Research development grant applicants must be organized to pool their resources for developing improved research orientation and must be institutions which do not already have substantial research support from other sources.



- POSTGRANT REQUIREMENTS: Small project recipients make regular reports and are held to the terms of their contractual agreement. Research development grant recipients are continuously evaluated and annual renewal is based upon this evaluation.
- WASHINGTON CONTACT: Director, Regional Research Program, Bureau of Research, U.S. Office of Education, Washington, D. C. 20202, (202) 963-7562.
- LOCAL CONTACT: Regional offices of the Office of Education, Department of Health, Education, and Welfare.
- APPLICATION DEADLINES: There are no deadlines for small project proposals.

  Research development grant applicants are generally evaluated April 1, of each year.
- APPROVAL/DISAPPROVAL TIME: About a month.
- REWORKING TIME: Except for adjustments during negotiation, proposals approved for funding become part of the grant or contract agreement without further reworking.
- RELATED PROGRAMS: Higher education strengthening developing institutions.
- PROGRAM ACCOMPLISHMENTS: In fiscal year 1969, the regional offices operated under a project research budget of \$2.5 million, processed 838 proposals, and funded 314 projects. Of these, 93 were awarded to colleges and universities which in the past 4 years had received \$20,000 or less in research moneys. Such "small research" institutions are a target group of this program. During the same year, there were 14 research development grants, amounting to \$500,000 and involving 101 colleges and universities. By the end of the third year of group support on these grants, the institutions are expected to have established their own self-sustaining educational research programs.

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## 8:07- EDUCATIONAL RESEARCH - EXPERIMENTAL SCHOOLS

- AUTHORIZING STATUTE: 20 U.S.C. 331, Cooperative Research Act, Public Law 85-531, 68 Stat. 533; as amended by the Elementary and Secondary Education Act of 1965, Public Law 89-10, title IV, 79 Stat. 44.
- ADMINISTRATOR: Associate Commissioner for Research, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The experimental schools program is a new effort supported under the Cooperative Research Act. It is to provide a limited number of models of successful efforts for use by other consumers. These will be large-scale, scrupulously documented educational experiments to help identify clearly productive approaches to compensatory education. These will involve whole schools, be carefully monitored, and constitute a network with systematic variation of approaches and environments.
- ELIGIBILITY: Local school districts, private contractors, and State authorities will be encouraged to make their own choices of alternatives of high promise and to fashion these innovations into their own comprehensive designs. Approximately a dozen experiments some involving a cluster of schools will constitute the system in its first year.
- AVAILABLE ASSISTANCE: The \$25 million requested for fiscal year 1971 will be spread over the total experimental school program in an effort to provide variety and balance in the demonstrations undertaken.
- <u>USE RESTRICTIONS</u>: Funds will be used to stimulate and document the experiments, not to replace or subsidize local efforts.
- <u>APPROPRIATIONS</u>: \$25 million (estimate), fiscal year 1971; none in fiscal year 1970.

OBLIGATIONS: None.

AVERAGE ASSISTANCE: Up to \$2 million.

- ASSISTANCE PREREQUISITES: Applicants must show evidence of ability to carry out the experimental programs they propose to undertake and to provide the appropriate demonstration and documentation needed to make these programs exemplary for consideration by other school systems.
- POSTGRANT REQUIREMENTS: A more or less constant evaluation process will be in effect in the school systems concerned. Continuation funding will depend upon the demonstrated effectiveness of the programs provided.



WASHINGTON CONTACT: Associate Commissioner for Research, Rureau of Research, U.S. Office of Education, Washington, D. C. 20202. (202) 963-6965.

LOCAL CONTACT: Chief State school officers, staff of educational laboratories, and other educational leaders should be generally aware of program developments.

APPLICATION DEADLINES: To be announced.

APPROVAL/DISAPPROVAL TIME: About a month.

REWORKING TIME: After preliminary selections to round out a balanced total effort, continuous discussion will result in refinement of working agreements to be negotiated.

RELATED PROGRAMS: Supplementary educational centers; regional educational laboratories.

PROGRAM ACCOMPLISHMENTS: This is to be a new program. Experiments will take a variety of forms. In some cases, the nature of the experiment will be to develop multilingual, multicultural programs. In others, the experiment will be community based, testing our ways and means for schools to relate to neighborhoods. Various kinds of schools will be involved: elementary, secondary, vocational, and possibly community colleges. These experimental schools will be the subject of a long-term evaluative study. A set of desired outcomes for pupils will be agreed on at the outset as a minimal basis for measuring progress. A minimal period of 5 years will be required to implement and evaluate the effort, though preliminary data and analysis should be available following the close of the second year. A minimal level of assured funding will be necessary to carry the experiment through the 5-year period if results are to be validated.



#### 8.08- EDUCATIONAL RESEARCH--MAJOR PILOT PROJECTS

AUTHORIZING STATUTE: 20 U.S.C. 331, Cooperative Research Act, Public Law 83-531, 68 Stat. 533; as amended by the Elementary and Secondary Education Act of 1965, Public Law 89-10, title IV, 79 Stat. 44.

ADMINISTRATOR: Associate Commissioner for Research, Office of Education, U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM: ACTIVITY: This program started with a major school demonstration in the Anacostia area of Washington, D.C., focusing on means for substantially increasing effective community participation in school governance and for improving school performance through joint community-professional staff decisions about alternatives available. The focus of expanded activity in fiscal year 1970 will be the creation of a school for a new community, Fort Lincoln redevelopment area, also in the District of Columbia.

ELIGIBILITY: The number of these programs is extremely limited.

The two which have developed thus far have evolved from a combination of pressing local need for development activies and Office of Education Opportunity to demonstrate how such needs can be resolved.

<u>AVAILABLE ASSISTANCE</u>: \$5,250,000 has been requested for fiscal year 1970.

<u>USE RESTRICTIONS</u>: School systems receiving support under this program must be able to demonstrate programs or procedures which are exemplary for other settings with similar problems.

APPROPRIATIONS: Fiscal year 1971 - \$5 million.

OBLIGATIONS: Fiscal year 1971 - \$5 million.

AVERAGE ASSISTANCE: \$1 million.

ASSISTANCE PREREQUISITES: School systems receiving such grants must have the need and be willing to undertake the comprehensive improvements they propose and to demonstrate their procedure for making such improvements for the benefit of other school systems faced with similar problems.

WASHINGTON CONTACT: Associate Commissioner for Research, Bureau of Research, U.S. Office of Education, Washington, D. C. 20202, (202 963-6965.

LOCAL CONTACT: OE regional office staff and chief State school officers generally are aware of these programs, their potential, and the possibility for expanding them.

APPLICATION DEADLINES: May be announced.

APPROVAL/DISAPPROVAL TIME: About a month.



REWORKING TIME: Up to a month of continuous discussion until the proposal is refined into a working contract or grant instrument.

RELATED PROGRAMS: Educations research, experimental schools.

PROGRAM ACCOMPLISHMENTS: The initial appropriation in fiscal year 1969 was used for the Anacostia demonstration project to develop detailed plans, state staffing and begin operations. The two highest priority components were community participation and reading. The bulk of the support in fiscal year 1970 will be used to bring the Anacostia demonstration to full-scale implementation. Highlights will include establishment of community school board , development of variedpatterns of building usage, formulation of school-related roles for community residents, coordination of educational programs with other community activities, recruitment of reading assistant3 from the community, and training of teachers for improved reading instruction. That part of the area will focus on a system of instruction which incorporates the best techniques and substantive content Whereas the Anacostia project seeks to rejuvenate education in a poverty area, that in Fort Lincoln will create a new school in a new community. Both deal with students from low-income families, thus making comparison of the two approaches feasible at the same time that other elements of the development effort are being tested and refined.



#### 8.09- ARTS EDUCATION

- AUTHORIZING STATUTE: Public Law 89-209 as amended by Public Law 90-348.
- ADMINISTRATOR: Mr. John H. Kerr, Director for Education Programs,
  National Endowment for the Arts, 1800 F St. NW., Washington, D.C.
  20506. 382 6580.
- NATURE OF PROGRAM: To provide grants for special innovative projects in arts education.
- ELIGIBILITY: Individuals and nonprofit, tax-exempt organizations.
- AVAILABLE ASSISTANCE: Grants.
- <u>USE RESTRICTIONS</u>: For pilot projects in arts education, for a limited number of studies, for curriculum development in the arts, for international conferences of arts educators hosted in the United States. No funds for construction or rehabilitation of facilities.
- PAST APPROPRIATIONS: Breakdown of \$20 million for arts endowment not available.
- OBLIGATIONS INCURRED: Information not available.
- AVERAGE ASSISTANCE: Grants range from \$1,500 for a study of student arts festivals to \$100,000 for a special visual-artists-in-residence national pilot project for secondary schools.
- ASSISTANCE PREREQUISITES: Individuals, per legislative directive, must be "of exceptional talent"; projects should have national implications; grants to organizations, with few exceptions, must be matched, at least dollar for dollar, with non-Federal funds.
- <u>POSTGRANT REQUIREMENTS</u>: Financial report within 90 days after termination of grant period or as requested, plus narrative of accomplishment.
- WASHINGTON CONTACT: See Administrator.
- LOCAL CONTACT: Not applicable.
- APPLICATION DEADLINE: No set deadline. Information available from Program Director.



APPROVAL/DISAPPROVAL TIME: Dependent on meetings on endowment's advisory body, the National Council on the Arts.

REWORKING TIME: 2 weeks.

RELATED PROGRAMS: Dance, theater, literature, music, public media, visual arts, divisions of this agency; also the arts and humanities program, U.S. Office of Education; also Office of Economic Opportunity, community action program.



## 8.10- HANDICAPPED RESEARCH AND DEMONSTRATION

- AUTHORIZING STATUTE: 20 U.S.C. 618, Mental Retardation Facilities and Community Mental Health Centers Construction Act of 1963, Public Law 88-164, title III, section 302, 77 Stat. 295; as amended by Public Law 89-105, sections 4 and 5, 79 Stat. 429 and 430.
- <u>ADMINISTRATOR</u>: Director, Division of Research, Bureau of Education for the Handicapped, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The intent of this program is to determine new and improved methods for educating handicapped children and to develop systems by which educational personnel can make use of these new methods and techniques. The purposes of the program are carried out through a system of grants, contracts, and intramural research.
- ELIGIBILITY: Grants for research and related activities may be made to States. State or local educational agencies, public and nonprofit private institutions of higher learning, and other public or nonprofit private educational or research agencies and organizations. Contracts may be made with all of the above plus profitmaking research agencies and organizations.
- <u>AVAILABLE ASSISTANCE</u>: Grants and/or contracts of various amounts may be made for research activities. Some degree of cost sharing is required.
- <u>USE RESTRICTIONS</u>: Research must relate to the education of children who are mentally retarded, hard of hearing, deaf, speech impaired, visually handicapped, seriously emotionally disturbed, crippled or other health impaired who by reason thereof require special education.

APPROPRIATIONS: \$14.45 million fiscal year 1971.

OBLIGATIONS: None.

AVERAGE ASSISTANCE: \$80,320.

ASSISTANCE PREKEQUISITES: Applicants must be knowledgeable about research and the field of study proposed for investigation.

POSTGRANT REQUIREMENTS: None.

WASHINGTON CONTACT: Director, Division of Research, Bureau of Education for the Handicapped, U.S. Office of Education, Washington, D. C. 20202, (202) 963-7695.

LOCAL CONTACT: HEW regional offices.



APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: 3 to 6 months.

REWORKING TIME: Varied.

RELATED PROGRAMS: Media services and captioned films.

PROGRAM ACCOMPLISHMENTS: During the past fiscal year, 117 grants were awarded for the support of research and related activities relative to the education of handicapped children. New developments include computerization and magnetic tape storage systems for braille material, communication systems for use with the deaf, a better understanding of the cognitive functions of the mentally retarded, and the effective utilization of behavior shaping techniques for the emotionally disturbed.



#### 8.11-HANDICAPPED PHYSICAL EDUCATION AND RECREATION RESEARCH

- AUTHORIZING STATUTE: 42 U.S.C. 2698-2698b, Mertal Retardation Facilities and Community Mental Health Centers Construction Act of 1963, Public Law 88-164, title V, section 502, 81 Stat. 530.
- ADMINISTRATOR: Director, Division of Research, Bureau of Education for the Handicapped, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITIES: The intent of this program is to provide grant support for research and demonstration projects relating to physical education and recreation for handicapped children.
- ELIGIBILITY: Grants for research and related activities may be made to States, State or local educational agencies, public and nonprofit private institutions of higher learning, and other public or nonprofit private educational or research agencies and organizations.
- <u>AVAILABLE ASSISTANCE</u>: Grants of various amounts may be made for research activities. Some degree of cost sharing is required.
- USE RESTRICTIONS: Research and demonstrations must relate to physical education and/or recreation of children who are mentally retarded, hard of hearing, deaf, speech impaired, visually handicapped, seriously emotionally disturbed, crippled or other health impaired who by reason thereof require special education.

APPROPRIATIONS: \$300 thousand for 1971 fiscal year.

OBLIGATIONS: None.

AVERAGE ASSISTANCE: \$75,000.

ASSISTANCE PREREQUISITES: Applicants must be knowledgeable about physical education and/or recreation as it relates to handicapped children.

POSTGRANT REQUIREMENTS: None.

WASHINGTON CONTACT: Director, Division of Research, Bureau of Education for the Handicapped, Office of Education, Washington, D. C. 20202, (202) 963-7695.

LOCAL CONTACT: HEW regional offices.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: 3 to 6 months.

REWORKING TIME: Varied.



RELATED PROGRAMS: Handicapped research and demonstration.

PROGRAM ACCOMPLISHMENTS: This is a newly initiated program. Four grants were awarded during fiscal year 1969.



# 8.12- REHABILITATION RESEARCH AND DEMONSTRATION GRANT PROGRAM

- AUTHORIZING STATUTE: 29 U.S.C., chapter 4, Vocational Rehabilitation Act, section 4(a)(1), Public Law 565, 83d Congress, 1954.
- ADMINISTRATOR: Chief, Rehabilitation Research Branch, Division of Research and Demonstration Grants, Office of Research, Demonstrations and Training, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program activity aims to provide more and better rehabilitation of handicapped persons, enabling them to achieve optimal vocational adjustment, through discovering new principles and concepts basic to the understanding of the rehabilitation process and using such knowledge for the invention and demonstration of improved devices or procedures in rehabilitation. A part of the program is also devoted to development of methods of utilization of research findings, including special research studies of utilization problems.
- ELIGIBILITY: Grants may be made to State vocational rehabilitation agencies, other public agencies, educational institutions, and other nonprofit organizations. Private individuals are not eligible for grants.
- AVAILABLE ASSISTANCE: Assistance takes the form of grants for the partial support of research and demonstration projects, the applicant paying a part of the total costs; the part to be supplied by the applicant is not specified by law.
- <u>USE RESTRICTIONS</u>: Funds are to be spent for innovative research and demonstrations; purely service projects or those without innovative features cannot be supported.

<u>APPROPRIATIONS</u>: \$22.3 million, fiscal year 1971.

OBLIGATIONS INCURRED: None.

AVERAGE ASSISTANCE: The average grant is \$60,000.

- ASSISTANCE PREREQUISITES: Applicants must have received or applied for tax exemption from the Internal Revenue Service. In cases where the project would provide direct rehabilitation services to handicapped persons, the applicant must have prior approval by the State vocational rehabilitation agency.
- <u>POSTGRANT REQUIREMENTS</u>: Grantees must submit each year a formal request for continuation for the next year, accompanied by a progress report to be evaluated prior to recommendation of continuation; a final report is required at completion of the project.



- WASHINGTON CONTACT: Chief, Division of Research and Demonstration

  Grants, Office of Research, Demonstration and Training, Social and
  Rehabilitation Service, Department of Health, Education, and Welfare,
  Washington, D. C. 20201, (202) 962-4125.
- LOCAL CONTACT: Applicant should contact the associate regional commissioner for rehabilitation services in the relevant regional office of the Social and Rehabilitation Service. Regional offices are located in Boston, Mass.; New York, N.Y.; Charlottesville, Va.; Atlanta, Ga.; Chicago, Ill.; Kansas City, Mo.; Dallas, Tex.; Denver, Colo.; San Francisco, Calif.
- APPLICATION DEADLINES: Applications are reviewed by the National Advisory Council on Vocational Rehabilitation which meets three times a year in October, February, and May. Deadlines are October 1 for February review; February 1 for May review; and June 1 for October review.
- APPROVAL/DISAPPROVAL TIME: Average elapsed time from receipt of application until notice of approval/disapproval is 6 months.
- <u>REWORKING TIME</u>: Applicants may be asked to submit an addendum providing additional information to clarify various aspects of the project. Time allowed averages 2 to 3 weeks.
- RELATED PROGRAMS: Social welfare cooperative research and demonstrations, research grants, directed research, public assistance demonstration projects.
- PROGRAM ACCOMPLISHMENTS: In 14 years of operation the rehabilitation research program has greatly expanded in scope, having extended its target groups of handicapped persons from orthopedic and other physical handicaps to mental retardation, mental illness, behavioral disorders, alcoholism, drug addiction, delinquency, and problems of social and cultural deprivation. The scope of the program has increased from 18 projects and approximately \$300,000 in 1955 to over 400 projects and \$21,325,000 in 1969. Research and demonstration projects have led to amendments to the Vocational Rehabilitation Act which provide for the extended vocational evaluation of such groups as retardates a and mental patients, counseling of families of rehabilitation clients, follow-up of rehabilitants for maintenance of employment, and evaluation of the socially and culturally disadvantaged. Special research emphases on certain groups such as the retarded have resulted in dramatic increases in the number of rehabilitations among those groups. Numerous projects have experimented with new types and methods of delivery of services with the result that these demonstrations have been replicated throughout the country and absorbed into community programs. Chief emphasis is now being placed on adaptation of previously successful vocational rehabilitation methods to problems of the socially and culturally disadvantaged. Another increasing emphasis is development of innovative methods of utilizing findings of the research and demonstration program.



#### 8.13-REHABILITATION SERVICE PROJECTS FOR THE MENTALLY RETARDED

- AUTHORIZING STATUTE: Section 4 (a) (1) of the Vocational Rehabilitation Act as amended by Public Law 90-391, 29 U.S.C. 34.
- ADMINISTRATOR: Director, Division of Mental Retardation, Rehabilitation Services Administration, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: To support services to meet the special needs of the mentally retarded in their communities; for more effective and extensive manpower recruitment and training programs in mental retardation; and improved coordination of services and programs to meet individual needs.
- ELIGIBLITY: Public and nonprofit agencies and institutions.
- AVAILABLE ASSISTANCE: Direct financial assistance for staff, equipment, etc., to conduct the project with grantee participation to a minimum of 5 percent.
- <u>USE RESTRICTIONS</u>: Funds cannot be used for any purpose not in approved application without prior approval.
- APPROPRIATIONS: \$4.5 million for fiscal year 1971.
- <u>OBLIGATIONS</u>: Most obligated for 1971, but some new projects will be considered.
- AVERAGE ASSISTANCE: \$60,000 (estimate).
- ASSISTANCE PREREQUISITES: None.
- POSTGRANT REQUIREMENTS: Financial and program progress reports.
- WASHINGTON CONTACT: Director, Division of Mental Retardation,
  Rehabilitation Services Administration, Social and Rehabilitation
  Service, U.S. Department of Health, Education, and Welfare, 4040
  North Fairfax Drive, Arlington, Va. 22203, (703) 962-7355.
- LOCAL CONTACT: DHEW regional office.
- <u>APPLICATION DEADLINES:</u> Anytime during year but are reviewed in September, January, and April.
- APPROVAL/DISAPPROVAL TIME: Approximately 3 months.



REWORKING TIME: Approximately 1 week.

RELATED PROGRAMS: None.

PROGRAM ACCOMPLISHMENTS: This program will support approximately 146 projects serving about 5,000 retardates, training about 800 professional and nonprofessional personnel in the field of mental retardation, and about 850 students in student work-experience and training.



#### 8.14-EDUCATIONALLY DEPRIVED CHILDREN - HANDICAPPED CHILDREN

- AUTHORIZING STATUTE: Public Law 89-313 amendment to title I, Elementary and Secondary Education Act of 1965, 20 U.S.C. 241c(a)(5).
- ADMINISTRATOR: Chief, State Schools, Section, Aid to States Branch, Division of Educational Services, Bureau of Education for the Handicapped, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: This program is designed to assist State agencies that are directly responsible for providing free public education for handicapped children in State-operated and State-supported programs.
- ELIGIBLITY: State agencies directly responsible for providing free public education to handicapped children are eligible to participate.
- AVAILABLE ASSISTANCE: Grants are established by the U.S. Commissioner of Education on a formula based on an annual survey of the number of children in average daily attendance. This number is multiplied by one-half of the State average cost per pupil (or the national average cost per pupil whichever is greater) to determine the maximum grant.
- <u>USE RESTRICTIONS</u>: Programs and projects must show the objective of extending and improving direct educational services to handicapped children. These children include the following categories specified by the amendment: Mentally retarded, hard of hearing, deaf, speech impaired, visually handicapped, seriously emotionally disturbed, crippled, or other health impaired children who by reason thereof require special education. The law requires that each project systematically evaluate the impact of the services on the handicapped children involved, and that projects be of sufficient size, scope, and quality to give reasonable promise of substantial progress. Project applicants must certify that Federal funds will be used to supplement and not supplant State efforts, and that they will in fact maintain their own fiscal efforts in behalf of the handicapped. Although private schools are not eligible to receive direct grants, handicapped children attending such schools who are supported by the State may participate in a project. Programs and projects may be conducted only by State agencies or by the schools which they operate or support. All activities must be approved by the State education agency.

APPROPRIATIONS: \$31.9 estimate fiscal year 1971.

OBLIGATIONS: Funds are appropriated on a formula basis to states.

AVERAGE ASSISTANCE: Not applicable.



ASSISTANCE PREREQUISITES: State education agencies are responsible for the administration of programs authorized by Public Law 89-313. Project applicants must submit project applications to the State agency by which they are administered. The State agencies in turn submit these project applications to the State education agency for final review and approval.

POSTGRANT REQUIREMENT: Following the close of each program year, each State is required to submit the following annual reports:

(1) Statistical report - on the number and types of children served, number and types of personnel employed and those having received inservice training; (2) evaluation report - at the State agency level as well as the school level, on the effectiveness of the programs and projects in increasing the educational opportunities afforded handicapped children throughout the State; (3) financial report - shows expenditures in various categories as of the end of each award year.

WASHINGTON CONTACT: Chief, State Schools Section, Aid to States Branch, Division of Educational Services, Bureau of Education for the Handicapped, Office of Education, U.S. Department of Health, Education, and Welfare, Washington, D.C. 20202 (202) 962-8941.

LOCAL CONTACT: State educational agency title I or Public Law 89-313 coordinator.

<u>APPLICATION DEADLINE</u>: State agencies may submit applications to the State education agency any time during the fiscal year (July 1 to June 30) that the State education agency allows.

APPROVAL/DISAPPROVAL TIME: Up to the State education agency.

REWORKING TIME: Up to the State education agency.

RELATED PROGRAMS: Title II, ESEA, school library resources, textbooks, and other printed and published materials; title III ESEA, supplementary education centers and services; title VI-A, ESEA, preschool and school programs for handicapped children in local public schools; Vocational Education Act; Early Childhood Education Act; centers and services for deaf-blind children; foster grandparents program; preparation of personnel in the education of handicapped children; mental retardation hospital improvement grants; and mental retardation hospital inservice training grants.



PROGRAM ACCOMPLISHMENTS: (1) Promoted greater awareness of needs;
(2) initiated new services and techniques; (3) reached children never served before; (4) improved cooperation and coordination; (5) stimulated increased non-Federal spending; (6) strengthened leadership services at all levels. During fiscal year 1968, 103,859 children received direct services, 10,411 program personnel were employed, and 8,293 program personnel received inservice training.

# 8.15\_MANPOWER EXPERIMENTAL AND DEMONSTRATION PROGRAM

- <u>AUTHORIZING STATUTE</u>: Manpower Development and Training Act of 1962, as amended through October 24, 1968 (42 U.S.C. 2571 et seq.) (title I, section 102(6)).
- ADMINISTRATOR: William H. Kolberg, Associate Manpower Administrator, U.S. Department of Labor.
- NATURE OF PROGRAM: The experimental and demonstration (E. & D.) program seeks to develop, through actual project operation, new ideas and improved techniques and to demonstrate the effectiveness of specialized methods in meeting the manpower, employment, and training problems of particularly disadvantaged worker groups.
- ELIGIBILITY: Eligibility for participation in experimental and demonstration projects is determined by the specific design of each project; this is a function of the objectives of the project and the characteristic of the target group.
- AVAILABLE ASSISTANCE: Because each project is specially designed,

  E. & D. projects are not readily categorized. Service is not the primary function of E. & D. projects, although the demonstration population participating in them does receive services specific to the project design. Projects focus on distinctive needs of the unemployed or underemployed who are not being aided effectively by established manpower service programs, and try to meet such needs through new techniques and new types of organizational arrangements to "reach" and help make such workers trainable, provide them with employable skills, and place them effectively in jobs.
- <u>USE RESTRICTIONS</u>: Funds can be used to finance all operating costs required by specified project designs (such as administrative costs, training, counseling, recruiting, job development and placement).
- APPROPRIATIONS: \$20.9 million fiscal year 1971.
- AVERAGE ASSISTANCE: The funding of experimental and demonstration projects has ranged from a few thousand dollars to nearly a million dollars. The average grant or contract funding (there are no loans) is between \$100,000 and \$200,000.
- ASSISTANCE PREREQUISITES: There are no formal guidelines or conditions contracting bodies must meet other than that they have demonstrated financial responsibility and comptetence to fulfill the terms of the contract. Participants in projects are generally unemployed or underemployed persons who are not being aided effectively by established manpower service programs.

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POSTGRANT REQUIREMENTS: Each project is required to file periodic progress reports, and at the end of the contract period each project is required to submit a formal extensive final report on project activities and conclusions.

WASHINGTON CONTACT: William H. Kolberg, Associate Manpower Administrator, U.S. Department of Labor, Washington, D.C. 20210 (202) 961-2015.

LOCAL CONTACT: Not applicable - this program is administered nationally.

<u>APPLICATION DEADLINES:</u> There are no formal application procedures and no deadlines.

<u>APPROVAL/DISAPPROVAL TIME</u>: The receipt of each proposal is acknowledged formally and then each sponsor is informed of the status of his proposal individually, as each project is individually negotiated.

REWORKING TIME: Same as above.

RELATED PROGRAMS: William H. Kolberg, Associate Manpower Administrator, administers all experimental and demonstration projects and labor mobility projects. E. & D. projects are sometimes funded in part by cooperating agencies such as the Office of Economic Opportunity and the Department of Health, Education, and Welfare.



# 8.16- RESEARCH AND DEVELOPMENT CENTERS

- AUTHORIZING STATUTE: 20 U.S.C. 331, Cooperative Research Act. Public Law 83-531, 68 Stat. 533; as amended by the Elementary and Secondary Education Act of 1965, Public Law 89-10, title IV, 79 Stat. 44.
- ADMINISTRATOR: Associate Commissioner for Research, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The basic objective of research and development centers is to create improved educational programs and practices through systematic long-term programs of research and development. Each center brings together resources and interdisciplinary talent to focus on a significant educational problem. It then designs and conducts interrelated programs of basic and applied research, development, and dissemination that will systematically move toward the solution of the problem. Prototypes of educational innovations are developed and tested in actual school settings. Cooperative relationships with other institutions and programs take the innovations through additional stages of final engineering, demonstration, and implementation.
- ELIGIBILITY: Centers are operated by universities which have demonstrated staff strength and commitment to educational improvement in the particular area under investigation, and where continuous and significant educational advances can be expected. Because in-depth research and development are expensive, new centers are established only when there is a critical need for continuous effort in a particular area.
- AVAILABLE ASSISTANCE: Annual appropriations are allocated to center activities after a thorough review of their operations and program plans. The sponsoring universities also provide local support in the form of space and staff time.
- USE RESTRICTIONS: Funds are used to carry out systematic research and development to solve major education problems. Programs at centers are carefully coordinated with other supported research and development activities to assure proper balance. The center can modify its target goals to solve emerging new problems, capitalizing upon progress already made in solving related ones at lower levels, but it cannot abandon its primary mission.

APPROPRIATIONS: \$9.5 million estimate 1971, \$9.8 million 1970.

OBLIGATIONS: All funds are obligated to existing centers.

AVERAGE ASSISTANCE: \$900,000 annually.



- ASSISTANCE PREREQUISITES: Institutions making initial application must have demonstrated exceptional competence for undertaking research in the specified problem area. Continuation assistance is based upon systematic reviews of problem plans and accomplishments.
- <u>WASHINGTON CONTACT</u>: Chief, Research and Development Center Program, Division of Educational Laboratories, Bureau of Research, U.S. Office of Education, Washington, D. C. 20202, (202) 962-2839.
- LOCAL CONTACT: OE regional offices can supply information about center locations and programs.
- <u>APPLICATION DEADLINES:</u> When the need for a new center is determined and funds are available for its establishment, appropriate announcements will be made to elicit proposals from institutions with competencies in the area to be investigated.
- APPROVAL/DISAPPROVAL TIME: Generally stated at time of announcement.
- REWORKING TIME: When an application is approved, it is subject to continuous discussion until negotiation.
- RELATED PROGRAMS: Centers work with educational laboratories, State education departments, other R. & D. centers, supplementary centers, and local school systems.
- PROGRAM ACCOMPLISHMENTS: Research and development centers have been investigating the following broad areas: Individualized learning, cognitive learning, teaching theory and practice, teacher education, educational administration, the social organization of the school, early and continuous stimulation of learning, higher education, and evaluation of instructional programs and techniques. Among their major accomplishments have been advances related to multiunit schools, individually prescribed instruction, teacher education modules, and simulation games. In addition to the work being carried out in regular research and development centers, two policy research centers have been using a variety of forecasting techniques and premises to illuminate the nature of basic issues, conceptualize possible alternative futures, and analyze the means available for achieving policy goals in education and the consequences of alternative choices. A group of institutions, pooling their center-type efforts as a national laboratory on early childhood education, have been investigating various elements and procedures which hold promise for improving the education of the very young. (Two other research and development centers have been established under vocational education research.)

# 8.17-RESEARCH AND DEVELOPMENT - EDUCATIONAL LABORATORIES

- AUTHORIZING STATUTE: 20 U.S.C. 331, Cooperative Research Act, Public Law 83-531, 68 Stat. 533; as amended by the Elementary and Secondary Education Act of 1965, Public Law 89-10, title IV, 79 Stat. 44.
- ADMINISTRATOR: Associate Commissioner for Research, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The educational laboratories are primarily engaged in educational development; that is, the precise formulation, field testing, and refinement of curriculum materials and procedures for adoption by local school systems. They are responsible for insuring the demonstration of "products" they have developed or adapted, and for securing the involvement of other groups whose participation is essential in the diffusion of these products. The ultimate test of laboratory efforts is their demonstrated effect upon the improvement of school practices.
- ELIGIBILITY: The laboratories are nonprofit corporations of local educators, businessmen, and community leaders. Local initiative led to the development of 20 educational laboratories in 1966, covering all of continental United States. As a result of severe budget limitations, the decision was made in fiscal year 1969 to continue the 15 strongest laboratories and to terminate support for the remaining five. It is unlikely that new laboratories will be developed unless there is a marked increase in available funds.
- AVAILABLE ASSISTANCE: Under the Cooperative Research Act amended by the Elementary and Secondary Education Act of 1965, funds are annually appropriated for support of the educational laboratories. For most of the laboratories, 100 percent of their funding comes from the Federal Government, although some programs have received outside contributions.
- <u>USE RESTRICTIONS</u>: Laboratory programs are limited to demonstration projects and development of program models that can be implemented by local school systems. The focus of the laboratories is to supplement local school efforts rather than to duplicate or replace such efforts to improve educational systems.

APPROPRIATIONS: \$25.1 million 1971 estimate, \$25.1 million 1970.

OBLIGATIONS: All money is obligated to the 15 existing laboratories.

AVFRAGE ASSISTANCE: \$1.5 million annually.



- ASSISTANCE PREREQUISITES: The educational laboratories develop their own policies and direction with the guidance of their local governing boards. Operations, program plans, and progress toward stated goals of the laboratories individually and as a group are reviewed annually as a basis for allocating appropriated funds.
- POSTGRANT REQUIREMENTS: A more or less constant review process is in effect by local governing boards, the National Advisory Committee on Educational Laboratories, and the Office of Education staff in Washington which administers the laboratory program.
- <u>WASHINGTON CONTACT</u>: Director, Division of Educational Laboratories, Bureau of Research, U.S. Office of Education, Washington, D. C. 20202; (202) 962-8094.
- LOCAL CONTACT: Each of the educational laboratories should be contacted directly. A list of the laboratories can be obtained from the Office of Education's Division of Educational Laboratories in Washington.
- <u>APPLICATION DEADLINES</u>: Laboratories are continuous operations. Those desiring to work for or with a laboratory should contact the staff of that laboratory directly.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

- RELATED PROGRAMS: Research and development centers frequently develop models which and adapted and tested in cooperation with the laboratories. Supplementary centers and services in local schools are frequent sites for demonstrations.
- PROGRAM ACCOMPLISHMENTS: Each laboratory is encouraged to pursue its own most productive course of development, demonstration, and diffusion activities. Although all laboratories are involved in the development of new curriculum materials and practices for use in local school systems, program emphasis varies from laboratory to laboratory so that both national and regional educational needs can be satisfied. During fiscal year 1969, nine laboratories were carrying out one or more programs to improve the skills of elementary and secondary teachers, while five were emphasizing improvements in the overall organization and operation of school systems. Four laboratories had programs in urban education and a like number had rural school improvement projects. Two of the laboratories were primarily concerned with the educational problems of the Spanish-Indians and the Negro-Americans in their regions. Six laboratories had implemented preschool programs; four had special elementary and high school projects; two had higher education programs. Most of the laboratories were carrying out varied efforts to improve education for the disadvantaged. Laboratory directors and the National Advisory Committee on Educational Laboratories regularly hold strategy sessions to coordinate programs, make long-range plans, and improve services to the schools.



# 8.18-SUPPLEMENTARY EDUCATIONAL CENTERS AND SERVICES

- AUTHORIZING STATUTE: 20 U.S.C. 841, Elementary and Secondary Education Act of 1965, title III, Public Law 89-10, 79 Stat. 39; as amended by 20 U.S.C. 841, Elementary and Secondary Education Amendments of 1967, Public Law 90-247, 81 Stat. 788.
- ADMINISTRATOR: Director, Division of Plans and Supplementary Centers, Bureau of Elementary and Secondary Education, Office of Education.
- NATURE OF PROGRAM ACTIVITY: To stimulate local school districts to seek creative solutions to their educational problems. Funds may be requested for innovative and exemplary applications of new educational knowledge or for vitally needed supplementary services.
- ELIGIBILITY: Local educational agencies submit proposals to State education agencies, providing the State has a State plan approved by the U.S. Office of Education. Projects must provide for the participation of children and teachers in nonprofit private schools in the area to be served.
- AVAILABLE ASSISTANCE: Nonmatching grants are made to eligible applicants following application of a proposal which has been completed according to established rules and regulations.
- <u>USE RESTRICTIONS</u>: This program provides grants to local education agencies for innovative and exemplary educational programs and for supplementary educational centers. The program has four objectives:
  - (1) to stimulate and assist in providing needed educational services;
    (2) to develop and establish exemplary elementary and secondary
    school programs: (3) to translate educational research into actual
  - school programs; (3) to translate educational research into actual classroom practice; and (4) to foster innevative solutions to educational problems.

Funds can be awarded only to local public educational agencies whose project proposals meet the purposes of this title. Representatives of the educational and cultural resources of the area to be served must be involved in both planning and conducting the projects. Each State educational agency may receive up to 7.5 percent of its allotment or \$150,000, whichever is larger, for any fiscal year for the following State educational agency activities related to the purposes of the ESEA title III program: (1) proper and efficient administration of the State plan; (2) obtaining technical, professional, and clerical assistance and the services of experts and consultants to assist the advisory council in carrying out its responsibilities, including the expenses of the Council; and (3) evaluation of plans, programs, and projects, and the dissemination of the results of evaluation.

However, of the 7.5 percent available for these purposes for the fiscal year ending June 30, 1970, the amount paid to a State for (1) proper and efficient administration of the State Plan may not



## USE RESTRICTIONS (CONTINUED):

exceed 5.0 percent of the State allotment for that fiscal year or \$100,000, whichever is greater. Therefore, at least 2.5 percent of funds for State educational agency activities during fiscal year 1970 must be reserved for purposes (2) and (3) above.

To stimulate local school districts to seek creative solutions to their educational problems, in this case the problems associated with educating handicapped children, 15 percent of funds available for grants in each State must be reserved for special educational programs for the handicapped.

APPROPRIATIONS: \$143 million fiscal year

OBLIGATIONS: None.

- AVERAGE ASSISTANCE: Each State and the District of Columbia receive a base allocation of \$200,000. Half the remainder is apportioned in relation to the school-age population in the State; the other half is apportioned in relation to the State's total resident population. Current allotments for States range from \$14 million to \$550,000.
- ASSISTANCE PREREQUISITES: Funds cannot be used to supplant services which normally would be provided by the State or local agencies.
- <u>POSTGRANT REQUIREMENTS</u>: Proper fiscal records shall be kept subject to Federal and State audit. Evaluation of benefits of the program may be requested by State and Federal agencies.
- WASHINGTON CONTACT: Director, Division of Plans and Supplementary Centers, Bureau of Elementary and Secondary Education, U.S. Office of Education, Washington, D. C. 20202, (202) 962-1766.
- LOCAL CONTACT: State educational agency.
- APPLICATION DEADLINES: On and after July 1, 1969, the States will conduct the program and each State will make up its own deadlines.
- <u>APPROVAL/DISAPPROVAL TIME</u>: States will decide their own approval/disapproval time periods.
- RELATED PROGRAMS: Handicapped preschool and school programs; regional resource centers; bilingual education; dropout prevention.
- PROGRAM ACCOMPLISHMENTS: The title III program has been in operation since November 1965. During this period, over 7,000 project applications have been received by the U.S. Office of Education. As of June 30, 1968, about 2,500 projects had been approved. Of these, about 1,500 projects are currently in operation. Obligations for the first three years of the program totaled approximately \$389,000,000. In addition, over \$2,000,000 was made available to State educational agencies in



# PROGRAM ACCOMPLISHMENTS (CONTINUED):

fiscal year 1968, for establishing their advisory Councils. Approximately 10 million students have benefited through the exemplary educational projects developed under Title III. It is estimated that during fiscal year 1969, approximately 92 percent of the grant funds available was needed to fund continuing projects, and the remainder has been used to fund new projects designed to meet the special educational needs of handicapped children.



## 3.19-HANDICAPPED INNOVATIVE PROGRAMS--DEAF-BLIND CENTERS

- AUTHORIZING STATUTE: 20 U.S.C. 877b, enacted January 2, 1968, Public Law 90-247, part C, amends title VI of the Elementary and Secondary Education Act.
- ADMINISTRATOR: Coordinator, Project Centers Branch, Bureau of Education for the Handicapped, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: To establish model centers for deaf-blind children to provide comprehensive diagnostic and evaluative services; education, adjustment, and orientation programs; and, consultative services for parents, teachers, aides, and other persons concerned and involved with deaf-blind children.
- ELIGIBILITY: Public or nonprofit agencies, organizations and institutions may apply to the Bureau of Education for the Handicapped.
- AVAILABLE ASSISTANCE: The appropriation for fiscal year 1969 was 1 million.
- USE RESTRICTIONS: Funds are to be used to plan, develop, and operate centers for deaf-blind children. Funds must be spent to provide those services for deaf-blind children as specified in Public Law 90-247, part c, section 609 (d) (1) and 609 (d) 2 (e).
- <u>APPROPRIATIONS:</u> Part of \$26.5 million for research and innovation programs in 1971.
- OBLIGATIONS: Unspecified.
- <u>AVERAGE ASSISTANCE</u>: The average planning grant is \$50,000; operational grants \$150,000.
- ASSISTANCE PREREQUISITES: Grants or contracts may be awarded to public and private nonprofit agencies, organizations, or institutions meeting the requiremetrs of the act and the deaf-blind "policies and procedures."
- POSTGRANT REQUIREMENTS: Centers must comply with the grain terms and conditions described in the deaf-blind "policies and procedures" manual. Site visits, periodic project reports, and continuous liaison is maintained by the project officer assigned by the U.S. Office of Education.
- WASHINGTON CONTACT: Coordinator, Centers and Services for Deaf-Blind Chilren, Project Centers Branch, Bureau of Education for the Handicapped, U.S. Office of Education, Washington, D.C. 20202 (202) 962-0851.



LOCAL CONTACTS: This program is administered through the Bureau of Education for the Handicapped, U.S. Office of Education, Washington D.C.

APPLICATION DEADLINES: Tentative deadline, December 15, 1969 for new projects. Continuation projects April 15, 1970 (tentative).

APPROVAL/DISAPPROVAL TIME: About a month.

REWORKING TIME: About 2 weeks for new applications.

RELATED PROGRAMS: ESEA, title III, Public Law 90-247, Supplemental Educational Centers and Services for the Handicapped. Public Law 90-539. Handicapped Children's Early Education Assistance Act. ESEA title VI-A, Public Law 89-750, Education of Handicapped Children, Preschool, Elementary, and Secondary.

PROGRAM ACCOMPLISHMENTS: Eight centers for deaf-blind children have been funded. Three of these centers are now developmental in nature and will plan during their first year to develop specific programs to meet the needs of deaf-blind children in their area. The remaining five centers are operational and will provide those comprehensive services specified in the act. The eight centers will serve 40 States during fiscal year 1970 and plans are underway to provide services and develop programs in the remaining States.



#### 8.20-REGIONAL RESOURCE CENTERS

- AUTHORIZING STATUTE: 20 U.S.C. 877a, Elementary and Secondary Education Act of 1965, title VI-B; amended by Public Law 90-247.
- ADMINISTRATOR: Director, Division of Research, Bureau of Education for the Handicapped, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: Regional resource centers for handicapped children will provide diagnostic, evaluative, program planning, and prescriptive educative services to teachers of handicapped children.
- ELIGIBILITY: Grants or contracts for the support of regional resource centers for handicapped children may be made to State education agencies and/or institutions of higher education.
- AVAILABLE ASSISTANCE: It is estimated that four planning grants will be made during fiscal years 1970 and 1971. These should eventuate into fully developed programs with budgets of approximately \$400,000 per year.
- <u>USE RESTRICTIONS</u>: Regional resource centers must relate to the education of children who are mentally retarded, hard of hearing, deaf, speech impaired, visually handicapped, seriously emotionally disturbed, crippled, or other health impaired who by reason thereof require special education.

<u>APPROPRIATIONS</u>: \$2 million for fiscal year 1971.

OBLIGATIONS: None.

AVERAGE ASSISTANCE: \$125,000 during development, \$400,000 operation.

ASSISTANCE PREREQUISITES: Applicant must be knowledgeable about handicapped children and the process of educating such children.

POSTGRANT REQUIREMENTS: None.

<u>WASHINGTON CONTACT</u>: Director, Division of Research, Bureau of Education for the Handicapped, Office of Education, Washington, D. C. 20202, (202) 963-7695.

LOCAL CONTACT: HEW regional offices.

APPLICATION DEADLINES: Established and announced annually, usually in the fall of the year.

APPROVAL/DISAPPROVAL TIME: 3 to 6 months.

REWORKING TIME: Varied.



RELATED PROGRAMS: Handicapped research and demonstration.

PROGRAM ACCOMPLISHMENTS: This is a newly initiated program. Four planning grants were made during fiscal year 1969.



# 8.21\_REHABILITATION RESEARCH AND TRAINING CENTERS (SPECIAL CENTER PROGRAM)

- AUTHORIZING STATUTE: 29 U.S.C. 4, section 31, Vocational Rehabilitation Act Amendments of 1965, Public Law 89-333.
- ADMINISTRATOR: Chief, Research and Training Centers Division, Social and Rehabilitation Service, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The 19 rehabilitation research and training centers (12 in medical rehabilitation, three in vocational rehabilitation, three in mental retardation, and one in deafness rehabilitation) were established for the purpose of discovering new scientific knowledge and techniques in all aspects of the rehabilitation process. The centers conduct creative research and disseminate findings; they also train professionals and allied personnel in the medical, social, psychological, and vocational components of rehabilitation.
- ELIGIBILITY: Universities having well-recognized programs of research and training in rehabilitation and State vocational rehabilitation agencies or public or private nonprofit comprehensive rehabilitation facilities or institutions associated with such universities may apply for center grants.
- <u>AVAILABLE ASSISTANCE</u>: Special project grants under section 4(a)(1) of the Vocational Rehabilitation Act are made for paying part of the cost of identified research and training activities carried on in rehabilitation research and training centers.
- USE RESTRICTIONS: Funds are spent for research training and patient care costs associated with clinical research and training. Such activities must be part of a continuing program of coordinated, scientific research and professional and technical training designed to solve complex problems regarding management of disabling conditions and preparation of the handicapped for employment.

APPROPRIATIONS: \$10.2 million fiscal year 1971 (estimate).

OBLIGATIONS INCURRED: None.

AVERAGE ASSISTANCE: The average grant is \$540,000...

ASSISTANCE PREREQUISITES: All applications for rehabilitation research and training center grants are reviewed by the National Advisory Council on Vocational Rehabilitation. Applicants must seek the review and approval by the appropriate State vocational rehabilitation agency and Social and Rehabilitation Service regional office.



- POSTGRANT REQUIREMENTS: The original applications and subsequent continuation applications for research and training centers must cover the present and proposed research, training and service activities, specify how these activities form a unified program and contain such other information as the Administrator may require.
- WASHINGTON CONTACT: Chief, Research and Training Centers Division, Social and Rehabilitation Service, 330 C Street SW., Washington, D. C. 20201, (202) 963-5219.
- LOCAL CONTACT: Interested qualified applicants should contact the director of their State vocational rehabilitation agency.
- APPLICATION DEADLINES: Applications for rehabilitation research and training center grants may be made at any time.
- APPROVAL/DISAPPROVAL TIME: Approximately 3 months.
- REWORKING TIME: Rehabilitation research and training center applications are generally subject to continuous discussion from the planning stage through final approval.
- RELATED PROGRAMS: Rehabilitation research and demonstration grants.
- PROGRAM ACCOMPLISHMENTS: Of the \$10,275,000 appropriated in 1969 for the research and training center programs, \$8,250,000 was allotted to the 12 medical centers, \$950,000 to the three mental retardation centers, \$825,000 to the three vocational rehabilitation centers, and \$250,000 to the deafness center. The 19 centers conducted 678 research studies and sponsored 624 short-term courses and 68 long-term courses which benefited approximately 32,000 persons in the field of rehabilitation and the allied disciplines.

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# INFORMATION AND TECHNICAL ASSISTANCE

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- 9.01 Outreach Program
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- 9.03 Smithsonian Institution Traveling Exhibition Service Program
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#### 9.00-INFORMATION ON AGRICULTURAL ACTIVITIES

<u>AUTHORIZING STATUTE</u>: 5 U.S.C. 511; 7 U.S.C. 1387; 5 U.S.C. 552, 553.

ADMINISTRATOR: Office of Information, Department of Agriculture.

NATURE OF PROGRAM: The Department of Agriculture publishes Farmers
Bulletins and Leaflets on a variety of subjects of interest to farmers,
suburbanites, homemakers, and consumers. A central library of newstype, color and black and white photographs, obtained in connection
with the Department's work is maintained. Slide sets and filmstrips,
covering a variety of agricultural and home economics subjects for
teaching better farm and home practices, are produced. Motion
pictures and exhibits on agricultural subjects are produced.

ELIGIBILITY: Anyone.

AVAILABLE ASSISTANCE: The majority of the Farmers Bulletins and Leaflets are available from the Superintendent of Documents, Government Printing Office, Washington, D. C. 20402. Some are available directly from the Department of Agriculture.

Photographs, slide sets, and filmstrips may be purchased directly from the Department of Agriculture.

Motion pictures are available for loan from cooperating film libraries throughout the country or purchase from the Government's film sales contractor. Exhibits are available for fairs, expositions, meetings, conventions, and similar occasions upon application.

USE RESTRICTIONS: None.

PAST APPROPRIATIONS: Not applicable.

OBLIGATIONS INCURRED: Not applicable.

AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PREREQUISITES: Not applicable.

POSTGRANT REQUIREMENTS: Not applicable.

WASHINGTON CONTACT: Superintendent of Documents, Government Printing
Office, Washington, D. C., or Department of Agriculture (photos, slides, filmstrips).

LOCAL CONTACT: None.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL DEADLINES: Not applicable.



#### 9.01-OUTREACH PROGRAM

- AUTHORIZING STATUTE: Executive Order 11307.
- ADMINISTRATOR: James J. Smith, Administrator, Farmers Home Administration, USDA.
- NATURE OF PROGRAM: Assist other Federal, State, and local agencies in making their services effective in rural areas.
- ELIGIBILITY: Rural people, rural communities.
- AVAILABLE ASSISTANCE: Advice and counsel in identifying and securing needed assistance from other Government agencies.
- <u>WASHINGTON CONTACT:</u> G. W. F. Cavender, Assistant Administrator, Farmers Home Administration, USDA.
- LOCAL CONTACT: County Supervisor, Farmers Home Administration. (See phone directory listing under U.S. Government or inquire at any local office of a USDA agency or Cooperative Extension Service.)



#### 9.02-AUDIOVISUAL SUPPORT SERVICES

<u>AUTHORIZING STATUTE</u>: 44 U.S.C. 2110, Public Law 90-620, October 22, 1968, 82 Stat. 1290.

FOR INFORMATION CONTACT: Jim Gibson, Director, National Audiovisual Center, General Services Administration, Washington, D. C. 20408.

NATURE OF PROGRAM: This program provides a central information point on audiovisual items (motion pictures, film strips, slide sets, sound recordings, video tapes or combinations thereof) produced by or for either a free distribution, loan, or sales basis.

ELIGIBILITY: State and local governments; public and private or quasipublic organizations and institutions, business and industry; and individuals are eligible.

AVAILABLE ASSISTANCE: See "Nature of Program."

USE RESTRICTIONS: None.

AVERAGE ASSISTANCE: No loans or grants are made.

ASSISTANCE PREREQUISITE: None.

POSTGRANT REQUIREMENTS: None.

WASHINGTON CONTACT: Jim Gibson, Director, National Audiovisual Center, General Services Administration, Washington, D. C. 20408, (202) 963-4275.

LOCAL CONTACT: None.

APPLICATION DEADLINES: None.

APPROVAL/DISAPPROVAL TIME: None.

REWORKING TIME: None.

RELATED PROGRAMS: None.



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#### 9.03- SMITHSONIAN INSTITUTION TRAVELING EXHIBITION SERVICE PROGRAM

- AUTHORIZING STATUTE: 20 U.S.C. 41 et seq.
- ADMINISTRATOR: Chief, Smithsonian Institution Traveling Exhibition Service, Smithsonian Institution, Washington, D. C. 20560, (202) 381-5035.
- NATURE OF PROGRAM: Provides a public service by the circulation of exhibitions on a wide range of subjects throughout the United States and Canada.
- ELIGIBILITY: Individuals or groups desiring to enhance public enlightenment by the lending or borrowing of exhibitions.
- AVAILABLE ASSISTANCE: Exhibitions of both flat and 3D materials.

  Occasional supplementary lectures and films. Catalogs of available exhibitions.
- <u>USE RESTRICTIONS</u>: May be used for educational purposes only. No special admission may be charged by borrowing organization without prior approval by the Smithsonian Institution Traveling Exhibition Service.
- APPROPRIATIONS SOUGHT: No Federal appropriations; supported by rental fees.
- PAST APPROPRIATIONS: None.
- AVERAGE ASSISTANCE: Privilege of booking exhibitions at an average cost of \$300.
- ASSISTANCE PREREQUISITES: A signed contract is required of the exhibitor before each booking.
- APPLICATIONS DEADLINES: At least 4-5 nonths before exhibit is desired.
- RELATED PROGRAMS: Mutual Educational and Cultural Exchange Act of 1961 as administered by the Department of State.



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#### 9.04-GOVERNMENT PUBLICATIONS - SALE TO THE PUBLIC

AUTHORIZING STATUTE: 44 U.S.C. 1702.

- ADMINISTRATOR: Superintendent of Documents, Government Printing Office, Washington, D. C. 20402.
- NATURE OF PROGRAM: Offers for sale those publications originating in U.S. Government departments and agencies which are designed for public distribution.
- ELIGIBILITY: Any individual or organization is eligible to purchase publications under this program.
- AVAILABLE ASSISTANCE: Provides purchasers with authoritative information resulting from performance by Government departments of functions with which they are charged.
- WASHINGTON CONTACT: Mail orders accompanied by check or money order payable to Superintendent of Documents, should be addressed: Superintendent of Documents, Government Printing Office, Washington, D. C. 20402, order desk and inquiries section; (202) 783-3238.



### 9.05-DIVISION FOR THE BLIND AND THE PHYSICALLY HANDICAPPED

AUTHORIZING STATUTE: 2 U.S.C. 135 a, b, act to provide books for viadult blind, as amended: Public Law 87-765.

ADMINISTRATOR: Library of Congress.

NATURE OF PROGRAM: This program provides library services to blind and physically handicapped residents of the United States and its territories. Applicants may obtain books in raised type (braille) and talking books on discs and tapes through the Library of Congress directly or through their regional library for the blind and the physically handicapped. Talking book machines for the discs are available through State agencies.

ELIGIBILITY: An applicant must provide a certificate of his inability to read or manipulate conventional printed material from a competent authority to be eligible for this program. A competent authority may be defined as a doctor of medicine, an opthalmologist, or an optometrist. Other certifying authorities are acceptable: for example, registered nurses, professional staffs of hospitals, rehabilitation centers, health, and welfare agencies.

USE RESTRICTIONS: Not applicable.

PAST APPROPRIATIONS: \$7.5 million fiscal year 1971 (estimate).

OBLIGATIONS INCURRED: Total amount appropriated.

AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PREREQUISITES: Not applicable.

POSTGRANT REQUIREMENTS: Not applicable.

WASHINGTON CONTACT: Robert S. Bray, Chief, Division for the Blind and the Physically Handicapped, 1291 Taylor Street NW., Washington, D. C. 20542, (202) 967-7303.

LOCAL CONTACT: State Library or State Agency for the Blind and the Physically Handicapped.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Not applicable.



#### 9.06- EDUCATIONAL MATERIALS FOR THE BLIND

AUTHORIZING STATUTE: Act of March 3, 1879, as amended, 20 U.S.C. 101-105.

<u>ADMINISTRATOR</u>: Vice president and general manager, American Printing House for the Blind, Louisville, Ky.

NATURE OF PROGRAM ACTIVITY: The manufacture and distribution by the American Printing House for the Blind, Louisville, Ky. (a private, nonprofit agency) of educational materials needed by visually handicapped students in attendance at public educational institutions of less than college grade. Such materials include Braille books, Braille music, large type textbooks, talking books, educational tapes, and educational devices or aids.

ELIGIBILITY: On the first Monday of January of each year, the Printing House requests registrations from all public educational institutions of less than college grade which are educating visually handicapped students whose vision comes within the following definition of blindness: 20/200 in the better eye after correction, or with a visual field which subtends less than 20°. Such public educational institutions include residential schools for the blind, residential or day school institutions for the handicapped which include visually handicapped students in their educational programs, and State departments of education which act as agents for public schools educating the seeing and visually handicapped children. These registrations are used to determine a per capita allotment for each student by dividing the total registrations into the total Congressional appropriation. An annual allocation of credit is then placed on the books of the Printing House in the name of each school for the blind or handicapped, or State department of education, each allocation being determined by multiplying the number of registrants by the base per capita. On the basis of this allocation, each school or State department is then free to order from the Printing House those materials which it wishes for the use of its students. Additionally, each registering agency designates either its executive head, or someone approved by him, to act as ex officio trustee of the Printing House for purposes of the Federal act. No order for materials can be filled by the Printing House without written approval by the ex officio trustee of the agency involved.

AVAILABLE ASSISTANCE: In order to make materials available as needed, the American Printing House for the Blind maintains, out of its own capital funds, a constant inventory of educational materials in excess of \$1,500,000.



USE RESTRICTIONS: The appropriation to the Printing House, is divided into two parts: (1) The appropriation for the quota allocations which cover the manufacture and distribution, including a reasonable amount for overhead; and (2) a designated amount of each annual appropriation, currently \$75,000 for consultative and advisory services. The latter includes costs of travel, etc. for three ex officio trustee committees (publications, tangible apparatus, and research), plus travel and salaries for assistant editors, textbook consultants, etc., and other consultants which can be called in for specific services. No part of the appropriation, by law, can be used for the erection or leasing of buildings or other facilities, all of which must be provided out of the Printing House's own capital funds. Additionally, all materials provided through quota allocations must be manufactured at, or substantially adapted by, the American Printing House for the Blind.

<u>APPROPRIATIONS</u>: \$1.4 million (estimate), fiscal year 1971; \$1.4 million, fiscal year 1970.

OBLIGATIONS: \$1.4 million 1971; \$1.4 million 1970.

AVERAGE ASSISTANCE: \$64.75+ (estimated) base per capita, fiscal year 1970; \$62.91+ base per capita, fiscal year 1969; \$59.26 base per capita, fiscal year 1968.

ASSISTANCE PREREQUISITES: None.

POSTGRANT REQUIREMENTS: See "Eligibility" and "Use Restrictions," above.

WASHINGTON CONTACT: Office of the Assistant Secretary for Education,
Department of Health, Education, and Welfare, Washington, D. C. 20202,
(202) 962-7953.

LOCAL CONTACT: Because of the variety of types of educational services served, it is recommended that requests for information be sent to the American Printing House for the Blind, 1939 Frankfort Avenue, Louisville, Ky. 40206.

<u>APPLICATION DEADLINES</u>: All registrations of blind students are effective as of the first Monday in January of each year, e.g., the students must be registered and under instruction as of that date, or the first official school-day thereafter.

APPROVAL/DISAPPROVAL TIME: All initial registrations should be in the hands of the Printing House as of March 1 of each year.

REWORKING TIME: It usually takes up to near the end of June to clear all problems of questionable registrations, i.e., primarily because of misunderstandings of required limitations of visual acuity and duplications of registrations.



RELATED PROGRAMS: None.

PROGRAM ACCOMPLISHMENTS: The act of 1879 "to Promote the Education of the Blind" was enacted by the Congress on March 3, 1879, over 90 years ago. It is one of the earliest acts for the welfare of handicapped individuals. In 1879 there were some 2,000 blind pupils being educated in the United States. As of January 1, 1969, it was estimated that there were 20,509. This increase of blind children is due to many factors, such as the population explosion of the last 20 years, plus the emergence of several widespread causes of congenital defects. During the 1940's and early 1950's there was retrolental fibroplasia, caused by using uncontrolled oxygen to save the lives of premature babies. During 1964-66, there was a countrywide epidemic of rubella, and another is expected in the early 1970's. No accurate figures are available as to how many children have been affected by rubella, but they run from 30,000 to 40,000. Most such children are deaf and/or mentally retarded. Many of them are also blind, and/or multihandicapped. Their needs will not be the traditional ones of helping a bright, blind child to get his education. Actually, no one knows what the future is, except that it will be different, and different materials will be required to help such blind children. It is the responsibility of the Printing House to find these children, assess their problems, and find ways to provide the materials they will need.



# 9.07-MEDIA SERVICES AND CAPTIONED FILMS

- AUTHORIZING STATUTE: 42 U.S.C. 2491-2494, Captioned Films for the Deaf, Public Law 85-905, 72 Stat. 1742-1743; as amended by 42 U.S.C. 2491-2494, Public Law 87-715, 76 Stat. 654; as amended by 42 U.S.C. 2491 et seq., Public Law 89-258, 79 Stat. 983; as amended by 20 U.S.C. 241c, Public Law 90-247, 81 Stat. 783.
- ADMINISTRATOR: Chief, Media Services and Captioned Films, Division of Educational Services, Bureau of Education for the Handicapped, Office of Education, Department of Health, Education, and Welfare, Washington, D. C.
- NATURE OF PROGRAM ACTIVITY: This program performs specific services in terms of development, design, production, and training in the use of media and materials for the handicapped.
- <u>ELIGIBILITY</u>: Educational institutions, private, profit or nonprofit agencies and organizations.
- AVAILABLE ASSISTANCE: Up to 100 percent.
- <u>USE RESTRICTIONS:</u> Contracts based on significance and soundness of bid or proposal.
- APPROPRIATIONS: \$6 million fiscal year 1971; \$4.75 million fiscal year 1970.
- AVERAGE ASSISTANCE: The average contract is \$60,000.
- ASSISTANCE PREREQUISITES: Applicants must be reputable organizations.

  State-related proposals must be approved by the State departments of education.
- WASHINGTON CONTACT: Chief, Media Services and Captioned Films, Division of Educational Services, Bureau of Education for the Handicapped, Office of Education, Department of Health, Education, and Welfare, Washington, D. C., (202) 962-8741.
- LOCAL CONTACT: Individual contractor.
- <u>APPLICATION DEADLINES:</u> Proposals may be submitted at any time during the year.
- APPROVAL/DISAPPROVAL TIME: About 1 month.
- REWORKING TIME: About 1 month.



RELATED PROGRAMS: Not applicable.

# PROGRAM ACCOMPLISHMENTS:

During fiscal year 1969, Congress expanded media services and captioned films authority to fund projects in areas of handicapped other than the deaf. A number of experimental and demonstration projects were funded to determine what media services or educational technology systems must be developed to upgrade the quality of education for all handicapped persons.

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Four regional media centers for the deaf continued to operate in Tennessee, Nebraska, Massachusetts, and New Mexico. These centers sponsored summer media institutes for teachers of the deaf and presentations of multimedia workshops.

Films acquired during fiscal year 1969 include 43 general interest subjects, 55 educational subjects, 8-millimeter cartridges and 8-millimeter super-sound cartridges and 11,700 subscriptions to the publication Know Your World.

Three general interest film libraries and 60 educational depositories continued programs to make materials available to the deaf. General and educational films were shown in schools, churches, and clubs and reached an audience of 1,143,638. A total of 214 additional groups were registered for film showings during fiscal year 1969, bringing the total number of groups registered to 1,828.

Through a contract with Educational Television Program Service of Indiana University, distribution of 30 television programs for nationwide showing to deaf audiences on educational television networks was arranged.

Loan equipment available to schools for the deaf comprised of the following 110 overhead projectors, 60 filmstrip projectors, 40 projection screens, 50 tape recorders, 65 carousel projectors, 30- to 16-millimeter projectors.

Film production included 50 captioned filmstrips and 27 without captions; 600 slides on basic electronic assembly which includes four different programs for teaching the deaf on a self-instructional basis; 320, 8-millimeter-loop prints of 16 lessons on cued speech; and 55 release prints on title I, Public Law 89-313 which were sent to State directors.

Title VI of Public Law 89-10, part D, authorized the Bureau of Education for the Handicapped to enter into contract with public or private agencies for the purpose of improving recruitment of educational personnel and dissemination of information pertaining to educational opportunities for the handicapped; \$250,000 was appropriated for this fiscal year and three contracts were negotiated.



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# 9.08- MENTAL RETARDATION INFORMATION AND COORDINATION

AUTHORIZING STATUTE: Executive Order 11280, dated May 11, 1966.

<u>ADMINISTRATOR</u>: Executive Director, President's Committee on Mental Retardation.

NATURE OF PROGRAM ACTIVITY: Advises and assists the President on all matters pertaining to mental retardation; evaluates National, State, and local effects; helps coordinate Federal activities; facilitates communication between Federal, State, and local agencies; informs the public about mental retardation; and mobilizes support for related activities.

ELIGIBILITY: Not applicable.

<u>AVAILABLE ASSISTANCE</u>: Information and consultation on all matters pertaining to mental retardatior.

USE RESTRICTIONS: None.

APPROPRIATIONS: \$580 thousand - \$612 thousand.

OBLIGATIONS: None.

AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PREREQUISITES: Not applicable.

POSTGRANT REQUIREMENTS: Not applicable.

WASHINGTON CONTACT: Executive Director, President's Committee on Mental Retardation, Washington, D. C. 20201, (202) 963-7851.

LOCAL CONTACT: None.

APPLICATION DEADLINES: Not applicable.

<u>APPROVAL/DISAPPROVAL TIME</u>: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Not applicable.



PROGRAM ACCOMPLISHMENTS: Among the highlights of the first 3 years of the Committee's work are:

In 1967-68, the Committee held a series of public forums in Boston, Seattle, New Orleans, Omaha, and Austin, Tex.;

The Committee's first annual report, presented to the President on August 30, 1967, reviewed recent accomplishments in the field of mental retardation and identified 10 areas in which action is most urgently needed:

The Committee cosponsored a nationwide campaign to promote public awareness of mental retardation (over \$30 million worth of time and space were donated by newspapers, national magazines, and TV and radio stations);

More than 3 million copies of the Committee's basic information booklet have been distributed to interested citizens;

The Committee's second annual report described the long-range trend toward including mental retardation in generic service programs and included special reports on three priority areas - residential care programs, manpower, and retardation in poverty neighborhoods;

PCMR has issued a booklet designed to interest teenagers and young adults in volunteer work and careers in the field of mental retardation;

Studies are now underway on the effects of poverty on the intellectual growth of children, the relationship between nutrition and mental retardation, sources of organizational support for retardation activities in communities throughout the United States, and the economics of mental retardation;

The Committee recently published a landmark monograph on residential services for the mentally retarded.



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- 9.09-MENTAL HEALTH SCIENTIFIC COMMUNICATIONS AND PUBLIC INFORMATION (NATIONAL CLEARINGHOUSE FOR MENTAL HEALTH INFORMATION)
- AUTHORIZING STATUTE: 42 U.S.C. 241, 247, Public Health Service Act, sections 301, 315.
- ADMINISTRATOR: Director, Office of Communications, National Institute of Mental Realth, Health Services and Mental Health Administration, Public Health Service, Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The Office of Communications of the National Institute of Mental Health conducts a full-scale program of scientific communications and public information and education activities serving both the mental health professional community and the general public. The National Clearinghouse for Mental Health Information, the scientific and technical information arm of the Office of Communications, serves as a central facility to coordinate information exchange in the mental health field and has become the foremost computerized repository of mental health and related research findings in the Nation and the world. A broad public information and education program is also operated to provide information and materials to lay individuals and organizations, either directly or through the mass communications media. Types of public information materials and activities range from brochures, fliers, fact sheets, pamphlets, and exhibits, to news releases, news features, films, television and radio materials and productions, articles for national magazines, and daily assistance to representatives of the public media.
- ELIGIBILITY: All activities and materials are for the use and benefit of the total public.
- AVAILABLE ASSISTANCE: No grant funds are provided under this program. Assistance is given to the utmost possible in response to inquiries from the scientific and general public, and to the printed and electronic media, in connection with the goal of the fullest dissemination of mental health information.
- APPROPRIATIONS: \$4.3 million estimate for fiscal year 1971.
- OBLIGATIONS: All money is for operation of the programs.
- WASHINGTON CONTACT: Director, Office of Communications, National Institute of Mental Health, Health Services and Mental Health Administration, 5454 Wisconsin Avenue, Chevy Chase, Md. 20015, (301) 496-0477.
- LOCAL CONTACT: Associate Health Director for Mental Health,
  Department of Health, Education, and Welfare Regional Office for
  appropriate area.



APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: All programs of the NIMH serve as sources of information.

PROGRAM ACCOMPLISHMENTS: The National Clearinghouse for Mental Health Information has become one of the world's outstanding scientific and technical information services, with over 100,000 blocks of computer-stored data. Over 1,200 journals related to mental health are currently scanned for pertinent information for computer storage, as compared to 200 journals in 1967. Substantive coverage is provided in 39 major subject areas. More than 3,000 computer printouts have been provided in response to technical scientific requests. The Clearinghouse also issues seven periodicals, and frequent special publications. In the public information area, a highlight of the many accomplishments in informing and educating the general public is the launching of an intensive drug abuse information campaign. This effort is directed at giving the youth cf America information about the dangers of narcotics, LSD, amphetamines, and barbiturates to prepare them to resist the urge to try drugs, and to warn adults against abusing drugs unknowingly. This program includes a nationwide media campaign, including series of television and radio spot commercials distributed to 300 television and 2,000 radio stations across the country, advertisements in newspapers and magazines, and posters for subways, buses, and schools. Films have been produced for use in prime TV time in major American cities, and for distribution to groups for viewing. More than a million copies each of four fliers on drug abuse have been distributed for use at all age levels, but especially among junior and senior high school students, and special school curriculum materials have been developed for teachers. Other accomplishments are reflected in increased coverage by the Nation's press; in a dramatic increase in public inquiries, which have more than doubled since 1966; and in increased requests for mental health publications, also doubled since 1966.



# 9.10- EDUCATIONAL RESEARCH DISSEMINATION - ERIC SYSTEM

AUTHORIZING STATUTE: 20 U.S.C. 331, Cooperative Research Act, Public Law 83-531, 68 Stat. 533; as amended by the Elementary and Secondary Education Act of 1965, Public Law 89-10, title IV, 79 Stat. 44.

ADMINISTRATOR: Associate Commissioner for Research, Office of Education, U.S. Department of Health, Education, and Welfare.

NATURE OF PROGRAM ACTIVITY: Using a nationwide system of clearinghouses, each under contract to OE, and a variety of service contractors, the ERIC system seeks to provide the educational community with unavailable or hard-to-find but significant research and research-related reports, papers and other documents; fosters the preparation of interpretive summaries of information from many reports for use by educational decisionmakers and practitioners; strengthens existing educational research dissemination channels; and provides a base for developing a national education information network that can effectively link knowledge producers and users in education.

ELIGIBILITY: Grants and contracts for research or research-related activities may be awarded to local school systems, State departments of education, colleges, universities, and other public or private agencies, organizations, groups, or individuals. Profitmaking organizations are eligible for research support contracts but not for grants.

AVAILABLE ASSISTANCE: Each proposal is evaluated on its merits. Funds are used for clearinghouses and related studies and service.

USE RESTRICTIONS: None, within the limits outlined here.

APPROPRIATIONS: \$5 million.

OBLIGATIONS: \$5 million.

AVERAGE ASSISTANCE: The average contract is \$150,000.

ASSISTANCE PREREQUISITES: Applicants must have the professional competence and fiscal accountability needed to carry out the supported activities.

<u>POSTCRANT REQUIREMENTS:</u> Each contract is continually evaluated during its term for general compliance with the proposal and technical adequacy of the work.

WASHINGTON CONTACT: Chief, Educational Resources Information Center, Division of Information Technology and Dissemination, Bureau of Research, U.S. Office of Education, Washington, D. C. 20202, (202 962-6693.



LOCAL CONTACT: Director of Research for each Office of Education regional office.

APPLICATION DEADLINES: Proposals may be submitted at any time, preferably before December 31 for funding in the fiscal year ending June 30.

APPROVAL/DISAPPROVAL TIME: 30 to 60 days.

REWORKING TIME: Each proposal subject to continuous discussion from the evaluation and approval through the negotiation stage.

RELATED PROGRAMS: General program dissemination.

PROGRAM ACCOMPLISHMENTS: Since its inception in fiscal year 1967, the ERIC systom has emerged as one of the most important linkages between the R. & D. specialists who produce new knowledge and the educational decisionmakers and practitioners who need such information. ERIC's monthly abstract bulletin, Research in Education (RIE), expanded from 67 reports announced in the first issue, November 1966, to 700 reports cited in the December 1968 issue. The total ERIC collection grew to 17,341 screened documents by the end of 1968. There were 4,422 subscribers to RIE in December 1968. In addition over 1,000 copies are distributed free each month to State libraries, Federal agencies, and some professional organizations. A new monthly, Current Index to Journals in Education (CIJE), was published for the first time in June 1969. Newsletters published by 14 clearinghouses reach a combined audience of over 57,000 educational specialists in key positions all over the country. Also, the clearinghouses in fiscal year 1969 published over 350 major annotated bibliographies, interpretive summaries, or reviews on critical topics. In addition, the clearinghouses have agreements to produce regular dissemination columns in 40 journals that reach over 400,000 subscribers. In fiscal year 1969, the clearinghouses answered over 42,000 inquiries on specific topics.



# 9.11-EDUCATIONAL RESEARCH - STATISTICAL SURVEYS

- AUTHORIZING STATUTE: 20 U.S.C. 331, Cooperative Research Act, Public Law 83-531, 68 Stat. 533; as amended by the Elementary and Secondary Education Act of 1965, Public Law 89-10, title IV, 79 Stat. 44.
- ADMINISTRATOR: Associate Commissioner for Research, Office of Education, U.S. Department of Health, Education, and Welfare.
- NATURE OF PROGRAM ACTIVITY: The Cooperative Research Act authorizes surveys in the field of education and the dissemination of information derived therefrom. In prior years, such surveys were carried out under the "salaries and expenses" appropriation. Support under cooperative research makes possible the use of grants, contracts, and interagency reimbursable agreements for surveys which may appropriately be performed outside the office of Education.
- ELIGIBILITY: Grants or contracts on a cost-share basis for services rendered may be awarded to colleges, universities, State departments of education, local school systems, or to other public or private agencies, organizations, groups, or individuals. Applicants must be able to ensure professional and fiscal accountability and competence for the work to be undertaken. Results of surveys are available to the public.
- AVAILABLE ASSISTANCE: The \$2 million requested for fiscal year 1970 will be used for a variety of surveys to eliminate significant gaps in baisc educational information, provide data relevant to emerging educational objectives, and improve the timeliness of educational statistics.
- <u>USE RESTRICTIONS</u>: Funds will be used for studies under competitive bids to provide the information needed. Announcements will be made from time to time to request proposals in areas needing attention.
- APPROPRIATIONS: \$3.5 million, 1971; \$1.9 million, 1970.
- OBLIGATIONS: Almost all funds are used by the center itself.
- AVERAGE ASSISTANCE: Varies widely, according to tasks undertaken.
- ASSISTANCE PREREQUISITES: Applicants must have the professional competence and fiscal accountability to do the work they are to undertake.
- <u>POSTGRANT REQUIREMENTS:</u> These activities are generally carried out according to specifications provided by the Office of Education. There is continuous discussion during the time the initial proposal is adapted into a contractual arrangement.



WASHINGTON CONTACT: Director, National Center for Educational Statistics, U.S. Office of Education, Washington, D. C. 20202, (202) 963-5137.

LOCAL CONTACT: State education agencies and staff of OE regional offices are generally informed about surveys which may be contracted.

APPROVAL/DISAPPROVAL TIME: About a month.

REWORKING TIME: About 2 weeks to a month.

RELATED PROGRAMS: Evaluations under "Cooperative research authority."

ACCOMPLISHMENTS: In fiscal years 1968 and 1969, major emphasis was placed on the expansion and improvement of the higher education general information survey. The fiscal year 1968 contract funds were employed to survey the users of educational statistics, ascertain their current uses of the data and obtain information on requirements for educational statistics in the near future, develop survey instruments and data collection procedures, and design a national plan for a system of library statistics. In fiscal year 1969, the expenditure of contractual funds has been limited to obtaining information on educational manpower, adult education, and nursery-kindergarten enrollment. The funds requested for fiscal year 1970 will be used for a variety of studies in elementary and secondary education, higher education, adult, and vocational education, to provide library and educational television statistics, and to develop more efficient sampling for basic statistical research. To achieve full benefit from educational statistics, there must be adequate distribution of survey findings. In fiscal year 1968, 25 annual and periodic statistical publications and reports were published. The number doubled in fiscal year 1969, and is expected to show a similar increase in fiscal year 1970.



#### 9.12-MANPOWER AND EMPLOYMENT STATISTICS

AUTHORIZING STATUTE: 29 U.S.C. 2, 7.181.

ADMINISTRATOR: Office of Manpower and Employment Statistics, Rureau of Labor Statistics, U.S. Department of Labor, Washington, D. C. 20212.

NATURE OF PROGRAM: The manpower and employment statistics program measures, analyzes, and disseminates statistics in the areas of manpower, employment, hours, earnings, labor turnover, job vacancies, occupational outlook, industry and occupational employment, labor force composition and changes, and work injuries.

ELIGIBILITY: Any individual or group may request information.

This program provides national and some State, AVAILABLE ASSISTANCE: area or local data on the current labor force status of workers, including employment, unemployment, hours, earnings, and labor turnover collected from industrial establishments; studies of the labor force yielding information on such characteristics as educational attainment, work experience and family relationships, and long range projections; statistics on occupational employment, available for a wide variety of occupations, including employment outlook, nature of the work, earnings, working conditions, and qualifications; surveys of urban employment exploring the barriers to employment in six cities; and statistics on work injuries available for 490 manufacturing industries and 180 nonmanufacturing industries. Information is provided gratis or for a nominal charge through periodic BLS publications and special bulletins and reports. In addition, the Bureau of Labor Statistics answers numerous telephone, mail, and personal visit requests for data and guidance in the field of labor statistics. This information is disseminated by the Washington, D. C., headquarters office and by its eight regional offices.

USE RESTRICTIONS: Not applicable.

PAST APPROPRIATIONS:

OBLIGATIONS INCURRED:

AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PREREQUISITES: Not applicable.

POSTGRANT REQUIREMENTS: Not applicable.

WASHINGTON CONTACT: Office of Manpower and Employment Statistics, Bureau of Labor Statistics, Washington, D. C. 20212.



LOCAL CONTACT: Regional offices of the Bureau of Labor Statistics: Roston, New York, Philadelphia, Atlanta, Chicago, Kansas City, Dallas, and San Francisco.

APPLICATION DEADLINE: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Employment data by industry and occupation are inputs to the manpower planning and operational programs of the U.S. Training and Employment Service, various State departments of labor, and the educational planning programs of the Department of Health, Education, and Welfare. BLS supplies data on employment, hours, and earnings for research by the Federal Reserve Board, Council of Economic Advisers, Department of Commerce, and other components of the Department of Labor.



# 9.13- ADVANCEMENT OF WOMEN'S EMPLOYMENT OPPORTUNITIES AND STATUS

<u>AUTHORIZING STATUTE</u>: 29 U.S.C. 11-16.

ADMINISTRATOR: Mrs. Elizabeth Duncan Koontz, Director, Women's Bureau.

NATURE OF PROGRAM: The Women's Bureau provides informational, promotional, technical and advisory services. It is concerned with enlarging the contribution and participation of women in economic and community life as job-holders, homemakers, and citizens. Specific programs relate to: (1) improving opportunities of girls for training, including apprenticeship and for more realistic vocational counseling and guidance; (2) encouraging more and better vocational counseling, training, retraining, and continuing education for mature women; (3) developing job opportunities for women and girls; (4) expanding day-care, homemaking and other home-related services, including the improvement of household employment training and standards; (5) improving legislation affecting the employment of women, including minimum wage and hours standards, equal pay, elimination of discrimination, and legislation relating to women's civil and political status; (6) utilizing women's volunteer services in Federal and community programs. The Bureau serves as a clearinghouse to commissions on the status of women in the States and other jurisdictions which are actively stimulating the participation of women in State and community programs.

ELIGIBILITY: Individuals, community groups, educational institutions, employers, unions, government agencies, civic and other organizations may apply.

<u>AVAILABLE ASSISTANCE:</u> Informational, promotional, technical, and advisory services are available as well as publications.

<u>USE RESTRICTIONS</u>: Not applicable.

PAST APPROPRIATIONS: 1969, \$990,100; 1968, \$921,000; 1967, \$911,000.

OBLIGATIONS INCURRED: Not applicable.

AVERAGE ASSISTANCE: Not applicable.

ASSISTANCE PREREQUISITES: Not applicable.

POSTGRANT REQUIREMENTS: Not applicable.

WASHINGTON CONTACT: Mrs. Elizabeth Duncan Koontz, Director, Women's Eureau, Washington, D. C. 20210. Telephone: (202) 961-2036.



# LOCAL CONTACT:

Miss Dorothy M. Pendergast, Women's Bureau Regional Director, U.S. Department of Labor, John F. Kennedy Federal Building, room 1700-E, Government Center, Boston, Mass. 02203, (617) 223-6764.

Mrs. June H. Wakeford, Women's Bureau Regional Director, U.S. Department of Labor, 1371 Peachtree Street NE., room 315, Atlanta, Ga. 30309, (404) 526-5461.

Miss Mary C. Manning, Women's Bureau Regional Director, U.S. epartment of Labor, U.S. Courthouse and Federal Office Building, room 866, 219 South Dearborn Street, Chicago, Ill. 60604, (312) 353-6985.

Mrs. Madeline H. Mixer, Women's Bureau Regional Director, U.S. Department of Labor, Box 36017, 450 Golden Gate Avenue, room 10341, San Francisco, Calif. 94102, (415) 556-2377.

Miss Rhobia C. Taylor, Women's Bureau Regional Director, U.S. Department of Labor, Mayflower Building, room 320, 411 North Akard Street, Dallas, Tex. 75201, (214) 749-2568.

APPLICATION DEADLINES: Not applicable.

APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: Not applicable.



#### 9.14- COMMUNITY RELATIONS FIELD SERVICE

AUTHORIZING STATUTE: Title X of the Civil Rights Act of 1964.

ADMINISTRATOR: Ben Holman.

NATURE OF PROGRAM: Determine the needs of the Black and Brown impoverished neighborhoods primarily located in urban areas.

ELIGIBILITY: Citizens and organizations located in these impoverished areas.

AVAILABLE ASSISTANCE: Technical assistance to citizens and organizations in learning what type of Federal, State, and private assistance is available in the areas of education, administration of justice, housing and model cities programs, and economic development.

WASHINGTON CONTACT: Bertram Levine, Assistant Director, Community Relations Service, Department of Justice, Washington, D. C. 20530, RE 7-5535.

LOCAL CONTACT: See regional offices below:

Northeast region: Edward H. O'Connell, Regional Director, Room 507, U.S. Customs and Courthouse Building, 26 Federal Plaza, New York, N. Y. 10007.

Midwest region: Richard A. Salem, Regional Director, 220 South State Street, Chicago, Ill. 60604.

Southeast region: Donald W. Jones, Regional Director, 148 Cain Street NE., Atlanta. Ga. 30303.

West region: Edward Howden, Federal Building, 450 Golden Gate Avenue, San Francisco, Calif. 94102.



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## 9.15-URBAN PLANNING FOR HUMAN RESOURCES DEVELOPMENT

#### INFORMATION AND TECHNICAL ASSISTANCE

AUTHORIZING STATUTE: Urban action memorandum of April 1968, issued by the Secretary of the Department of Health, Education and Welfare.

ADMINISTRATOR: Office of the Secretary Department of Health, Education, and Welfare.

NATURE OF PROGRAM: This program provides for the delivery of information and technical assistance to cities and states as they plan and implement programs to improve living standards, health, education, and social rehabilitation opportunities for people living in the nation's cities.

This Center for Community Planning, Office of the Secretary, U.S. Department of Health, Education, and Welfare (DHEW), is responsible for coordination of the Department's response to such urban assistance needs.

The primary delivery of technical assistance is through the Regional Directors of DHEW support of the Department's agencies: Office of Education, Public Health Service, Social and Rehabilitation Service and the Social Security Administration.

The primary effort at present is in connection with the Model Cities and Weighborhood Service Programs.

ELIGIBILITY: States, cities, counties, regional councils and other bodies, and organizations interested in developing and implementing plans to attack the problems of people in the nation's urban areas may apply to the DHEW Regional Directors. (See appendix.)

AVAILABLE ASSISTANCE: Technical assistance only.

ASSISTANCE PREREQUISITES: None.

POSTGRANT REQUIREMENTS: None.

<u>WASHINGTON CONTACT</u>: Center for Community Planning, U.S. Department of Health, Education, and Welfare, Washington, D.C. 20201

LOCAL CONTACT: DHEW Regional Directors.



# APPLICATION DEADLINES: NONE

RELATED PROGRAMS: Urban Clearing House Service, Urban Information and Technical Assistance Grants.



# 9.16-BUSINESS SERVICES

AUTHORIZING STATUTE: 40 U.S.C. 486(c), Federal Property and Administrative Services Act of 1949, as amended; Public Law 81-152, 63 Stat. 390.

<u>ADMINISTRATOR</u>: Robert L. Kunzig, Administrator, General Services

Administration.

NATURE OF THE PROGRAM: The business service center program provides a complete range of services within regional areas encompassing the interest of all business concerns, including consideration and attention to the interest and problems of industries and business concerns located in disaster and labor surplus areas. The operational features of the program include identifying business concerns and providing them with maximum information, assistance, and counseling to obtain the greatest possible interest and broadest participation in Government procurement and disposal programs.

ELIGIBILITY: All interested businessmen.

AVAILABLE ASSISTANCE: The business services program is carried out through regional business service centers. Their function is to provide professional counseling and assistance with regard to how to do business with the Federal Government, in general, and the General Services Administration, in particular.

USE PESTRICTIONS: Not applicable.

<u>PAST APPROPRIATIONS</u>: Fiscal year 1970, \$781,000; fiscal year 1969, \$762,000; fiscal year 1968, \$687,000.

OBLIGATIONS INCURRED: Fiscal year 1969, \$735,000; fiscal year 1968, \$687,000.

AVERAGE ASSISTANCE: Not applicable.

WASHINGTON CONTACT: Donald J. LeMay, Chief, Business Services Division, General Services Administration, 18th and F Streets, NW., Washington, D. C. 20405.

LOCAL CONTACT: Director - January, 1969:

Joseph P. Lawless, Regional Director of Business Affairs, General Services Administration, John Fitzgerald Kennedy Federal Building, Boston, Massachusetts, 02202, (617) 223-2868 - Region I: Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, and Vermont.

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# LOCAL CONTACT: Director - January, 1969: (continued)

John F. Clark, Regional Director of Business Affairs, General Services Administration, 26 Federal Plaza, New York, New York 10007, (212) 264-1234 - Region 2: Delaware, New Jersey, New York, Pennsylvania, Puerto Rico, and Virgin Islands.

William F. Donlin, Jr., Regional Director of Business Affairs, General Services Administration, Seventh and D, SW., Washington, D. C. 20407, (202) 963-4147 - Region 3: District of Columbia, Maryland, Virginia, and West Virginia.

William W. Barron, Regional Director of Business Affairs, General Services Administration, 1776 Peachtree Street, N. W., Atlanta, Georgia 30309, (404) 526-5661 - Region 4: Alabama, Georgia, Florida, Mississippi, North Carolina, South Carolina, and Tennessee.

Joel L. Leckness, Regional Director of Business Affairs, General Services Administration, 219 South Dearborn Street, Chicago, Illinois 60604, (312) 353-5383 - Region 5: Illinois, Indiana, Kentucky, Michigan, Ohio, and Wisconsin.

Francis M. Bukaty, Regional Director of Business Affairs, General Services Administration, 1500 East Bannister Road, Kansas City, Missouri 64131, FTS (816) 361-0860, Ext. 7203 - Region 6: Iowa, Kansas, Minnesota, Missouri, Nebraska, North Dakota, and South Dakota.

Robert T. Davis, Regional Director of Business Affairs, General Services Administration, 819 Taylor Street, Fort Worth, Texas 76102, (817) 334-3283 - Region 7: Arkansas, Louisiana, Oklahoma, and Texas.

Carl T. Lloyd, Regional Director of Business Affairs, General Services Administration, Denver Federal Center, Building 41, Denver, Colorado 80225, (303) 233-2216 - Region 8: Arizona, Colorado, New Mexico, Utah, and Wyoming.

Robert J. Ireland, Regional Director of Business Affairs, General Services Administration, 49 Fourth St., San Francisco, California 94103, (415) 556-2114 - Region 9: California, Nevada, and Hawaii.

Mrs. Margaret Bayless, Manager, Business Service Center, General Services Administration, 300 North Los Angeles, Los Angeles, California 90012, (213) 688-3210 - Region 9: Los Angeles and Southern California.

John J. Murphy, Regional Director of Business Affairs, General Services Administration, 909 First Avenue, Seattle, Washington 98104, (206) 583-5558 - Region 10: Alasia, Idaho, Oregon, and Washington.

APPLICATION DEADLINES: None.



APPROVAL/DISAPPROVAL TIME: Not applicable.

REWORKING TIME: Not applicable.

RELATED PROGRAMS: This program is closely related to the Small Business Administration program of Assistance to the small businessman.

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# 9.17-TECHNICAL ASSISTANCE (TO SUPPORT REDEVELOPMENT ACTIVITIES)

- AUTHORIZING STATUTE: Public Law 89-136, Public Works and Economic Development Act of 1965, section 301(a).
- ADMINISTRATOR: Arnold H. Leibowitz, Director, Office of Technical Assistance, Fconomic Development Administration, Department of Commerce.
- NATURE OF PROGRAM: Provides technical assistance to help eligible areas evaluate their needs and to develop their potential for economic growth.
- ELIGIBILITY: Eligible applicants include (a) nonprofit and forprofit area and local development groups, (b) municipal bodies,
  - (c) State agencies, (d) corporations, partnerships, and individuals,(e) EDA and the Department of Commerce, (f) Federal agencies, and
  - (g) others, as appropriate.
- AVAILABLE ASSISTANCE: Contracts and grants are available to provide information and develop capability needed to alleviate or prevent excessive unemployment or underemployment. Examples of technical assistance include such problem-solving projects as feasibility investigations; resource development surveys; management and operational assistance; identifying, planning, and programing economic development undertakings; preliminary design planning and feasibility studies of development facilities; demonstration programs of special methods of economic development; and economic development and job-creation activities in urban areas.
- FUNDING USE RESTRICTIONS: Technical assistance contracts and grants in carrying out program described above.
- <u>APPROPRIATIONS</u>: 1971 approximately \$20 million; 1970 approximately \$20 million; fiscal year 1969, \$10,300,000; fiscal year 1968, \$10,700,000; fiscal year 1967, \$10,821,826.
- OBLIGATIONS INCURRED: Fiscal year 1969, \$6,800,000 (as of Mar. 31, 1969); fiscal year 1968, \$10,600,000; fiscal year 1967, \$10,821,820.
- AVERAGE ASSISTANCE: Average technical assistance project size is \$60,600.
- ASSISTANCE PREREQUISITES: Applicant must show need for technical assistance leading to creation of employment in the short term and economic benefit to the community. Project should be specific in terms of realizable effects and should offer assurances of effective implementation. Compliance with nondiscrimination provisions of title VI of the Civil Rights Act of 1964 is necessary. Agreement to repay costs of technical assistance may be sought in certain cases.



<u>POSTGRANT REQUIREMENTS:</u> Periodic grantee progress and postcompletion reports and evaluations are required.

WASHINGTON CONTACT: Arnold H. Leibowitz, Director, Office of Technical Assistance, Economic Development Administration, Department of Commerce, Washington, D. C. 20230, Telephone: 967-5111.

LOCAL CONTACT: EDA area directors. See list following "Related Programs."

<u>APPLICATION PEADLINES</u>: None, although projects applied for after March are less likely to be approved and placed under contract during the particular fiscal year ending June 30.

APPROVAL/DISAFPROVAL TIME: Seventy-three days.

REWORKING TIME: This varies from 1 week to 45 days.

RELATED PRCGRAMS: EDA administers a public works grant and loan program, a business development (loan) activity, and a district and area planning program which are related to technical assistance.

EDA also coordinates with related program offices of such Federal agencies as Department of Agriculture, Labor, Housing and Urban Development, Interior, and Defense, and with Small Business Administration and Office of Economic Opportunity.

# LIST OF EDA AREA OFFICES

EDA North Eastern Area Office, 157 High Street, Portland, Maine 04101. Phone: (207) 775-3131, Ext. 265 - Connecticut, Maine, Massachusetts, New Hampshire, New York, Rhode Island, Vermont.

EDA Mid Atlantic Area Office, 19 North Main Street, Wilkes-Barre, Pa. 18701 Phone: (717) 325-6811, Ext. 222 - Delaware, Maryland, New Jersey, Pennsylvania, Puerto Rico, Virgin Islands.

EDA Mid Eastern Area Office, 517 Ninth Street, Huntington, W. Va. 25701. Phone: (304) 529-2311 - Kentucky, North Carolina, Ohio, Virginia, West Virginia.

EDA South Eastern Area Office, 904 Bob Wallace Awenue, Huntsville, Ala. 35801. Phone: (205) 534-0661 - Alabama, Florida, Georgia, Mississippi-South Carolina, Tennessee.

EDA North Central Area Office, 200 West Superior Street, Duluth, Minn. 55802. Phone: (218) 727-6300 - Illinois, Indiana, Iowa, Michigan, Minnesota, Missouri, Nebraska, North Dakota, South Dakota, Wisconsin.

EDA South Western Area Office, 702 Colorado Street, Austin, Tex. 78701.

Phone: (512) 475-5823 - Arizona, Arkansas, Colorado, Kansas, Louisiana, Nevada, New Mexico, Gklahoma, Texas, Utah, Wyoming.

EDA Western Area Office, 415 First Avenue, North, Seattle, Wash. 98109. Phone: (206) 583-4740 - Alaska, American Samoa, California, Guam, Hawaii, Idaho, Montana, Oregon, Washington.



# 9.18-INTERGOVERNMENTAL RELATIONS AND LIAISON

(This is solely an advisory office for State and local governments)

- AUTHORIZING STATUTE: Executive Order No. 11455 dated February 14, 1969.
- NATURE OF PROGRAM: To strengthen intergovernmental relations and to maintain liaison between States and their political subdivisions and the appropriate Federal departments, boards, and agencies.
- ELIGIBILITY: State, county, and municipal officials of the executive and legislative branches.
- AVAILABLE ASSISTANCE: Assistance in providing access to the highest executive offices and agencies for eligible State and local officials.
- WASHINGTON CONTACT: Governor Nils A. Boe, Director, Office of Intergovernmental Relations, room 231, Executive Office Building, Washington, F. C. 20510, (202) 395-3482.



The Child Development Division of the Appalachian Regional Commission sent questionnaires to over 800 philanthropic foundations and charitable organizations in order to determine their interests in funding child development projects in the Appalachian states.

Those foundations which responded are listed on the following pages. Information is given as to the types of projects that would be considered, deadlines, eligibility requirements, and names and addresses to which inquiries may be made.

The listing is not comprehensive in the sense that all foundations in the country were polled. Only foundations located in the Appalachian states were contacted, as most of the organizations have geographic limitations on their consideration of grant proposals. The listing is proposed as an informational guide to communities in the identification of foundations, and in no way suggests that Appalachian proposals will be given special consideration.



## ACF FOUNDATION, INC.

Address: 750 Third Avenue, New York, N.Y. 10017 Address inquiries to: John F. Burditt, Chairman Foundation will consider project for infants and preschool children Those who may not apply: Churches

#### ARKELL HALL FOUNDATION

Address: Canajohorie, New York
Address inquiries to: F.L. Fero
Foundation will not consider projects for infants and preschool children
Special eligibility requirement: Must be in New York State.
Open for applications in 1971.
Will consider projects concerned with: Support of elderly ladies home,
grants to hospitals and colleges.
Those who may apply: Public agencies, Churches (not restricted) and
Civic Groups.

#### APPALACHIAN FUND

Address: C.P.O. Box 2277, Beree, Kentucky 40403

Address inquiries to: Mrs. Norbert F. Stammer

Will consider applications for projects focusing on: Health

Those who may apply: Private nonprofit agencies

Postgrant requirements: Director tries to visit each applicant before recommendation to trustees.

Application deadlines: September

Approximate processing time: December 1st

## MARION R. ASCOLI FUND

Address: 100 Park Avenue, New York, N.Y. 10017
Address inquiries to: Mrs. Max Ascoli, President
Foundation will consider projects for infants and preschool children.
Special eligibility requirements: No grants to individuals; no grants
for building funds.
Open for applications in 1971

Will consider applications for projects focusing on: Services, facilities, demonstrations, training, equipment.

Will consider projects concerned with: Family planning, school age parents, unmarried mothers, day care centers, handicapped children, teaching materials, indian children, facilities and equipment, infant and preschool development, preschool education, health and medical programs, nutrition, teacher training, other staff training, parent education.

Those who may apply: Public agencies, private nonprofit agencies, churches, non-profit civic groups.

Range of grants: Up to \$5,000.

Deadlines: None

Approximate Processing time: A month



#### ASSOCIATION FOR THE AID OF CRIPPLED CHILDREN

Address: 345 East 46th Street, New York, N.Y. 10017
Address inquiries to: Robert J. Slater, M.D., President
Foundation will consider projects for infants and preschool children.
Special eligibility requirements: None.
Open for applications in 1971.

Will consider applications for projects focusing on: Research, Deomonstrations, Training.

Will consider projects concerned with: Family Planning, School Age Parents, Unmarried Mothers, Day Care Centers, Handicapped children, Indian children, Infant and Preschool development, Preschool education, Health and medical programs, Nutrition, Teacher Training, Parent education.

Those who may apply: Public agencies, Prívate agencies, Private non-profit agencies, Churches, Individuals, Civic groups

Range of grants: up to \$50,000 per year.

Application deadlines: None.

Approximate processing time: 1 to 3 months.

## ATLANTA FOUNDATION

Address: The First National Bank of Atlanta

Address inquirires to: C.E. Quickel

Foundation notwilling to consider projects for infants and preschool children.

Special eligibility requirements: Grants restricted to Fulton and DeKalb counties, Georgia.

Open for applications in 1971.

Willing to consider applications for projects whose main foci are: Educational and charitable organizations only.

# THE BUFFALO FOUNDATION

Address: 812 Genesee Building, Buffalo, New York 14202
Address inquiries to: W.L. Van Schonhoven, Executive Secretary.
Special eligibility requirements: Grants restricted to organizations in Western New York.

# CARTINHOUR FOUNDATION

Address: American National Bank and Trust Co., Chattanooga, Tenn. Address inquiries to: William G. Brown

Foundation not willing to consider projects for infants and preschool childresn.

Open to application in 1971.

Those who may apply: Individuals



#### COURIER-JOURNAL & LOUISVILLE TIMES FOUNDATION, INC.

Address: 525 W. Broadway, Louisville, Ky. 40202 Address inquiries to: Cyrus MacKinnon Foundation will consider projects for infants and preschool children. Special eligibility requirments: Yes Open to applications in 1971.

Will consider applications for projects focusing on: Services, Research, Facilities, Demonstrations, Training Equipment

Willing to consider projects concerned with: Family Planning, School age parents, Unmarried mothers, Day care centers, Handicapped children, Teaching materials, Indian Children, Facilities and Equipment, Infant and Preschool development, Preschool education, Health & Mexical programs, Nutrition, Teach training, Other staff training, Parent education.

Those who may apply: Private nonprofit agencies, Churches, Civic groups. Range of grants: \$500-\$3,000.

Application Deadlines: None

Approximate processing time: 1 month.

#### HENRY C. FRICK EDUCATIONAL COMMISSION

Address: 1924 Frick Building, Pittsburgh, Pa. 15219
Address inquiries to: Miss Mary H. Kolb, Executive Director.
Foundation is not willing to consider projects for infants and preschool children.
Speical eligibility requirements: Yes.
Open to applications in 1971.
Will consider applications for projects focusing on: Teacher training.
Will consider projects concerned with: Teacher training.
Those who may apply: Individuals (teachers)

# ADDISION H. GIBSON FOUNDATION

Address 1702 Commonwealth Building, 316 Fourth Ave. Pittsburgh, Pa.
Address inquiries to: Charlotte G. Kisseleff
Foundation not willing to consider projects for infants and preschool children.
Special eligibility requirements: Loans to self-supporting individuals.
Open to applications in 1971.
Willing to consider projects concerned with: Health & Medical Programs for individuals only, College Education Loans
Those who may apply: Individuals.
Application deadlines: Appointments must be arranged with Miss Kisseleff.



# GENERAL ELECTRIC FOUNDATION

Address: Crotonville, P.O. Box 791, Ossining, New York 10562

Address inquiries to: Dr. Ponald J. Watson

Foundation is not willing to consider projects for infants and preschool children.

Special eligibility requirements: No grants to individuals. Money must be used in U.S. or its territories.

Open to applications in 1971.

Those who may apply: Colleges & Universities

Range of grants: \$1,000-\$5,000

Postgrant requirements: Reporting

Application deadlines: None

Approximate processing time: 1 - 4 weeks.

#### HARSCO CORPORATION FUND

Address: Camp Hill, Pa. 17011

Address inquiries to: J. D. Underwood

Foundation not willing to consider projects for infants and preschool children.

Special eligibility requirements: Restricted to local health and welfare agencies in the communities where Harsco has business operations.

#### THE HILLMAN FOUNDATION, INC.

Address: 2000 Grant Building, Pittsburgh, Pa. 15219

Address inquiries to: Ronald W. Wertz.

Foundation willing to consider projects for infants and preschool children if in City of Pittsburgh of Allegheny country. Current emphasis on urban problems.

Special eligibility requirements: As stated above.

Open to applications in 1971.

Willing to consider applications for projects focusing on: Research, Facilities, Training, Equipment.

Those who may apply: Public agencies, Churches, Civic groups.

Range of grants: No specific amount

Postgrant requirements: Reports (written) as required as part of Tax Reform Act of 1969.

Application deadlines: None

Approximate processing time: meet quarterly.



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#### NATHAN HOFHEIMER FOUNDATION, INC.

Address: 101 Park Avenue, New York, New York 10017

Address inquiries to: Mrs. Robert F. Donovan, Secretary to the President. Foundation willing to consider projects for infants and preschool

children.

Special eligibility requirements: No grants to individuals, no grants for projects outside U.S.

Open to applications in 1971.

Will consider applications for projects whose main foci are: Services, Research, Facilities, Training, Equipment.

Vill consider projects concerned with: Family planning, School age parents, Unmarried mothers, Day Care centers, Handicapped Children, Teaching Materials, Indian Children, Facilities and Equipment, Infant and Preschool Levelopment, Preschool Education, Health and Medical Programs, Nutrition, Teacher Training, Other Staff training, Parent education.

Those who may apply: Public agencies, Private nonprofit agencies, Civic groups

Postgrant requirements: None.

Application deadlines: None.

Approximate processing time: about three months.

#### MARTHA HOLDEN JENNINGS FOUNDATION

Address: 700 National City Bank Building, Cleveland, Ohio 44114
Special eligibility requirements: Projects for elementary and secondary education excellence.

Open to applications in 1971.

Will consider applications for projects focusing on: Services, Research, Demonstrations

Will consider projects concerned with: Teaching Materials, Indian Children, Teacher Training.

Those who may apply: Public agencies, Private nonprofit agencies, Individuals.

Range of grants: Up to \$4,000.

Application deadlines: Committee meets once a month.

Approximate processing time: 1 month.

#### JOSEPH P. KENNEDY JR. FOUNDATION

Address: 719 Thirteenth Street, N.W., Suite 510, Washington, D.C.

Address inquiries to: Mrs. Diane B. Sheahan.

Foundation will consider projects for infants and preschool children in relation to mentally retarded children)

Special eligibility requirements: Experience or potential in the field of mental retardation.

Open to applications in 1971.

Will consider applications for projects focusing on: Research, Training, Mental retardation research study, and medical ethics.

Those who may apply: Public agencies, Private nonprofit agencies, Churches,



## JOSEPH P. KENNEDY JR. FOUNDATION cont.

Those who may apply: Individuals, Civic groups.

Range of grants: Scholarships \$500-\$1,000.

Application deadlines: For student and awards for service and research, December 1, 1970.

### CHARLES F. KETTERING FOUNDATION

Address: 5335 Far HIlls Ave., Dayton, Ohio

Director's name: E.H. Vause, Executive Vice President.

Address inquiries to: Dr. Wayne K. Howell

Foundation not willing to consider projects for infants and preschool children.

Special eligibility requirements: No grants to individuals.

Open to applications in 1971.

Will consider applications for projects focusing on: Services, Research, Demonstrations, Training.

Those who may apply: Public agencies, Private nonprofit agencies, Churches, Civic groups if incorporated.

Range of grants: less than \$75,000.

Postgrant requirements: Submit final report - other arrangements dependent on grant contract.

Application deadlines: March 20th and September 20th .

Approximate processing time: 6-8 weeks minimum - 6 months maximum.

#### ROBERT R. MEYER

Address: c/o. The First National Bank of Birmingham, P.O. Box 2534, Birgmingham, Alabama 35202

Address inquiries to: Trust Dept. of above bank.

Foundation will consider projects for infants and preschool children.

Special eligibility requirements: Grants only made in Jefferson County, Ala. Open to applications in 1971.

Will consider applications for projects focusing on: Research, Facilities, Equipment.

Will consider projects concerned with: Family Planning, Handicapped children, Facilities and Equipment, Infant and Preschool development, Preschool Education, Health & Medical programs, Nutrition, Teacher training

Those who may apply: Public agencies, Private nonprofit agencies, Civic groups.

Range of grants: \$1,000 to \$50,000 average

Postgrant requirements: Periodic reports on use of funds.

Application deadlines: None.

Approximate processing time: Undetermined as Foundation Committee meets only twice a year on no scheduled basis.



# PAUL MOTRY MEMORIAL FUND

Address: Sandusky, Ohio 44870

Special eligibility requirements: Funds can be used only for aid to crippled children residing in Erie & Ottawa counties, Ohio.

#### PITCAIRN-CRABBLE FOUNDATION

Address: 1417 North American Rockwell Building, Pittsburgh, Pa. 15222 Address inquiries to: Mr. Wishart or Miss Margaret M. Clement, Asst. to the Executive Secretary

Foundation will consider projects for infants and preschool children. Special eligibility requirements: Limited to Western Pennsylvania. Open to applications in 1971.

Will consider applications for projects focusing on: Services, Facilities, Equipment

Will consider projects concerned with: Handicapped Children, Indian children, Facilities and Equipment, Infant and Preschool development, Health & Medical programs

Those who may apply: Private nonprofit agencies, Civic groups. Range of grants: \$500 - \$2,500.

Postgrant requirements: Under Tax Reform Act of 1969, complete report of how funds were used within the designeated purpose of the grant.

Application deadlines: Will be considered for the next board meeting after received; agenda is closed about six weeks prior to a meeting.

Approximate processing time: 4 months - Board meets three times a year, January, May and October.

## RUSSELL SAGE FOUNDATION

Address: 230 Park Avenue, New York, N.Y. 10017

Address inquiries to: Joyce Weil, Executive Assistant

Foundation no willing to consider projects for infants and preschool children.

Special eligibility requirements: No grants to support of ongoing projects of independent activities of other agencies or individuals.

Open to applications in 1971.

Will consider applications for projects focusing on: Research
Will consider projects concerned with: Health & Medical programs,
Social Science research

Those who may apply: Individuals

Range of grants: \$3,000 - \$100,000.

Postgrant requirements: The foundation retains the right to publish any resulting manuscripts.



#### SPARTANBURG COUNTY FOUNDATION

Address: 424 Montgomery Building, Spartanburg, S.C. 29301

Address inquiries to: Charles W. Ebert

Foundation will consider projects for infants and preschool children. Special eligibility requirements: Must be in Spartanburg county. Work

of organization must be properly supervised and of value
Open to applications in 1971.

Will consider applications for projects focusing on: Services, Training, Facilities, Equipment

Will consider projects concerned with: Day care centers, Handicapped children, Teaching materials, Infant and Preschool development, Preschool education, Health & Medical Programs, Teacher training, Other staff training.

Those who may apply: Public agencies, Private nonprofit agencies, Civic groups

Application deadlines: None.

# EDGAR A. THURMAN CHARITABLE FOUNDA'TION FOR CHILDREN

Address: The Colonial American National Bank of Roanoke, Roanoke, Va. Address inquiries to: James A. Ford, Senior Vice President. Foundation will consider projects for infants and preschool children. Special eligibility requirements: Virginia agency in operation for 3 years. No money may be used for capital expenditures. Open to applications in 1971. Will consider applications for projects focusing on: Services. Those who may apply: Private nonprofit agencies, Churches (children's homes) Range of grants: \$1,000 - \$2,500. Application deadlines: December 1 for 1971 awards

# MICHAEL TUCH FOUNDATIONS, INC.

Address: 405 Lexington Avenue, New York, N.Y. 10017 Address inquiries to: Eugene Tuck

Foundation will consider projects for infants and preschool children.

No special eligibility requirements.

Open to applications in 1970 and 1971.

Will consider application for projects focusing on: Services, Research, Facilities, Demonstrations, Training, Equipment

Will consider projects concerned with: Family planning, school age parents, unmarried mother, day care centers, Handicapped children, Teaching materials, Indian children, Facilities and Equipment, Infant and Preschool development, Preschool education, Health & Medical Programs, Nutrition, Teacher training, Other staff training, Parent education.

A & A

Those who may apply: Private nonprofit agencies.

Range of grants: \$500 to \$2,000.

Postgrant requirements: Brief progress reports Application deadlines: End of calendar year.

Approximate processing time: Three to five months.



#### UNITED STATES STEEL FOUNDATION

Address: 71 Broadway, New York, N.Y. 10006

Address inquiries to: James T. Hosey

Special eligibility requirements: Must be tax-exempt organizations.

Open to applications in 1971.

Will consider applications for projects focusing on: Services, Research, Training

Will con sider projects concerned with: Health & Medical programs,

Teacher training, Other staff training.

Those who may apply: Public agencies, Private nonprofit agencies, Churches

## ALFRED L. WILLSON CHARITABLE FOUNDATION

Address: 100 East Broad Street, Suitel104, Columbus, Ohio 43215

Address inquiries to: Richard H. Oman

Special eligibility requirements: for Franklin county, Ohio only.

Foundation will consider projects for infants and preschool children

in Franklin county, Ohio only.

Open to applications in 1971.

Will consider applications for projects focusing on: Demonstrations,

Facilities, Equipment

Will consider projects concerned with: Unmarried mothers, Day care centers,

Handicapped children, Teaching materials, Infant and Preschool development,

Preschool education, Health & Medical Programs, Nutrition,

Those who may apply: Public agencies, Private nonprofit agencies.

Application deadlines: December 1, 1970 for 1971 funding.

Approximate processing time: 60 - 90 days.

# THE WINSTON-SALEM FOUNDATION

Address: 222 Wachovia Building, Winston-Salem, N.C.

Address inquiries to: Sebastian C. Sommer

Foundation willing to consider projects for infants and preschool children.

Special eligibility requirements: Forsyth County, N.C. only.

Open to applications in 1970 and 1971.

Will consider applications for projects focusing on: all mentioned under

given circumstances.
Will consider projects concerned with: all mentioned depending on the

merits of the case.

Those who may apply: Public agencies, Private nonprofit agencies, Churches, only as a group, Civic groups.

Range of grants: small to \$75,000.

Postgrant requirements: Requirements for reportsing vary greatly with each project, but under the new tax act will be more stringent.

Application deadlines: The Winston-Salem Foundation Committee meets monthly. Approximate processing time: Two to three weeks.



### APPENDIX

## REGIONAL OFFICES OF FEDERAL AGENCIES

# Department of Agriculture Farmers Home Administration

Alabama Room 717, Aronov Building 474 South Court Street Montgomery, Alabama 36104

Georgia
Room 255
Peachtree-Seventh Building
50 Seventh Street NE
Atlanta, Georgia 30323

Kentucky 1409 Forbes Road Lexington, Kentucky 40505

Mississippi Room 328, Milner Building Jackson, Mississippi 39201

New York Room 412, Midtown Plaza 700 East Water Street Syracuse, New York 13210

North Carolina
Room 319
1330 St. Mary's Street
Raleigh, North Carolina 27605

Ohio Room 316 Old Post Office Building Columbus, Ohio 43215

Pennsylvania
Federal Building, 228 Walnut Street
Post Office Box 17108
Harrisburg, Pennsylvania 17101

South Carolina
Federal Office Building
901 Sumter Street
Columbia, South Carolina 29201

Tennessee
538 U.S. Court House Building
801 Broadway
Nashville, Tennessee 37203

Virginia Post Office Box 10106 Richmond, Virginia 23240

West Virginia
Post Office Box 678
Morgantown, West Virginia 26505

# Federal Extersion Service

Alabama Auburn University Auburn, Alabama 36830

Georgia University of Georgia Athens, Georgia 30601 <u>Kentucky</u> University of Kentucky Lexington, Kentucky 40506

<u>Maryland</u> University of Maryland College Park, Maryland 20742



# Federal Extension Service (continued)

South Carolina

Vi.rginia

West Virginia

University of Tennessee

Knoxville, Tennessee 37901

Blacksburg, Virginia 24061

Mineral Industries Building

West Virginia University

Virginia Polytechnic Institute

Morgantown, West Virginia 26506

Post Office Box 1071

<u>Mississippi</u> Mississippi State University

Clemson University State College, Mississippi 39762 Clemson, South Carolina 29631 Tennessee

New York New York State College of Agriculture Ithaca, New York 14850

North Carolina North Carolina State University Raleigh, North Carolina 27607

Ohio State University 2120 Fyffe Road Columbus, Ohio 43210

Pennsylvania The Pennsylvania State University

University Park, Pennsylvania 16802

To locate County Extension Service Offices, consult your local telephone directory under U.S. Department of Agriculture, or the State Office of the Federal Extension Service listed above.

# Economic Development Area Offices

North Eastern Area EDA Area Office 157 High Street Portland, Maine 04101 (Connecticut, Maine, Massachusetts, New Hampshire, New York, Rhode Island, Vermont)

Mid Atlantic Area EDA Area Office 19 North Main Street Wilkes-Barre, Pennsylvania 18701 (Delaware, Maryland, New Jersey, Pennsylvania, Puerto Rico)

Mid Eastern Area EDA Area Office 517 Ninth Street Huntington, West Virginia 25701 (Kentucky, North Carolina, Ohio, Virginia, West Virginia)

South Eastern Area EDA Area Office 904 Bob Wallace Avenue Huntsville, Alabama 35801 (Alabama, Florida, Georgia, Mississippi, South Carolina, Tennessee)



# Department of Health, Education, and Welfare Regional Offices

Regional Offices also house regional representatives of: Health Services and Mental Health Administration, Consumer Protection and Environmental Health Service, Office of Education, Social Security Administration, Social and Rehabilitation Service.

Region 2
26 Federal Plaza
New York, New York 10007
(Delaware, New Jersey, New York,
Pennsylvania)

Region 3
220 Seventh Street NE
Charlottesville, Virginia 22901
(District of Columbia, Kentucky,
Maryland, North Carolina,
Virginia, West Virginia,
Puerto Rico, Virgin Islands)

Region 4
50 Seventh Street NE, Room 404
Atlanta, Georgia 30323
(Alabama, Florida, Georgia, Mississippi, South Carolina, Tennessee)

Region 5
433 West Van Buren Street
New Post Office Building, Room 712
Chicago, Illinois 60607
(Illinois, Indiana, Michigan, Ohio, Wisconsin)

### Educational Research and Development Centers

Center for Advanced Study of Educational Administration 147B Hendricks Hall University of Oregon Eugene, Oregon 97403

Center for Research and Development in Higher Education University of California 4606 Tolman Hall Berkeley, California 94720

Center for the Study of the Evaluation of Instructional Programs 145 Moore Hall 405 Hilgard Avenue Los Angeles, California 90024 Center for the Study of Social Organization of Schools The Johns Hopkins University 3505 North Charles Street Baltimore, Maryland 21218

Learning Research and Development Center 208 MI Building University of Pittsburgh Pittsburgh, Pennsylvania 15213

Research and Development Center in Educational Stimulation Fain Hall, University of Georgia Athens, Georgia 30601

Research and Development Center in Teacher Education 303 Sutton Hall, University of Texas Austin, Texas 78712



# Educational Research and Development Centers (continued)

Stanford Center for Research and Development in Teaching Stanford University 770 Welch Road Palo Alto, California 94304 Wisconsin Center for Research and Development The University of Wisconsin 1404 Regent Street Madison, Wisconsin 53705

# Food and Drug Administration

Atlanta District 60 Eighth Street NE Atlanta, Georgia 30309

Baltimor: District 900 Madison Avenue Baltimore, Maryland 21201

Buffalo District 599 Delaware Avenue Buffalo, New York 14202 Cincinnati District 1141 Central Parkway Cincinnati, Ohio 45202

New York District 850 Third Avenue, Room 700 Brooklyn, New York 11232

Philadelphia District
Room 1204, U.S. Customhouse
2nd and Chestnut Streets
Philadelphia, Pennsylvania 19106

# Regional Educational Laboratories

Appalachia Educational Laboratory Post Office Box 1348 Charleston, West Virginia 25325

Central Atlantic Regional Educational Laboratory 1200 17th Street, NW Washington, D. C. 20036

Central Midwestern Regional Educational Laboratory 10646 St. Charles Rock Road St. Ann, Missouri 63074

Cooperative Educational Research Laboratory, Inc. 540 West Frontage Road Northfield, Illinois 60093

Center for Urban Education 105 Madison Avenue New York, New York 10016 Educational Development Center 55 Chapel Street Newton, Massachusetts 02160

Eastern Regional Institute for Education 635 James Street Syracuse, New York 13203

The Far West Laboratory for Educational Research and Development Claremont Hotel 1 Garden Circle Berkeley, California 94705

Mid-Continent Regional Educational Laboratory 104 East Independence Avenue Kansas City, Missouri 06108



# Regional Educational Laboratories (continued)

Northwest Regional Educational Laboratory 400 Lindsay Building 710 Southwest Second Avenue Portland, Oregon 97204

Research for Better Schools, Inc. 121 South Broad Street Philadelphia, Pennsylvania 19107

Regional Educational Laboratory for the Carolinas and Virginia Mutual Plaza Durham, North Carolina 27701

Rocky Mountain Educational Laboratory 1620 Reservoir Road Greeley, Colorado 80631

South Central Region Educational Laboratory Corporation 302 National Old Line Building Little Rock, Arkansas 72201 Southeastern Education Laboratory 3450 International Boulevard Hapeville, Georgia 30054

Southwestern Cooperative Educational Laboratory 117 Richmond Drive NE Albuquerque, New Mexico 87106

Southwest Educational Development Laboratory 800 Brazos Street Austin, Texas 78767

Southwest Regional Laboratory for Educational Research and Development 11300 LaCienega Boulevard Inglewood, California 90304

Upper Midwest Regional Educational Laboratory 1640 East 78th Street Minneapolis, Minnesota 55423

# State Agencies for Surplus Property

# Alabama

Manager, Alabama State Agency for Surplus Property State Department of Education Post Office Box 1100 Gadsden, Alabama 35902

# Georgia

Chief, Surplus Property Services State Department of Education 1050 Murphy Avenue SW Atlanta, Georgia 30310

#### Kentucky

Director, Division of Surplus Property Kentucky Department of Education State Office Building Frankfort, Kentucky 40601

#### Maryland

Director, Maryland State Agency for Surplus Property Box 206 College Park, Maryland 20740

#### <u>Mississippi</u>

Executive Director, Mississippi Surplus Property Procurement Commission Post Office Box 5778 Whitfield Road Jackson, Mississippi 39208/

# New York

Chief, State Educational Agency for Surplus Property New York State Education Department Albany, New York 12224



# State Agencies for Surplus Property (continued)

North Carolina Federal Property Officer North Carolina Federal Property Agency Post Office Box 9553 Raleigh, North Carolina 27603

Ohio
Chief, State Agency for
Property Utilization
Ohio Department of Education
3201 Alberta Street
Columbus, Ohio 43204

Pennsylvania
Director, Bureau of Federal
Surplus Property
Department of Property
and Supplies
2221 Forster Street
Post Office Box 3361
Harrisburg, Pennsylvania 17125

South Carolina
Surplus Property Officer
South Carolina Surplus Property
Procurement
Division of General Services
300 Gervais Street
Columbia, South Carolina 29201

Tennessee
Director, Tennessee Educational
Agency for Surplus Property
Tennessee State Board of Education
6500 Centennial Boulevard
Nashville, Tennessee 37209

Virginia
Executive Officer, Virginia State
Agency for Federal Surplus Property
Department of Purchases and Supply
Post Office Box 1199
Richmond, Virginia 23209

West Virginia
Director, West Virginia State
Agency for Surplus Property
6304 MacCorkle Avenue SE
Charleston, West Virginia 25304

# Department of Housing and Urban Development Regional Offices

Region I
26 Federal Plaza
New York, New York 10007
(Connecticut, Maine,
Massachusetts, New Hampshire,
New York, Rhode Island,
Vermont)

Region II
Widener Building
1339 Chestnut Street
Philadelphia, Pennsylvania 19107
(Delaware, District of Columbia,
Maryland, New Jersey,
Pennsylvania, Virginia,
West Virginia)

Region TIT
Peachtree-Seventh Building
Atlanta, Georgia 30323
(Alabama, Florida, Georgia, Kentucky,
Mississippi, North Carolina,
South Carolina, Tennessee)

Region IV 360 North Michigan Avenue Chicago, Illinois 60601 (Illinois, Indiana, Iowa, Michigan, Minnesota, Nebraska, North Dakota, Ohio, South Dakota, Wisconsin)



# Manpower Administration Regional Offices

Region 2
341 Ninth Avenue
New York, New York 10001
(New Jersey, New York,
Puerto Rico)

Region 3
Room 839, Vanguard Building
1111 20th Street NW
Washington, D. C. 20036
(Delaware, District of Columbia,
Maryland, North Carolina,
Pennsylvania, Virginia,
West Virginia)

Region 4
Room 735, 1371 Peachtree Street NE
Atlanta, Georgia 30309
(Alabama, Florida, Georgia, Mississippi,
South Carolina, Tennessee)

Region 5
Room 2402, 219 South Dearborn Street Chicago, Illinois 60604
(Kentucky, Michigan, Ohio, Indiana, Minnesota, Wisconsin, Illinois)

# General Services Administration Regional Offices

Region 2
Business Service Center
26 Federal Plaza
New York, New York 10007
Property Management and Disposal
Service
26 Federal Plaza
New York, New York 10007
(Delaware, New Jersey,
New York, Pennsylvania,
Puerto Rico, and Virgin Islands)

Region 3
Business Service Center
7th and D Streets SW
Washington, D. C. 20407
Property Management and Disposal
Service
7th and D Streets NW
Washington, D. C. 20407
(District of Columbia, Maryland,
Virginia, and West Virginia)

Region 4
Business Service Center
1776 Peachtree Street NW
Atlanta, Georgia 30309
Property Management and Disposal
Service
1776 Peachtree Street NW
Atlanta, Georgia 30309
(Alabama, Florida, Georgia, Mississippi,
North Carolina, South Carolina, and
Tennessee)



# Office of Economic Opportunity Regional Offices

Northeast Region 72 West 45th Street New York, New York 10036 (Connecticut, Maine, Massachusetts, New Hampshire, New Jersey, New York, Puerto Rico, Rhode Island, Vermont, Virgin Islands)

Southeast Region 730 Peachtree Street NE Atlanta, Georgia 30308 (Alabama, Florida, Georgia, Mississippi, South Carolina, Tennessee)

Mid-Atlantic Region Marsh Building, 1832 M Street NW Washington, D. C. 20506 (Delaware, District of Columbia, Kentucky, Maryland, North Carolina, Pennsylvania, Virginia, West Virginia)

# State Economic Opportunity Offices

#### Alabama

State Economic Opportunity Office Office of Econômic Opportunity VFW Building 304 Dexter Avenue Montgomery, Alabama 36104

# Georgia

Georgia Office of Economic Opportunity Room 308, 101 Marietta Street NW Atlanta, Georgia 30303

# Kentucky

State Economic Opportunity Office 213 St. Clair Street Frankfort, Kentucky 40601

# Maryland

Maryland State Economic Opportunity Office Room 605, State Office Building 301 West Preston Street Baltimore, Maryland 21201

# Mississippi

558 First National Bank Building Jackson, Mississippi 39201

#### New York

State of New York, Office of Economic Opportunity 509 Madison Avenue New York, New York 10022

#### North Carolina

State Planning Task Force Post Office Box 1351 Raleigh, North Carolina 27602

# Ohio

Department of Urban Affairs 50 West Broad Street Columbus, Ohio 43215

# Pennsylvania

Bureau of Economic Opportunity Department of Community Affairs 19 South Second Street Harrisburg, Pennsylvania 17120



# State Economic Opportunity Offices (continued)

Tennessee
Tennessee Office of Economic
Opportunity
100 Arlington Avenue
Nashville, Tennessee 37210

West Virginia
West Virginia Economic Opportunity
Agency
716 McClung Street
Charleston, West Virginia 20305

Virginia
Division of State Planning
& Community Affairs
1017 Madison Building
109 Governor Street
Richmond, Virginia 23219

# Small Business Administration Regional Offices

Middle Atlantic Area
1 Decker Square, East Lobby
Bala Cynwyd, Pennsylvania 19004

South 20th Building 908 South 20th Street Birmingham, Alabama 35205

52 Fairlie Street NW Atlanta, Georgia 30303

1900 Commonwealth Building Fourth and Broadway Louisville, Kentucky 40202

1113 Federal Building 31 Hopkins Plaza Baltimore, Maryland 21201

322 U.S. Post Office and Courthouse Building Capital and West Streets Jackson, Mississippi 39201

New York Area 26 Federal Plaza, Room 3930 New York, New York 10007

Area Offices 26 Federal Plaza, Room 3108 New York, New York 10007 Hunter Plaza, Fayette and Salina Streets Syracuse, New York 13202

American Building, 201 South Tyron Street Charlotte, North Carolina 28202

Standard Building, 1370 Ontario Street Cleveland, Ohio 44113

Beacon Building, 50 West Gay Street Columbus, Ohio 43215

Southeastern Area 1401 Peachtree Street NE Atlanta, Georgia 30309

1317 Filbert Street Philadelphia, Pennsylvania 19107

Federal Building, 1000 Liberty Avenue Pittsburgh, Pennsylvania 15222

Security Federal Savings and Loan Building, 500 Union Street Nashville, Tennessee 37219

Post Office Box 8565, 1904 Byrd Avenue Richmond, Virginia 23226

1801 Assembly Street Columbia, South Carolina 29201

Lowndes Bank Building, 119 North Third Street Clarksburg, West Virginia 26301



# <u>Veterans' Administration</u> Regional Offices

<u>Alabama</u>

Aronov Building 474 South Court Street Montgomery, Alabama 36104

Georgia

730 Peachtree Street NE Atlanta, Georgia 30308

Kentucky

1405 West Broadway Louisville, Kentucky 40201

Mary land

Federal Building, Charles Center 31 Hopkins Plaza Baltimore, Maryland 21201

New York

252 Seventh Avenue New York, New York 10001 Federal Office Building 1021 Main Street Buffalo, New York 14203

North Carolina

Wachovia Building

301 North Main Street Winston-Salem, North Carolina 27102

Ohio

Federal Office Building 1240 East Ninth Street Cleveland, Ohio 44199

Pennsylvania

1000 Liberty Avenue Pittsburgh, Pennsylvania 15222

South Carolina

1801 Assembly Street Columbia, South Carolina 29201

Tennessee

U.S. Courthouse 801 Broadway Nashville, Tennessee 37203

Virginia

211 West Campbell Avenue Roanoke, Virginia 24011

West Virginia

502 Eighth Street

Huntington, West Virginia 25701

# APPALACHIAN REGIONAL COMMISSION

#### STATE REPRESENTATIVES

## <u>Alabama</u>

J.E. Mitchell, Jr.
Director
Alabama Development Office
State Office Building
Montgomery, Alabama 36104

Alternate Gen. Ralph P. Swofford Alabama Development Office State Office Building Montgomery, Alabama 36104

## Georgia

H.Oliver Welch State Planning Bureau 270 Washington Street, SW Room 611 Atlanta, Georgia 30334 Alternate Mr. Frank Newton State Planning Bureau 270 Weshington Street, SW Atlanta, Georgia 30334

# Kentucky

Frank J. Groschelle, Administrator Joseph Gray
Kentucky Program Development Office Assistant Administrator
Capitol Building, Room 157
Frankfort, Kentucky 40601
Capitol Building, Room

Alternate Joseph Gray Assistant Administrator Kentucky Program Development Office Capitol Building, Room 157 Frankfort, Kentucky 40601

#### Maryland

Robert G. Garner Beall, Garner and Geare, Inc. Queen City Triangle Cumberland, Maryland 21502 Alternate
William B. Braun
Chief, Federal and Field Liason Division
Maryland Department of Economic Development
State Office Building
Annapolis, Maryland 21401

# Mississippi

Clifford D. Gookin

Director of Appalachian Development Program Developer
State Highway Department Building
Highway 45
Tupelo, Mississippi

Gatha D. Jumper
Program Developer
Division of Appal
Northeast Miss. J.
Booneville, Missi

Alternate
Gatha D. Jumper
Program Developer
Division of Appalachian Development
Northeast Miss. Junior College
Booneville, Mississippi 38829

# New York

D. David Brandon
Director, New York State Office
of Planning Coordination
State Capitol-2nd Floor
Albany, New York 12207

Alternate
William Horne
Assistant Director
Office of Planning Coordination
488 Broadway
Albany, New York 12207



# North Carolina

Dr. William L. Turner Director of Administration Department of Administration 116 West Jones Street Raleigh, North Carolina 27602

### <u>Ohio</u>

Albert G. Giles, Director Department of Urban Affairs 50 West Broad Street, Room 3300 Columbus, Ohio 43215

# Pennsylvania

Vacant

# South Carolina

James S. Konduros
Executive Assistant to Governor
McNair
State Capitol Building
Columbia, South Carolina 29201

### <u>Tennessee</u>

Samuel H. Roberts, Jr.
Executive Administrator to the
Governor
Office of the Governor
Nashville, Tennessee 37219

# Virginia

T. Edward Temple Commissioner of Administration Governor's Office State Capitol Richmond, Virginia Alternate Ronald F. Scott Acting State Planning Officer Department of Administration 116 West Jones Street Raleigh, North Carolina 27602

Alternate
Daniel Lloyd
Deputy Director
Ohio Office of Appalachia
50 West Broad Street, Room 3500
Columbus, Ohio 43215

Alternate
Elwood S. Hochstetter
Executive Director
Appalachian Program
Department of Commerce
408 South Office Building
Harrisburg, Pennsylvania 17120

Alternate Nick A. Theodore Box 1827 Greenville, South Carolina 29602

# Alternate

Tilden J. Curry, Director Office of Urban and Federal Affairs Division of Regional Development 326 Andrew Jackson State Office Building 500 Deadrick Street Nashville, Tennessee 37219

Alternate
Lynn H. Currey
Chief, Special Programs
Division of State Planning and
Community Affairs
1010 James Madison Building
Richmond, Virginia 23219



# West Virginia

Dr. B.L. Coffindaffer
Deam, West Virginia University
Center for Appalachian Studies
and Development
295 Coliseum
Morgantown, West Virginia

Alternate Vacant



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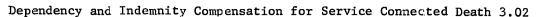
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